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(Article begins on next page)

# EVALUATION OF LEUKOCYTE COUNTS AND NEUTROPHIL-TO-LYMPHOCYTE RATIO AS PREDICTORS OF LOCAL RECURRENCE OF FELINE INJECTION SITE SARCOMA AFTER CURATIVE INTENT SURGERY

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# 6 Abstract

Local recurrence (LR) is the major concern in the treatment of feline injection-site sarcoma (FISS).
Pretreatment leukocyte counts and ratios have been reported as diagnostic and/or prognostic
markers in human and canine oncology. The aim of this retrospective study was to explore the
prognostic impact on LR and overall survival time (OST) of pretreatment neutrophil-to-lymphocyte
ratio (NLR), white blood cell count (WBCC), neutrophil count (NC) and lymphocyte count (LC) in
cats with surgically excised FISS.

13 Eighty-two cats with histologically confirmed FISS at first presentation, without distant metastases, 14 and with available pretreatment hematological analyses were retrospectively enrolled. The 15 correlation of NLR, WBCC, NC, LC with tumor variables and patient variables was explored. NLR was correlated with tumor size (P 0.004), histological pattern of tumor growth (P 0.024) and 16 17 histotype (P 0.029), while WBCC and NC were associated with ulceration (P 0.007, P 0.011) and 18 pattern of growth (P 0.028, P 0.004). No significant relationships emerged between LC and any of 19 the considered variables. The impact of NLR, WBCC, NC, LC on LR and OST was then estimated in univariate and multivariate analysis. In univariate analysis, NLR, WBCC, NC were significant 20 21 prognostic factors for both LR and OST. NLR, WBCC, NC remained prognostic in multivariate analysis for LR but not for OST. When NLR, WBCC and NC were jointly analyzed, WBCC was 22 23 the marker with the greater impact on LR. Preoperative NLR, WBCC, and NC may aid in 24 identifying cats at higher risk of LR.

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## 37 Introduction

Feline injection-site sarcoma (FISS) is among the four most common feline skin cancers.<sup>1</sup> Although 38 it was initially hypothesized that the etiology of FISS is strictly related to vaccination<sup>2</sup>, it is 39 nowadays widely accepted that this tumor can develop following any stimulus that causes chronic 40 local inflammation of the subcutis or muscles.<sup>3-7</sup> Despite the relatively low incidence of distant 41 metastasis  $(0-28\%)^{8-11}$ , FISS tends to be locally aggressive and local recurrence (LR) represents the 42 major concern, with reported rates as high as 14%–42%;<sup>8,12-15</sup> therefore, achieving adequate control 43 of local disease through wide-margin/radical surgical excision is the cornerstone in oncological 44 management of the tumor<sup>14,16</sup>. 45

The prognostic impact of different variables on LR and overall survival time (OST) after widemargin/radical excision has been widely explored in the last two decades. Histological grading is considered one of the most important prognostic factors for canine soft tissue sarcomas<sup>17</sup> (STS); nonetheless, the traditional three-tier grading system has shown limited value in predicting LR and outcome for FISS.<sup>11,13,17-18</sup>

51 Completeness of excision is indeed crucial in obtaining long-term control of the local disease;
52 however, LR has been reported even in the presence of histologically non-infiltrated margins,
53 suggesting that factors other than completeness of excision may be implicated in tumor
54 relapse.<sup>11,13,19</sup>

Although other variables, such as size and location of the tumor, expertise of the surgeon, recurrent tumors, p53 expression, mitotic count, and differentiation, have been proposed as predictors of LR, there is still no consensus on which factors other than completeness of excision should be taken into account to predict prognosis.<sup>8,13,15,20,21</sup> Hence, readily available and accurate variables that can aid in identifying cats at higher risk of LR are desirable to improve oncological management of these patients.

Pretreatment neutrophil-to-lymphocyte ratio (NLR) is a marker of systemic inflammatory response 61 62 that has been reported to be a useful prognostic tool for several solid tumors in human medicine, including STS.<sup>22</sup> Recently, the veterinary literature has reflected growing interest in peripheral 63 64 blood cell abnormalities as diagnostic and prognostic markers for both neoplastic and inflammatory 65 conditions. A few papers have explored the prevalence of different leukocyte populations and Tlymphocyte subsets in tumor-bearing versus healthy dogs.<sup>23-26</sup> Furthermore, leukocyte counts and 66 ratios have been proposed as diagnostic and prognostic tools for dogs with lymphoma, 67 osteosarcoma, mast cell tumors, and STS.<sup>27-31</sup> Yet, the prognostic impact of peripheral leukocyte 68

69 counts and ratios, including NLR, has not been assessed in feline medicine. Given the promising 70 results described in canine oncology, this retrospective study aimed to explore the prognostic 71 impact on LR of pretreatment white blood cell count (WBCC), neutrophil count (NC), lymphocyte 72 count (LC), and NLR in cats with newly diagnosed, surgically excised FISS. As a secondary aim, 73 the impact of leukocyte counts and NLR on OST was assessed.

# 74 Materials and Methods

75 Records (January 2002 to December 2017) from the XX and YY were searched for client-owned 76 cats with histologically confirmed FISS. The main inclusion criteria were: FISS at first presentation, 77 absence of distant metastasis (assessed by total body contrast-enhanced CT or thoracic radiography 78 and abdominal ultrasound), and treatment by wide-margin/radical surgical excision with three to 79 five cm lateral margins and two deep fascial planes or limb or tail amputation. To be eligible for 80 inclusion, presurgical complete hematological data with leukocyte differential (within 45 days 81 before surgery) had to be available. Exclusion criteria were: (1) neoadjuvant chemotherapy and/or 82 radiotherapy, (2) adjuvant radiotherapy, (3) FIV and/or FeLV positivity, (4) administration of 83 antibiotics and/or corticosteroids within two months before surgery, and (5) lack of availability of 84 postoperative follow-up information.

