

Immune profiling of plasma-derived extracellular vesicles identifies Parkinson disease

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Abstract

Objective

To develop a diagnostic model based on plasma-derived extracellular vesicle (EV) subpopulations in Parkinson disease (PD) and atypical parkinsonism (AP), we applied an innovative flow cytometric multiplex bead-based platform.

Methods

Plasma-derived EVs were isolated from PD, matched healthy controls, multiple system atrophy (MSA), and AP with tauopathies (AP-Tau). The expression levels of 37 EV surface markers were measured by flow cytometry and correlated with clinical scales. A diagnostic model based on EV surface markers expression was built via supervised machine learning algorithms and validated in an external cohort.

Results

Distinctive pools of EV surface markers related to inflammatory and immune cells stratified patients according to the clinical diagnosis. PD and MSA displayed a greater pool of overexpressed immune markers, suggesting a different immune dysregulation in PD and MSA vs AP-Tau. The receiver operating characteristic curve analysis of a compound EV marker showed optimal diagnostic performance for PD (area under the curve [AUC] 0.908; sensitivity 96.3%, specificity 78.9%) and MSA (AUC 0.974; sensitivity 100%, specificity 94.7%) and good accuracy for AP-Tau (AUC 0.718; sensitivity 77.8%, specificity 89.5%). A diagnostic model based on EV marker expression correctly classified 88.9% of patients with reliable diagnostic performance after internal and external validations.

Conclusions

Immune profiling of plasmatic EVs represents a crucial step toward the identification of biomarkers of disease for PD and AP.

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Glossary

AP = atypical parkinsonism; **AP-Tau** = atypical parkinsonism with tauopathies; **AUC** = area under the curve; **BDI-II** = Beck Depression Inventory II; **CBD** = corticobasal degeneration; **EV** = extracellular vesicle; **HC** = healthy control; **H&Y** = Hoehn and Yahr scale; **KEGG** = Kyoto Encyclopedia of Genes and Genomes; **LEDD** = levodopa equivalent daily dose; **MCSP** = melanoma-associated chondroitin sulfate proteoglycan; **MDS-UPDRS** = Movement Disorder Society–Unified Parkinson's Disease Rating Scale; **MFI** = median fluorescence intensity; **MoCA** = Montreal Cognitive Assessment; **MSA** = multiple system atrophy; **nMFI** = normalized median fluorescence intensity; **NTA** = nanoparticle tracking analysis; **PD** = Parkinson disease; **PPI** = protein-protein interaction; **PSP** = progressive supranuclear palsy; **RF** = random forest; **ROC** = receiver operating characteristic; **TSG101** = tumor susceptibility gene 101.

To date, an effective causal treatment for Parkinson disease (PD) is missing, and the diagnosis still relies exclusively on motor symptoms that appear too late for a disease modifying intervention.¹ Hence, there is urgent need for biomarkers that can stratify patients with PD for clinical trials. Furthermore, the differential diagnosis between PD and atypical parkinsonisms (APs) like multiple system atrophy (MSA) is challenging.² According to the misfolded protein aggregates present in the brain, PD and MSA are collectively termed as alpha-synucleinopathies and are distinct from AP with tauopathies (AP-Tau).

Extracellular vesicles (EVs) are a heterogeneous population of secreted membrane particles involved into physiologic cell-to-cell communication and transmission of biological signals. EVs are subdivided based on physical characteristics such as size, into small (30–150 nm) and large (150–500 nm) vesicles; members of the tetraspanin protein family (CD9, CD63, and CD81) are considered specific markers of EVs.³ CNS neurons release EVs⁴ able to cross the blood-brain barrier and reach the peripheral blood.⁵ EVs express surface antigens, which affect the cellular uptake and allow their tracking to the cell of origin.⁶

So far, most of the studies on EVs in neurodegenerative diseases focused on their possible role on transmission of pathologic misfolded proteins and fewer on their functions in cell-to-cell signaling. Indeed, immune system is involved in PD, as demonstrated by neuroinflammatory changes in brain histopathology as well as by elevated immune markers in peripheral blood, suggesting that immune system may play a primary pathogenic role in PD.^{7,8} Therefore, we hypothesized that circulating EVs carry important information on brain inflammatory immune response and that their characterization can be exploited for diagnostic purposes.

Methods

Study design

This was a cross-sectional, case-control study aiming (1) to characterize distinctive EV subpopulations in plasma of patients with PD, MSA, and AP-Tau healthy controls (HCs) by immunophenotyping 37 different membrane proteins using

an innovative flow cytometry multiplex bead-based platform^{9,10}; (2) to correlate the differential expression of EV surface antigens to clinical scales of gravity; and (3) to build diagnostic models based on distinctive EV surface proteins through supervised machine learning algorithms. Finally, because EVs are taken up by surrounding and distant cells, we performed a functional evaluation of their protein interactors with the purpose to highlight protein targets, biological pathways, and molecular functions potentially affected in PD, MSA, and AP-Tau.

Subjects

Twenty-seven patients with idiopathic PD, 8 with probable MSA, 9 with probable AP-Tau, and 19 age-matched HCs for the PD group were consecutively enrolled from July 2015 to January 2019. These subjects served as the training cohort for the diagnostic model.

Patients were recruited from the movement disorders outpatient clinic at Neurocenter of Southern Switzerland in Lugano; HCs were recruited among patients' partners. The inclusion criteria for PD were (1) a definite clinical diagnosis according to the UK Parkinson's Disease Society Brain Bank criteria for diagnosis¹ and (2) no family history and no major cognitive impairment or major dysautonomic symptoms in the history. The inclusion criteria for AP were based on published diagnostic criteria for MSA,¹¹ progressive supranuclear palsy (PSP),¹² and corticobasal degeneration (CBD).¹³ Each subject underwent blood collection and clinical evaluation. Disease gravity was assessed by the Hoehn and Yahr scale (H&Y) and Movement Disorder Society–Unified Parkinson's Disease Rating Scale (MDS-UPDRS) during the off stage; cognitive profile by the Mini-Mental State Examination (MMSE) and Montreal Cognitive Assessment (MoCA); mood disorder by the Beck Depression Inventory II (BDI-II) scale; REM sleep behavior disorder (RBD) by the RBD screening questionnaire; and olfactory function by Burghart Messtechnik GmbH (olfactory test). Levodopa equivalent daily dose (LEDD) was calculated for patients with PD and AP.¹⁴

Exclusion criteria were significant comorbidities: diabetes, renal failure, thyroid pathology, vitamin B12 deficiency, HIV infection, syphilis, coagulopathy, fever, acute or chronic inflammatory diseases, and tumors.

A separate cohort of 40 subjects (20 HC, 10 PD, 5 MSA, and 5 AP-Tau) served as the validation cohort for the diagnostic model (see below the paragraph “Diagnostic modeling and validation in an external cohort”).

Standard protocol approvals, registrations, and patient consents

Subjects were consecutively included in the NSIPD001 study, according to the study protocol that was approved by the Cantonal Ethics Committee. All enrolled subjects gave written informed consent to the study in accordance with the Declaration of Helsinki.

