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1 **Altered homeostasis of trace elements in the blood of SCA2 patients**

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12 **Key words:** SCA2, metals, blood, oxidative stress.

13 **ABSTRACT**

14 Spinocerebellar ataxia type 2 (SCA2) is a neurological disorder characterized by cerebellar
15 dysfunction. The possible association between metals and neurodegenerative diseases is under
16 constant investigation, with particular focus on their involvement in oxidative stress and their
17 potential role as biomarkers of these pathologies.

18 Whole blood samples of SCA2 patients and of healthy individuals were subjected to multi-
19 elemental analysis by inductively coupled plasma-mass spectrometry (ICP-MS). Reduced levels of
20 manganese and copper were found in SCA2 patients, while zinc and vanadium concentrations were
21 significantly higher in patients compared to controls. Copper, manganese and zinc are cofactors of
22 many enzymes (such as superoxide dismutase, SOD) involved in the cellular antioxidant response,
23 whereas vanadium is a transition metal able to produce reactive radicals.

24 A marked decrease of the antioxidant response has been previously reported in SCA2 patients. We
25 suggest that an unbalance of transitional elements in the blood may reflect altered antioxidant
26 homeostasis in SCA2 patients and could constitute a future peripheral biomarker for this disease. In
27 addition, we suggest a possible role of vanadium in the altered lipid metabolism of SCA2 patients.

28

29

30 **Introduction**

31 Autosomal dominant spinocerebellar ataxias (SCAs) are genetically heterogeneous neurological
32 diseases characterized by Purkinje cell degeneration causing cerebellar dysfunction. Spinocerebellar
33 ataxia type 2 (SCA2) is the second most prevalent spinocerebellar ataxia subtype worldwide after
34 spinocerebellar ataxia type 3 [1]. The highest world prevalence rate of SCA2 was registered in
35 Holguin province in Cuba [2].

36 In Italy, the proportion of SCA patients with SCA2 is 47% (the Ataxia Center, Chicago University).
37 This rare neurodegenerative disease is characterized by uncoordinated movements, decreased
38 muscle tone, tremors, poor tendon reflexes, nystagmus, polyneuropathy, dysphagia, chorea,
39 Parkinsonism and dementia [3]. The severity and the age of onset of SCA2 vary, in the majority of
40 cases it becomes symptomatic from the third to the fourth decades of life; however, SCA2 is more
41 rapidly progressive when onset happens in the second decade of life [4].

42 SCA2 is caused by the expansion of a CAG triplet repeat located in the 5' coding region of the
43 ataxin-2 gene; the mutant protein then contains a segment of polyglutamines [5]. The pathogenic
44 effects of SCAs involve accumulation of a mutated/misfolded cytoplasmatic protein - Ataxin 2,
45 which is located in several tissues and neurons. Ataxin 2 interacts with poly(A)binding protein 1
46 and assembles into polyribosomes, which probably have a function in RNA metabolism and in
47 regulating cellular mRNA turnover [6]. Intracellular protein aggregates and widespread neuronal
48 loss in the cerebellum have been observed in the brains of SCA2 patients [7].

49 In recent years, oxidative stress has been shown to be related to several neurodegenerative disorders
50 such as Friedreich ataxia (FA), Huntington disease (HD) [8], and Ataxia-Telangiectasia (A-T)
51 disease [9]. Oxidative stress is the end point of chronic neurodegenerative disease, but is also
52 related to Autism Spectrum Disorder and other neuropsychiatric disorders [10]. The cellular
53 antioxidant system, designed to control the flux of reactive oxygen species (ROS), consists of
54 several antioxidant enzymes such as superoxide dismutase (SOD), glutathione peroxidase (GPx)

55 and catalase (CAT). An excess of ROS generation results in a wide range of neural disorders and
56 also aging; toxicity of free radicals causes damage to proteins and DNA and leads to cellular
57 apoptosis [11].