Data retrieved from the medical records of included cats were: signalment (breed, sex, age, weight),
characteristics of the primary tumor (location, size at clinical examination, ulceration), presurgical
laboratory data (leukocyte counts, hyperglycemia as indicator of stress), concomitant diseases if
present, histological findings (histotype, necrosis, grading, pattern of growth, margin status), and
outcome (time to LR, time to metastases, cause of death, OST).

90 For pretreatment hematological analysis, blood was collected in EDTA, and CBC parameters, 91 including leukocyte differential, were measured with the same laser-based analyzer at the laboratory 92 of one of the two institutions (ADVIA®120 Hematology System, Siemens Diagnostics). 93 Instrumental differential was also checked microscopically on May-Grunwal-Giemsa stained 94 smears. NLR was calculated as the ratio of the absolute count of neutrophils to lymphocytes. When 95 the biochemistry profile was available, hyperglycemia was retrieved and considered as a possible 96 marker of stress that could have altered the absolute values of both neutrophils and lymphocytes 97 and, as a consequence, the NLR. Variations from normal values of WBCC, NC, and LC were 98 defined based on the reference intervals reported in Table 1.

Histopathological specimens were processed and examined by the same pathologist at each
institution (XX, XY). Variables retrieved from the histological reports were: histotype, pattern of
growth<sup>32</sup>, necrosis (semiquantitatively scored as 0 when absent, 1 when <25%, 2 when 25%-50%,</li>

- and 3 when >50%), histological grading<sup>17</sup> if available, and status of surgical margins<sup>13</sup> (infiltrated

or non-infiltrated). Surgical margins were evaluated combining, at trimming, two techniques: radial
 sectioning along the longest axis of the sample (perpendicular margins)<sup>33</sup>, and tangential (*en face*)
 sectioning/3D technique<sup>13,33</sup>.

Follow-up information was obtained from the clinical records or by telephone conversations with cat owners or referring veterinarians. Time to LR and OST were the primary and secondary endpoints, respectively. Time to LR was calculated from the date of surgery to the date of LR, defined as a cytologically or histologically confirmed FISS growing within two cm from the scar of previous excision. OST was calculated from the date of surgery to the date of death or euthanasia; cause of death was classified as either tumor related or tumor unrelated.

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#### **113** *Statistical analysis*

Statistical analysis evaluated the relationship between NLR, WBCC, NC, and LC and the following variables: age, glycemia, tumor size, ulceration, concomitant diseases, tumor necrosis, tumor histotype, and histological pattern of growth. The impact of NLR, WBCC, NC, and LC on LR and OST was then assessed.

Pearson coefficient (r) was used to assess the linear correlation between NLR, WBCC, NC, and LC and continuous variables (tumor size, glycemia, age). Results were reported as estimated correlation coefficient and 95% confidence intervals (CI). The strength of the correlation was interpreted following the rule of thumb.<sup>34</sup> The null hypothesis of the absence of correlation between two variables was tested by t statistics.

123 For categorical variables (ulceration, concomitant diseases, necrosis, histotype, pattern of growth),

the distributions of NLR, WBCC, NC, and LC for each modality were reported as: minimum, Q1

125 (25%), mean, median, Q3 (75%), and maximum. As NLR, WBCC, NC, and LC were non-normally

distributed, non-parametric tests were used to compare the distributions of the above-mentioned

127 variables in the classes of categorical variables. Wilcoxon rank sum test with continuity correction

was used for the variables with two modalities and Kruskal-Wallis test for the variables with threemodalities.

The probability of being free of LR during follow-up was estimated by the Kaplan-Meier method. For cats that died without developing LR, times to death were censored to the death date, assuming independence between time to LR and time to death without LR. Patients lost to follow-up were censored at the time of the last contact. However, since the above cited independence assumption could not be determined on the basis of the available follow-up data, we also reported the bounds in which the correct estimates of LR free survival it is expected to lie, avoiding the assumption of independence.<sup>35</sup>

The probability of surviving during follow-up was estimated by the Kaplan-Meier method. Cox 137 138 regression model was used to explore the prognostic impact of NLR, WBC, NC, LC and all other 139 examined clinical and pathological variables on LR and OST. Firstly, univariate analysis was performed; then, a multivariate model was used to evaluate the prognostic role of each 140 141 hematological value, adjusted for the clinical and pathological variables which resulted statistically 142 significant in univariate analysis. For OST analysis, the maximum number of variables that could be included in the model was determined following the EPV rule.<sup>36</sup> For LR analysis, a less 143 conservative rule<sup>37</sup> was applied, thus results of this analysis should be considered preliminary. A 144 backward selection procedure was used to obtain a final model that included only statistically 145 146 significant variables. To assess the robustness of multivariate analysis, bivariate models were also 147 performed adjusting NLR, WBCC, NC for each one of the clinical and pathological variables. 148 Categorical variables were included into the model as dummy variables, while continuous variables 149 were included in their original measurement scale. For these latter, the possible non-linear 150 relationship, was evaluated by regression cubic splines and the contribution of non-linear terms was 151 tested by the likelihood ratio test. Results were reported as cause-specific hazard ratios for LR and 152 hazard ratios for OST, and 95% CI. The Wald test was used to assess the significance of the 153 regression coefficients of the model. No competing risks were considered for LR, as this event was 154 recorded regardless of the occurrence of distant metastases. Predictive accuracy of the survival 155 model was determined by calculating the area under the curve (AUC) extended for survival analysis 156 (Harrell C statistic). Time-dependent ROC curves were used to find the best cut-off values for NLR,

157 WBCC, and NC on the basis of the Youden Index (i.e. sensitivity + specificity).<sup>38</sup>

158 Median follow-up time was estimated with the reverse Kaplan-Meier method.<sup>39</sup>

159 All statistical analyses were performed with a software package (R-Software; <u>www.r-project.org</u>)

and a *P* value  $\leq 0.05$  was considered significant.

