



G OPEN ACCESS

Citation: Makovac E, Cercignani M, Serra L, Torso M, Spanò B, Petrucci S, et al. (2016) Brain Connectivity Changes in Autosomal Recessive Parkinson Disease: A Model for the Sporadic Form. PLoS ONE 11(10): e0163980. doi:10.1371/journal. pone.0163980

Editor: Karl Herholz, University of Manchester, UNITED KINGDOM

Received: June 23, 2016

Accepted: September 16, 2016

Published: October 27, 2016

Copyright: © 2016 Makovac et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are in the paper.

Funding: This work was supported by grants of the Italian Ministry of Health (Ricerca Corrente 2015 to MB and to EMV).

Competing Interests: The authors have declared that no competing interests exist.

Abbreviations: ARPD, autosomal recessive Parkinson's disease; BOLD, blood-oxygen-level dependent; DMN, default mode network; ExN, executive network; FAB. Frontal Assessment RESEARCH ARTICLE

Brain Connectivity Changes in Autosomal Recessive Parkinson Disease: A Model for the Sporadic Form

Elena Makovac¹, Mara Cercignani², Laura Serra¹, Mario Torso¹, Barbara Spanò¹, Simona Petrucci^{3,4}, Lucia Ricciardi⁵, Monia Ginevrino³, Carlo Caltagirone^{6,7}, Anna Rita Bentivoglio⁸, Enza Maria Valente^{3,9}, Marco Bozzali¹*

1 Neuroimaging Laboratory, IRCCS Santa Lucia Foundation, Rome, Italy, 2 Clinical Imaging Sciences Centre, Brighton & Sussex Medical School, Falmer, Brighton, United Kingdom, 3 IRCCS Casa Sollievo della Sofferenza, CSS-Mendel laboratory, San Giovanni Rotondo, Italy, 4 Dept. of Neurology and Psychiatry, Sapienza University of Rome, Rome, Italy, 5 Sobell Dept. of Motor Neuroscience and Movement Disorders, Institute of Neurology, University College London, London, United Kingdom, 6 Dept. of Clinical and Behavioural Neurology, IRCCS Santa Lucia Foundation, Rome, Italy, 7 Dept. of Neuroscience, University of Rome 'Tor Vergata', Rome, Italy, 8 Dept. of Neurosciences, Catholic University, Rome, Italy, 9 Section of Neurosciences, Dept. of Medicine and Surgery, University of Salerno, Salerno, Italy

* m.bozzali@hsantalucia.it

Abstract

Biallelic genetic mutations in the Park2 and PINK1 genes are frequent causes of autosomal recessive PD. Carriers of single heterozygous mutations may manifest subtle signs of disease, thus providing a unique model of preclinical PD. One emerging hypothesis suggests that non-motor symptom of PD, such as cognitive impairment may be due to a distributed functional disruption of various neuronal circuits. Using resting-state functional MRI (RSfMRI), we tested the hypothesis that abnormal connectivity within and between brain networks may account for the patients' cognitive status. Eight homozygous and 12 heterozygous carriers of either PINK1 or Park2 mutation and 22 healthy controls underwent RSfMRI and cognitive assessment. RS-fMRI data underwent independent component analysis to identify five networks of interest: default-mode network, salience network, executive network, right and left fronto-parietal networks. Functional connectivity within and between each network was assessed and compared between groups. All mutation carriers were cognitively impaired, with the homozygous group reporting a more prominent impairment in visuo-spatial working memory. Changes in functional connectivity were evident within all networks between homozygous carriers and controls. Also heterozygotes reported areas of reduced connectivity when compared to controls within two networks. Additionally, increased inter-network connectivity was observed in both groups of mutation carriers, which correlated with their spatial working memory performance, and could thus be interpreted as compensatory. We conclude that both homozygous and heterozygous carriers exhibit pathophysiological changes unveiled by RS-fMRI, which can account for the presence/severity of cognitive symptoms.



Battery; FC, functional connectivity; GM, grey matter; HET, heterozygous/heterozygotes; HOM, homozygous/homozygotes; HC, healthy controls; IFP, left fronto-parietal network; MoCA, Montreal Cognitive Assessment battery; RS-fMRI, resting-state functional MRI; rFP, right fronto-parietal network; SN, salience network.

Introduction

Parkinson's disease (PD) is the second most common neurodegenerative disorder after Alzheimer's disease in the population aged over 65 years. The core features of PD include restingtremor, rigidity, bradykinesia and postural instability, but non-motor symptoms such as cognitive decline, neuropsychiatric disorders and dysautonomia are also frequently observed [1]. Relevant for clinical management, cognitive deficits can be present in PD since the early clinical stages, including frontal-executive dysfunction, difficulties with set-shifting, visuospatial deficits, and impairments in learning and memory [2]. In recent years, researchers have put increasing efforts to clarify the pathophysiology of cognitive impairment in PD and, to this aim, the availability of a condition mimicking preclinical stages in humans is of great interest. Relevant progress in this field has come from studies of mendelian forms of parkinsonism, in particular those recessively inherited. Biallelic mutations in three genes (Park2/Parkin, PINK1, and less frequently DJ-1) are mainly responsible for a fully penetrant, autosomal recessive PD phenotype (ARPD). ARPD is clinically characterized by early onset, slow progression, excellent response to levodopa, and variable occurrence of additional features such as dystonia at onset, sleep benefit, hyperreflexia and psychiatric symptoms [3]. Interestingly, single heterozygous mutations in Park2 and PINK1 genes can be identified in patients with features indistinguishable from sporadic, late-onset PD, as well as in non-symptomatic individuals [4]. These mutations are regarded as minor susceptibility factors modulating the risk for developing PD in a multifactorial context. Moreover, there is growing evidence indicating that even non-symptomatic heterozygous carriers (i.e., relatives of patients with biallelic mutations) often present with subtle signs of dopaminergic dysfunction, as demonstrated by Photon Emission Tomography and functional MR imaging (fMRI) [5,6]. These individuals provide therefore a unique model for in vivo research into the pre-clinical stages of PD. Additionally, it was shown that carriers of single mutations in *PINK1* or *Park2* genes show a similar phenotype at a brain network level [7], which is consistent with the closely related dysfunctional effect of gene disruption in several in vitro and in vivo models [8]. To date, a few studies investigating the cognitive profile of individuals with ARPD have been published. Three of them have consistently reported that *Park2*mutated patients perform similarly or even better than non-mutated patients on cognitive testing [9,10]. On the other hand, other studies have described cognitive impairment in patients with Park2 mutations [11], as well as non-specific cognitive deficits in healthy heterozygous carriers [10]. We recently published neuropsychological data from PINK1 homozygous and heterozygous mutation carriers followed-up for 12 years, based on the Montreal Cognitive Assessment battery (MoCA) and an extensive battery exploring all principal cognitive domains [12]. Interestingly, all affected homozygotes and 5 out of 14 heterozygotes reported abnormal scores at the MoCA and at tests sensitive to frontal dysfunction, consistently with the dysexecutive syndrome which is typically observed in sporadic PD [13]. The pathophysiological basis of these nonmotor manifestations cannot be completely attributed to dysfunction of the basal ganglia, and may be the consequence of distributed functional disruption in various neuronal circuits [14]. Against this background, functional imaging studies may provide relevant insights, especially in the absence of macroscopic brain abnormalities. Resting-state fMRI (RS-fMRI) has gained particular value for the investigation of cognitive symptoms in neurodegenerative diseases. This non-invasive MRI technique relies on the neural spontaneous blood-oxygen-level dependent (BOLD) signal fluctuations to estimate the intrinsic activity synchronization across the entire brain at rest, without requiring any experimental task [15]. So far, distinct RS-fMRI networks have been identified in healthy subjects [15], and selective disruptions in their functional connectivity (FC) have been observed in various neurodegenerative disorders including sporadic PD [16]. In particular, the default-mode network (DMN), whose disruption has been associated



