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### This is the author's manuscript

*Original Citation:*

*Availability:*

This version is available <http://hdl.handle.net/2318/1533028> since 2020-02-19T15:25:28Z

*Published version:*

DOI:10.1016/j.nano.2015.04.003

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**Title: Nanosized TiO<sub>2</sub> is internalized by dorsal root ganglion cells and causes damage via apoptosis**

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**conflict of interest statement:** None of the authors have any conflict to declare related to the study.

**financial support information:** Regione Piemonte CIPE 2006 Project: "Nanoparticles. From Their Impact on the Environment and Human Health to Safer Production and Usage (NANOSAFE)" coordinated by Bice Fubini.

**abstract word count:** 149

**complete manuscript word count:** XXX

**number of references:** XXX

**number of figures:** 6

## Abstract

Titanium dioxide (TiO<sub>2</sub>) is widely used as ingredient in several products in the **nanofarm**. TiO<sub>2</sub>-Nanoparticles (NPs) are also currently studied for different medical applications. A large debate exists on possible adverse health effects related **to their exposure**. While there are some evidences of toxic effects on the central nervous system, the effect TiO<sub>2</sub>-NPs on peripheral neurons has been poorly explored. **In this study we** investigated the effects TiO<sub>2</sub>-NPs on Dorsal Root Ganglion (DRG) sensory neurons and satellite glial cells that may be reached by nanoparticles from the bloodstream. We found that TiO<sub>2</sub>-NPs are internalized in DRG cells and induce apoptosis in a dose dependent manner in both types of cells, **ROS production and changes in expression of proinflammatory cytokine IL-1 $\beta$** . Furthermore, we found that the axonal retrograde transport is altered in neurons upon exposure to TiO<sub>2</sub>-NPs. Overall, the results indicate a potential neurotoxic effect of TiO<sub>2</sub>-NPs on DRG cells.

## Key words

titanium dioxide, nanoparticles, dorsal root ganglion, apoptosis, **ROS, IL-1 $\beta$** , axonal transport

## Background

Despite the increased presence of nanosized TiO<sub>2</sub> particles (TiO<sub>2</sub>-NPs) in consumer products about a general consensus on their effects on human health has not been reached yet. While a wide literature may be found on the effect of TiO<sub>2</sub> on central nervous system<sup>1-11</sup> almost nothing is known about their impact on peripheral sensory neurons. This study is aimed to fill in part this gap by investigating the cytotoxic effects of low doses of TiO<sub>2</sub>-NPs on primary cultures of dorsal root ganglia (DRG). DRG confine the cell bodies of primary somatic afferent neurons that transmit sensory information from the periphery into the central nervous system. Within the ganglion, neuronal cell bodies are surrounded by satellite glial cells (SGCs), that play **vital** roles in sensory ganglia physiology.<sup>12,13</sup>

In principle sensory neurons can be achieved in vivo by the nanoparticles through two distinct pathways. The first is penetration through the skin. This way is certainly restricted in healthy skin but may not be negligible in the case in which pharmaceuticals, cosmetic products and sunscreens containing titanium dioxide are spread on broken or inflamed skin especially for long time of exposure.<sup>14,15</sup> Indeed nanoparticles can be internalized by the free sensory nerve endings of C- and A $\delta$ -fibers that innervate the skin and reach the superficial layers of the epidermis. Nanoparticles taken up by endocytic mechanisms can be transported to the cell body and to the central terminals of neurons so that afferent sensory nerves can also serve as portals of entry to the central nervous system.

The second way by which TiO<sub>2</sub>-NPs can reach sensory neurons is by blood circulation. TiO<sub>2</sub>-NPs were indeed found in erythrocytes within the pulmonary capillaries of rat that inhaled aerosol containing 20 nm TiO<sub>2</sub>-NPs<sup>16</sup> or in blood vessel of mice after intraperitoneal injection.<sup>17</sup> Moreover, TiO<sub>2</sub> NPs are promising materials for medical applications and are under investigation, for

example, for their anticancer properties and in the field of advanced imaging.<sup>18,19</sup> For many therapeutic or diagnostic applications in the nanomedicine, nanoparticles are to be injected intravenously. It is worth mentioning that DRG lack an efficient neurovascular barrier as they contain blood vessels which have no tight junctions and possess fenestrae<sup>20</sup> through which nanoparticles can pass. On the other hand, inside the ganglion a direct interaction of materials leaving the capillaries with neuronal plasma membrane is strongly limited by SGCs. Indeed these cells enwrap neuronal cells bodies and regulate the exchange of substances from the blood to the neurons: SGCs, together with macrophages, possess phagocytic activity and are actively involved in the clearance of cellular debris and exogenous material from peripheral nervous system.<sup>13,21</sup> Thus the first targets of cytotoxic effects of nanoparticles carried by blood circulation in the sensory ganglia are probably SGCs themselves.

In the present study we investigated if low-dose exposure to nanosized TiO<sub>2</sub> has pro-apoptotic effects in DRG neurons and SGCs, the uptake and the intracellular localization of nanoparticles and their influence on retrograde axonal transport, ROS production and expression of the proinflammatory cytokine IL-1 $\beta$ .

## METHODS

### *TiO<sub>2</sub> nanoparticles*

TiO<sub>2</sub>-A/R (Aeroxide P25, Degussa-Evonik Goldschmidt, Frankfurt, Germany) and TiO<sub>2</sub>-R (MT 500 B Rutile, LCM Trading, Milano, Italy) specimens are commercial samples kindly supplied by the companies; TiO<sub>2</sub>-A specimen was synthesized ad hoc by sol gel technique as previously described

in Bolis et al.<sup>22</sup> The samples were fully characterized as previously described.<sup>22</sup> The main physico-chemical properties of the nanomaterials are listed in Table S1 (SM).

#### *Preparation of FITC-TiO<sub>2</sub> labelled nanoparticles*

*Preparation of the label.* In a three necked round bottom flask (50 ml), the fluorescein isothiocyanate (FITC) and ethanol were introduced under Argon and stirred, while the flask was carefully covered to avoid contact with light. After 10 minutes all material was dissolved and 3-aminopropyltriethoxysilane (APTS) was added by a syringe under continuous stirring. After 1 day, the reaction was complete and samples were taken to react the label with the titania particles (see below). The label was characterized by NMR and MS (see SM).

*Labelling.* The titania powder (2 grams) was introduced in a 500 ml three necked flask, and heated (150°C) overnight in an oven. The flask was closed with rubber stopper and degassed with Argon 3 times. Anhydrous ethanol (about 50 ml per gram of titania) was introduced and stirred under argon flux and stirring for 15 minutes.

1 ml of the solution of the label (see above) was diluted to 10 ml and an aliquot of this solution was taken, to have about 1:1 reactant to hydroxyl group ratio, based on the hydroxyl groups density at the titania surface. The fluorescent marker solution was dropped slowly into the titania suspension at room temperature under vigorous stirring. The reaction was stopped after 22 h. The suspension was transferred into several Falcon tubes and centrifuged at 4000 rpm for 10 minutes. The pellet was washed a few times with fresh dry ethanol until clear and not colored and dried in an oven at about 50°C overnight. The powder was stored into a brown container, to avoid contact with light.

### *Characterization of the fluorescent nanoparticles*

*Microcalorimetry.* The enthalpy change associated with the adsorption of H<sub>2</sub>O vapour on the investigated samples was measured at T = 30°C by means of a heat-flow microcalorimetry (Calvet C80, Setaram, France) connected to a high vacuum gas volumetric glass apparatus (residual pressure  $p \leq 10^{-5}$  Torr).

*ζ potential and hydrodynamic diameter.* Dynamic Light Scattering and electrophoretic mobility of TiO<sub>2</sub> nanoparticle (TiO<sub>2</sub>-NP) aggregates dispersed in solution (NaCl 1 mM for potential versus pH analysis: pH was varied from 2 to 10 by the addition of NaOH or HCl to appropriate concentrations) or in culture medium (pH 7.4) were measured at T = 25°C by employing the Zetasizer apparatus.

*Turbidimetry.* The sedimentation rate of nanoparticles dispersed in medium was determined by monitoring the optical absorbance (at 337 nm) as a function of time, during a time interval of 3 and 24 hours, by UV – vis spectrometer lambda 35 (Perkin Elmer & Co. GMBH, Überlingen, D).

### *DRG cell cultures*

Cell culture protocols have been described in detail previously.<sup>23</sup> Briefly, dorsal root ganglia were dissected from 7 day-old chick embryos. Dissociated cells were counted and 150 μl of cell suspension containing nearly 18000 cells was plated in 24-well plates or, for DAPI/NeuroTrace staining and time lapse video microscopy experiments, in the middle of area of a glass coverslip coated with poly-D-lysine (100 μg/ml) and laminin (2 μg/cm<sup>2</sup>). Cells were incubated for 1-2 hours at 37°C in a humidified atmosphere containing 5% of CO<sub>2</sub> to allow cells to adhere to the substrate and the plastic petri dish containing the coverslip was filled with serum free N2 medium.