Blood collection and plasma preparation

Ten milliliters of blood were collected into anticoagulant ethylenediamine tetraacetic acid (EDTA) tubes in the morning after 4-hour fasting, and the following protocol was performed to obtain plasma enriched in EVs¹⁵: fresh whole blood was centrifuged for 15 minutes at 1,600g at 10°C to eliminate cellular components. To further deplete platelets and cellular debris, the supernatant was centrifuged 15 minutes at 3,000g at 4°C; then, 2 consecutive centrifuges were performed at 10,000g for 15 minutes and 20,000g for 30 minutes at 4°C, allowing the elimination of apoptotic bodies and larger EVs (figure 1A). The obtained plasma was aliquoted and stored at –80°C. The storage period varied among samples according to the consecutive enrollment of subjects in the study, between July 2015 and January 2019.

Nanoparticle tracking analysis

Nanoparticle concentration and diameter were measured by NanoSight LM10 (Malvern Instruments, Malvern, UK) equipped with a 405-nm laser and nanoparticle tracking analysis (NTA) 2.3 software. One microliter of plasma was diluted 1:1,000 in particle-free phosphate buffered saline. Three consequent videos of 60 seconds each were acquired. Minimum expected particle size, minimum track length, and blur setting were set to automatic, and the detection threshold was set to 4 to reveal all particles, as previously described.¹⁶ The particle concentration and the distribution graph of the particle size were determined per each sample by averaging the results from the analysis of 3 independent videos.

MACSPlex exosome assay and flow cytometry analysis

The screening approach (MACSPlex Human Exosome Kit; Miltenyi, Bergisch Gladbach, Germany) was previously described.^{9,10} Briefly, it is based on 4.8-µm diameter polystyrene beads, labeled with different amounts of 2 dyes (phycoerythrin and fluorescein isothiocyanate), to generate 39 different bead subsets discriminable by flow cytometry analysis. Each bead subset is conjugated with a different capture antibody that recognizes EVs carrying the respective antigen (37 EV surface epitopes plus 2 isotype controls). The list of 37 antigens is reported in table e-1 (links.lww.com/NXI/A293). After beads + sample overnight incubation, EVs bound to beads are detected by allophycocyanin-conjugated anti-CD9, anti-

CD63, and anti-CD81 antibodies (figure 1A). Plasma samples (60 µL) diluted 1:2 in buffer solution were analyzed with the MACSQuant Analyzer-10 flow cytometer (Miltenyi). Triggers for the side scatter and the forward scatter were selected to confine the measurement on the multiplex beads. A blank control composed only by MACSPlex Buffer and incubated with beads and detection antibodies was used to measure the background signal. Each EV marker's median fluorescence intensity (MFI) was normalized to the mean MFI for specific EV markers (CD9, CD63, and CD81) obtaining normalized MFI (nMFI). All analyses were based on nMFI values. Samples were analyzed blindly to the clinical diagnosis.

To test the reliability/specificity of MACSPlex Human Exosome Kit for EVs, we compared the procedure described above with and without EV enrichment by ultracentrifugation, and we found no differences between procedures (figure e-1, links.lww.com/NXI/A293). Therefore, plasma samples were directly processed without EV enrichment by ultracentrifugation.

Technical consistency and reproducibility of the assay were confirmed by analyzing repeatedly the same sample and by assessing plasma from the same subject at different time points (figure e-2, links.lww.com/NXI/A293).

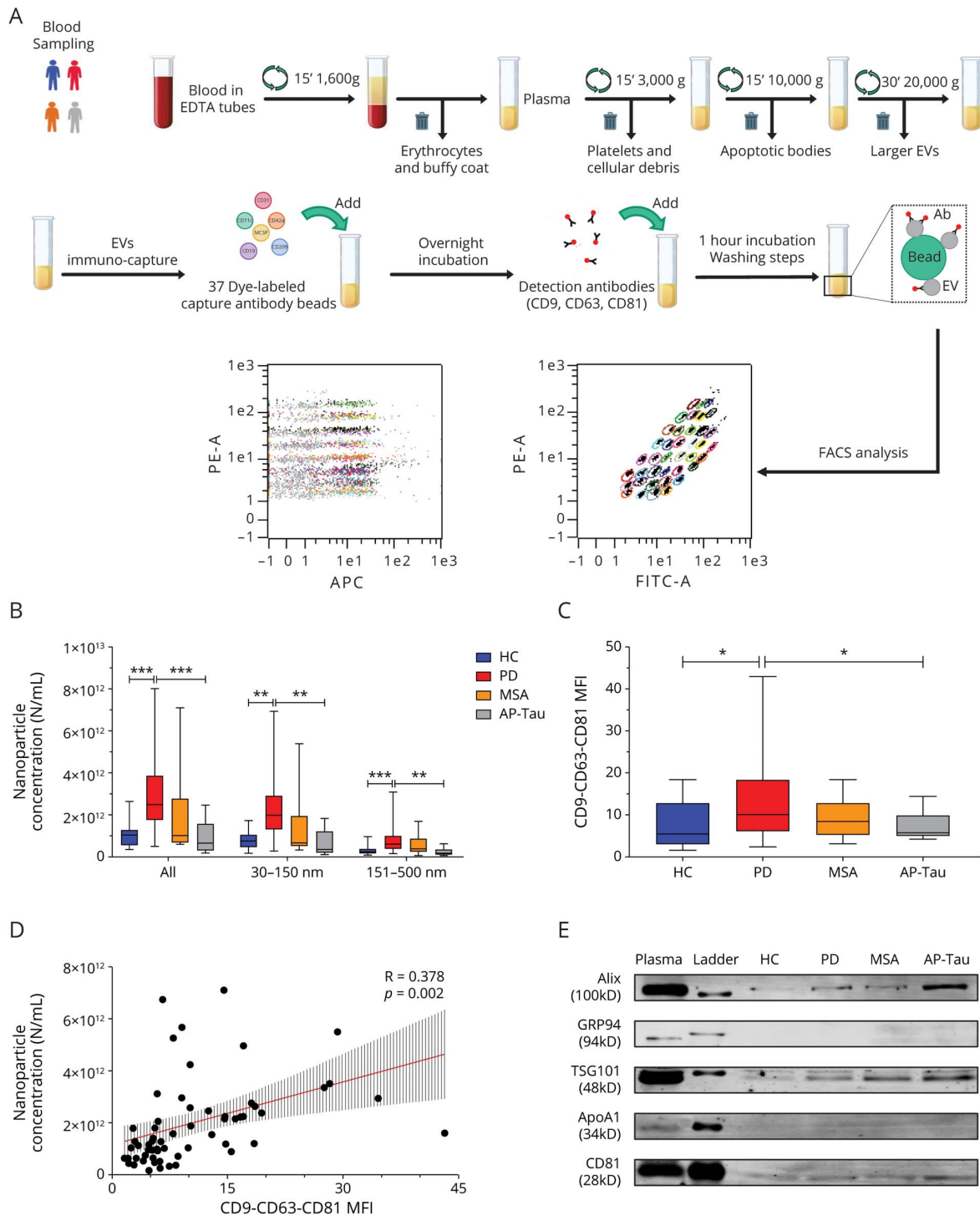
Western blot analysis

Western blot analysis was performed on 100 µL of plasma samples incubated overnight with 5 µL of MACSPlex detection beads at 10°C at 800 rpm. The next day, the unbounded fraction was discarded, and samples were lysed with radioimmunoprecipitation assay buffer. Total proteins were separated on a gradient sodium dodecyl sulphate-polyacrylamide gel electrophoresis 4%–12% gel and transferred onto polyvinylidene difluoride membrane. The blot was incubated with the following primary antibodies: anti-Alix (rabbit polyclonal; Abcam, Cambridge UK, 1:1,000); anti-tumor susceptibility gene 101 (TSG101) (rabbit polyclonal; Abcam, 1:1,000); anti-CD81 (mouse monoclonal, Thermo Fisher Scientific, Waltham, MA, 1:300); anti-apolipoprotein A1 (APOA1) (rabbit polyclonal; Abcam, 1:300); and anti-GRP94 (rabbit polyclonal; Abcam, 1:500).