58 SCA2 has been previously related to oxidative stress, particularly to altered activity of key
59 antioxidant enzymes [4, 12-13]. In particular, Cornelius *et al.* investigated the level of
60 mitochondrial oxidative stress by assessing superoxide levels in fibroblasts from SCA2 patients and
61 controls, and found that SCA2 cells displayed a significantly increased amount of oxidative stress
62 compared to control cells.

63 Altered homeostasis of trace elements has been linked to the etiology of many neurodegenerative
64 syndromes [14]. In particular, Bocca and coauthors [15] found a lower manganese concentration in
65 the blood of Amyotrophic Lateral Sclerosis (ALS) patients compared to healthy individuals; while
66 Roos and coauthors [16] reported an increased level of copper in the blood of ALS patients. Several
67 investigations have reported higher levels of copper in the blood of Alzheimer's disease (AD)
68 patients and high manganese levels in the blood of Parkinson's disease (PD) subjects relative to
69 controls [17].

70 Some essential elements are, in fact, essential cofactors for antioxidant enzymes such as
71 cytoplasmic Zn/Cu-SOD (SOD1) and mitochondrial Mn-SOD (SOD2), which act as bulk
72 scavengers of superoxide radicals.

73
74 In a previous investigation, we discovered altered metal concentrations in the blood of Ataxia
75 Telangiectasia (A-T) patients, another neurodegenerative disease in which ataxia is one of the main
76 symptoms. In particular, we found that copper levels were significantly higher in A-T patients and
77 zinc levels were significantly lower [9]. Moreover, a reduction of Cu/Zn-SOD and Mn-SOD
78 activities were also observed in A-T lymphoblastoid cell lines (LCLs), suggesting that altered
79 homeostasis of zinc and copper may play a role in the pathology of A-T. In this study, we measured
80 the levels of 15 metals (arsenic, beryllium, cadmium, cobalt chromium, copper, iron, manganese,

81 nickel, lead, antimony, selenium, thallium, vanadium and zinc) in the blood of SCA2 patients, with
82 the aim of assessing metal concentrations in the patients' blood compared to controls, and
83 investigating whether transitional metals involved in the oxidative stress response could constitute a
84 possible peripheral biomarker of this disease.

85

86 **Patients and methods**

87 Subjects

88 We enrolled 20 SCA2 adult patients (10 males and 10 females) diagnosed with SCA2, which was
89 confirmed by genetic testing, and 18 healthy adult individuals as controls (10 males and 8 females).
90 Subjects were age-matched, with a mean age of 49 years in patients and 47 years in healthy
91 individuals.

92 The study was carried out in accordance with the Code of Ethics of the World Medical Association
93 (Declaration of Helsinki) 1964), and was approved by the committee of the Medical Sciences Dept.
94 (DSM-ChBU). Patients (or their legal representative) provided their informed consent.

95 Venous blood was collected, as previously described [9]; briefly, 1 mL of blood was added to
96 HNO₃, microwave digested (ETHOS 1 system, Milestone S.r.l, Italy), and processed together with a
97 certified reference material (Seronorm trace elements whole blood level 2). Metal concentration
98 analyses were performed with A Thermo X series II ICP-MS instrument, as previously described
99 [9], utilizing a Collision Cell Technique (CCT), to remove interferences.

100 *Statistical analysis*

101 The D'Agostino-Pearson normality test was utilized to determine the distribution of values, which
102 were normally distributed. The Student's t-test was utilized for comparing between the control
103 group and the patient group. Results were considered statistically significant using *p* values of <
104 0.05. Graph Pad Statistics Software Version 6.0 (GraphPad Software, Inc., USA) was utilized for
105 statistical calculations.

106

107 **Results**

108 Whole blood concentrations of the analyzed metals in SCA2 patients and healthy individuals are
109 shown in Table 1, and were expressed as $\mu\text{g/L} \pm$ standard deviation (S.D).