### *Viability assay*

Cell viability was evaluated using two fluorescent dye-based assays: deoxynucleotidyl transferase dUTP nick end labeling (TUNEL) staining for detecting DNA fragmentation, and a simultaneous staining with 4',6-diamidino-2-phenylindole (DAPI) and NeuroTrace to distinguish if the cells undergoing apoptotic nuclear fragmentation are neurons or SGCs. After 24 hours of culture, DRG cells were washed twice and exposed in the dark for 24 hours at 37°C to TiO<sub>2</sub>-NPs freshly dispersed in cell culture medium as previously described.<sup>22</sup>

*TUNEL staining.* Cells were fixed in 4% paraformaldehyde (PFA) in Phosphate-buffered saline (PBS) and stained by using the APO-BrdU TUNEL assay kit (Invitrogen). Final detection of BrdU incorporation at DNA break sites was achieved through an Alexa Fluor 488-labeled anti-BrdU antibody. Nuclei were counterstained with propidium iodide (PI). Alexa Fluor 488- and PI-fluorescent images were collected with a confocal microscope (TCS SP2, Leica), using an excitation wavelength of 488 nm and 514 nm for Alexa Fluor 488 and PI respectively, and detection was in the range of 500-530 nm for Alexa Fluor 488 and of 600-630 nm for PI. TUNEL-positive nuclei were counted in 5 randomly chosen 20x microscope fields per well. An experiment consisted of measuring the percentage of apoptotic cells, calculated as the number of TUNEL-positive cells/number of total cells×100, in duplicate wells.

*DAPI/NeuroTrace staining.* NeuroTrace fluorescent Nissl stain (Invitrogen) is successfully used to identify DRG neurons.<sup>24</sup> After fixation (PFA; 4%), cultured DRG cells were rinsed in PBS alone, in PBS plus 0.1% Triton X-100 and incubated with NeuroTrace at 1:200 dilution for 20 min. Cells were extensively washed with PBS, incubated with DAPI (0.5 µg/ml) for 15 min and then washed with PBS. Images were acquired by means of an inverted microscope (Eclipse TE 300, Nikon) equipped with a monochromator (Polychrome IV, T.I.L.L. Photonics, Germany) and a digital camera (SensiCam, PCO, Germany). Dye was excited at a wavelength of 500 nm and 358 nm for NeuroTrace



Green and DAPI respectively. Images in grayscale were converted to green-colored (NeuroTrace) images or blue-colored (DAPI) images. The number of fluorescently labeled cells was counted using NHI ImageJ software.

#### *Uptake and intracellular localization of FITC-labeled TiO<sub>2</sub>-NPs*

Cells were incubated for 2 hours or for 24 hours at 37°C in culture medium with 5 µg/ml of FITC-TiO<sub>2</sub>-NPs. To determine whether lysosomes and mitochondria were internalization sites for the nanoparticles, live cells were then incubated with the fluorescent dyes LysoTracker Red (50 nM, 1 minute and 30 seconds, Invitrogen) or MitoTracker Orange CMTMRos (100 µM, 30 minutes, Invitrogen). Cells were washed with PBS and fixed (PFA, 1%) in PBS for 15 min at -20°C. The fluorescence signals were visualized using confocal microscopy. The laser excitation wavelengths used were Exc = 488 nm for FITC, Em = 543 nm for MitoTracker and LysoTracker; detection was in the range of 490-505 nm for FITC and of 600-630 nm for MitoTracker and LysoTracker. Co-localizations of nanoparticles with LysoTracker and MitoTracker probes appear yellow/orange in merged images.

#### *Endocytic labelling and time lapse video microscopy*

Cells were incubated in culture medium supplemented with 0.5 mM 8-Hydroxypyrene-1,3,6-trisulfonic acid (HPTS) for 20-24 hr. Glass coverslips were transferred in a live cell imaging chamber (Bioptechs, USA) and mounted on an inverted microscope (Eclipse TE 300, Nikon, Japan). Experiments were performed at a chamber temperature of 37°C and labeled cells were bathed in Tyrode's solution of the following composition (mM): NaCl, 154; KCl, 4; CaCl<sub>2</sub>, 2; MgCl<sub>2</sub>, 1; N-(2-

Hydroxyethyl)-piperazine-N-ethanesulfonic acid (HEPES), 5; glucose, 5.5; pH 7.4 (adjusted with NaOH). Visualization of HPTS containing organelles was achieved exciting the dye alternatively at 415 nm and 450 nm for 200 ms, at a frequency of 0.3–0.5 Hz by means of a monochromator (Polychrome IV, T.I.L.L. Photonics GmbH, Germany). Images were recorded with a digital camera (SensiCam, PCO, Germany) and stored on a computer. Organelles trajectory was determined as the time sequence of the centroid position of the organelle recorded exciting the dye at 415 nm using a custom made software written by SITEM, Italy. Instantaneous retrograde axonal organelle speed ( $\mu\text{m}/\text{min}$ ) was calculated from displacement between two subsequent positions for all images in a time series composed of at least seven frames.

#### *Detection of Reactive Oxygen Species (ROS) generated by TiO<sub>2</sub>-NPs*

ROS production was detected using the CellROX Deep Red (Life Technologies) staining, a fixable and cell-permeable dye that exhibit fluorescence upon oxidation. After 24 hours of culture, DRG cells were washed twice, exposed in the dark for 4 hours at 37°C to TiO<sub>2</sub>-NPs alone (5  $\mu\text{M}$ /ml) or TiO<sub>2</sub>-NPs plus the antioxidant N-acetylcysteine (NAC) 5 mM or H<sub>2</sub>O<sub>2</sub> alone (20  $\mu\text{M}$ ). Cells were incubated for additional 30 min with CellROX Deep Red (5  $\mu\text{M}$ ), washed and fixed in 4% PFA in PBS. Nuclei were counterstained with DAPI. Images were collected at confocal microscope (excitation wavelength of 644 nm and 358 nm for CellROX and DAPI respectively; detection in the range of 655 nm for CellROX and of 461 nm for DAPI). CellROX positive cells were counted in 5 randomly chosen 20x microscope fields per well.

#### *Quantitative PCR*

Total cellular RNA was isolated using the SV Total RNA Isolation kit (Promega, Fitchburg, WI, USA) following the manufacturer's instructions. RNAs were then quantified and inspected by Bioanalyzer (Agilent Technologies, Waldbrom, Germany) analysis. To quantify the expression levels of chicken Interleukin 1 $\beta$  (GenBank accession no. NM\_204524) encoding genes, equal amounts of cDNA were synthesized using the Moloney murine leukemia reverse transcriptase (Promega, Madison, WI) and mixed with SsoFast EvaGreen Supermix (Bio-Rad, Hercules, CA, USA) and each of the respective forward and reverse primers. Quantitative real-time PCR was done on CFX96 Real Time System thermal cycler (Bio-Rad, Hercules, CA). Each target gene expression was evaluated using a relative quantification approach ( $2^{-\Delta\Delta CT}$  method), with chicken Actin  $\beta$  (GenBank accession no. NM\_205518) as an internal reference. Primer sets used are as follows:

ch_IL-1b for	5'-TGGGCATCAAGGGCTACA-3'
ch_IL-1b rev	5'-TCGGGTTGGTTGGTGATG-3'
ch_actin-b for	5'-CACAGATCATGTTTGAGACCTT-3'
ch_actin-b rev	5'-CATCACAATACCAGTGGTACG-3'

### **Sample size and** *statistical analysis of experiments*

TUNEL staining, DAPI/NeuroTrace staining, CellROX staining, intracellular localization of FITC-labeled TiO<sub>2</sub>-NPs and qPCR experiments were repeated three times at least in duplicate on separate days on separate primary cultures. Endocytic labelling and time lapse video microscopy experiments were done on 14 separated days on separate primary cultures.

Data are presented as the mean values  $\pm$  SEM. Student's t test or one-way ANOVA followed by a statistical test for multiple comparisons (OriginPro, Tukey's test) were applied to compare experimental treatments. A probability level of  $< 0.05$  was considered statistically significant.

## Results

### *Synthesis and characterization of TiO<sub>2</sub> fluorescent nanoparticles*

Functionalization was aimed at using the fluorescence signal as “live tracer” of the fate of TiO<sub>2</sub>-NPs, in order to assess their interference with biological systems and the possible toxicity. The method of functionalization was chosen in order to obtain a high fluorescence signal, consistent with the minimum disturbance of the surface of nanoparticles themselves.<sup>25</sup>

The linkage of FITC to the surface of a nanoparticle may dramatically modify the charge of the surface and, in turn, the hydrodynamic size of the particles and the stability of the suspension of the nanomaterial in aqueous solution. In the present case, the effect of the label on the surface charge has been evaluated indirectly by measuring the  $\zeta$  potential as function of pH of the materials suspended in water (see SM). In the case of TiO<sub>2</sub>-A/R (Fig. S1, A) (see SM) the  $\zeta$  potential curve obtained on the labeled nanoparticles does not significantly differs from those of the pristine material, while for TiO<sub>2</sub>-A (Fig. S1, B) and for TiO<sub>2</sub>-R (Fig. S1, C) a shift of the curve toward more acidic pH, due to the contribution of the acidic carboxylic and phenol groups on the label, is observed suggesting a higher abundance of labels at the surface for the latter specimen. In any case a shift of the curves toward basic pH, indicative of a possible presence of free amino groups deriving from an incomplete reaction between spacer and label, was observed.