Network analysis of EV surface markers' protein interactors

Protein interactors of differentially expressed EV surface markers were retrieved by Cytoscape PESCA plugin,¹⁷ and a global *Homo sapiens* protein-protein interaction (PPI) network of 1,588 nodes and 36,984 edges was reconstructed. For each quantitative comparison (PD vs HC, MSA vs HC, AP-Tau vs HC), a specific PPI subnetwork was built considering the first neighbors of each EV surface protein. Each subnetwork was analyzed at a topological level by Cytoscape Centiscape plugin¹⁸; to select putative hubs and bottlenecks, we took into account the network size, and only nodes with all Betweenness, Bridging, and Centroid values above the average calculated on the corresponding whole network were retained as previously reported.^{19,20} At the same time, nodes belonging to each

Figure 1 EV enrichment, MACSPlex exosome assay, and EV characterization



(A) Protocol for EV enrichment and MACSPlex exosome assay. Blood collected into anticoagulant EDTA tubes underwent serial centrifugation to eliminate cellular components and larger EVs. Plasma samples were incubated overnight with dye-labeled capture beads coated with antibodies against 37 different EV surface antigens. Detection antibodies against CD9, CD63, and CD81 were then added and incubated for 1 hour. After washing steps, samples were analyzed by flow cytometry. (B) Nanoparticle concentration (N/mL plasma) by nanoparticle tracking analysis (NTA), stratified for diameter (smaller nanoparticles, 30–150 nm; larger nanoparticles 151–500 nm). (C) Mean median fluorescence intensity (MFI) for CD9, CD63, and CD81 at flow cytometry analysis. (D) Correlation between mean MFI of CD9-CD63-CD81 and N/mL by NTA; the regression line is reported in red, with 95% CI. (E) Western blot of samples from HC, PD, MSA, and AP-Tau subjects after immunocapturing compared with whole plasma (dilution 1:100), showing the presence of specific EV markers (CD81, Alix, tumor susceptibility gene 101) and the absence of plasma contaminants (apolipoprotein A1, GRP94). Data are expressed as median and interquartile range; p values < 0.05 were considered significant (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$). AP-Tau = atypical parkinsonism with tauopathies; EV = extracellular vesicle; HC = healthy control; MSA = multiple system atrophy; PD = Parkinson disease.

subnetwork were evaluated at a functional level by DAVID²¹ and the most enriched Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway databases. Molecular functions were extracted; specifically, *H sapiens* set as background, count > 5 and $p < 0.001$, corrected by the Bonferroni test.

Statistical analysis

Statistical analyses were performed with IBM SPSS Statistics 22.0, PYTHON 2.7, and GraphPad PRISM 7.0a. Variable distribution was assessed by the Kolmogorov-Smirnov test. Normally distributed variables (age) were expressed as mean \pm SD and analyzed by the 1-way analysis of variance test with the post hoc Bonferroni test for multiple comparisons. Non-normally distributed variables (disease duration, H&Y, MDS-UPDRS, BDI-II, MMSE, MoCA, olfactory test, RBD, LEDD, NTA, and MACSPlex analysis) were expressed as medians and interquartile range and analyzed using the Kruskal-Wallis test. Categorical variables (sex) were expressed as absolute number and percentage (%) and analyzed by χ^2 or Fisher exact tests. Univariate logistic regression analysis was performed to assess the ORs. Receiver operating characteristic (ROC) curve analysis was used to evaluate the area under the curve (AUC) and to compare diagnostic performances of selected variables. The Youden index ($J = \text{Sensitivity} + \text{Specificity} - 1$) was calculated to determine the cutoff with the greater accuracy. Correlations were evaluated by the Pearson R test and regression curve analysis; correlations were considered strong for R between |1.0| and |0.5|, moderate between |0.5| and |0.3|, and weak between |0.3| and |0.1|. A p value less than 0.05 was considered significant.

Diagnostic modeling and validation

Machine learning supervised algorithms are exploited in clinical practice to formulate predictions of selected outcomes based on a given set of labeled paired input-output training sample data.^{22,23} The linear discriminant analysis was used to build the 3D canonical plot (figure 2B); canonical components 1, 2, and 3 were calculated from weighted linear combinations of variables to maximize separation between the 4 groups (HC, PD, MSA, and AP-Tau); in the plot, each patient is represented by a point, the center of the spheres indicates the mean of (canonical 1; canonical 2; canonical 3) for each diagnosis, and spheres include patients with a linear combination coefficient that falls within the mean \pm SD (canonical 1 \pm SD; canonical 2 \pm SD; canonical 3 \pm SD). A diagnostic model was built through a random forest (RF) classification algorithm on the training cohort ($n = 63$); the algorithm created 20 different classification trees with a maximum number of 8 splits for each tree. The diagnosis derives from the outcome of each classification tree of the RF: for example, if at least 11 of 20 trees of the RF predict PD, the patient will be classified as PD. The model was validated by a leave-one-out algorithm (internal validation) and in a different cohort ($n = 40$) (external validation). The leave-one-out validation was used to exclude overfitting bias and to evaluate generalizability of the model; briefly, the algorithm is trained on $n-1$ patients (where “ n ” is the total number of patients), and the remaining patient is used to test the model.

The test patient is then changed and accordingly the training subgroup. The process is repeated a total of n times, with the test patient rotating at each round and the remaining subgroup used for model training. The external validation was performed with the same RF model trained on the training cohort.

Data availability

The raw data that support the findings of this article are available on request to the corresponding author.

Results

Demographic and clinical characteristics of study groups

Demographic data and clinical assessments for each group are summarized in table 1. The MSA group included 4 MSA-C and 4 MSA-P; the AP-Tau group included 6 patients with probable PSP and 3 with possible CBD (table e-2, links.lww.com/NXI/A293). Subjects with AP-Tau were significantly older than HC. Sex ratio and disease duration did not differ across groups. It is known that AP is characterized by a more aggressive disease course than PD; indeed, MSA and AP-Tau had a more severe disease gravity measured by the H&Y and by the MDS-UPDRS; in addition, they displayed a higher cognitive impairment measured by the MMSE and MoCA. Finally, subjects with AP-Tau resulted more depressed than PD as measured by the BDI-II. LEDD was not different between groups of patients.