Table 1. Metal concentrations ($\mu\text{g L}^{-1} \pm$ S.D) in the blood of SCA2 patients and controls.

| <i>Metals</i> | <i>Patients (N=20)</i> | <i>Controls (N=18)</i> | <i>Statistics (Unpaired t-test)</i> |
|---------------|------------------------------------|------------------------------------|---|
| As | 3.4 (± 2.9) | 2.0 (± 2.2) | n.s. |
| Be* | | | |
| Cd | 0.80 (± 0.53) | 0.71 (± 0.40) | n.s. |
| Co | 0.57 (± 0.35) | 0.56 (± 0.19) | n.s. |
| Cr | 22 (± 1.5) | 19 (± 1.2) | n.s. |
| Cu | 866 (± 45) | 1116 (± 68) | p=0.0001 |
| Fe | 523648 (± 5041) | 495857 (± 4523) | n.s. |
| Mn | 16 (± 3.1) | 21 (± 4.2) | p=0.001 |
| Ni* | | | |
| Pb | 62 (± 8.5) | 70 (± 12) | n.s. |
| Sb | 4.2 (± 1.7) | 3.4 (± 1.2) | n.s. |
| Se | 119 (± 19) | 125 (± 35) | n.s. |
| Tl* | | | |
| V | 6.5 (± 1.0) | 3.7 (± 0.8) | p=0.03 |
| Zn | 5860 (± 900) | 5189 (± 999) | p=0.04 |

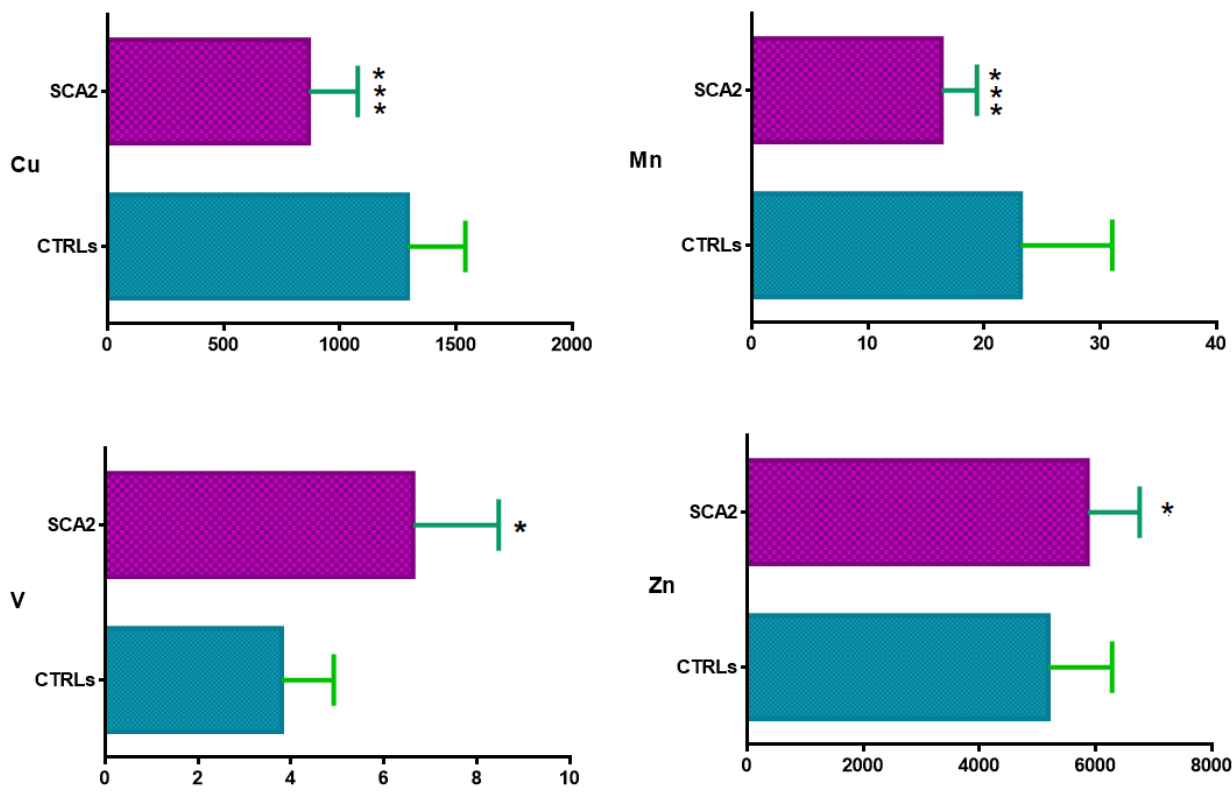
110 Note: statistically significant results are indicated in bold.