To further investigate the effect of labeling on the surface properties of the nanoparticles the hydrophilic degree of the labeled and pristine TiO<sub>2</sub>-A/R was evaluated by means of microcalorimetric measurement using water vapor as probe molecule (see SM). The amount of water taken up by FITC-TiO<sub>2</sub>-A/R was significantly lower than that taken up by TiO<sub>2</sub>-A/R (6.8  $\mu\text{mol}/\text{m}^2$  versus 10.7  $\mu\text{mol}/\text{m}^2$  at  $p_{\text{H}_2\text{O}} \approx 6$  Torr) as well as the enthalpy associated to adsorption.

This was expected due to the presence of the organic labels covalently linked to the surface. Overall, the labeled titania appear hydrophilic similarly to the pristine one.

#### *Characterization of fluorescent TiO<sub>2</sub>-NPs in cell media*

When nanoparticles came in contact with the cell media the neutral pH, the ionic strength and the presence of molecules, particularly proteins, that adsorb at the surface largely affect the  $\zeta$  potential of particles and their agglomeration degree. Agglomeration is known to be an important parameter that modulates the cell response to nanomaterials.<sup>26</sup> In table S2 (see SM) the  $\zeta$  potential and the hydrodynamic size of the materials dispersed in simplified cell medium DMEM/F-12 supplemented only with BSA (2 mg/ml) (the protein mainly present in culture medium) is reported. As previously reported by some of us<sup>27</sup> the formation of large agglomerates with sizes ranging from hundreds of nanometers to micrometers is observed for all samples as a consequence of the high ionic strength and of the neutral pH of the solutions. The functionalization slightly affects both  $\zeta$  potential and the hydrodynamic size of the particles, suggesting that, the functionalized samples maintain the same properties of original specimens. To ensure the stability during the time of fluorescent sample dispersions, turbidimetry experiments were set up. After dispersion of samples in culture medium, they were monitored using a spectrophotometer for 3 hours and after 24 hours: all dispersions resulted stable up to 24 hours (at 92-100%, data not shown).

#### *TiO<sub>2</sub>-NPs exposure alters DRG cell morphology*

Figure 1a shows the appearance of primary cultures established from DRG of chicken embryos when cultivated for 2 days (DIV2). In a previous study Erriquez et al.<sup>23</sup> have shown that, under exactly the same conditions as those used here, the culture contain almost exclusively (about 97%) a mixture of different neural cells types: most (about 75%) of DRG cells are SGCs that exhibit a flattened, polygonal morphology, whereas the remaining cells are neurons with long neurites emerging from oval or round phase-bright cell bodies (figure 1a). In figure 1b and 1c are shown DRG cells that, after DIV1, have been incubated for another day with 0.5 µg/ml or 5.0 µg/ml of TiO<sub>2</sub>-A/R NP dispersions, respectively. Most cells are still adherent but they show severe morphological alterations, such as thinner neurites, changes of cell volume and shrinkage, that are indicative of a loss of cell viability.

#### *Pro-apoptotic effects and intracellular fate of TiO<sub>2</sub>-A/R-NPs*

In a previous study we have shown a cytotoxic effect of TiO<sub>2</sub>-A/R-NPs on primary DRG cultures by quantifying the fraction of nuclei with fragmented DNA in cells exposed for 24 hours to NP dispersions.<sup>22</sup> However, neither dose-dependent effects nor specific cytotoxic effects of TiO<sub>2</sub>-A/R-NPs on neuronal versus glial cells were evaluated. To address these issues, DRG cultures after DIV1 were exposed for 24 hours to two increasing doses of TiO<sub>2</sub>-A/R-NPs, 0.5 µg/ml or 5.0 µg/ml, and then processed, together with parallel untreated cultures, for TUNEL analysis. In figure 2a are shown representative images of control and treated cells double labeled with the red fluorescent nuclear dye propidium iodide and the green fluorescent TUNEL staining. Exposure to TiO<sub>2</sub>-A/R-NPs induced an increase in the number of TUNEL-positive nuclei. The figure 2b represents the results of the quantitative analysis: the mean percentage of TUNEL-positive nuclei in control condition was  $8.2 \pm 2.7$ ; in the presence of 0.5 µg/ml of TiO<sub>2</sub>-A/R this percentage was significantly higher

( $24.6 \pm 6.0\%$ ,  $p < 0.05$ ) and a still higher percentage was observed in the presence of  $5.0 \mu\text{g/ml}$  ( $39.6 \pm 8.2\%$ ,  $p < 0.01$ ).

In order to investigate the cell types undergoing cell death upon  $\text{TiO}_2\text{-A/R-NPs}$  exposure, we evaluated the nuclear fragmentation in cells double stained with the nuclear dye DAPI and the neuron specific marker NeuroTrace green fluorescent Nissl stain. Results are illustrated in figure 3. Double DAPI-Nissl stain revealed the characteristic features of DRG neurons, including an eccentric nucleus with one or two nucleoli and the presence of Nissl-positive material in the cytoplasm (panel a, b, c and e). SGCs exhibit a more elliptical nucleus with Nissl-positive clumps (panel a, b, c and d). Examples of a neuron and of a glial cell displaying a fragmented nucleus are shown by arrows in panels 3d and 3e, respectively. The ratio between SGCs and neurons did not change significantly upon treatments ( $5.3 \pm 0.8$  in control condition compared to  $4.7 \pm 0.2$  and  $3.9 \pm 0.4$  in the presence of  $0.5 \mu\text{g/ml}$  or  $5.0 \mu\text{g/ml}$   $\text{TiO}_2\text{-A/R-NPs}$ , respectively), while this was not the case for the percentage of apoptotic cells. The mean percentages obtained for all apoptotic DRG cells in untreated cultures (CTRL) and in cultures exposed for 24 hours to increasing doses of  $\text{TiO}_2\text{-A/R-NPs}$  are depicted in the figure 3f, while those of apoptotic SGCs alone and those of apoptotic neurons alone are shown in panels 3g and 3h respectively. In all cases we observed a significant dose-dependent increase of cell death. These results indicate that  $\text{TiO}_2\text{-A/R-NPs}$  exhibit a similar toxicity towards neurons and SGCs.

To investigate if  $\text{TiO}_2\text{-NPs}$  were internalized by neurons and SGCs and their localization into the cell soma and along the axons, in a first series of experiments cultures were exposed for 2 hours at  $37^\circ\text{C}$  to FITC- $\text{TiO}_2\text{-A/R-NPs}$  at a density of  $0.5 \mu\text{g/ml}$  and then incubated with LysoTracker Red, a fluorescent dye for labeling acidic organelles. Figure 4a<sub>2</sub> shows the intracellular localization of FITC- $\text{TiO}_2\text{-A/R}$  in neurons: green fluorescence was confined to small round structures along neurites and in cell bodies. Figure 4a<sub>3</sub> shows the LysoTracker Red positive organelles: many of

them have been found to colocalize with the internalized nanoparticles, as shown by the yellow areas in the overlay image of figure 4a<sub>4</sub>. A similar pattern of staining was observed in SGCs (figure 4b<sub>2</sub>-b<sub>4</sub>). After 24 hours of incubation, as shown in the series of confocal sections acquired along the z-axis of a neuron (Figures 4c<sub>1</sub>-c<sub>4</sub>, bottom to top), nanoparticles were extensively colocalized with many acidic vesicles present throughout the soma and the neurite.

To further clarify the intracellular distribution of nanoparticles, mitochondria in DRG cells treated with 5 µg/ml of fluorescent nanoparticles were stained with the fluorescent dye MitoTracker Orange CMTMRos. After 24 hours of exposure, nanoparticles colocalization with mitochondria was weak in neurons, although not completely absent, as showed in the series of confocal sections acquired along the z-axis of a neuron (figures 4d<sub>1</sub>-d<sub>4</sub>). A more extensive colocalization was observed in SGCs body (figure 4e<sub>2</sub>-e<sub>5</sub>).

In conclusion, our data demonstrate that TiO<sub>2</sub>-A/R-NPs are extensively internalized into SGCs and sensory neurons mainly via endocytosis, and that most of them reside in acidic vesicles, lysosomes and to a lesser extent in mitochondria or in their proximity.

#### *Effect of the crystalline phase on the toxicity and intracellular fate of TiO<sub>2</sub>-NPs*

Cytotoxic dose-dependent effects were also evaluated for two other pristine TiO<sub>2</sub>-NPs, Rutile (TiO<sub>2</sub>-R) and sol-gel Anatase (TiO<sub>2</sub>-A). In the case of TiO<sub>2</sub>-R, no induction of apoptosis, evaluated as percentage of TUNEL-positive cells, was observed with any of the two doses tested, 0.5 µg/ml or 5.0 µg/ml (SM, figure S3a). A significant effect was conversely obtained when cells were incubated with the higher dose of TiO<sub>2</sub>-A nanoparticles (SM, figure S3b). We then investigated if differences exist in the intracellular distribution of FITC-TiO<sub>2</sub>-R and FITC-TiO<sub>2</sub>-A NP that can account for the



variation in the toxicity observed with the different polymorphs. Confocal microscopy analysis revealed an intracellular distribution profile very similar for all specimens studied (SM, figure S4).