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# 163 **Results**

Eighty-two cats fulfilled the inclusion criteria of the study, including 41 neutered females, 38
castrated males, two intact females, and one intact male. Cat breeds included 71 domestic
shorthairs, three Persians, three Carthusians, two Siamese, two Norwegians, and one Maine Coon.
Median age at presentation was 11 years (range 6–18 years), and median weight was 4.5 kg (range
2.5–8.5 kg).

169 Tumor size at the longest diameter was available in 81 cats, and median tumor diameter was four 170 cm (range 0.7–15 cm). Tumor location was interscapular in 53 (64%), thoracic wall in 17 (21%),

- abdominal wall in 8 (10%), and tail and limbs in 4 (5%). In 8 cats (10%) the tumor was ulcerated atpresentation.
- 173 Pretreatment hematology analyses were performed a median of 16.5 days before surgery (range 1 –
- 45 days) and revealed leukocytosis in 6 (7%) cats, leukopenia in 22 (27%), neutrophilia in 6 (7%),
- neutropenia in 12 (15%), and lymphopenia in 48 (59%); 29 patients (35%) had leukocyte values
- 176 within the normal ranges. Glycemia was available for 78 patients (95%), of which 23 (30%) were
- 177 hyperglycemic and 46 (59%) normoglycemic.
- 178 Concomitant diseases were recorded in 25 cats (30%) at the time of surgical consultation and
- 179 included: chronic kidney disease (n=9), hyperthyroidism (n=4), chronic rhinitis (n=2), urinary tract
- 180 infection (n=2), cardiac hypertrophy (n=2), benign keratin cyst (n=1), gastoenteropathy (n=2),
  181 diabetes (n=1), and allergic dermatitis (n=2).
- Total body contrast-enhanced CT was performed in all but three patients that had thoracic radiographs and abdominal ultrasound. Seventy cats (85%) were treated with surgery alone, and 12 (15%) received adjuvant chemotherapy (Doxorubicin 1 mg/kg IV every 3 weeks for 4 cycles in 6 cats; Carboplatin 200 mg/m<sup>2</sup> IV every 3 weeks for 4 cycles in 6 cats).
- Histopathological report described 52 fibrosarcomas (64%), 11 pleomorphic sarcomas (13%), and 19 malignant fibrous histiocytomas (23%). Pattern of growth was available in 79 cases and was considered expansile in 36 tumors (46%) and infiltrative in 43 (54%). Necrosis was scored 0 in 15 tumors (18%), 1 in 8 (10%), 2 in 29 (35%), and 3 in 28 (34%); in 2 cases the percentage of necrosis was not reported. Histological grading was available in 62 reports: 9 tumors were classified as grade I (15%), 26 as grade II (42%), and 27 as grade III (43%). Surgical margins were noninfiltrated in 65 specimens (79%) and infiltrated in 17 (21%).
- 193 At the end of the study, ten cats were alive without signs of local and/or distant relapse, 14 were lost to follow-up, and 58 were dead, including 24 that died of tumor-related causes and 34 that died of 194 195 causes other than FISS, including chronic kidney disease (n=16), hypertrophic cardiomyopathy 196 (n=3), intestinal lymphoma (n=4), car accident (n=3), acute pancreatitis (n=2), oral squamous cell 197 carcinoma (n=2), recurrent urethral obstruction (n=2), meningioma (n=1), transitional cell 198 carcinoma of the urinary bladder (n=1). Of the 24 cats that died because of FISS, 17 had a LR, three 199 had LR and pulmonary metastasis, and the remaining four cats had pulmonary metastasis alone. 200 Median time to LR was not reached (Figure 1). The estimates reported in Figure 1 are based on the 201 assumption of independence between time to relapse and time to death, which could nott be 202 evaluated. However, it is possible to obtain intervals (bounding) into which the estimates are 203 expected to lie if the assumption were not tenable. For example, at 550 and 1100 days the Kaplan-

- 204 Maier estimated probability of being free from LR is 78% and 70% respectively, with a 205 corresponding bounding of 57-81% and 43-78%.
- 206 Median OST was 975 days (Figure 2). Median follow-up time was 1790 days.
- 207
- 208 White blood cell count, neutrophil count, lymphocyte count and neutrophil-to-lymphocyte ratio