to impairment of global cognition [17], was recently investigated in patients with PD, showing reduced FC in the medial temporal lobe and in the inferior parietal cortex [18]. Other networks are also likely to be implicated in PD, and might exhibit distinct patterns of abnormalities at different disease stages. For instance, in a genetic variant of frontotemporal dementia, FC was shown to play in distinct networks either a pathogenetic or a compensatory role when assessed at preclinical or clinical stages of disease [19].

A key question in PD is to determine whether different RS-fMRI networks interact with each other in determining higher level functions and dysfunctions across disease evolution (i.e., inter-network connectivity) [20]. The current study aims at investigating the role of dynamic changes across five major networks of interest (i.e., DMN, salience network [SN], executive network [ExN], right and left fronto-parietal networks [rFP] and [IFP]) in determining the cognitive status of individuals with different mutational loads in subjects with ARPD-causative genes. To this purpose, we recruited homozygous (HOM) and heterozygous (HET) carriers of either *PINK1* or *Park2* mutations. In the frame of this experimental model, we attempted to clarify the pathophysiology of cognitive impairment in PD when moving from preclinical, or very early (HET individuals) to overt disease stages (HOM individuals). From a clinical/neuropsychological viewpoint, our prediction was to identify visuospatial memory deficits, which are typical of PD, in HOM individuals. Conversely, in HET individuals, we expected to identify preclinical FC modifications in the absence of obvious neuropsychological deficits.

Materials and Methods

Participants

Eight HOM patients (5 *PINK1* and 3 *Park2* mutation carriers; M/F = 6/3; mean age = 51.4, SD = 8.1 years), 12 HET relatives (10 *PINK1* and 2 *Park2* mutation carriers; M/F = 5/10; mean age = 40.2, SD = 14.7 years) and 22 age- and gender-matched healthy controls (HC; M/F = 10/12; mean age = 47.0, SD = 12.3 years) took part in the study (see Table 1 for demographic, clinical and pharmacological characteristics). The diagnosis of clinically definite or probable PD was made according to the clinical diagnostic criteria of the UK PD Society Brain Bank [21], with the only exception that positive family history was not considered as an exclusion criterion. Disease severity was estimated by the Hoehn & Yahr stages and the Unified PD Rating Scale [22, 23]. Major systemic, psychiatric, and other neurological illnesses were carefully investigated and excluded in all subjects. Local Ethical Committee approved the project and written informed consent was obtained by all participants before study initiation.

Neuropsychological assessment

Cognitive assessment was performed by two trained neuropsychologists on the same day of MRI acquisition. The MoCA [24] and the Frontal Assessment Battery (FAB) [25] were administered to all subjects. Additionally, mutation carriers underwent the following battery of tests:

1) Verbal episodic long-term memory: Immediate and Delayed recall of a 15-Word List [26]; Short Story Recall [27]; 2) Visuo-spatial episodic long-term memory: Delayed recall of Complex Rey's Figure [28]; 3) short-term memory: Digit-span and Corsi Block Tapping task [29]; 4) Executive functions: Phonological Word Fluency [26]; Categorical Word Fluency [27]; Trail Making Test [30]; Stroop test [31]; 4) Problem-solving: Raven's Colored Progressive Matrices [26]; Praxis: Copy of drawings [27]; Copy of Complex Rey's Figure [28]. For each test, appropriate adjustments for gender, age, and education were applied according to the Italian



Table 1. Principal demographic and clinical characteristics of studied subjects. Abbreviations: HET = heterozygous; HOM = homozygous; HC = healthy controls; SD = standard deviation; LEDD = Levodopa equivalent daily dose; UPDRS = Unified Parkinson's Disease Rating Scale; MOCA = Montreal Cognitive Assessment; H&Y = Hoehn and Yahr scale.

	HC (n = 22)	HET (n = 12)	HOM (n = 8)
Age at scan (SD)	47.0 (12.2)	41.9 (14.8)	51.4 (8.1)
Sex (M/F)	10/12	5/7	6/2
Educational level (SD)	14.7 (3.2)	12.3 (2.1)	11.4 (2.3)*
MOCA	/	23.8 (1.8) °	23.0 (2.6) °
Diagnosis	/	10 unaffected/ 2 possibly affected	Affected
Disease duration	/	/	18.2 (8.5)
Pink/Parkin	/	10/2	5/3
LEDD	/	/	590.9 (358.1)
UPDRS	/	1.7 (3.7)	23.2 (19.9)#
H&Y	/	/	2.2 (0.9)

^{*} HC vs. HOM/HET p< 0.05

normative data. In addition, available cut-off scores of normality (95% of the lowest tolerance limit of the normal population distribution) were applied.

Subjects were considered as "cognitively impaired" if they reported pathological scores at MoCA [3]. Scores obtained at other tests were used to assess group differences between HOM and HET individuals and for correlations with imaging data. In the former case, a series of t-tests for independent samples were used (statistical threshold = p<0.003 after Bonferroni's correction).