The PD group shows an increased number of EVs

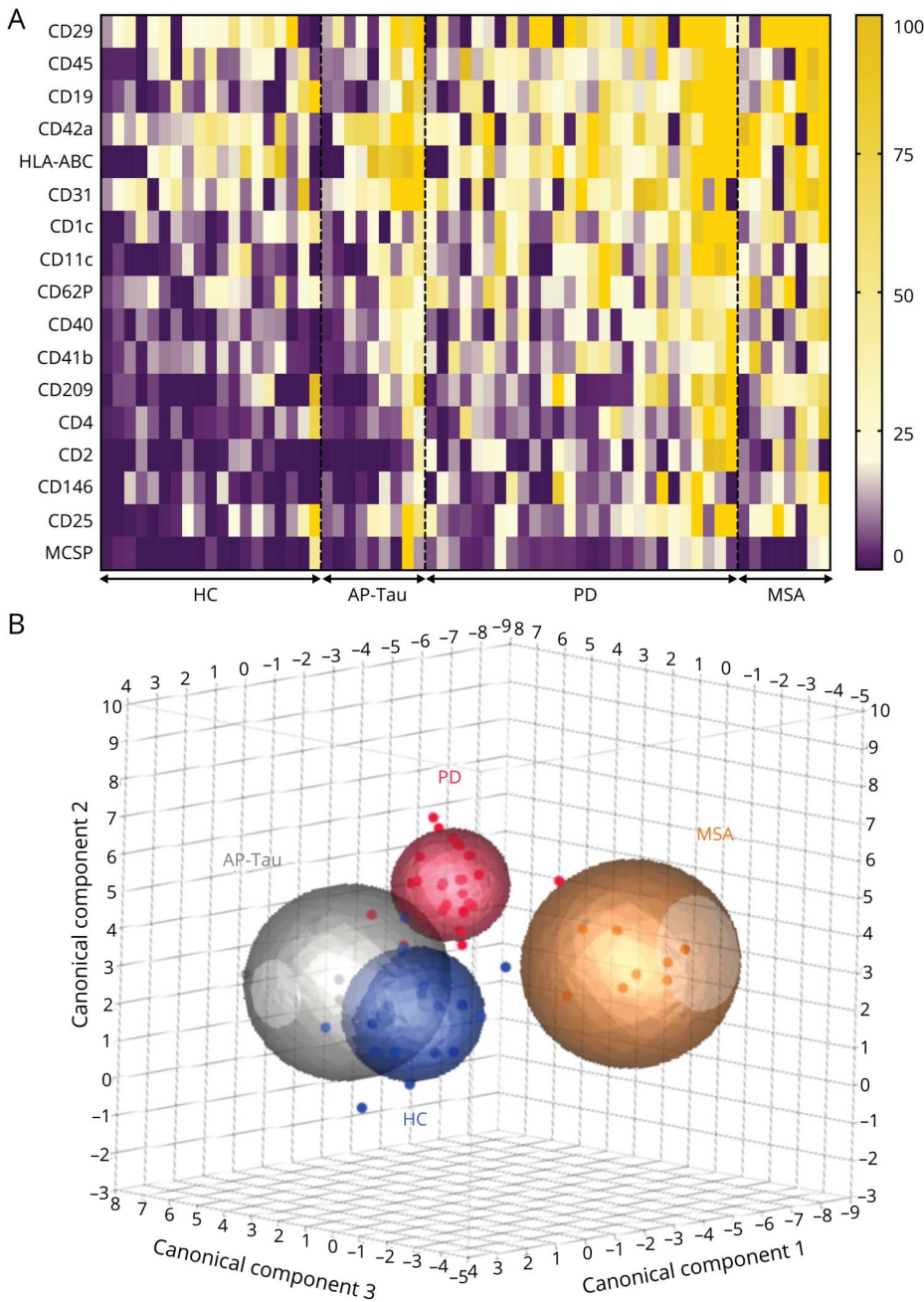
NTA showed that the PD group had the highest number of nanoparticles/mL compared with HC and AP-Tau ($p = 0.001$) not with MSA, whereas no differences in diameter were found between groups (figure 1B, table e-3, links.lww.com/NXI/A293). Because NTA is not specific for EVs, we used the MFI of CD9/CD63/CD81 (specific markers of EVs) by flow cytometry analysis as a measure of EV concentration. Mean MFI of CD9/CD63/CD81 was significantly higher in PD compared with HC ($p = 0.023$) and AP-Tau ($p = 0.037$) not with MSA (figure 1C). Importantly, mean MFI for CD9/CD63/CD81 correlated with nanoparticle concentration obtained with NTA analysis (figure 1D).

EVs were furtherly characterized according to current standard guidelines.³ After EV immunocapture by MACSPlex kit capture beads, we performed a Western blot analysis showing the presence of EV-specific luminal markers (TSG101, Alix), EV-specific tetraspanin (CD81), and the absence of contaminants (APOA1 and GPR94) (figure 1E). These results confirm the presence of EVs and the absence of relevant contamination in samples analyzed by flow cytometry.

EV surface antigen expression differs between groups

Among the 37 EV surface markers, 17 resulted differentially expressed between groups. Sixteen markers differed between PD and HC: T-cell (CD4), B-cell (CD19), leukocyte (CD45),

Figure 2 Differential expression of extracellular vesicle (EV)-surface markers



Patients' stratification for diagnosis and EV surface marker expression (expressed as normalized median fluorescence intensity [nMFI]). (A) Heatmap representation of the 17 EV surface markers differentially expressed between patients with PD, MSA, and AP-Tau and HCs (purple = low nMFI, yellow = high nMFI). (B) Canonical plot showing patients according to the diagnosis: PD, red vs MSA, orange vs AP-Tau, gray vs HC, blue; the model was built considering the 37 EV surface markers analyzed by flow cytometry. The axes of the plot (canonical 1, canonical 2, and canonical 3) were calculated from weighted linear combinations of variables to maximize separation between the 4 groups. Each subject is represented by a point, and spheres include patients with a linear combination coefficient that falls within the mean \pm SD (canonical 1 \pm SD; canonical 2 \pm SD; canonical 3 \pm SD). AP-Tau = atypical parkinsonism with tauopathies; HC = healthy control; MSA = multiple system atrophy; PD = Parkinson disease.

and antigen-presenting cell (CD1c) related markers, 8 involved in cell adhesion (CD2, CD11c, CD31, CD41, CD42a, CD62, CD146, and melanoma-associated chondroitin sulfate proteoglycan [MCSP]), 3 in immune cell activation (CD25, CD40, and CD209), and the molecules of major histocompatibility complex class I human leukocyte antigen-ABC (HLA-ABC).

Twelve markers were different between MSA and HC: T cell related (CD4), B cell related (CD19), involved in cell adhesion (CD29, CD2, CD11c, CD31, CD42a, CD62, CD146, and

CD209), immune cell activation (CD40), and HLA-ABC. The AP-Tau and HC groups differ for only 4 markers: CD25, CD31, CD40, and CD42a. No significant difference was found between the PD and MSA groups, whereas CD2 resulted different between PD and AP-Tau. CD2 and CD19 discriminated between patients with MSA and AP-Tau. The nMFI of each EV marker in all groups is reported in table e-4 (links.lww.com/NXI/A293).