#### *TiO<sub>2</sub>-A/R-NPs affect retrograde axonal transport*

Retrograde axonal transport plays a fundamental role in the maintenance of neuronal function, plasticity, morphogenesis and survival. Several organelles, molecules and pathogens are targeted to the soma via retrograde transport. Moreover, alteration of axonal transport is a hallmark of several neurodegenerative diseases.<sup>28,29</sup> For these reasons we investigated if the retrograde axonal transport in DRG neurons is altered in cells exposed to TiO<sub>2</sub>-A/R-NPs. To quantitatively study the axonal transport we used time lapse video-microscopy to follow the trajectories of endocytic vesicles labeled with the fluorescent membrane-impermeant HPTS vital dye, which is uptaken by the cells via endocytosis. Figure 5a and 5b illustrate an example of a trajectory traced by tracking the retrograde movement of a vesicle along the axon. Figure 5c a compares the mean speed  $v$  obtained tracking the motion of 53 retrograde vesicles from untreated neurons in different cultures and of 64 vesicles from neurons in parallel control cultures that were exposed overnight to 5  $\mu\text{g/ml}$  TiO<sub>2</sub>-A/R-NPs. These data reveal the ability of the nanoparticles to affect the retrograde transport of endocytic vesicles as their mean speed was significantly increased in their presence ( $v = 1.08 \mu\text{m/s} \pm 0.07$ ) compared with control ( $v = 0.84 \mu\text{m/s} \pm 0.08$ ,  $p < 0.05$  t-test). On the other hand, no statistically significant difference was found in mean velocity between retrograde vesicles from neurons incubated with TiO<sub>2</sub>-R-NPs ( $v = 1.04 \mu\text{m/s} \pm 0.08$ ,  $n = 59$ ) and those from untreated neurons ( $v = 0.92 \mu\text{m/s} \pm 0.07$ ,  $n = 57$ , Figure 7d). The speed distributions obtained in the different experimental conditions are shown as histograms in panels 7 e-h: only the incubation of neurons with TiO<sub>2</sub>-A/R-NPs alters the basic shape of the distribution.

### *TiO<sub>2</sub>-A/R-NPs induce generation of ROS and increase IL-1 $\beta$ expression in DRG cells*

To provide deeper insights into the mechanisms involved in TiO<sub>2</sub> NPs cellular toxicity, we assessed ROS production using the CellROX dye. The majority of the CellROX-treated cells showed a diffuse cytoplasmic red staining when incubated with H<sub>2</sub>O<sub>2</sub> (Figure 6a) and TiO<sub>2</sub>-A/R-NPs. In addition, also NPs appeared red stained, as shown in images acquired with confocal reflection microscopy and merged with CellROX-DAPI images. Notably, the antioxidant NAC inhibited the fluorescence signal in cells **by** not in NPs that still appeared red. Finally, TiO<sub>2</sub>-R-NPs did not induce ROS generation in DRG cells. The mean percentage of CellROX-positive cells are shown in the figure 6b.

Upregulation of proinflammatory cytokines including IL-1 $\beta$ , a cytokine expressed in embryonic chicken DRG from early stages of development **CIT2A**, represents one of the earliest events observed in sensory nerves in response to neuronal injury **CIT2B**. For these reasons we measured if TiO<sub>2</sub>-A/R-NPs can affect IL-1 $\beta$  expression at mRNA level. As shown in figure 6c, In cells incubated for 24 hours with 5  $\mu$ M of TiO<sub>2</sub>-A/R-NPs the level of IL-1 $\beta$  mRNA increased significantly, suggesting that NPs triggered cytokine expression in DRG cells

## **Discussion**

In embryonic and postnatal life, different types of neurons have and retain the ability to internalize material of various size, up to 1  $\mu$ m.<sup>30</sup> Thus is not surprising that nanoparticles are in vitro rapidly internalized in DRG neurons via endocytic mechanisms as shown by their extensive colocalization with acidic vesicles as observed in the present study. Our data also demonstrate that the axonal transport, although altered in the presence of TiO<sub>2</sub>-A/R, is not completely compromised: nanoparticles from periphery can be transported along the sensory fibers.

In this study we shown for the first time that TiO<sub>2</sub>-A/R, which is internalized by endocytosis by SGCs, is toxic for these cells at **low** doses (0.5-5 µg/ml). Several experimental data that has been accumulated recently on the physiology of SGCs indicate that they are actively involved not only in many normal processes of the sensory ganglia, but that they also play an active role in the initiation and maintenance of pathologic dysfunctions such as neuropathic pain.<sup>12,31</sup> TiO<sub>2</sub>-NPs can then interfere with the physiological functions of the sensory ganglia either through direct cytotoxic action on neurons or indirectly through damage to SGCs.

Nanosized TiO<sub>2</sub> in the anatase, rutile or mixed phase forms show a very different degree of acute toxicity. This disparity in toxicity levels is not due to different ways of handling by the cells, because our experiments indicate that the intracellular fate is the same for all the specimens investigated, but rather should be dependent to intrinsic differences in physico-chemical properties of the samples. In PC12 cells TiO<sub>2</sub>-A/R was shown to be more toxic than anatase, while rutile did not induce apoptosis after 24 hours **of** incubation, although adverse effects may occur in a time-dependent manner.<sup>32</sup> Moreover, it should be noted that in DRG cells mixed phase and TiO<sub>2</sub>-A-NPs induce pro-apoptotic effects at **low** doses. In many other cell types like macrophages,<sup>33</sup> lymphocytes,<sup>34</sup> keratinocytes,<sup>35-37</sup> neuroendocrine PC12 cells,<sup>32,38</sup> bronchial and lung cells,<sup>39,40</sup> TiO<sub>2</sub>-NPs promote apoptosis at doses 20 to 100 times greater than those observed in our study.

**However, the concentrations used in the present in vitro study are probably still high compared to the blood concentrations expected by exposure to doses that are realistic for humans. Several in vivo studies showed that TiO<sub>2</sub>-NPs are poorly absorbed following inhalation, oral or dermal exposure **CIT1A**. On the other hand, biokinetic studies showed that TiO<sub>2</sub>-NPs elimination from the body is a very slow process and over time nanoparticles are released from primary target organs and redistributed between organs and tissues **CIT1B**, so that a low but continuous exposure can give a non negligible and toxic accumulation in neuronal cells.**

Like other cell types,<sup>5,32,39</sup> in response to TiO<sub>2</sub>-NPs exposure DRG cells generate reactive oxygen species. ROS are believed to produce oxidative stress,<sup>41</sup> the imbalance between cellular production of ROS and cell antioxidant defense mechanisms that can induce cell death. Nervous system is especially vulnerable to oxidative stress: despite the oxygen consumption is very high in neural tissue, the antioxidant activity is modest in comparison with other tissues. Moreover, neural cell membranes contain high levels of polyunsaturated fatty acid which are particularly susceptible to peroxidation and oxidative modification which can cause membrane rupture and alterations in the function of receptors, ion channels, and signaling molecules.<sup>42</sup> Notably, TiO<sub>2</sub>-A/R-NPs but not R-NPs exposure induces ROS generation and apoptosis in DRG cells and these results strongly suggest that the enhancement of intracellular ROS levels is one of the key factors for TiO<sub>2</sub>-NPs pro-apoptotic effect. Moreover, we observed that TiO<sub>2</sub>-A/R-NPs also increases IL-1 $\beta$  expression, a cytokine that has been implicated as extracellular signal not only in the initiation of apoptosis in neurons and glial cells but also of several neurological and neurodegenerative diseases.<sup>CIT2B</sup> It is noteworthy that in a variety of cell types, anatase TiO<sub>2</sub>-NPs are significantly more potent than rutile in producing adverse biological effects.<sup>1,35,38,43,44</sup> On the other hand mixed anatase-rutile phase TiO<sub>2</sub>-NPs like Aeroxide P25 was shown to elicit adverse effects larger than that of anatase specimens.<sup>27</sup> This was confirmed in our study where we also observed an order of increasing toxicity of the different polymorphs on DRG cells, anatase/rutile > anatase > rutile. Several physico-chemical properties may be related to the observed differences. The photocatalytic activity of TiO<sub>2</sub> has been shown to play a role in the toxicity of nanometric TiO<sub>2</sub> under illuminated conditions,<sup>45</sup> but it was also suggested to have a role in the absence of illumination.<sup>43,46</sup> Since these specimens exhibit a photocatalytic efficiency in the order anatase/rutile > anatase > rutile it may be one reason of the observed adverse effect. We cannot exclude, however, that the observed differences are related to the different surface area of the

samples since it has been recognized to be an important determinant of TiO<sub>2</sub> toxicity.<sup>47,48</sup> Oxidative stress is also a contributing factor to a number of neurodegenerative diseases,<sup>49</sup> which in many cases are associated with alterations in axonal transport.<sup>28,29</sup> Active transport along the axon is in fact a crucial process for neuronal function and survival as it provides molecules to the distal synapses, mitochondria for local energy supplies and neurotrophic and environmental signals from axon terminals to the cell soma. Thus our finding that in neurons exposed to TiO<sub>2</sub>-A/R-NPs the retrograde axonal transport is significantly increased is outstanding because this alteration may have a serious impact on the function and life of sensory neurons. Notably, a similar effect was also observed in axons treated with 1-methyl-4-phenylpyridinium, a neurotoxin that induces Parkinson's disease symptoms, neuronal cell death and that alters the retrograde axonal transport through activation of caspase-3, a principal protease involved in neuronal apoptosis, and of protein kinase C.<sup>50</sup> We cannot exclude that the ability of TiO<sub>2</sub>-A/R-NPs to increase the retrograde axonal transport is directly related to its pro-apoptotic effect in DRG cells but further experimental investigations are needed to confirm this hypothesis. Finally, the TiO<sub>2</sub> NPs adverse effects observed at cellular level in this study can also have crucial implications on nervous system development, not only during postnatal life but also in the course of embryonic life. Maternal exposure to different specimens of TiO<sub>2</sub> produce several modifications in many region in the brain of offspring, such as changes in gene expression, apoptosis, dopamine levels and neurobehavioral alterations **CIT1CCIT1D**.