MRI

All subjects underwent MRI at 3T (Magnetom Allegra, Siemens, Erlangen, Germany), including the following acquisitions: 1) Dual-echo turbo spin-echo (TSE) (TR = 6.190 ms, TE = 12/109 ms); 2) fast-FLAIR (TR = 8.170 ms, TE = 96 ms, TI = 2.100 ms); 3) 3D Modified-Driven-Equilibrium-Fourier-Transform (MDEFT) scan (TR = 1338 ms, TE = 2.4 ms, Matrix = 256x224x176, FOV = 250x250 mm², slice thickness = 1 mm); 4) T2*weighted echoplanar image (EPI) sensitized to BOLD contrast (TR = 2080 ms, TE = 30 ms, 32 axial slices parallel to AC-PC line, matrix: 64x64, pixel size = 3x3 mm², slice thickness = 2.5 mm, flipangle = 70°) for RS-fMRI. BOLD EPIs were collected during rest for 7 min and 20s, resulting in a total of 220 volumes.

Image analysis

Dual-echo TSE and FLAIR images were reviewed by a neurologist expert in MRI to assess/ exclude the presence of macroscopic abnormalities.

For each subject the first four volumes of the RS-fMRI series were discarded to allow for T1 equilibration effects. Statistical parametric mapping (SPM8; www.fil.ion.ucl.ac.uk\spm) was used for image preprocessing and statistical comparison of RS-fMRI data. The preprocessing steps included correction for head motion (using the standard realignment algorithm in SPM8), compensation for slice-dependent time shifts, and co-registration with the corresponding MDEFT. The MDEFT was segmented using the segmentation algorithm in SPM8, and the resulting grey matter (GM) images were used to compute every subject's total GM volume. The

[°] pathological score

[#] HOM vs. HET p< 0.05.



segmentation also provides the normalization parameters that map the subject's brain into Montreal Neurological Institute coordinates. The same parameters were applied to the motion and slice-timing corrected EPI images. Then, they were filtered by a phase-insensitive bandpass (0.01–0.08 Hz) to reduce the effect of low frequency drift and high frequency physiological noise. Finally, smoothing with a 3D-Gaussian Kernel of 8 mm³ FWHM was applied. Group Independent Component Analysis (ICA) fMRI Toolbox (GIFT, www.icatb.sourceforge.net) was used for component decomposition and set to identify 20 independent components. Results were converted to Z-scores. The components were reviewed to identify the DMN, the SN, the ExN, the IFP and the rFP networks [15].

Intra-network analysis

To statistically evaluate intra-network FC of each selected network, second level analyses were implemented in SPM8 on participants' reconstructed spatial maps. First, we performed a cross-sectional analysis (with a full-factorial design) with the group of HC, HET and HOM as main factor and GM volume and years of education as covariates of no interest, for each single network separately. Then, a correlation analysis was performed using a two sample T-test, with group belonging (HOM or HET) as factor, the Corsi score as covariate of interest, and the total GM volume and type of genetic mutation (PINK1/Park2) as covariates of no interest. Results were accepted as significant at p<0.05 FWE cluster-level corrected.

Inter-network analysis

To statistically evaluate inter-network FC, subject specific network time courses were detrended and pairwise correlated by Pearson's correlation, following an established procedure [32,33]. Briefly, we computed the constrained maximal lagged correlation between all pair-wise combinations of networks. Correlation coefficients and corresponding p values in each pair of networks were calculated for different lags (ranging from -12 to 12), where lags were circularly shifted. The best p value (corresponding to the optimal lag between two networks) was used in the subsequent analysis. To assess between-group differences, correlation coefficients were transformed to z-scores using the Fisher's z-transformation and entered into a between-subject ANOVA with education and total GM volumes as variables of no interest (p<0.05, Bonferroni-corrected).

Finally, in order to investigate the association between inter-network connectivity and severity of cognitive symptoms, we correlated the internetwork z-scores of each pair of networks with cognitive scores, with the total GM volume as covariate of no interest.

Results

Clinical and neuropsychological evaluation

There was a statistically significant difference in the average number of years of education between HC and HOM, but not between HET and either HOM or HC. The years of education were subsequently introduced as a covariate of no interest in all fMRI analyses. All other demographic features were matched across groups (Table 1).

All HOM patients had a diagnosis of clinically definite PD, as confirmed by the Unified PD Rating Scale (<u>Table 1</u>). In the HET group, two *PINK1* carriers received a diagnosis of possible PD (subjects F1-IV:13/M and F1-IV:12/M, already reported in a previous publication) [12].

With respect to cognitive assessment (<u>Table 2</u>), all but two PD individuals (one from the HOM, one from the HET group; carriers of PINK1 mutation in both cases) reported pathological scores at MoCA, and were classified as cognitively impaired. Interestingly, all *Park2*



Table 2. Performance scores obtained by HET and HOM individuals on neuropsychological tests. In brackets cut-off for normative values; in bold characters pathological values. Abbreviations: Edu: educational level; HET: heterozygous; HOM: homozygous; MOCA: Montreal cognitive assessment; LTM: Long term memory; STM: Short term memory; Imm: Immediate recall; Del: delayed recall; RMP47: Raven's Progressive Matrices; MCST: Modified Card Sorting Test- criteria.

				LTM: Verbal 15-words list	/erbal ds list	LTM: Visuo- spatial Complex Rey's Figure	/isuo- tial plex	S	STM	Reasoning		Constructional praxis	axis	Executive functions	ıctions
			МОСА	<u>E</u>	Del	mm]	Del	Digit span	Corsi span	RMP47	Copy of drawings	Copy of drawings with landmarks	Copy of Complex Rey's Figure	Phonological Word Fluency	MCST criteria
Group	Mutation	Edu	(>26)	(>28.5)	(>4.6)	(>6.4)	(>9.3)	(>3.7)	(>3.5)	(>18.9)	(>7.1)	(>61.8)	(>23.7)	(>17.3)	(>4.2)
Heterozygous	snobíz														
HETT	Parkin	∞	21.0	45.3	7.7			4.8	3.5	16.4	8.8	67.4	8.2	39.9	1.0
HET2	Parkin	13	23.0	47.7	11.4	28.0	26.8	4.6	4.9	32.2	11.5	69.2	34.2	31.7	0.9
HET3	Pink1	13	24.0	36.8	6.1	12.7	18.4	8.9	4.7	27.8	11.2	68.8	34.4	28.5	0.9
HET4	Pink1	13	22.0	40.5	0.9	5.9	6.3	5.5	4.5	22.8	10.2	68.7	34.3	41.4	5.0
HET5	Pink1	13	24.1	30.6	6.7	11.0	13.0	2.6	4.9	28.5	9.6	67.4	33.4	12.0	0.9
HET6	Pink1	∞	24.1						4.6						
HET7	Pink1	13	24.0	19.3	2.2	1.2	2.1	4.9	4.4	26.0	10.0	68.5	26.9	20.8	0.9
HET8	Pink1	13	28.0	31.0	4.5	5.1	9.2	5.8	4.5	27.2	11.1	68.6	34.0	8.0	5.0
HET9	Pink1	1	24.1						4.6						
HET10	Pink1	13	23.0	25.6	4.3	5.5	5.2	5.9	4.6	23.1	11.0	68.5	30.9	24.9	0.9
HET11	Pink1	80	23.0	18.8	1.8	17.5	13.3	4.3	3.7	26.5	8.7	59.4	30.7	11.9	0.9
HET12	Pink1	15	26.0	11.3	0.3	15.8	16.1	6.7	5.4	27.5	10.8	68.3	29.4	25.9	0.9
Homozygous	ygous														
HOM1	Parkin	13	23.0	44.6	6.7	9.8	9.1	4.7	3.6	26.5	7.3	64.0	32.8	34.0	0.9
HOM2	Parkin	13	24.0	38.1	9.5	19.0	15.5	5.6	3.7	32.0	10.4	67.2	35.1	29.5	0.9
HOM3	Parkin	13	21.0	27.8	8.1	9.7	3.9	4.8	4.7	25.8	11.2	68.8	25.9	17.5	0.9
HOM4	Pink1	13	19.0	22.9	3.5	4.5	5.6	4.5	3.9	13.3	5.7	49.7	0.9	12.8	0.9
HOM5	Pink1	80	28.0	26.3	0.9	9.4	2.8	4.1	3.8	17.6	9.0	64.8	31.4	14.9	
HOM6	Pink1	∞	23.0	41.3	7.7	17.4	17.5	2.0	4.1	31.4	11.4	68.5	34.5	30.5	0.9
HOM7	Pink1	9	23.0	34.3	6.5	19.6	20.6	5.9	3.8	30.3	10.8	69.7	34.1	35.0	0.9
HOM8	Pink1	13	23.0	20.7	5.4	6.5	14.3	4.6	3.9	28.2	9.5	68.2	34.2	19.7	0.9
		3													