209 WBCC and NC were significantly higher in ulcerated tumors (*P* 0.007; *P* 0.011). WBCC, NC and

210 NLR were significantly higher in histologically infiltrative FISS (P 0.028; P 0.004; P 0.024).

- 211 (Tables 2 and 3). NLR was also significantly higher in fibrosarcomas (*P* 0.029) and was correlated
- with tumor size (r 0.3215; *P* 0.004). None of the tested variables showed a relationship with LC
- **213** (Tables 2 and 3).
- 214 In univariate analysis, a statistically significant prognostic impact on LR was found for WBCC (P 215 0.003), NC (P 0.003), NLR (P 0.015) but not for LC. The hazard of LR increased with increasing 216 values of WBCC, NC and NLR (Table 4). With regards to diagnostic accuracy, for WBCC AUC 217 was 0.695 at one year, 0.614 at two years and 0.599 at three years, with best estimated cut-off of 218 10.270 ( $\times 10^3/\mu$ L) at one year (sensitivity=0.543; specificity=0.777), 11.240 ( $\times 10^3/\mu$ L) at two years (sensitivity=0.380; specificity=0.827), and 11.460 ( $\times 10^3/\mu$ L) at three years (sensitivity=0.287; 219 specificity=0.840). For NC, AUC was 0.731 at one year, 0.653 at two years and 0.664 at three 220 years, with best estimated cut-off of 4.960 ( $\times 10^3/\mu$ L) at one year (sensitivity=0.831; 221 specificity=0.542) and two years (sensitivity=0.695; specificity=0.523), and 6.940 ( $\times 10^3/\mu$ L) at 222 223 three years (sensitivity=0.482; specificity=0.791). For NLR, AUC was 0.630 at one year, 0.568 at 224 two years, and 0.585 at three years. The optimal cut-off value for NC to predict LR at one year was 1.823, which yielded a sensitivity of 0.947 and a specificity of 0.296; the optimal estimated cut-off 225 226 at two and three years was 3.654 (sensitivity=0.525; specificity=0.660) and 3.654 227 (sensitivity=0.523; specificity=0.669).
- WBCC (*P* 0.011), NC (*P* 0.014) and NLR (*P* 0.028) were prognostic for OST as well, with increasing hazard of death for increasing values of WBCC, NC and NLR (Table 4). LC was not prognostic for OST.
- Of the examined clinical and pathological variables, concomitant diseases, ulceration, histological
  pattern of growth and margins status were prognostic in univariate analysis for LR, while age,
  ulceration, tumor size, and margins status were prognostic for OST (Tab 4).
- In multivariate analysis, WBCC (*P* 0.003), NC (*P* 0.004) and NLR (*P* 0.016) remained independently prognostic for LR (Table 5). However, when WBCC, NC and NLR were considered together in Cox regression model, only WBCC remained prognostic for LR (*P* 0.012). Concomitant diseases, ulceration, and margin status remained prognostic as well, while pattern of growth

(expansile VS infiltrative) was removed from the model by backward selection procedure because it
was no longer statistically significant (Table 5). Bivariate models confirmed the results of the
multivariate analysis.

For OST, none of the clinical/pathological variables that were significant in univariate analysis (age, ulceration, tumor size and margins) was removed from the multivariate model by the backward procedure. WBCC, NC and NLR were not confirmed to be prognostic for OST in the multivariate model. Age, ulceration, margins and tumor size remained prognostic (Table 6).

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#### 248 Discussion

In the study population, pretreatment NLR, WBCC, and NC had a prognostic impact in univariate analysis on both LR and OST in cats with FISS at first presentation that underwent curative-intent surgery. LC, conversely, was not useful in predicting LR or OST. However, NLR, WBCC and NC were not confirmed to be prognostic for OST in the multivariate model, considering other clinicalpathological variables.

254 Surprisingly, when WBCC, NC, NLR were considered together in the Cox regression model, only WBCC remained prognostic for LR, while NLR and NC lost their significance. This finding is in 255 contrast with previous reports in human and canine oncology.<sup>22,27,31</sup> Indeed, NLR and other 256 leukocyte ratios reportedly have higher prognostic impact than leukocyte counts due to their greater 257 258 stability and lower susceptibility to fluctuations of single cell populations caused by pathophysiological changes.<sup>27,31</sup> Since NLR takes into account both the impact of variations of NC 259 260 and LC, the fact that NLR was inferior in predicting LR may be due to the lack of significance of LC; inclusion of this variable in the ratio may have reduced the prognostic significance of NLR. 261 The fact that, despite having a higher proportion of lymphopenic rather than neutrophilic cats in the 262 263 study population, LC was not correlated with LR nor OST, further corroborates this consideration. Similarly, as neutrophils constitute the majority of WBC in cats, the higher impact of WBCC 264 265 compared with NLR may simply reflect the higher contribution of NC to this value. A recent paper 266 evaluated NC and morphology in 517 cats with various diseases and reported a higher mortality for neutrophilic patients.<sup>40</sup> Although it is difficult to extrapolate whether oncological cats were included 267 268 in the study, this finding corroborates the role of neutrophilic immune response in the feline species.<sup>40</sup> Nonetheless, in light of these considerations, it is surprising that NC lost its significance 269 270 as well; however, this result should be considered cautiously, and further studies on a wider sample 271 size are warranted to confirm the superiority of WBCC in predicting LR in FISS.

Among the examined tumor variables, pattern of tumor growth was the only one that showed a 272 273 significant correlation with all the hematological variables in univariate analysis; indeed, patients 274 with infiltrative tumors tended to have higher values of pretreatment WBCC, NC, and NLR. This 275 result may suggest a relationship between pattern of tumor growth and the clinical behavior of 276 FISS, with invasive tumors showing a more aggressive behavior that triggers an immune response 277 with higher values of WBCC, NC, and NLR. Although histological pattern of growth has not been previously studied in FISS, this finding is consistent with a previous report on canine STS, where 278 histologically invasive variants were associated with higher recurrence rates. <sup>32</sup> However, this 279 consideration should be considered with caution, as in multivariate analysis tumor pattern of growth 280 was not prognostic for LR, and the prognostic impact of this variables should be further 281 282 investigated in future studies.

While NLR did not show any correlation with tumor ulceration, both NC and WBCC were associated with such variable. It might be argued that the better predictive accuracy of the leukocyte count is due to its correlation with tumor ulceration, which is a well-known prognostic factor for other solid tumors<sup>41</sup>; however, even though in our study ulceration was prognostic for both LC and OST, its significance in FISS should be confirmed in further studies in order to accept or refuse this hypothesis.

Other histological and clinical tumor variables were inconsistently correlated with the abovementioned parameters, with presence of ulceration influencing WBCC and NC but not NLR, and tumor histotype and size influencing NLR. Tumor necrosis was not correlated with any of the above-mentioned hematological parameters, perhaps because necrosis remains circumscribed within the tumor pseudo capsule, that may hide it to the immunity system, thus precluding an immune response against it.