In conclusion, the neurotoxic effects observed in sensory neurons and SGCs imply that nanoparticles can potentially cause health risk, not only acutely but also in the long term, by triggering or aggravating neurodegenerative diseases.

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**CIT2A** A. de la Mano et al. / *Cytokine* 37 (2007) 128-137

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## Figure legends

**Figure 1.** Appearance of primary cultures of DRG of chicken embryos alone (a) and when incubated with 0.5  $\mu\text{g/ml}$  (b) 5.0  $\mu\text{g/ml}$  (c) of  $\text{TiO}_2\text{-A/R-NPs}$  dispersions.

**Figure 2.** Apoptosis induced by  $\text{TiO}_2\text{-A/R-NPs}$  on DRG cells measured by TUNEL assay. (a) Representative images of DRG cells doubly stained with propidium iodide (left column) and TUNEL (middle column) in different conditions (Control, 0.5  $\mu\text{g/ml}$  or 5.0  $\mu\text{g/ml}$  of  $\text{TiO}_2\text{-A/R-NPs}$ ) . Bar = 20  $\mu\text{m}$ . b) Bar-graph showing the percentages of TUNEL-positive cells after treatment with two doses of  $\text{TiO}_2\text{-A/R-NPs}$ . \*  $p < 0.05$ ; \*\*  $p < 0.01$ .

**Figure 3.** Double DAPI-Nissl DRG cells staining. a) DAPI labeled DRG nuclei; b) Nissl labeled DRG cells; c) merge of a and b showing a neuron with a Nissl-positive cytoplasm, an eccentric nucleus with two nucleoli and SGCs nuclei with Nissl-positive clumps. d) The arrow indicate a fragmented nucleus of a neuron. e) The arrow indicate a fragmented nucleus of a glial cell. The mean percentages of apoptotic DRG cells are represented in bar-graphs: percentage of all apoptotic DRG cells (f), of apoptotic glial cells (g) and of apoptotic neurons (h), respectively, in control conditions (CTRL), after incubation with 0.5  $\mu\text{g/ml}$  of  $\text{TiO}_2\text{-A/R-NPs}$  and after incubation with 5.0  $\mu\text{g/ml}$  of  $\text{TiO}_2\text{-A/R-NPs}$ ; Bar = 10  $\mu\text{m}$ . ANOVA, \*\* and ++  $p < 0.01$ ; \*  $p < 0.05$ .

**Figure 4.** Intracellular localization of  $\text{TiO}_2\text{-A/R-NPs}$  in DRG. a) A neuron and its neurites after 2 hours of incubation with FITC- $\text{TiO}_2\text{-A/R-NPs}$ : a<sub>2</sub>) localization of green FITC- $\text{TiO}_2\text{-A/R-NPs}$  ( $\text{TiO}_2\text{-A/R}$ ) along neurites and in cell body; a<sub>3</sub>) LysoTracker Red positive organelles along neurites and in cell body; a<sub>4</sub>) a<sub>2</sub> and a<sub>3</sub> merge shows colocalization (yellow areas) of internalized FITC- $\text{TiO}_2\text{-A/R-NPs}$  and organelles. b) SGCs after 2 hours of incubation with FITC- $\text{TiO}_2\text{-A/R-NPs}$ : b<sub>2</sub>) localization of

green FITC-TiO<sub>2</sub>-A/R-NPs in the cell body; b<sub>3</sub>) LysoTracker Red positive organelles in cell body; b<sub>4</sub>) b<sub>2</sub> and b<sub>3</sub> merge shows colocalization (yellow areas) of internalized FITC-TiO<sub>2</sub>-A/R-NPs and organelles. c<sub>1-4</sub>) Z stack of merged images of a neuron and its neurite after 24 hours of incubation with FITC-TiO<sub>2</sub>-A/R-NPs and LysoTracker Red; d<sub>1-4</sub>) Z stack of merged images of a neuron and its neurite after 24 hours of incubation with FITC-TiO<sub>2</sub>-A/R-NPs and MitoTracker Orange CMTMRos . e<sub>1-5</sub>) Z stack of merged images of a glial cell after 24 hours of incubation with FITC-TiO<sub>2</sub>-A/R-NPs and MitoTracker Orange CMTMRos.

**Figure 5.** Effects of TiO<sub>2</sub>-NPs on retrograde axonal transport. Example of vesicle tracking: orange line in (b) is the trajectory traced by the vesicle selected in the yellow square along the neurite of the DRG neuron shown in (a). Mean speed of retrograde axonal vesicles in untreated neurons and in neurons incubated with FITC-TiO<sub>2</sub>-A/R (c) and FITC-TiO<sub>2</sub>-R (f). Histograms showing the distributions of the speed obtained in the in control condition (d, g) and in the presence of FITC-TiO<sub>2</sub>-A/R (e) or FITC-TiO<sub>2</sub>-R (h).

**Figure 6.** Effects of TiO<sub>2</sub>-NPs on ROS generation and IL-1 $\beta$  expression. a) Representative images of DRG cells doubly stained with DAPI and CellROX in different conditions (top); confocal reflection images (middle) of the same fields; merged images (bottom). Bar = 10  $\mu$ m. b) Bar-graph showing the percentages of CellROX-positive cells after the different treatments. ANOVA, \*\* p < 0.01 c) IL-1 $\beta$  mRNA expression, normalized to that of  $\beta$ -actin in control cells and in cells treated for 24 hours with 5  $\mu$ M of TiO<sub>2</sub>-A/R-NPs.