The heat map (figure 2A) built on the differentially expressed EV markers highlighted HC as a homogenous group with

Table 1 Demographic data and clinical scores

Variable	AP			Pairwise comparisons					Overall <i>p</i> value	
	HC [n = 19]	PD [n = 27]	MSA [n = 8]	AP-Tau [n = 9]	HC vs PD	HC vs MSA	HC vs AP-Tau	PD vs MSA		PD vs AP-Tau
Age (y)	61 ± 8.2	66 ± 11.8	68 ± 8.6	74 ± 5.2	0.556	0.729	0.008	1.000	0.184	0.924
Sex (ref. male)	10 (52.6%)	17 (63.0%)	2 (25.0%)	4 (44.4%)	—	—	—	—	—	—
Disease duration (y)	—	4.0 [2.0–8.0]	5.5 [1.3–7.8]	4.0 [2.5–5.5]	—	—	—	—	—	—
H&Y	—	2.0 [1.0–3.0]	5.0 [4.0–5.0]	4.0 [3.0–5.5]	—	—	—	< 0.001	0.001	1.000
MDS-UPDRS	—	23.0 [13.0–34.5]	42.5 [38.0–43.0]	40.5 [28.8–81.5]	—	—	—	0.509	0.277	1.000
BDI-II	—	5.0 [3.0–8.5]	8.0 [2.8–15.8]	14.5 [11.8–19.0]	—	—	—	1.000	0.012	0.682
MMSE	—	30.0 [29.0–30.0]	26.0 [24.0–29.0]	26.0 [22.0–28.0]	—	—	—	0.049	0.002	1.000
MoCA	—	27.0 [23.8–29.0]	24.5 [17.3–27.0]	20.0 [14.5–23.0]	—	—	—	0.955	0.041	1.000
Olfactory test	—	7.0 [4.0–9.0]	9.0 [7.5–10.3]	7.0 [4.8–8.8]	—	—	—	—	—	—
RBD	—	3.0 [1.8–5.0]	3.0 [1.0–5.8]	3.0 [0.5–4.5]	—	—	—	—	—	—
LEDD	—	562.5 [202.5–737.5]	375.0 [108.0–375.0]	250.0 [100.0–452.0]	—	—	—	—	—	—

Abbreviations: AP = atypical parkinsonism; AP-Tau = atypical parkinsonism with tauopathies; BDI-II = Beck Depression Inventory II; HC = healthy control; H&Y = Hoehn and Yahr scale; LEDD = levodopa equivalent daily dose; MDS-UPDRS = Movement Disorder Society–Unified Parkinson’s Disease Rating Scale; MMSE = Mini-Mental State Examination; MoCA = Montreal Cognitive Assessment; MSA = multiple system atrophy; PD = Parkinson disease; RBD = REM sleep behavior disorder screening questionnaire. Clinical characteristics of patients with PD, MSA, and AP-Tau compared with HCs. *p* Values <0.05 were considered significant and shown in bold.

relatively low expression of EV markers, in analogy to the AP-Tau group, whereas PD and MSA were characterized by higher levels of expression.

Furthermore, a linear discriminant analysis model based on differential expression of all EV markers allowed the separation of subjects according to their diagnosis, as shown in the canonical plot (figure 2B).

Protein network hubs and functional pathway analysis of EV surface antigens

The most relevant interactors of differentially expressed EV markers were selected by PPI network topological analysis in terms of hubs. Hubs refer to proteins with the greater number of connections within the cell or occupying crucial network positions, suggesting therefore a critical role on the control of information flow over the network.^{20,24} Analysis of hubs takes account of networks size and only nodes with values above the average normalization for the level of connections in the total network are selected.^{19,20} Hubs for PD vs HC and MSA vs HC comparisons were coincident (SP1, MSN, ITGB2, EZR, C1QBP, and CARL), whereas the network on AP-Tau vs HC showed a different set of proteins (FLNA, FN1, GP1BB, HSPA4, NFKB1, STAT3, VIM, VWF, and YWHAZ) (figure 3, A–C, table e-5, links.lww.com/NXI/A293). Similarities between PD and MSA were observed also in terms of pathways and molecular functions (figure 3D, table e-6). Most represented KEGG categories included immune system, signal transduction, endocrine system, and signaling molecules and interaction. Except for the endocrine system, they were more enriched in PD and MSA, suggesting potential stronger activation of immune response in these groups. Of note, FoxO signaling pathway was higher in AP-Tau.

EV surface antigens correlate with cognitive impairment and disease gravity in PD and MSA

In PD there was a negative correlation between CD25 and MMSE and MoCa scores, a negative correlation between CD146 and MMSE score, whereas CD62P directly correlated with the BDI-II (figure 4, A–D). No significant correlations were found between EV antigen's expression and LEDD in the PD and AP groups (table e-7, links.lww.com/NXI/A293). In the MSA group, mean MFI for CD9, CD63, and CD81 inversely correlated with the MoCA, whereas nanoparticle concentration directly correlated with the disease duration and CD31 inversely correlated with the H&Y (figure 4, E–G). No correlations were observed in the AP-Tau group (table e-7).

Differential EV surface antigen expression and diagnostic outcome

Univariate logistic regression analysis, allowing the assessment of associations between each EV marker and the diagnosis, confirmed 11 EV surface antigens as potential discriminants for PD diagnosis, 6 for MSA, and 3 for AP-Tau (figure e-3, links.lww.com/NXI/A293). Among markers significantly associated with the different diagnoses, CD1c,

CD11c, CD19, CD41b, CD45, and CD146 were exclusive of the PD group; CD29 was exclusive for the MSA group. CD2 displayed the strongest association with the diagnosis of PD (OR = 1.191) and MSA (OR = 1.256), whereas CD40 with AP-Tau (OR = 1.131).

ROC curve analysis of EV surface antigens shows best performance for MSA and PD

ROC curve analysis for all pathologic groups (PD, MSA, and AP-Tau) vs HC confirmed a reliable diagnostic performance of each single differentially expressed EV markers (figure 5, table e-8, links.lww.com/NXI/A293). The linear weighted combination of the 3 markers with the highest AUC showed better diagnostic performance respect to single markers in all groups. The combination of all EV surface markers in 1 single compound marker showed a further diagnostic improvement in the PD and MSA groups.

Random forest model discriminates the different groups

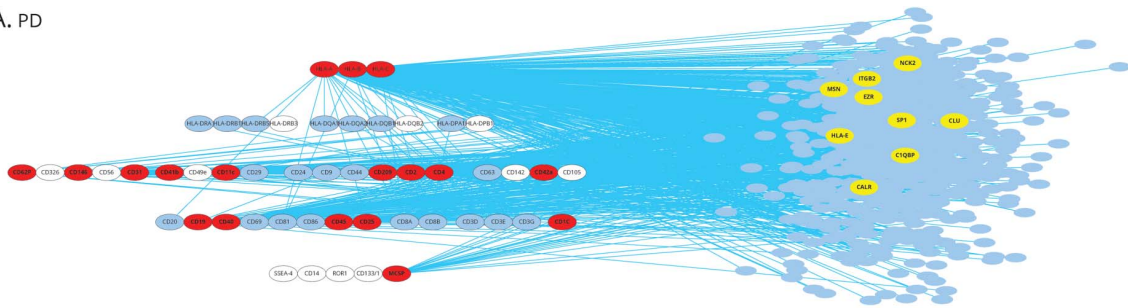
An RF diagnostic model was built using the 17 surface antigens differentially expressed in plasma-derived EVs. The forest was composed by 20 different classification trees (a representative tree is reported in figure 6A). The model discriminated patients of the 4 different groups (HC, PD, MSA, and AP-Tau) with high accuracy (88.9%): all subjects with PD were correctly diagnosed, and 1 MSA and 1 HC were, respectively, misdiagnosed as HC and PD, whereas among 9 patients with AP-Tau, 2 were predicted as HC and 3 as PD (figure 6B). Subsequently, pairwise comparisons were performed (figure 6, C–H). The RF model was validated by the leave-one-out algorithm, which confirmed the generalizability of the model and excluded overfitting bias (accuracy of internal validation 63.8%, with a 72.2%–91.5% range for pairwise comparisons). Finally, we validated our model in an external cohort of 40 subjects: the overall accuracy was 77.5%, resulting in the correct diagnosis of 31 of 40 subjects (figure 6, I and J). The accuracy after external validation was consistent with the one resulting from the internal validation, supporting the reliability of the diagnostic model. Demographic data of the external cohort were similar to those of the training cohort and are shown in table e-9 (links.lww.com/NXI/A293).