295 In the present study, we proposed different cut-offs for NLR, WBCC, and NC for prediction of LR 296 at one, two, and three years, as the different follow-up times of the included patients precluded the 297 determination of a single cut-off value. However, since the majority of events happened during the 298 first year of follow-up, the cut-off at one year should be considered the most reliable, as suggested 299 by the higher sensitivity and specificity of this value compared with the cut-offs at two and three 300 years. These values should be considered barely preliminary, and more reliable cut-offs need to be 301 determined in prospective studies on a larger population in order to allow validation on an 302 independent sample.

The estimated cut-offs for leukocyte counts in our study population fall in their physiological ranges. However, it should be noticed that in the study design it was decided to consider leukocyte counts as continuous rather that categorical variables, and this decision was mainly due to the 306 explorative nature of the study. In fact, since to the authors' knowledge no previous papers have 307 examined the significance of leukocyte fluctuations in feline oncology, it would have been 308 misleading to establish empiric cut-offs. As a consequence, our results and the estimated cut-offs on 309 the present study population suggest that for each increase in the WBCC and NC determines an 310 increase in the hazard of LR, regardless of absolute alterations in the physiological ranges of 311 leukocyte counts.

Cut-off values for NLR, WBCC, and NC were estimated for the prediction of LR but not OST. LR was a measurable outcome, even in the retrospective setting of this report, as cytological or histological biopsies were always performed to confirm it; on the other hand, OST may have been influenced by the decision of the owners to euthanize their cat and was thus considered a less reliable endpoint.

317 Which variables should be considered when planning treatment and predicting prognosis in FISS is 318 an open debate; however, several papers have shown that the recurrence rate is significantly lower 319 for cats with histologically non-infiltrated margins versus infiltrated margins, and completeness of excision has been reported to be a prognostic factor for survival.<sup>11,13,15</sup> Consistently with previous 320 321 studies, margin status resulted prognostic for both LR and OST in our report. In the bivariate model 322 that was performed as a more robust analysis to confirm the results of the multivariate model, the 323 prognostic impact of margin status on both LR and OST was confirmed. Furthermore, even though 324 our primary aim was to evaluate the prognostic impact of leukocyte counts and NLR on LR and 325 OST, other potentially prognostic clinical and pathological variables were included in the survival 326 analysis. Ulceration resulted significantly prognostic for both LR and OST. Although ulceration has not been previously reported to be prognostic for other canine or feline soft tissue sarcomas, it is 327 328 considered as negative prognostic factor for other solid tumors, such as canine mast cell tumors<sup>41</sup>. It may thus be hypothesized that this characteristic is correlated with a more aggressive clinical 329 330 behavior that causes a rapid tumor growth and subsequent disruption of the cutis.

Other clinical-pathological variables that had a prognostic impact were concomitant diseases for LR, and age and tumor size for OST; however, given the low numerosity of events in this study population, it would be speculative to draw conclusions from these results, especially considering that such variables resulted prognostic for only one of the considered end-points. Further studies are thus needed to assess the real impact of such variables on LR and OST.

To the authors' knowledge, this is the first report to evaluate the prognostic value of leukocyte counts and ratios in tumor-bearing cats. The prognostic impact of different leukocyte populations, however, has previously been assessed in both human and canine oncology. In human medicine, higher values of pretreatment NLR have been correlated with poorer prognosis for several solid

malignancies, including STS.<sup>22</sup> The prognostic/diagnostic value of different leukocyte populations, 340 such as neutrophils, lymphocytes, eosinophils, and monocytes, and their ratios has been explored in 341 canine solid and lymphoproliferative neoplasms.<sup>26-31,42</sup> In a recent paper, NLR was significantly 342 higher in dogs with STS than in those with benign soft tissue lesions, although the parameter was 343 not predictive of tumor grade.<sup>30</sup> In two further studies focusing on canine mast cell tumor, higher 344 NLR was predictive of higher tumor grade and was correlated with poorer outcome in univariate 345 analysis, although it was not confirmed as an independent prognostic factor for survival in 346 multivariate analysis.<sup>29,31</sup> Neutrophilia has also been linked with tumor-associated systemic 347 inflammatory response for different canine malignancies, such as oropharyngeal cancer and acute 348 leukemia.<sup>24,42</sup> In a paper evaluating the prevalence of leukocyte populations in healthy, older, and 349 tumor-bearing dogs, the authors reported that tumor-bearing dogs had a higher number of WBC and 350 a higher percentage of neutrophils.<sup>43</sup> Such findings are consistent with the results in the present 351 352 study.

353 The mechanism responsible for the relationship between leukocyte counts and ratio alterations and 354 prognosis in human and veterinary oncology remains unclear. Indeed, tumor microenvironment and type of host immune response against cancer play a role in tumor development and progression. 355 356 Neutrophils have both pro- and antitumor activities, but in cancer patients, neutrophilia has been 357 linked with angiogenesis and tumor progression. Moreover, granulocytes and granulocyte-358 macrophage colony-stimulating factors are produced by some solid tumors and are known to modulate their progression in people<sup>44-45</sup>. On the other hand, T-lymphocyte subsets are crucial in 359 360 specific antitumor immunity, and lymphopenia has been correlated with a worse prognosis in human patients with solid tumors.<sup>46</sup> As a consequence, a high NLR may reflect a less effective 361 362 immune response against cancer, with a predominant neutrophilic response and relative lymphopenia, which promotes tumor growth and dissemination.<sup>23,43,47</sup> 363

In the light of such considerations, the absence of a correlation between LC and both LR and OST in the sampled population is surprising; however, it may be hypothesized that the efficacy of the host immune response against cancer is more influenced by the subpopulations of lymphocytes than by the absolute lymphocyte count, as suggested by results of previous studies on dogs.<sup>23,25,43</sup>

This report has several limitations, mainly related to its retrospective nature. The relatively low number of included cats resulted in a low number of events (24/82), which compromised the robustness of multivariate analysis. This consideration holds particularly true for multivariate analysis on LR, where the number of variables to be included in the model was decided following a less restrictive rule than the traditional EPV rule. However, a more robust bivariate model was performed and confirmed the results of multivariate analysis. Furthermore, when the relationship between NLR, WBCC, NC, and LC and factors that may have altered leukocyte populations was explored, age, presence of concomitant diseases, and hyperglycemia were not associated with any of the above-mentioned hematology values, suggesting that such variables are unlikely to have an impact on them.