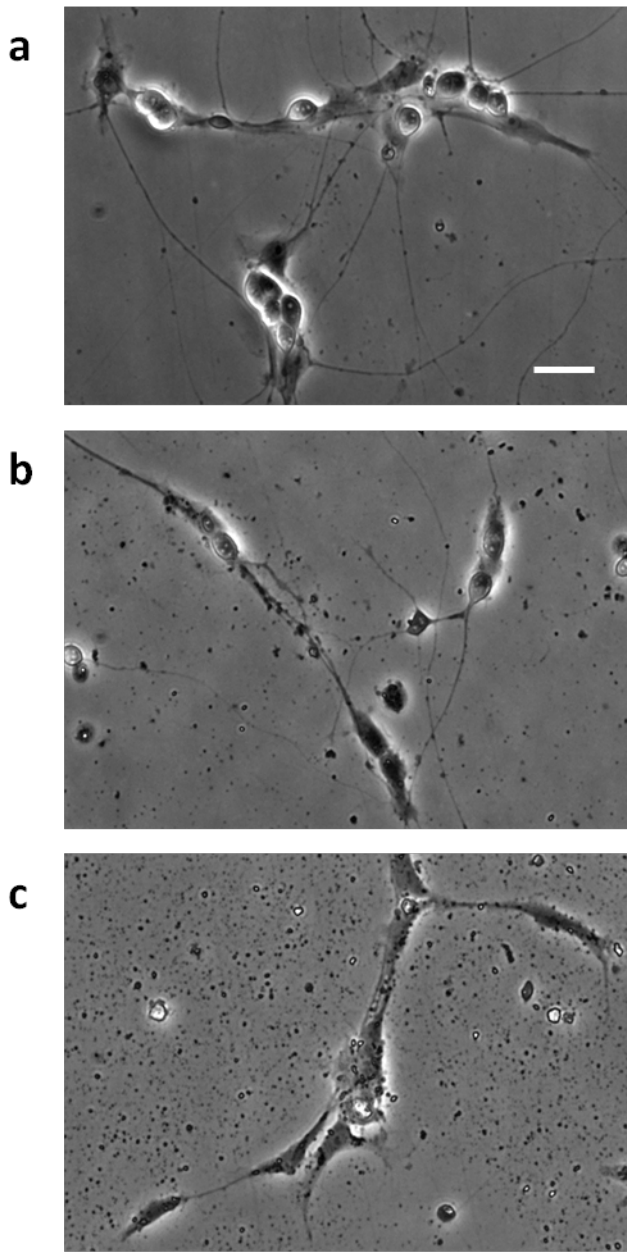


Figure 1

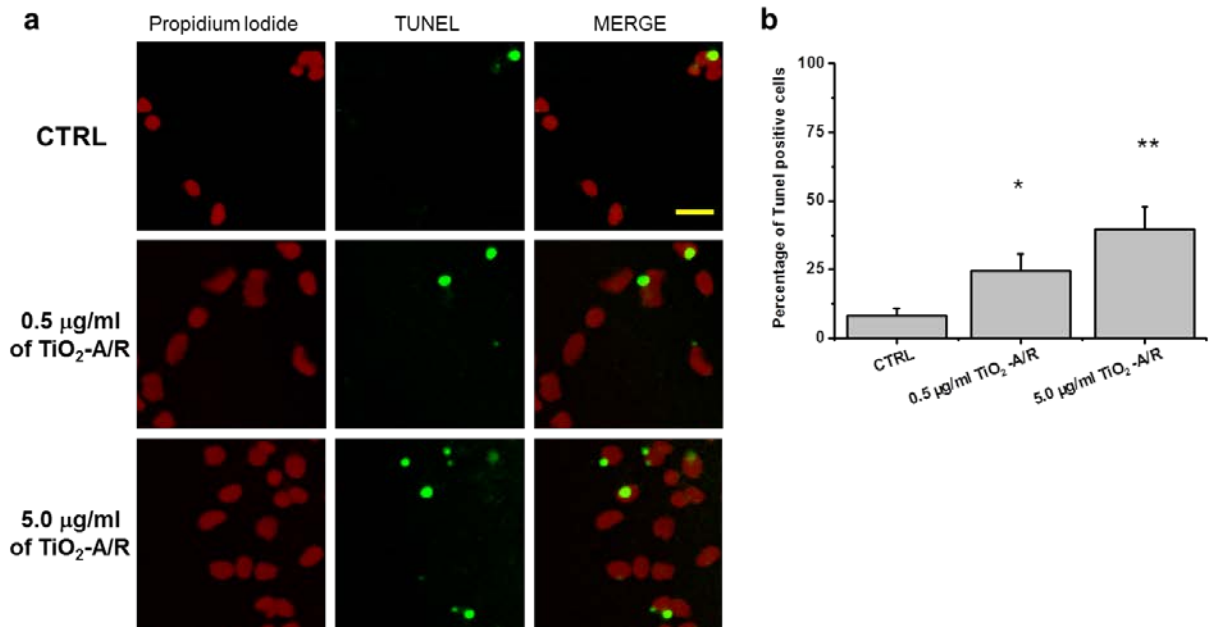
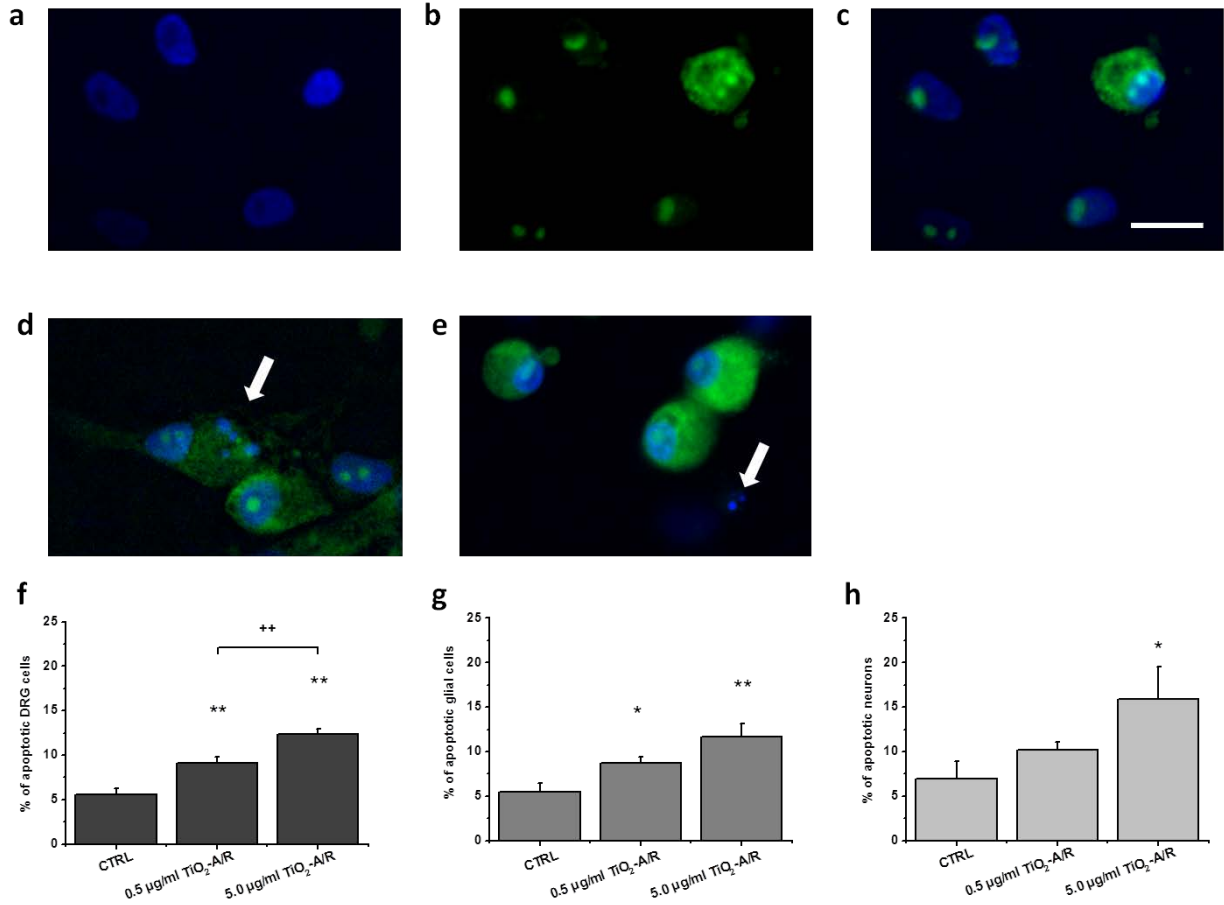