Discussion

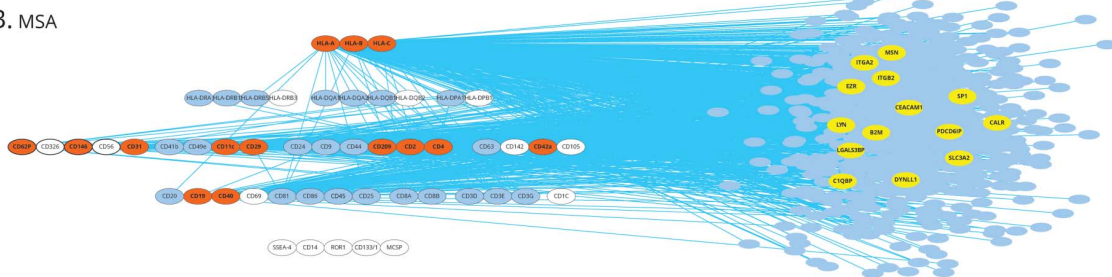
The major finding of this study consists in the setup of a diagnostic model for the stratification of patients with PD and AP, based on immunologic profiling of plasmatic EV subpopulations, obtained from minimally invasive peripheral blood sampling. We systematically evaluated the diagnostic performance of differentially expressed EV antigens, and a diagnostic model was built using supervised machine learning algorithms. The model showed an overall reliable accuracy, correctly predicting patient diagnosis, with the best performance for the diagnosis of PD (97.8%) and MSA (100%) vs HC. These results were supported by ROC curve analysis on the compound marker, originated from the linear combination of all the

Figure 3 Extracellular vesicle (EV) surface proteins upregulated in PD, MSA, and AP-Tau and functional evaluation of their protein interactors

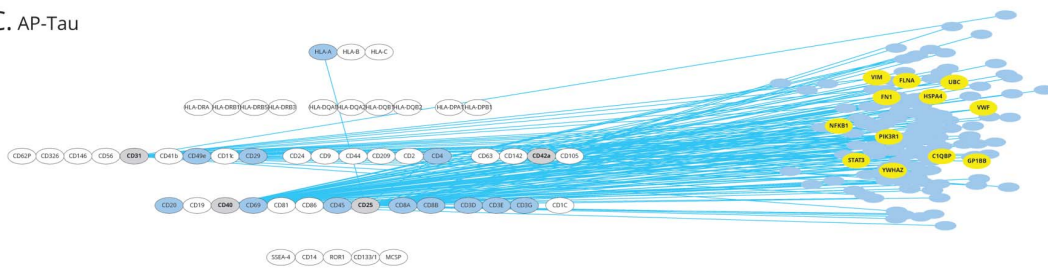
A. PD



B. MSA

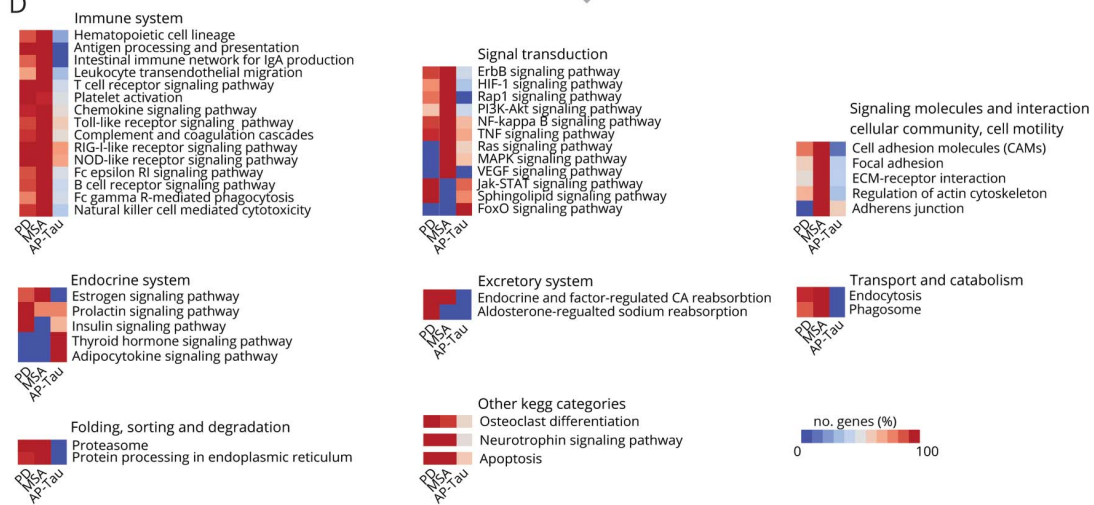


C. AP-Tau



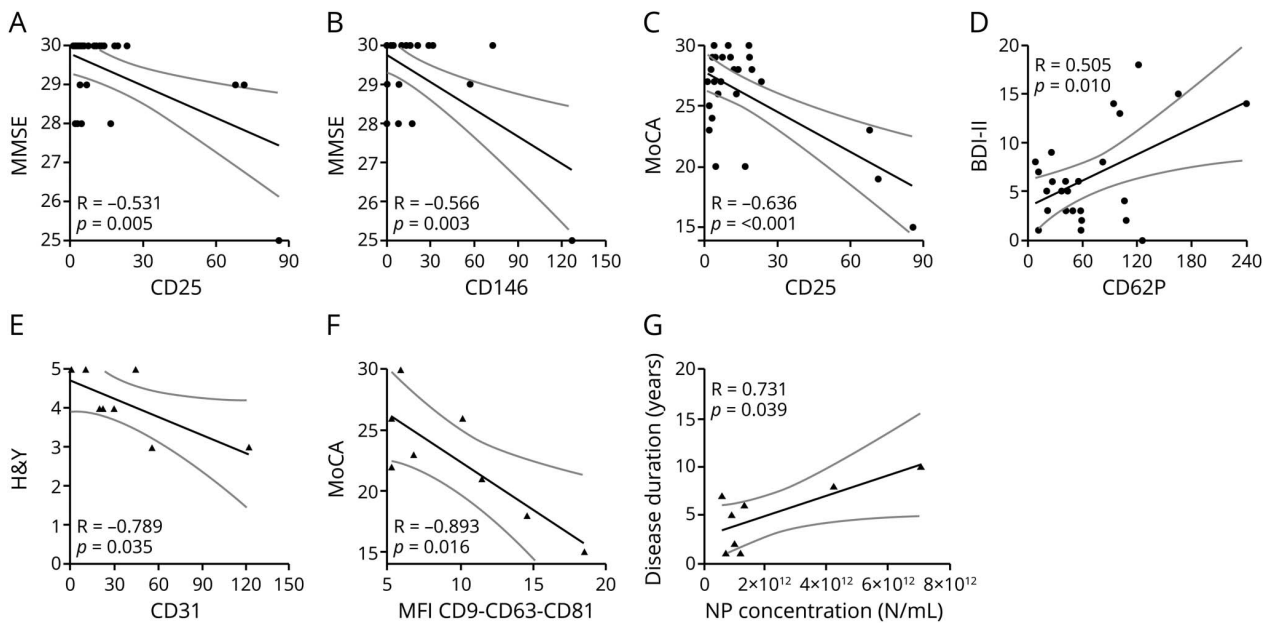
● Proteins up-regulated in PD vs HC
 ● Proteins up-regulated in AP-Tau vs HC
 ● Hubs and bottlenecks
● Proteins up-regulated in MSA vs HC
 ● Differentially expressed protein interactors
 — PPIs