111 *Concentrations of these elements were below the limit of quantitation of the method.

112

113

114 Of all the essential trace elements, copper and manganese levels were significantly lower in the
115 blood of SCA2 patients ($p = 0.0001$ and 0.001 , respectively) while zinc levels were significantly
116 higher ($p = 0.04$) (Table 1, Figure 1).



117

118 **Figure 1** Box-plot diagrams of copper, manganese, vanadium and zinc (mean ± SD) in the
 119 **blood of SCA2 patients and controls (µg L⁻¹).**

120

121 Levels of the other essential elements, i.e. cobalt, chromium, iron and selenium did not significantly
 122 differ between patients and controls.

123 Arsenic, antimony, cadmium, lead, thallium and vanadium are metals with no recognized biological
 124 functions, and are thus considered non-essential for life. Among them, only vanadium levels
 125 (Figure 1) were found significantly higher in SCA2 patients (p=0.03).

126

127 **DISCUSSION**

128 Many previous investigations have linked the origin of neurodegenerative disorders (such as AD,
 129 PD, HD, ALS, Friedreich's Ataxia and AT) to increased oxidative stress in neuronal cells, thus
 130 suggesting the involvement of microelements such as Zn, Cu and Fe [9, 14-17].

131 Essential trace elements play a crucial role in the correct functioning of the human nervous system.

132 Neuronal cells do not function properly when there is a copper imbalance, and Cu dyshomeostasis

133 has been linked to AD and ALS [20]. Moreover, in Mendelian disorders such as Wilson and
134 Menkes diseases, the progressive neurological dysfunction is due to a disturbance of Cu
135 metabolism, with an accumulation of copper in the brain and other organs [20].

136 Zinc is the second most abundant microelement in nervous tissue, after iron. Decreased Zn
137 concentrations have been implicated in the pathophysiology of PD, AD, Friedreich's Ataxia [21]
138 and, recently, AT disease [9].

139 Superoxide dismutases are antioxidant enzymes that are able to catalyze the conversion of
140 superoxide anions to hydrogen peroxide, providing the cells with a defense mechanism against ROS
141 [22]. Cu and Zn are co-factors of the main SOD isoform, SOD1, which exerts most of the total
142 cellular SOD activity in the cytosol. An equilibrated molar ratio between Cu and Zn is necessary for
143 the correct functioning of this antioxidant enzyme. When we studied A-T patients [9], we argued
144 that the lower zinc levels, together with the higher copper levels found in patients, could be the
145 cause of the functional reduction of SOD1 observed in A-T cells.

146 Conversely, in the blood of SCA2 patients, we observed a higher zinc concentration and a lower
147 copper level. The presence of oxidative stress and the alteration of SOD levels in spinocerebellar
148 ataxia type 2 have been extensively documented by Guevara-Garcia and coauthors [12], who
149 observed an increase of SOD1 levels.

150 The manganese superoxide dismutase (Mn-SOD or SOD2) exerts its antioxidant function in
151 mitochondria. SOD2 has been proposed to be involved in the progression of several
152 neurodegenerative disorders, as well as having a probable role in stroke and age-related cognitive
153 decline [23]. Cornelius and coauthors [13] suggested that oxidative stress and mitochondrial
154 dysfunction may be contributory factors to the pathophysiology of SCA2; moreover, they
155 determined the level of mitochondrial oxidative stress by assessing SOD2 levels in fibroblasts,
156 showing a significant increase in SOD2 production in patients. Mitochondria control the primary
157 pool of cellular Mn, and it is well known that, in humans, this essential element is a required

158 cofactor in enzymes involved in neuronal and glial cell functions, and in neurotransmitter synthesis
159 and metabolism [24].