Figure 2



**Figure 3**



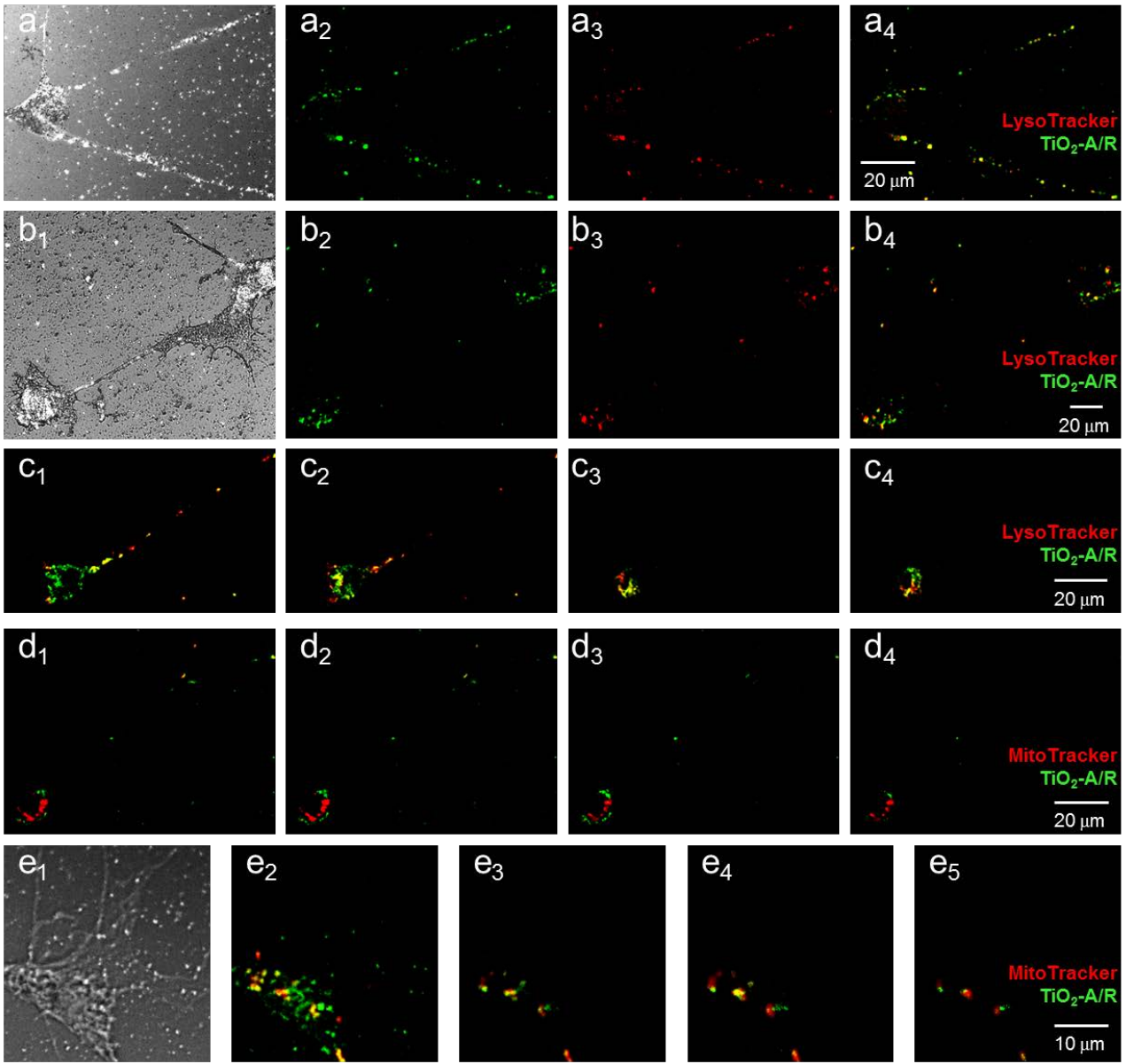
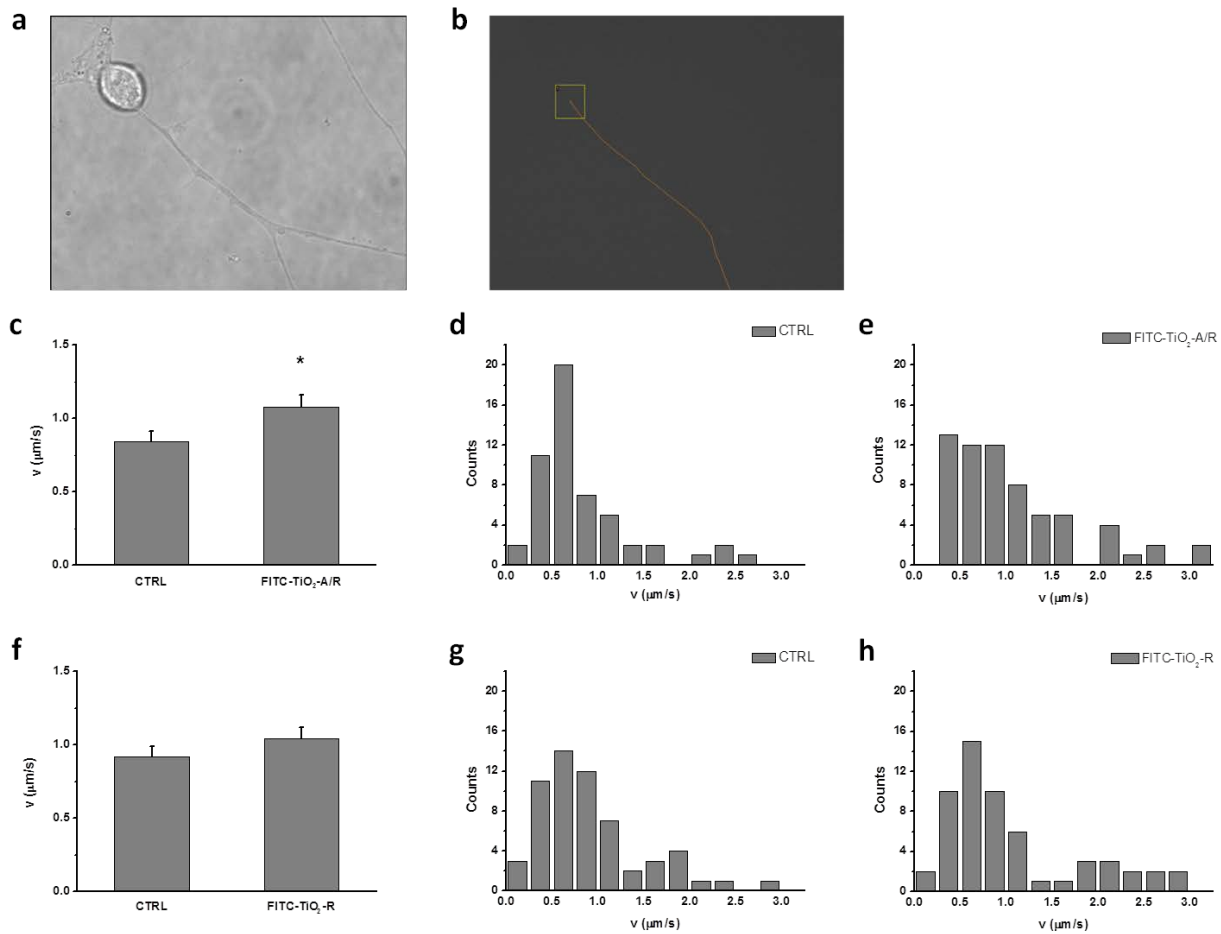


Figure 4



**Figure 5**

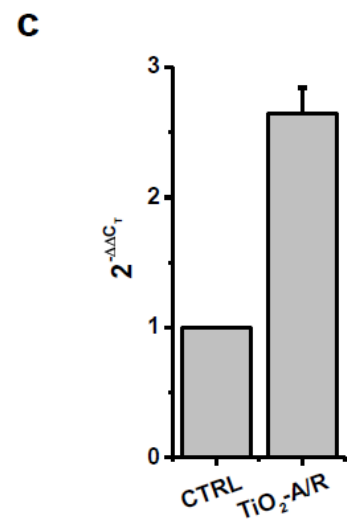
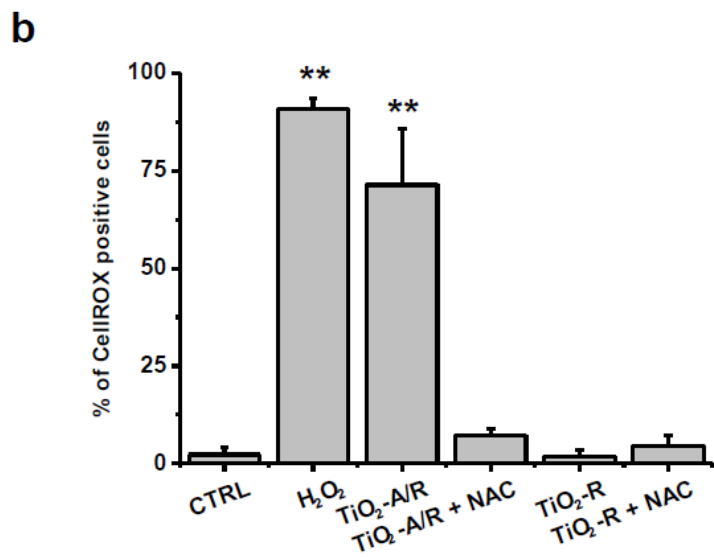
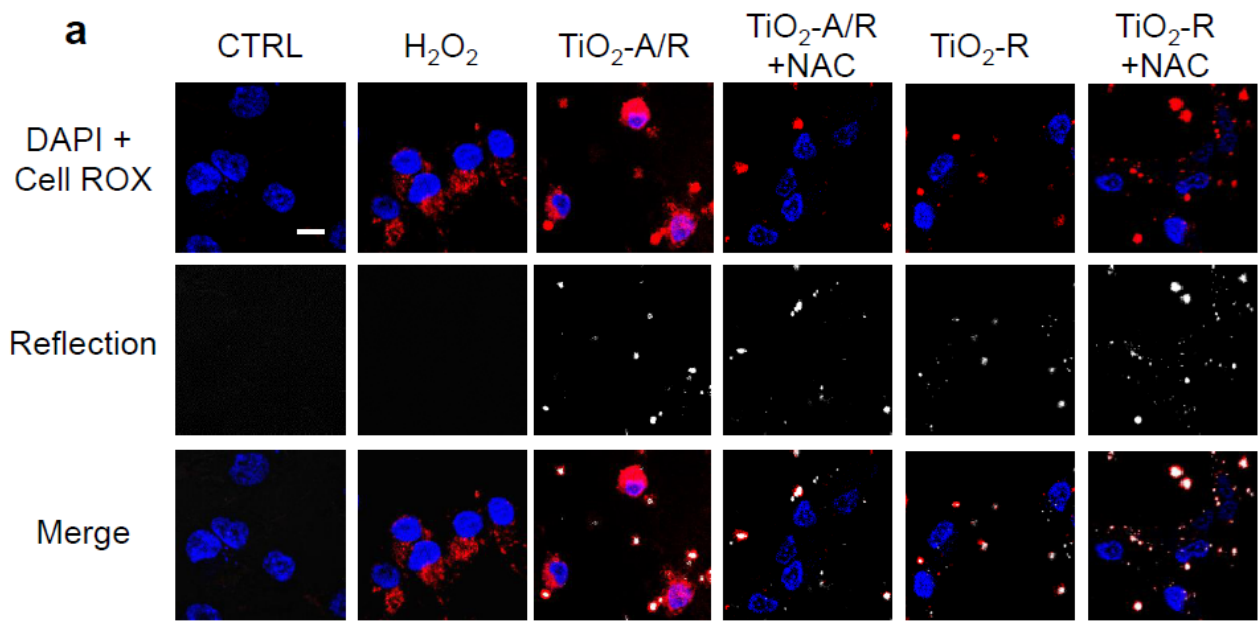


Figure 6

## Supplementary Material

### Characterization of the label.

To characterize the structure of the fluorescent label, the solvent was evaporated by argon bubbling, thus reducing the volume considerably. The addition of diethyl ether gave precipitation of a yellow orange powder that could be isolated by centrifugation and washed 3 times with 10 ml of diethyl ether. This solid material was rapidly filtered, dried and dissolved in CD<sub>3</sub>OH + 1 drop of NaOD for the NMR proton analysis. The NMR spectrum is in general agreement with the proposed structure apart from a slightly scarce integration of ethoxy groups of the silane, that suggest high reactivity of the product in a basic medium, as known for alkoxy-silanes.