D



PPI network showing the first neighbors of each differentially expressed EV surface marker in (A) PD, (B) MSA, and (C) AP-Tau vs HC. (D) Kyoto Encyclopedia of Genes and Genomes pathways enriched by considering the first neighbors of each EV surface protein in PD, MSA, and AP-Tau vs HC; DAVID database background: *Homo sapiens*, gene count >5 and $p < 0.001$. AP-Tau = atypical parkinsonism with tauopathies; HC = healthy control; MSA = multiple system atrophy; PD = Parkinson disease; PPI = protein-protein interaction.

Figure 4 Correlations between clinical scales and extracellular vesicle (EV) surface marker expression



Correlations between EV surface markers normalized MFI, nanoparticle concentration (N/mL plasma), and clinical parameters in patients with Parkinson disease (circles; A-D) and multiple system atrophy (triangles; E-G). The regression line is reported together with its 95% CI (dashed line). BDI-II = Beck Depression Inventory II; H&Y = Hoehn and Yahr scale; MFI = median fluorescence intensity; MMSE = Mini-Mental State Examination; MoCA = Montreal Cognitive Assessment; RBD = REM sleep behavior disorder screening questionnaire.

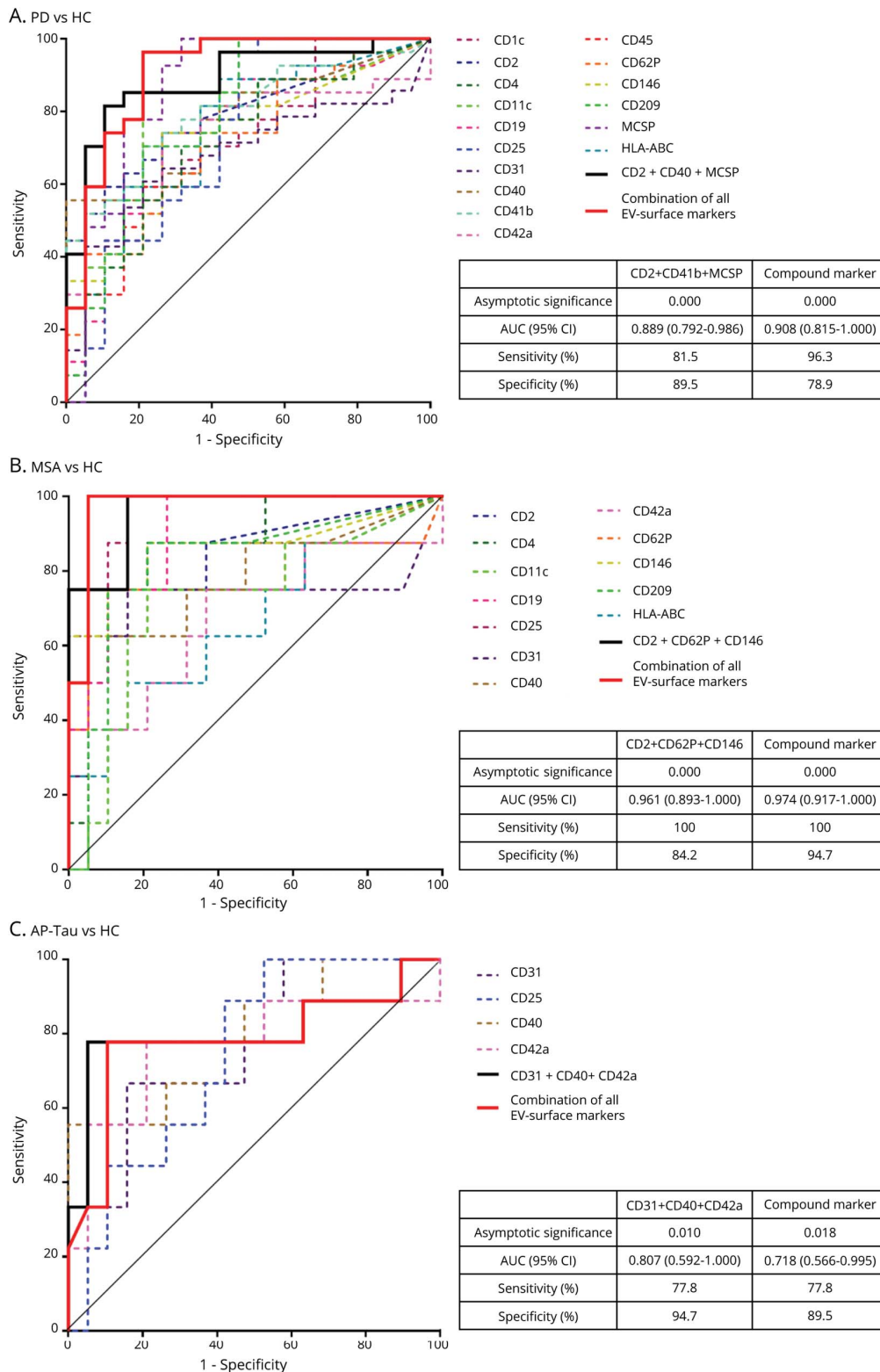
differentially expressed EV markers, showing very high sensitivity and specificity for PD and MSA (AUC 0.908 and 0.974, respectively). Previous works have explored the utility of EVs as biomarkers for PD by quantifying brain-derived exosomes (AUC 0.75–0.82)²⁵ or by measuring specific target proteins like alpha-synuclein (α Syn) or DJ-1 in plasma neuronal-derived exosomes (AUC 0.654, 0.724).²⁶ The combination of multiple markers improved the diagnostic accuracy of neuronal-derived exosomes as shown by a recent work on quantification of both α Syn and clusterin differentiating PD from other proteinopathies and from MSA with high accuracy (AUC 0.98 and 0.94, respectively).²⁷

This analysis of multiple immune surface markers of circulating EVs in PD and AP shows a high diagnostic performance, likely due to the advantage of simultaneously profiling several EV subpopulations. First of all, we demonstrated that plasma EV concentration was higher in patients with PD. Previous reports have shown that the total number and size of EVs were not augmented in serum of PD,²⁸ whereas a more recent study demonstrated an increased number of plasmatic brain-derived EVs in PD.²⁵ Methodological factors such as isolation/extraction and quantification of EVs explain these differences. However, at the molecular level, it is recognized that endosome/lysosome pathway is a common defective pathway in sporadic and genetic PD,²⁹ and EVs are generated and secreted by the endosomal compartment called multivesicular bodies by fusion with plasma membrane. The process of EV secretion may be enhanced when there is an

inhibition of fusion of multivesicular bodies with lysosomes, as expected in PD,³⁰ so that an increased production of EVs in PD is likely.