Table 3. Changes in FC in five networks of interest. Brain areas of significant FC alteration in RS-networks (A) and of significant correlation between Corsi score and FC (B) HOM ARPD.

				MNIc	MNI coordinates			
	Brain area	Size	R/L	х	у	z	T-value	p value
A)								
	Default mode network							
	HC > HET							
	Posterior cuyngulate gyrus/Precuneus	558	L	-2	-52	28	5.01	0.002
	HC > HOM							
	Precuneus	413	L	-4	-64	36	5.15	0.01
	Executive network							
	HC > HOM							
	Frontal pole	517	R	26	48	26	4.82	0.002
	Frontal pole	298	L	-26	46	26	4.25	0.05
	Right working memory network							
	HC > HOM							
	Angular gyrus/Supramarginal gyrus	472	R	62	-60	20	4.40	0.005
	HET > HOM							
	Superior frontal gyrus	456	R	20	26	58	4.38	0.05
B)								
	Right working memory network							
	Superior frontal gyrus	731	R	18	32	44	8.63	0.000
	Left working memory network							
	Middle frontal gyrus	224	L	-32	4	48	9.12	0.03
	Salience netwrork							
	Paracyngulate gyrus	297	L	-10	38	28	6.09	0.031
	Executive network							
	Ant cingulate gyrus	266	R	4	0	34	5.35	0.005

mutation carriers (3 HOM and 2 HET) showed cognitive impairment. When comparing HOM and HET subjects for their performance in single cognitive domains, the former group resulted significantly more impaired in visuo-spatial working memory.

Intra-network RS-fMRI

All RS networks of interest were detectable from ICA decomposition. As expected, the DMN included the posterior and anterior cingulate cortex and the right and left inferior parietal nodes; the rFP and lFP networks included the right/left anterior insula, the medial prefrontal cortex, and the right/left frontal and parietal regions; the ExN included the dorsolateral prefrontal and the posterior parietal cortex; the SN included the hippocampus, parahippocampal gyrus, retrosplenial cortex, posterior cingulate cortex, precuneus, temporo-parietal junction, angular gyrus, lateral temporal cortex, ventrolateral prefrontal cortex, and medial prefrontal cortex. Between-group comparisons of intra-network connectivity are shown in Table 3 and Fig 1.

Within the DMN, both HET and HOM subjects showed lower FC than HC in the precuneus. Within the ExN, HOM patients showed decreased connectivity than HC in the frontal pole bilaterally (a similar finding was detectable, at uncorrected level, also in HOM patients compared to HET individuals). Within the rFP network, HOM patients showed decreased FC in the right angular/supramarginal gyrus when compared to HC, and in the right superior frontal gyrus when compared to HET individuals.



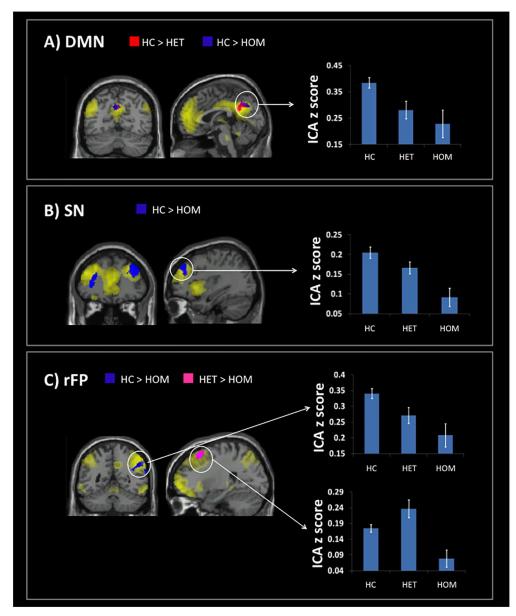


Fig 1. Between group FC changes within the RS-fMRI networks of interest. This figure illustrates between-group differences in functional brain connectivity observed in individual networks: A) the default mode network (DMN), B) the executive network (ExN), and C) the right fronto-parietal network (rFP). In all cases, the networks (main effect of groups) are shown in yellow. Red areas show the regional pattern of reduced connectivity in homozygous mutation carriers (HOM) as compared to healthy controls (HC). Blue areas show the regional pattern of reduced connectivity in heterozygous mutation carriers (HET) as compared to HC. Pink areas show the regional pattern of reduced connectivity in HOM as compared to HET. For each contrast, the signal plots on the right show the group level of connectivity at the peak of some clusters.

Positive correlations were found between the Corsi scores reported by HOM, but not by HET individuals, and their FC in various networks (Fig 2). Within the rFP, this pattern of correlation was found in the right superior frontal gyrus; within the lFP it was found in the left middle frontal gyrus; in the SN it was localized in the left paracingulate gyrus; and finally, in the ExN, it was localized to the anterior cingulate gyrus.