378 The decision to include cats that received adjuvant chemotherapy was mainly dictated by the fact 379 that, to date, there is no strong evidence that such treatment is effective against LR, and it would thus have been unlikely to influence this endpoint.<sup>48-51</sup> In the sampled population, (4/6) 30% of cats 380 treated with chemotherapy developed LR, while 18/76 (24%) of the cats that received only surgery 381 382 reached this endpoint, suggesting a lack of impact of this treatment against LR. It might be argued 383 that adjuvant chemotherapy may prevent distant metastasis, thus influencing OST, which was the 384 secondary endpoint of the study; however, of the 24 cats that died due to tumor-related causes, only 385 two had distant relapse, while the remaining 22 all experienced LR, suggesting that the impact of 386 distant metastases on OST is negligible. Finally, in univariate analysis adjuvant chemotherapy was not significant for neither of the endpoints, suggesting the low impact of such treatment on 387 388 outcome.

389 Strengths of this report are the homogeneity of patient management and long-term follow-up. 390 Indeed, all cats were routinely staged, with most patients receiving a preoperative total body CT, 391 definitive treatment consisted of curative-intent surgery for all patients, while cats that underwent 392 neoadjuvant treatments were excluded; furthermore, we excluded patients that received 393 radiotherapy as such treatment is reportedly effective against tumor recurrence.<sup>12,50,52</sup> Finally, the 394 median follow-up of 1790 days allowed for a reliable observation of LR, including long-term 395 recurrences.

In conclusion, pretreatment NLR, WBCC, and NC may be of value in identifying cats at higher risk of LR after curative-intent surgery for FISS. NLR, WBCC, and NC are readily available, costeffective, and objective prognostic tools that can be easily retrieved from routine preoperative hematological work-up without the need for invasive examinations or adjunctive cost for the owners. However, the exploratory nature of this study impeded the identification of reliable thresholds, and further prospective studies are warranted to confirm the prognostic impact of these parameters on surgically excised FISS and to determine more accurate cut-offs.

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- 564 Tables
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**Table 1.** References intervals for WBC, NC, LC in the cat.

Hematological parameter	Reference Interval
White Blood Cells (X 10 <sup>3</sup> /µL)	6.0 - 17
Neutrophil Count (X 10 <sup>3</sup> /µL)	3 - 13.4
Lymphocyte Count (X 10 <sup>3</sup> /µL)	2 – 7.2

- 568 Table 2. Comparison of Neutrophil/Lymphocyte ratio (NLR), Absolute Neutrophil Count (NC),
- 569 White Blood Cell Count (WBCC), †Lymphocyte Count (LC) distribution in the categories of
- 570 ulceration, necrosis, histology, concomitant disease and margins.
- 571

Variable	median,(Q1,Q3)	Mean(s.d.)	P
NLR vs Ulceration <sup>§</sup>			0.226
Absent	3.069(1.756, 4.730)	4.952(6.547)	
Present	3.199(2.555, 9.736)	7.476(8.009)	
NC vs Ulceration <sup>§</sup>			0.011*
Absent	3.482 (4.765, 6.970)	6.095(4.191)	
present	6.052 (7.250, 10.820)	12.730(14.007)	
WBC vs Ulceration <sup>§</sup>			0.007*
Absent	7.260(5.467, 10.400)	8.680(4.822)	
Present	10.680(9.018, 14.900)	16.010(13.880)	
LC vs Ulceration <sup>§</sup>			0.407
Absent	1.565(1.002, 2.530	1.877(1.140)	
Present	2.120(1.720, 2.425)	2.056(0.713)	
NLR vs			0.024*
Expansile/Infiltrative <sup>§</sup>	3.405(2.331, 6.359)	6.695(8.531)	
Infiltrative	2.444(1.594, 3.749)	3.536(3.742)	
Expansile			

NC vs Expansile/Infiltrative <sup>§</sup> Infiltrative Expansile	4.230(5.880, 8.410) 3.102(4.040, 5.628)	8.311(7.768) 5.092(2.957)	0.004*
<b>WBC</b> <i>vs</i> <b>Expansile/Infiltrative</b> Infiltrative Expansile	8.620(6.66, 11.53) 7.025(5.245, 9.310)	10.98(8.171) 7.800(3.707)	0.028*
<b>LC</b> <i>vs</i> <b>Expansile/Infiltrative</b> Infiltrative Expansile	1.690(1.080, 2.420) 1.775(1.182, 2.688)	1.875(1.194) 1.986(1.015)	0.472
NLR vs Necrosis <sup>¶</sup> 0 1 2 3	3.280(1.760, 4.770) 2.281(1.762, 3.488) 2.696(1.680, 4.374) 3.628(2.186, 7.356)	5.458(6.148) 2.671(1.232) 3.616(2.689) 7.243(9.827)	0.401
NC vs Necrosis <sup>¶</sup> 0 1 2 3	3.895(4.700, 7.450) 3.325 (4.165, 5.690) 3.810 (4.710, 6.200) 3.710 (5.550, 9.808)	6.579(4.170) 4.492(1.709) 5.654(3.316) 8.524(9.077)	0.498
<b>WBC</b> <i>vs</i> <b>Necrosis</b> <sup>¶</sup> 0 1 2 3	8.100(6.295, 10.200) 6.200(5.072, 7.650) 7.240(6.020, 9.900) 8.805(5.872, 13.260)	9.255(4.374) 6.726(2.278) 8.536(4.706) 11.130(9.301)	0.360
LC vs Necrosis <sup>¶</sup> 0 1 2 3	2.030(0.980, 2.840) 1.785(1.495, 2.320) 1.710(1.240, 2.540) 1.465(1.062, 2.455)	1.931(0.993) 1.895(0.827) 2.100(1.372) 1.719(0.9425	0.799
NLR vs Histotype <sup>§</sup> Fibrosarcoma other	2.619(1.705, 4.110) 3.752(2.347, 7.674)	4.682(7.130) 6.092(5.860)	0.029*
NC vs Histotype <sup>§</sup> Fibrosarcoma other	3.385 (4.765, 6.920) 4.358 (5.535, 9.962)	5.674(3.376) 8.594(8.769)	0.104
<b>WBC</b> <i>vs</i> <b>Histotype</b> <sup>§</sup> Fibrosarcoma other	7.135(5.542, 10.170) 8.845(6.050, 11.730)	8.368(4.130) 11.170(9.123)	0.187
<b>LC</b> <i>vs</i> <b>Histotype</b> <sup>§</sup> Fibrosarcoma Other	0.980(1.855, 2.630) 1.105(1.515, 2.258)	1.985(1.193) 1.737(0.927)	0.528
NLR vs Concomitant			0.600