NMR (CD<sub>3</sub>OD/NaOD, 200 MHz):  $\delta$  (ppm): 7.69 (s, 1H, Ar); 7.30 (m, 3H, Ar), 7.04 (d, 1H, Ar), 6.51 (m, 4H, Ar), 3.61 (q, 6H, CH<sub>2</sub>CH<sub>3</sub>), 3.44 (m, 2H, NHCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>), 2.57 (t, 1H, NHCH<sub>2</sub>); 1.76 (broad, 2H, CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>), 1.18 (t, 9H, CH<sub>2</sub>CH<sub>3</sub>), 0.62 (t, 2H, CH<sub>2</sub>Si).

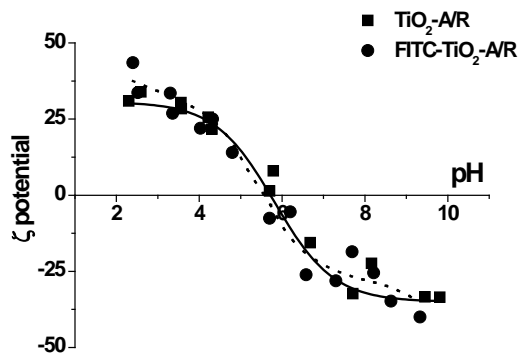
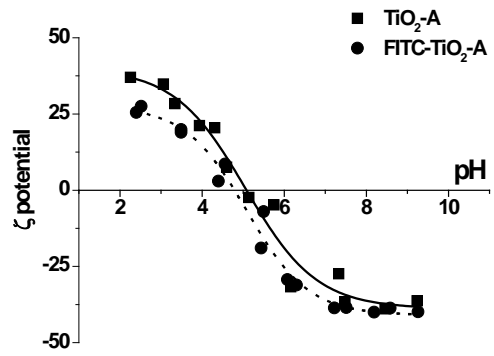
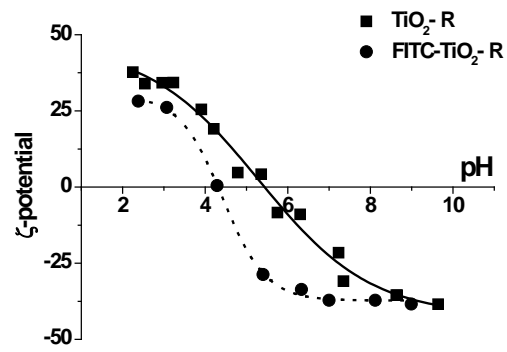
MS-ESI(-) (m/z): 609.18.

**Table S1. Properties of the TiO<sub>2</sub> NPs used in this study**

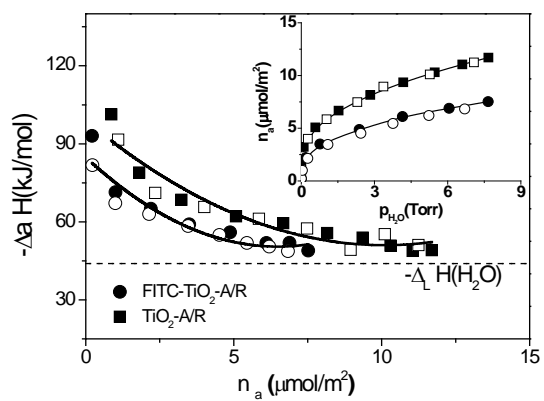
Sample	Polymorph	Crystallites size (nm)	Specific surface area (m <sup>2</sup> /g)
TiO <sub>2</sub> -A	Anatase	11 ± 1	72
TiO <sub>2</sub> -R	Rutile	31 ± 2	39
TiO <sub>2</sub> -A/R	Anatase 80%; Rutile 20%	30 ± 4 (A); 50 ± 6 (R)	53

**Table S2. Effect of labeling on the surface properties of nanoparticles**

Sample	Zeta potential (mV) in culture medium		Hydrodynamic diameter in culture medium	
	pristine	FITC-labeled	Pristine	FITC-labeled
TiO <sub>2</sub> -A	-20 ± 2	-18 ± 2	306 ± 19 (PDI 0.4)	300 ± 10 (PDI 0.5)
TiO <sub>2</sub> -R	-15 ± 1	-15 ± 3	206 ± 7 (PDI 0.4)	211 ± 11 (PDI 0.5)
TiO <sub>2</sub> -A/R	-15 ± 1	-16 ± 2	256 ± 9 (PDI 0.4)	212 ± 5 (PDI 0.4)

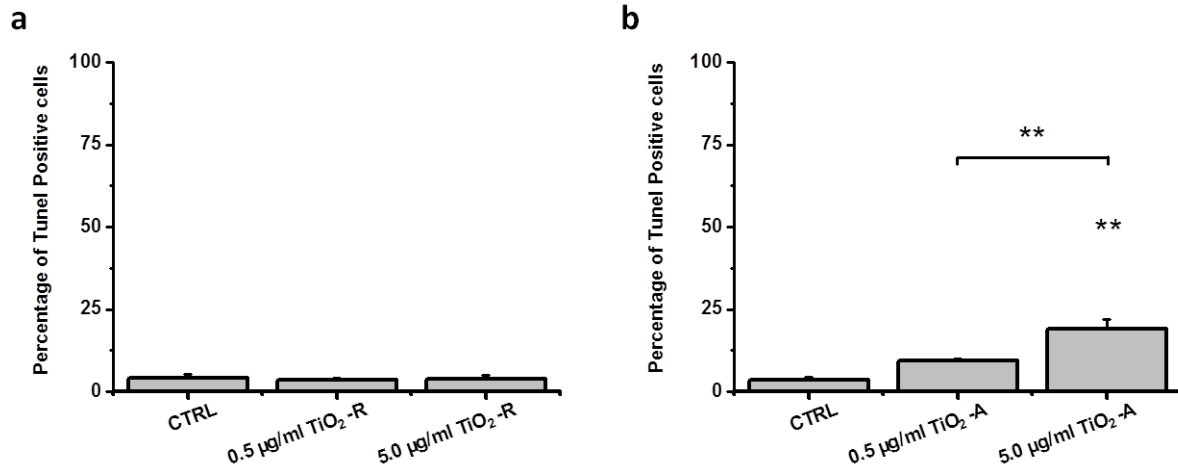
**a****b****c**

**Figure S1.**  $\zeta$  potential as function of pH of FITC-labelled  $\text{TiO}_2$  NPs (solid lines) versus pristine  $\text{TiO}_2$  NPs (dot lines) suspended in NaCl 1 mM, 5  $\mu\text{g}/\text{ml}$ .



**Figure S2.** Adsorption enthalpy vs water uptake at  $T = 30\text{ }^\circ\text{C}$  on  $\text{TiO}_2\text{-A/R}$  (square) and  $\text{FITC-TiO}_2\text{-A/R}$  (round) nanoparticles. In the inset the uptake of water molecules vs the equilibrium pressure. All samples were preliminary outgassed overnight at  $T = 30\text{ }^\circ\text{C}$ , residual pressure  $p \leq 10^{-4}$  Torr. The dashed line indicates the latent enthalpy of liquefaction of water  $-\Delta_L H = 44\text{ kJ/mol}$ .

**Figure S3.** Bar-graphs showing the percentages of TUNEL-positive DRG cells after treatment with two doses of TiO<sub>2</sub>-R NPs (a) and of TiO<sub>2</sub>-A NPs. Only TiO<sub>2</sub>-A NPs induced apoptosis in DRG cells in a dose dependent manner. \*\* p < 0.01.



**Figure S4.** Intracellular localization of TiO<sub>2</sub>-NPs in DRG cells. Z stack of merged images of a glial cells (G) and neuron (N) after 24 hours of incubation with FITC-TiO<sub>2</sub>-A NPs (a) or FITC-TiO<sub>2</sub>-R NPs (b) plus LysoTracker Red and after 24 hours of incubation with FITC-TiO<sub>2</sub>-A NPs (a) or FITC-TiO<sub>2</sub>-R NPs (b) plus MitoTracker Orange. Arrowheads highlight some double-positive vesicles along neurites. The localization profiles of FITC-TiO<sub>2</sub>-A NPs and FITC-TiO<sub>2</sub>-R NPs are comparable to the ones observed for FITC-TiO<sub>2</sub>-A/R NPs.