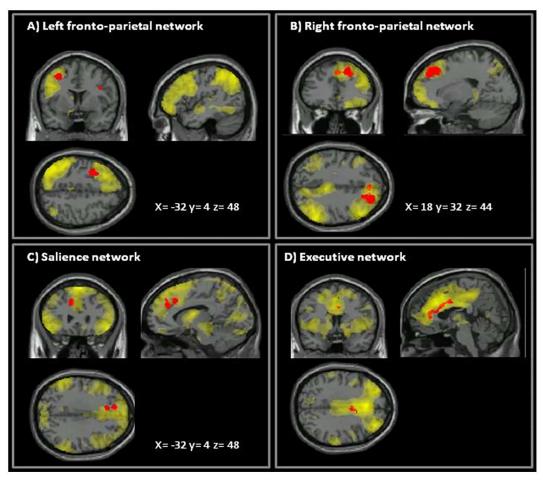


Fig 2. Associations between single network FC and spatial working memory performance in HOM patients. Within each network (shown in yellow), red areas illustrate the brain regions whose functional connectivity was positively associated with scores reported by homozygous (HOM) mutation carriers at the Corsi test (spatial working memory). These regions included: the left middle frontal gyrus within the left fronto-parietal network (A); the right superior frontal gyrus within the right fronto-parietal network (B); the left paracingulate gyrus within the salience network (C); and the right anterior cingulate gyrus within the executive network (D).

Inter-network RS-fMRI

The inter-network FC correlation was evaluated for ten pairs of networks (resulting from all pair-wise combinations of 5 networks). Table 4 summarizes the optimal lag, r, and p vales of correlation for each pair of networks in each group (HC, HET, HOM).

Three significant differences in the z-values (expressing the strength of between-network correlation in FC) for patients versus controls and between the two genetic groups (HOM and HET) were identified using an ANOVA model with "group" as factor. As shown in Fig 3A, these group differences in inter-network connectivity were found between SN-rFP (F(1,42) = 3.87, p<0.01), DMN-rFP (F(1,42) = 4.10, p<0.01) and SN-DMN (F(1,42) = 3.88, p<0.01). In the case of SN-rFP, the difference was driven by an increased inter-network connectivity in the HOM group compared to both, HC (t(42) = 3.12, p<0.01) and HET individuals (t(42) = 3.04, p<0.01), while no significant difference was found between HC and HET individuals (t(42)<1). In the case of DMN-rFP, the significant main effect was driven by reduced inter-network FC correlation in HOM as compared to HET (t(42) = 2.47, p<0.05) and an increased



Table 4. Inter-network correlation results. Mean lag, Pearson r index and p values of the inter-network FC correlations in the group of healthy controls (HC), HET individuals and HOM patients.

		lag	r	р
DMN_ExN	HC	-0.136	-0.451	0.029
	HET	0.214	-0.434	0.001
	ном	-0.375	-0.355	0.031
DMN_IFP	нс	-0.273	-0.387	0.001
	HET	-2.286	0.319	0.016
	ном	1.250	-0.351	0.005
DMN_rFP	нс	-0.682	-0.305	0.006
	HET	-0.643	0.332	0.002
	ном	0.375	-0.476	0.000
DMN_SN	HC	-1.136	-0.370	0.006
	HET	-0.571	0.359	0.003
	ном	1.000	-0.391	0.000
IFP_ExN	HC	-0.136	0.357	0.009
	HET	-0.429	-0.329	0.011
	ном	0.750	0.269	0.059
IFP_rFP	нс	0.318	0.387	0.005
	HET	-0.643	0.458	0.000
	ном	0.250	0.491	0.001
rFP_ExN	нс	1.500	0.311	0.027
	HET	-0.571	-0.364	0.004
	ном	1.625	0.329	0.011
SN_ExN	НС	-0.591	0.384	0.005
	HET	0.000	0.395	0.001
	НОМ	0.750	0.401	0.019
SN_IFP	HC	-0.318	0.392	0.011
	HET	-1.500	-0.273	0.058
	ном	0.000	0.320	0.016
SN_rFP	НС	-0.273	0.335	0.005
	HET	-0.143	0.299	0.011
	ном	-0.250	0.526	0.001

connectivity in HET as compared to HC (t(42) = 2.00, p < 0.058). Finally, the difference in the SN-DMN was driven by an increase of inter-network FC in the group of HET compared to both HC (t(42) = 2.12, p < 0.05) and HOM (t(42) = 2.37, p < 0.05), whereas no difference was found between HC and HOM individuals (t(42) < 1).

The inter-network connectivity z scores were correlated with the performance at Corsi test. In the SN-rFP pair, z scores were negatively correlated with the Corsi scores across the two genetic groups (HOM, HET), indicating that an increase in the connectivity between these two networks (mainly present in the HOM group) was associated with a worse performance in visuo-spatial working memory.

The inter-network connectivity scores in the SN-DMN and DMN-SN pairs of networks correlated positively with the Corsi score (r = 0.49, p < 0.04 and r = 0.48, p < 0.04 respectively). An increased connectivity, mainly present in HET individuals, was associated with a better performance in visuo-spatial working memory, indicating possible compensating mechanisms. These correlations are shown in Fig 3B.



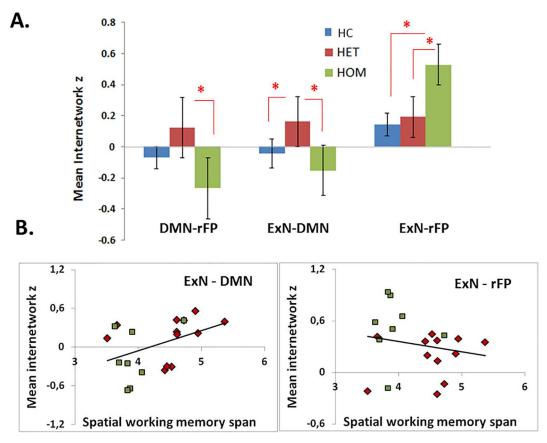


Fig 3. Inter-network analysis. Panel A shows the mean (SD) internetwork z scores for each studied group (i.e., healthy controls, HC; homozygous (HOM) and heterozygous (HET) mutation carriers) in three pairs of networks: salience network and right fronto-temporal network (SN-rFP); default mode network and right fronto-temporal network (DMN—rFP); salience network and default mode network (SN-DMN). Asterisks highlight significant between-group differences. Panel B illustrates the significant correlations, obtained in HOM (green-square marks) and HET (red-rhomb marks) mutation carriers altogether, between mean internetwork z scores in SN-rFP and SN-DMN pairs of networks and individual scores obtained at Corsi test (spatial working memory span).