160 In this study, we found that blood Mn concentrations were lower in SCA2 patients than in controls.
161 Furthermore, we suggest that dyshomeostasis of the three essential elements - copper, zinc and
162 manganese - could be linked to the altered stress oxidative status already demonstrated in SCA2
163 subjects.

164 Vanadium (V) is the 21st most abundant element in the Earth's crust; vanadate is able to replace
165 phosphate in apatite, due to the similarity between vanadate and phosphate [25]. In fact, vanadate
166 can substitute phosphate in many enzymes such as phosphatases, phosphomutases, diesterases,
167 ATPases, ribonucleases and kinases, resulting in inhibition of these enzymes [25, 26]. Vanadium
168 compounds are transported in the blood by serum transferrin (Tf), and red blood cells take part in
169 the transport and distribution of this metal; Tf also mediates the transport of several other metals
170 such as Cu, Mn and Zn [27]. The first medical applications of vanadium were carried out in a
171 French hospital in 1897, after discovering that V compounds overcame dysfunctions in glucose and
172 lipid metabolism, by enhancing insulin action; it was then utilized to cure diabetes [25]. However,
173 redox active metals, like V, undergo redox cycling reactions in cells and can produce ROS in
174 biological systems; by producing ROS, transition elements may cause DNA damage and apoptosis
175 [25]. Regarding vanadium, studies have suggested that V may cause central nervous system
176 depression, tremors and neurasthenia [29-31], even if the pathways that lead to neurological
177 diseases are not well elucidated.

178 In SCA2 patients, we observed higher levels of V in the blood compared to healthy individuals. V
179 produces ROS such as hydroxyl free radicals [32] and superoxide [33]. The presence of higher
180 concentrations of V in cells could lead to an increase in ROS, and upregulation of SOD enzymes
181 may counteract the increase of oxidative stress. Vanadium species and, in particular, the oxido-

182 vanadium cation VO^{2+} have been previously reported to be involved in the annihilation and
183 formation of ROS [26].

184 The ability of V to inhibit glycogenolysis and lipolysis, stimulating lipogenesis [25] is a particularly
185 interesting aspect. Vanadium compounds in fact, were found to ameliorate dysfunctions in glucose
186 and lipid metabolism in diabetes, and mimic or enhance the insulin action [25].

187 The neurodegenerative disease SCA2 is caused by mutations in the gene ATXN2 that codes for the
188 cytoplasmic protein ataxin-2, which has been recently proposed to play a key role in insulin
189 sensitivity and cellular stress responses [34]. In animal models, such as ATXN2 knock-out mice,
190 signs of dyslipidemia were observed, along with alterations in lipid metabolism-related molecules
191 in the cerebellum, suggesting that the absence of ataxin-2 causes deregulation of lipids [34].

192 Lipid pathology is a feature of SCA2 and, as already observed in insulin resistance syndromes [35],
193 the absence of ataxin-2 leads to abdominal obesity and hepatosteatosis, along with reduced insulin
194 receptor expression in the liver and cerebellum, and increased insulin levels in the pancreas and
195 blood serum [35]. Thus, we can speculate that the increase of vanadium found in the blood of SCA2
196 patients could reflect an attempt, at a cellular level, to counteract the metabolic imbalance of lipids.
197 Further studies are required to fully understand the role of V on lipid metabolism in SCA2 patients.

198

199 **CONCLUSIONS**

200 Neurodegenerative processes have been previously related to metal imbalances, with altered levels
201 of different elements in serum or blood samples. Here we found altered levels of copper,
202 manganese, zinc and vanadium in the blood of SCA2 patients, and we hypothesized that the
203 dyshomeostasis of these transitional metals could be related to the oxidative stress typically found
204 in SCA2 patients.

205 Additional investigations are necessary to confirm our results, but we can suggest that the
206 characterization of the metal profiles in the blood of SCA2 patients is particularly important to find

207 potential markers of this disease. Moreover, we suggest that the increase of vanadium could be
208 related to the SCA2-associated lipid metabolism pathology, due the ability of this element to
209 enhance insulin action.

210

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213

214 **Conflicts of interest:** the authors declare that there are no conflicts of interest.

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