disease <sup>§</sup>	3.279(2.050, 4.991)	4.801(4.844)	
Absent	2.539(1.823, 3.698)	6.103(9.753)	
Present			
NC vs Concomitant			0.698
disease <sup>§</sup>	3.830(4.960, 6.940)	6.861(6.791)	
Absent	3.520 (5.540, 7.500)	6.472(4.022)	
Present			
WBC vs Concomitant			0.187
disease <sup>§</sup>	7.240(5.630, 10.950)	9.422(7.095)	
Absent	8.140(6.020, 10.560)	9.332(5.058)	
Present			
LC vs Concomitant			0.840
disease <sup>§</sup>	1.040(1.600, 2.470)	1.856(1.002)	
Absent	1.240(1.690, 2.620)	1.982(1.327)	
Present			
NLR vs Margins <sup>§</sup>			0.1171
Clean	2.700(1.710, 4.702)	4.676(5.367)	
Dirty	3.405(2.539, 6.839)	7.194(10.300)	
NC vs Margins <sup>§</sup>			0.108
Clean	3.520 (4.960, 6.500)	6.435(6.360)	
Dirty	4.230(6.900, 10.400)	7.919(4.713)	
WBC vs Margins <sup>§</sup>			0.2146
Clean	5.580(7.400, 9.500)	9.104(6.684)	
Dirty	6.020(10.560, 11.820)	10.51(5.852)	
LC vs Margins <sup>§</sup>			0.3815
Clean	1.080 (1.710, 2.540)	1.898( 0.971)	
Dirty	0.980 (1.240, 2.470)	1.881( 1.549)	
1	1	1	

572 <sup>§</sup>Wilcoxon Rank sum test: <sup>¶</sup>Kruskall-Wallis rank sum test; \* statistically significative at 5% level.

- **Table 3.** Association between Neutrophil-to-Lymphocyte Ratio (NLR), Neutrophil Count (NC),
- 576 White Blood Cells (WBC), Lymphocyte Count (LC) and size, glycemia and age.

Variable	<sup>†</sup> r	95% CI	<sup>‡</sup> t (d.f.)	P
NLR vs Size	0.315	0.104 - 0.499	2.955(79)	0.004*
NC vs Size	0.090	0.131 - 0.302	0.805 (79)	0.423
WBC vs Size	0.054	-0.167 - 0.268	0.478 (79)	0.631
LC vs Size	- 0.176	-0.380 - 0.044	-1.592 (79)	0.115
NLR vs Glycemia	0.036	-0.818 - 0.256	0.315(76)	0.734
NC vs Glycemia	0.070	-0.154 - 0.288	0.619(76)	0.537
WBC vs Glycemia	0.074	-0150 - 0.292	0.653(76)	0.515
LC vs Glycemia	0.042	-0.182 - 0.262	0.369 (76)	0.718
NLR vs Age	0.072	-0.1472 - 0.285	0.646 (80)	0.520
NC vs Age	0.047	0.1716 - 0.261	0.421 (80)	0.674
WBC vs Age	0.091	-0.128 - 0.301	0.818 (80)	0.418
LC vs Age	0.59	-0.159- 0.273	0.534 (80)	0.593
				597

<sup>†</sup>Pearson's correlation coefficient; <sup>‡</sup>t statistics (d.f.) degree of freedom; \* statistically significant at

600 5% level

- 602 **Table 4.** Univariate analysis of Neutrophil-to-Lymphocyte Ratio (NLR), Neutrophil Count (NC),
- 603 White Blood Cells (WBC), Lymphocyte Count (LC) on Local Recurrence and Overall Survival.
- 604 Cox model results and area under ROC curve.