Levodopa treatment is known to potentially affect functional brain connectivity [34], and therefore constitutes a potential bias in this study, as only the HOM group is under treatment. To estimate the magnitude of this effect, we tested for correlations between individual levodopa equivalent daily dose (LEDD) and FC in all networks of interest in HOM patients (the only group under medication). Within the limitation of our small sample size we were unable to detect any significant association between LEDD and FC.

Discussion

In this study, we recruited subjects carrying single or biallelic mutations in either *PINK1* or *Park2* which, theoretically, may be regarded as a model for preclinical and clinical stages of PD. Mutations in these two genes have been shown to result in a similar phenotype at a brain network level [7], allowing individuals with *PINK1* and *Park2* mutations to be included in the same experimental setting.

Consistently, all HOM patients responded to a diagnosis of clinically definite PD, while 10 out of 12 subjects from the HET group were classified as clinically unaffected. The focus of this study was to identify, using RS-fMRI, the pathophysiological substrates for the cognitive status



of HET and HOM individuals. In sporadic PD, cognitive impairment has been shown to occur since early clinical stages, probably following a long non-symptomatic period of brain compensation. This means that, in our experimental model, HET individuals were expected to be less cognitively impaired than HOM patients. However, consistent with a previous study on PINK1 mutation carriers (including part of the patients enrolled here) [12], all but one subjects from either group (HET, HOM) reported pathological scores at MoCA. Moreover, the two cognitively preserved individuals were both PINK1 heterozygous carriers, while all Park2 mutation carriers (3 HET, 2 HOM) showed pathological scores at MoCA. This is the first study reporting such a finding in Park2 mutated subjects, which is apparently in contrast with previous reports [9, 10]. Nevertheless, as previously suggested, MoCA is highly sensitive in detecting cognitive deficits in PD [33], and this might account for inconsistences across studies. Additionally, the similar cognitive profile we observed in PINK1 and Park2 mutation carriers is congruent with recent neuroimaging studies, suggesting a similar endophenotype for the two genes [7]. After characterizing the cognitive profile of all recruited subjects, we focused on the patterns of FC, in order to explore potential substrates for their neuropsychological characteristics. We focused our analysis on five specific networks that, according to previous literature [16, 18, 35–37], have proven to be meaningful in reflecting brain connectivity abnormalities in PD. We first analyzed each network in isolation (intra-network connectivity), and then we explored their interaction (inter-network connectivity) as a function of disease severity. When considering the DMN in isolation, both genetic groups (HOM and HET) compared to controls revealed reduced connectivity in the posterior cingulate cortex, with no significant differences between them. This finding fits well with the pathological scores reported by this genetic cohort at MoCA (i.e., a measure of global cognition) irrespective of their group belonging (HOM or HET). The posterior cingulate cortex is regarded as one of the most critical nodes of the DMN, whose connectivity is disrupted proportionally with global cognition not only in patients with Alzheimer's disease [17], but also in those with sporadic PD [18, 35]. Additionally, in patients with both diseases, reduced connectivity between the posterior cingulate cortex and the rest of the brain has been found since early clinical stages, preceding and perhaps contributing to local GM atrophy [16, 17].

Beyond the MoCA assessment, HOM patients, compared to HET individuals, performed significantly worse in tests for spatial working-memory. Consistently, HOM patients revealed a remarkable reduction of FC also in other networks (i.e., rFP, ExN), which can be more directly referred to working-memory [38]. In these same networks, HOM patients could be differentiated not only from HC but also from HET individuals. We speculate that disruption of rFP and ExN parallels the observed disability in more specific areas of cognition. Indeed, spatial working-memory deficits are often reported in sporadic PD, due to both a limited storage capacity and inability to filter out distracting information [2]. Moreover, we found a direct association between performances at Corsi test by HOM patients and the strength of FC within ExN, SN, rFP and lFP. These networks all involve the frontoparietal cortex and overlap at both the medial and lateral frontoparietal cortex [39]. Indeed, they are postulated to exert cognitive functions of control [15], and their implication in working-memory is well described [39].

Beyond the role of single networks in specific cognitive functions, interactions between them are likely to account for the appearance of complex symptoms along disease evolution, as well as for compensation mechanisms typically observed in preclinical stages of neurodegenerative dementias [19]. In a recent work by Gorges and co-authors, different patterns of FC have been reported in patients with PD according to the presence/absence of cognitive impairment [40]. Cognitively impaired patients showed reduced FC, especially within the DMN. Conversely, cognitively unimpaired patients revealed a widespread increase of FC probably reflecting "compensatory" mechanisms.



We first confirmed the negative correlation reported in literature between the DMN and other positive networks [41, 42]. In normal individuals, greater negative correlations between the DMN and fronto-parietal networks [42] have been associated with improved performance (and less "mind wandering") on tasks requiring externally-directed attention. These negative correlations suggest that the brain may be intrinsically organized to support competitive relationships between networks involved in external attention and internally focused thoughts. When looking at group differences, we found a paradoxical positive correlation between the DMN and SN, rFP and lPF networks in HET individuals only. This might represent a compensatory mechanism of early PD stages, resulting in enhanced communication between the DMN and anterior networks. Indeed, such an effect was not observed in HOM patients. The hypothesis of compensatory processes is further supported by behavioral data showing a better performance in visual short-term working-memory in HET individuals compared to HOM patients. Compensatory mechanisms have already been described in asymptomatic Park2 and PINK1 mutation carriers, showing a stronger increase of cortical motor-related activity during execution of self-initiated movements. These changes were interpreted as an evidence for a largescale reorganization of the motor system in the presymptomatic PD [6, 7].

Within the internetwork analysis, we found a strong effect which was peculiar of HOM patients, with an increase of inter-network connectivity between SN and rFP networks. This finding is of non-obvious interpretation, due to the lack of association with neuropsychological data. However, we argue that such an effect might reflect a compensation mechanism occurring at more advanced disease stages, although further longitudinal studies are needed to confirm this interpretation.

We are aware that the present study suffers from some limitations. First, the number of subjects included in the study is relatively small, due to the fact that autosomal recessive forms of PD are relatively rare. Moreover, HOM but not HET individuals nor HC were under dopaminergic therapy. This could have affected the results by artificially "normalizing" FC values within specific networks [34]. Nevertheless, we did not find any significant correlation between functional connectivity and LEDD in HOM patients. While it is of course important to acknowledge this potential confound, most of our findings should not be directly affected by it.

In conclusion, this study validates the use of RS-fMRI in spotting pathophysiological dysfunctions in ARPD and their relationship with cognitive impairment, especially within the visuo-spatial working memory. Current therapies in PD primarily target the motor symptoms, although cognitive decline is known to affect 15–20% of all patients and impact on patients' and relatives' quality-of-life. Altered resting-state FC in PD, reflecting clinically relevant phenomena, holds promise as a marker of disease progression. Follow-up of our cohort of mutated subjects will allow us to assess whether changes in FC can serve as a predictor for cognitive decline, especially in HET individuals. The combination of genetic and functional neuroimaging information may prove useful for monitoring individuals at risk for developing PD before the onset of cognitive symptoms, and it is critical for planning neuro-rehabilitation programs.

Acknowledgments

The authors are grateful to patients and their families for participation in the study.

Author Contributions

Conceptualization: MB EM MC EMV.

Data curation: EM MT SP.

Formal analysis: EM MT BS SP MG.



Funding acquisition: EMV.

Investigation: LR ARB.

Methodology: MC EMV LS SP.

Project administration: MB EMV.

Resources: MB EMV.

Supervision: MB EMV.

Writing – original draft: EM MB.

Writing - review & editing: MC EMV ARB CC.

References

- Brun L, Lefaucheur R, Fetter D, Derrey S, Borden A, Wallon D, et al. Non-motor fluctuations in Parkinson's disease: prevalence, characteristics and management in a large cohort of parkinsonian outpatients. Clin Neurol Neurosurg. 2014; 127: 93–96. doi: 10.1016/j.clineuro.2014.10.006 PMID: 25459250
- Lee EY, Cowan N, Vogel EK, Rolan T, Valle-Inclan F, Hackley SA. Visual working memory deficits in patients with Parkinson's disease are due to both reduced storage capacity and impaired ability to filter out irrelevant information. Brain. 2010; 133: 2677–2689. doi: 10.1093/brain/awq197 PMID: 20688815
- Petrucci S, Consoli F, Valente EM. Parkinson Disease Genetics: A "Continuum" From Mendelian to Multifactorial Inheritance. Current molecular medicine. 2014 (in press).
- Klein C, Lohmann-Hedrich K, Rogaeva E, Schlossmacher MG, Lang AE. Deciphering the role of heterozygous mutations in genes associated with parkinsonism. Lancet Neurol. 2007; 6: 652–662. doi: 10.1016/S1474-4422(07)70174-6 PMID: 17582365
- Khan NL, Valente EM, Bentivoglio AR, Wood NW, Albanese A, Brooks DJ, et al. Clinical and subclinical dopaminergic dysfunction in PARK6-linked parkinsonism: an 18F-dopa PET study. Ann Neurol. 2002; 52: 849–853. doi: 10.1002/ana.10417 PMID: 12447943
- Buhmann C, Binkofski F, Klein C, Buchel C, van Eimeren T, Erdmann C, et al. Motor reorganization in asymptomatic carriers of a single mutant Parkin allele: a human model for presymptomatic parkinsonism. Brain. 2005; 128: 2281–2290. doi: 10.1093/brain/awh572 PMID: 15947065
- van Nuenen BF, Weiss MM, Bloem BR, Reetz K, van Eimeren T, Lohmann K, et al. Heterozygous carriers of a Parkin or PINK1 mutation share a common functional endophenotype. Neurology. 2009; 72: 1041–1047. doi: 10.1212/01.wnl.0000338699.56379.11 PMID: 19038850
- Scarffe LA, Stevens DA, Dawson VL, Dawson TM. Parkin and PINK1: much more than mitophagy. Trends Neurosci. 2014; 37: 315–324. doi: 10.1016/j.tins.2014.03.004 PMID: 24735649
- Lohmann E, Thobois S, Lesage S, Broussolle E, du Montcel ST, Ribeiro MJ, et al. A multidisciplinary study of patients with early-onset PD with and without parkin mutations. Neurology. 2009; 72: 110– 116. doi: 10.1212/01.wnl.0000327098.86861.d4 PMID: 18987353
- Caccappolo E, Alcalay RN, Mejia-Santana H, Tang MX, Rakitin B, Rosado L, et al. Neuropsychological Profile of Parkin Mutation Carriers with and without Parkinson Disease: The CORE-PD Study. J Int Neuropsychol Soc. 2011; 17: 91–100. doi: 10.1017/S1355617710001190 PMID: 21092386
- Benbunan BR, Korczyn AD, Giladi N. Parkin mutation associated parkinsonism and cognitive decline, comparison to early onset Parkinson's disease. J Neural Transm. 2004; 111: 47–57. doi: 10.1007/ s00702-003-0079-6 PMID: 14714215
- Ricciardi L, Petrucci S, Guidubaldi A, Ialongo T, Serra L, Ferraris A, et al. Phenotypic variability of PINK1 expression: 12 Years' clinical follow-up of two Italian families. Mov Disord. 2014; 29: 1561– 1566. doi: 10.1002/mds.25994 PMID: 25164310
- Perfetti B, Varanese S, Mercuri P, Mancino E, Saggino A, Onofrj M. Behavioural assessment of dysexecutive syndrome in Parkinson's disease without dementia: a comparison with other clinical executive tasks. Parkinsonism Relat Disord. 2010; 16: 46–50. doi: 10.1016/j.parkreldis.2009.07.011 PMID: 19665420
- DeLong MR, Wichmann T. Circuits and circuit disorders of the basal ganglia. Arch Neurol. 2007; 64: 20–24. doi: 10.1001/archneur.64.1.20 PMID: 17210805