Variable	Local recurrence		Overall survival	
	HR (95% C.I.)	P	HR (95% C.I.)	P
Sex		0.93		0.802
M vs F	1.037 (0.449-2.395)		0.936 (0.558570)	
Age		0.59		0.01*
For each 1 year	1.046 (0.888-1.232)		1.15(1.034 -1.278)	
increase				
NLR		0.015*		0.028*
For each 0.5 increase	1.066 (1.012-1.122)		1.045 (1.005-1.086)	
LC	, , , , , , , , , , , , , , , , , , ,	0.462		0.482
For each 100 cells	1.165 (0.776- 1.75)		1.103 (0.84-1.448)	
increase				
NC		0.003*		0.014*
For each 1000 cells	1.077 (1.025-1.132)		1.048 (1.01-1.088)	
increase				
WBCC		0.002*		0.011*
For each 1000 cells	1.078 (1.027 -1.132)		1.048 (1.011-1.087)	
increase				
Glycemia		0.631		0.68
For each unitary	1.002 (0.994-1.01)		0.999 (0.993-1.004)	
increase				
Concomitant		0.045*		0.104
diseases	2.459 (1.02-5.929)		1.637 (0.904-2.967)	
Yes vs no				
Ulceration		0.014*		< 0.001*
Yes vs no	5.062 (1.384-18.51)		5.29 (2.233-12.54)	
Tumour Size		0.241		0.007*
For each cm increase	1.073 (0.954-1.208)		1.095 (1.025-1.171)	
Expansive/infiltrative		0.012*		0.071
Expansive vs	0.267 (0.096-0.746)		0.605 (0.351-1.043)	
infiltrative				
Necrosis		0.643		0.541
1 vs 0	1.003 (0.167-6.012)	0.998	0.853 (0.290-2.508)	0.772
2 vs 0	1.051 (0.263-4.209)	0.944	1.173 (0.514-2.678)	0.705
3 vs 0	1.844 (0.497-6.841)	0.360	1.522 0.675 3.433	0.311
Margins		0.050*		0.002*
Dirty vs clean	2.584 (1.00- 6.674)		2.531 (1.387-4.617)	
Histotype		0.805		0.557
Other vs	0.893(0.364-2.191)		1.174 (0.688-2.004)	
Fibrosarcoma				
Adjuvant.Therapies		0.378		0.366
Yes vs no	1.629 (0.55-4.827)		1.393 (0.679-2.856)	

605 \*Statistically significant at 5% level.

- 606 Table 5. Multivariate analysis of Neutrophil-to-Lymphocyte Ratio (NLR), Neutrophil Count (NC),
- 607 White Blood Cells (WBC), Lymphocyte Count (LC) on Local recurrence.
- 608 Results of the Backward selection procedure applied to Cox model to adjust hematological
- 609 parameters for the variables that were statistically significant in univariate analysis.

Model for NLR*		
Variable	HR (95% C.I.)	P
NLR		0.016*
For each 0.5 increase	1.064 (1.012 -1.118)	
Concomitant diseases		0.022*
Yes vs no	2.940 (1.168 -7.404)	
Ulceration		0.005*
Yes vs no	7.393 (1.835-29.786)	
Margins		0.050*
Dirty vs clean	2.659 (1.000 - 7.072)	
Model for WBCC**		· · ·
Variable	HR (95% C.I.)	P
WBCC		0.003*
For each 1000 cells	1.085 (1.029 -1.144)	
increase		
Concomitant disease		0.008*
Yes vs no	3.647 (1.408 -9.444)	
Ulceration		0.031*
Yes vs no	5.046 (1.159-21.960)	
Margins	· · · · · · · · · · · · · · · · · · ·	0.025*
Dirty vs clean	3.055 (1.152 -8.104)	
Model for NC**		
Variable	HR (95% C.I.)	P
Neu		0.004*
For each 1000 cells	1.084 (1.026 -1.144)	
increase		
Concomitant diseases		0.008*
Yes vs no	3.587 (1.387 -9.277)	
Ulceration		0.033*
Yes vs no	5.032 (1.143-22.145)	
Margins	· · · · · · · · · · · · · · · · · · ·	0.026*
Dirty vs clean	3.019 (1.138 -8.007)	

610 \*Statistically significant at 5% level. \*\*Expansile/Infiltrative was removed by the Backward

611 selection because not statistically significant.

613 Table 6. Multivariate analysis of Neutrophil-to-Lymphocyte Ratio (NLR), Neutrophil Count (NC),

Model for NLR**		
Variable	HR (95% C.I.)	P
NLR		0.206
For each 0.5 increase	1.028 (0.985-1.073)	
Age		0.004*
For each 1 year increase	1.170 (1.051-1.303)	
Ulceration		<0.0001*
Yes vs no	7.352 (2.950-18.325)	
Margins		0.007*
Dirty vs clean	2.337 (1.256 -4.351)	
Tumour size		0.012
For each 1 cm increase	1.101 (1.022 -1.186)	
Model for WBCC**		
Variable	HR (95% C.I.)	P
WBCC		0.111
For each 1000 cells	1.033 (0.993-1.075)	
increase		
Age		0.004*
For each 1 year increase	1.171 (1.052 -1.303)	
Ulceration		< 0.0001*
Yes vs no	6.521 (2.559-16.621)	
Margins		0.005*
Dirty vs clean	2.434 (1.305 -4.541)	
Tumour size		0.003*
For each 1 cm increase	1.115 (1.037-1.198)	
Model for NC**		
Variable	HR (95% C.I.)	P
Neu		0.141
For each 1000 cells	1.032 (0.990-1.076)	
increase		
Age		0.003*
For each 1 year increase	1.173 (1.054 -1.306)	
Ulceration		< 0.0001*
Yes vs no	6.650 (2.614-16.917)	
Margins		0.006*
Dirty vs clean	2.395 (1.286-4.459)	
Tumor size		0.003*
For each 1 cm increase	1.114 (1.036-1.197)	

614 White Blood Cells (WBC), Lymphocyte Count (LC) on Overall Survival.

\*Statistically significant at 5% level. \*\*NLR, WBCC, NC were removed by Backward selection
procedure because not statistically significant. The variable that made NLR, WBCC and NC not

617 significant was ulceration.

618	Figure legends	5
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- 619
- 620 Figure 1
- 621 Kaplan-Meier estimated local recurrence-free survival probability (continuous line) and 95%
- 622 confidence intervals (dotted lines).
- 623
- 624 **Figure 2**
- 625 Kaplan-Meier estimated survival probability (continuous line) and 95% confidence intervals (dotted
- 626 lines).