- Damoiseaux JS, Rombouts SA, Barkhof F, Scheltens P, Stam CJ, Smith SM, et al. Consistent restingstate networks across healthy subjects. Proc Natl Acad Sci U S A. 2006; 103: 13848–13853. doi: 10. 1073/pnas.0601417103 PMID: 16945915
- Baggio HC, Sala-Llonch R, Segura B, Marti MJ, Valldeoriola F, Compta Y, et al. Functional brain networks and cognitive deficits in Parkinson's disease. Hum Brain Mapp. 2014; 35: 4620–4634. doi: 1002/hbm.22499 PMID: 24639411
- Gili T, Cercignani M, Serra L, Perri R, Giove F, Maraviglia B, et al. Regional brain atrophy and functional disconnection across Alzheimer's disease evolution. J Neurol Neurosurg Psychiatry. 2011; 82: 58–66. doi: 10.1136/jnnp.2009.199935 PMID: 20639384
- Tessitore A, Esposito F, Vitale C, Santangelo G, Amboni M, Russo A, et al. Default-mode network connectivity in cognitively unimpaired patients with Parkinson disease. Neurology. 2012; 79: 2226–22232. doi: 10.1212/WNL.0b013e31827689d6 PMID: 23100395
- Borroni B, Alberici A, Cercignani M, Premi E, Serra L, Cerini C, et al. Granulin mutation drives brain damage and reorganization from preclinical to symptomatic FTLD. Neurobiol Aging. 2012; 33: 2506– 2520. doi: 10.1016/j.neurobiolaging.2011.10.031 PMID: 22130207
- Cole MW, Reynolds JR, Power JD, Repovs G, Anticevic A, Braver TS. Multi-task connectivity reveals flexible hubs for adaptive task control. Nat Neurosci. 2013; 16: 1348–1355. doi: 10.1038/nn.3470 PMID: 23892552
- Hughes AJ, Daniel SE, Kilford L, Lees AJ. Accuracy of clinical diagnosis of idiopathic Parkinson's disease: a clinico-pathological study of 100 cases. J Neurol Neurosurg Psychiatry. 1992; 55: 181–184.
 PMID: 1564476
- Hoehn MM, Yahr MD. Parkinsonism: onset, progression and mortality. Neurology. 1967; 17: 427–442.
 PMID: 6067254
- Goetz CG, Stebbins GT, Chmura TA, Fahn S, Poewe W, Tanner CM. Teaching program for the Movement Disorder Society-sponsored revision of the Unified Parkinson's Disease Rating Scale: (MDS-UPDRS). Mov Disord. 2010; 25: 1190–1194. doi: 10.1002/mds.23096 PMID: 20629138
- Nasreddine ZS, Phillips NA, Bedirian V, Charbonneau S, Whitehead V, Collin I, et al. The Montreal Cognitive Assessment, MoCA: a brief screening tool for mild cognitive impairment. J Am Geriatr Soc. 2005; 53: 695–659. doi: 10.1111/j.1532-5415.2005.53221.x PMID: 15817019
- Dubois B, Slachevsky A, Litvan I, Pillon B. The FAB: a Frontal Assessment Battery at bedside. Neurology. 2000; 55: 1621–1626. PMID: 11113214
- 26. Carlesimo GA, Caltagirone C, Gainotti G. The Mental Deterioration Battery: normative data, diagnostic reliability and qualitative analyses of cognitive impairment. The Group for the Standardization of the Mental Deterioration Battery. Eur Neurol. 1996; 36: 378–384. PMID: 8954307
- Spinnler H, Tognoni G. Standardizzazione e taratura italiana di test neuropsicologici. Ital J Neurol Sci. 1987; 8[suppl]: 1–120.
- Carlesimo GA, Buccione I, Fadda L, Graceffa A, Mauri M, Lo Russo S, et al. Standardizzazione di due test di memoria per uso clinico: Breve Racconto e Figura di Rey. Nuova Rivista di Neurologia. 2002; 12: 1–13.
- Orsini A, Grossi D, Capitani E, Laiacona M, Papagno C, Vallar G. Verbal and spatial immediate memory span: normative data from 1355 adults and 1112 children. Ital J Neurol Sci. 1987; 8: 539–548.
 PMID: 3429213
- Giovagnoli AR, Del Pesce M, Mascheroni S, Simoncelli M, Laiacona M, Capitani E. Trail making test: normative values from 287 normal adult controls. Ital J Neurol Sci. 1996; 17: 305–309. PMID: 8915764
- Golden CJ. Stroop Color and Word Test: A Manual for Clinical and Experimental Uses. Chicago, Illinois: Skoelting; 1978.
- Jafri MJ, Pearlson GD, Stevens M, Calhoun VD. A method for functional network connectivity among spatially independent resting-state components in schizophrenia. Neuroimage. 2008; 39: 1666–1681. doi: 10.1016/j.neuroimage.2007.11.001 PMID: 18082428
- **33.** Kandiah N, Zhang A, Cenina AR, Au WL, Nadkarni N, Tan LC. Montreal Cognitive Assessment for the screening and prediction of cognitive decline in early Parkinson's disease. Parkinsonism Relat Disord. 2014; 20: 1145–1148. doi: 10.1016/j.parkreldis.2014.08.002 PMID: 25176439
- **34.** Tahmasian M, Bettray LM, van Eimeren T, Drzezga A, Timmermann L, Eickhoff CR, et al. A systematic review on the applications of resting-state fMRI in Parkinson's disease: Does dopamine replacement therapy play a role? Cortex 2015; 73:80–105. doi: 10.1016/j.cortex.2015.08.005 PMID: 26386442
- Krajcovicova L, Marecek R, Mikl M. Default mode network and extrastriate visual resting state network in patients with Parkinson's disease dementia. Neurodegener Dis. 2012; 10: 232–237. doi: 10.1159/ 000334765 PMID: 22269223



- Krajcovicova L, Mikl M, Marecek R, Rektorova I. The default mode network integrity in patients with Parkinson's disease is levodopa equivalent dose-dependent. J Neural Transm. 2012; 119: 443–454. doi: 10.1007/s00702-011-0723-5 PMID: 22002597
- 37. Esposito F, Tessitore A, Giordano A, De Micco R, Paccone A, Conforti R, et al. Rhythm-specific modulation of the sensorimotor network in drug-naive patients with Parkinson's disease by levodopa. Brain. 2013; 136: 710–725. doi: 10.1093/brain/awt007 PMID: 23423673
- **38.** Xu J, Calhoun VD, Pearlson GD, Potenza MN. Opposite modulation of brain functional networks implicated at low vs. high demand of attention and working memory. PLoS One. 2014; 9: e87078. doi: 10. 1371/journal.pone.0087078 PMID: 24498021
- 39. Xu J, Zhang S, Calhoun VD, Monterosso J, Li CS, Worhunsky PD, et al. Task-related concurrent but opposite modulations of overlapping functional networks as revealed by spatial ICA. Neuroimage. 2013; 79: 62–71. doi: 10.1016/j.neuroimage.2013.04.038 PMID: 23611864
- Gorges M, Müller HP, Lulé D; LANDSCAPE Consortium, Pinkhardt EH, Ludolph AC, Kassubek J. To rise and to fall: functional connectivity in cognitively normal and cognitively impaired patients with Parkinson's disease. Neurobiol Aging. 2015; 36: 1727–1735. doi: 10.1016/j.neurobiolaging.2014.12.026 PMID: 25623332
- Fox MD, Snyder AZ, Vincent JL, Corbetta M, Van Essen DC, Raichle ME. The human brain is intrinsically organized into dynamic, anticorrelated functional networks. Proc Natl Acad Sci U S A. 2005; 102: 9673–9678. doi: 10.1073/pnas.0504136102 PMID: 15976020
- Kelly AM, Uddin LQ, Biswal BB, Castellanos FX, Milham MP. Competition between functional brain networks mediates behavioral variability. Neuroimage. 2008; 39: 527–537. doi: 10.1016/j. neuroimage.2007.08.008 PMID: 17919929