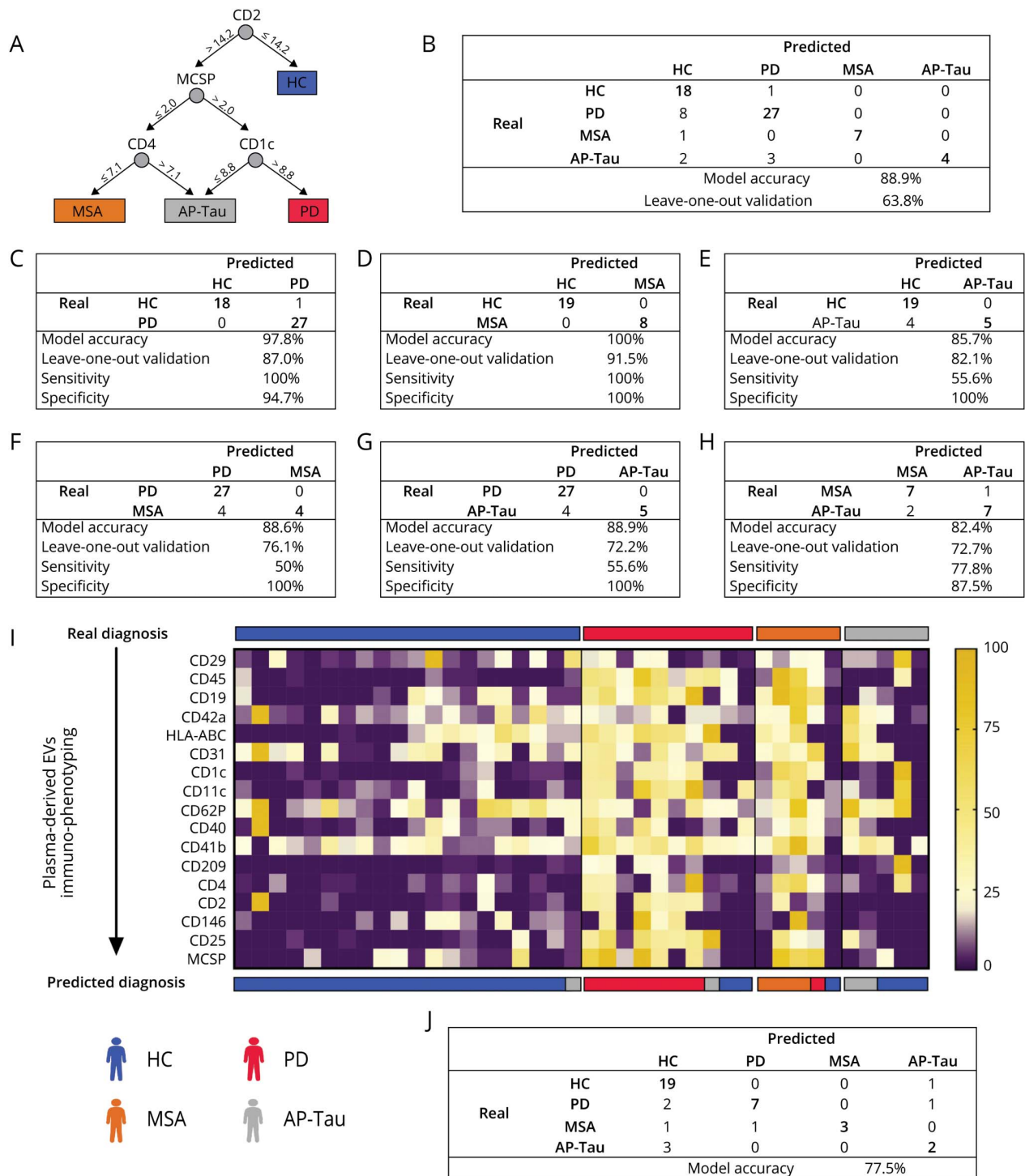
It is difficult to track the origin of EVs because the majority of markers are shared by several cell types and virtually any cell can release EVs in blood. In blood normally, a large number of EVs arises from platelets, erythrocytes, however leukocytes, endothelial cells, monocytes, neutrophils and lymphocytes may release EVs.³¹ The flow cytometry analysis demonstrated that 16 and 12 EV markers, related to immune cells, were upregulated respectively in PD and MSA, only 4 in AP-Tau compared with healthy condition. In particular, PD and MSA shared 11 EV surface markers. Considering functions and roles of EV surface markers analyzed in this study, this result favors the hypothesis of a major, or at least different, immune dysregulation in PD and MSA vs AP-Tau. Despite sharing several overlapping clinical features, synucleinopathies and tauopathies are distinguished by distinctive neuropathologic hallmarks: deposits of aggregated α Syn (Lewy bodies) in neurons and in glial cells in the former group and neurofibrillary tangles of Tau in the latter as shown by immunohistological studies.³² Although inflammatory features have been described in patients with both synucleinopathies and tauopathies, by PET studies,^{33–36} the pathways activated are probably different. Animal studies have shown that the neurotoxic effects of beta-amyloid aggregates in a model of tauopathy (Alzheimer disease) are mediated via Toll-like receptor 4-dependent glial cell activation, while α Syn aggregates in a model of PD, activated Toll-like receptor 2 independently

Figure 5 Receiver operating characteristic (ROC) curve analysis of extracellular vesicle (EV)-surface markers



ROC curves identifying the best cutoff for each EV surface marker, discriminating pathologic groups from HC. The referral line is reported in gray. (A) PD vs HC; (B) MSA vs HC; (C) AP-Tau vs HC. In each plot, ROC curves for the combination of the 3 EV surface markers with the highest AUCs and for a compound EV marker (linear weighted combination of all EV surface markers differentially expressed for each comparison) are shown (black and red lines, respectively). The tables provide asymptotic significance AUC with 95% CI, sensitivity, and specificity on the compound EV markers. *p* Values < 0.05 were considered significant. AP-Tau = atypical parkinsonism with tauopathies; AUC = area under the curve; HC = healthy control; MSA = multiple system atrophy; PD = Parkinson disease.

Figure 6 Random forest (RF) modeling to predict diagnosis and its validation in an external cohort of subjects



RF modeling to diagnose patients based on the combination of the 17 differentially expressed extracellular vesicle surface markers. (A) Representation of 1 of the 20 different classification trees created by the algorithm to predict the diagnosis PD vs MSA vs AP-Tau vs HC (B-H). Confusion matrix reporting real and predicted diagnosis, accuracy, sensitivity, specificity, and internal validation by the leave-one-out algorithm for each comparison (see Methods). (I) External validation of the RF model; 40 patients were included in the analysis (20 HC, blue; 10 PD, red; 5 MSA, orange; 5 AP-Tau, gray). AP-Tau = atypical parkinsonism with tauopathies; HC = healthy control; MSA = multiple system atrophy; PD = Parkinson disease.

from Toll-like receptor 4.^{37,38} Moreover a recent multicenter study has shown higher levels of CSF inflammatory biomarkers in PD with dementia and MSA compared with controls and not

in AP-Tau vs controls, plus those markers correlated with motor and cognitive impairment.³⁹ Likewise, our analysis showed a moderate correlation between CD25, CD146, and cognitive

impairment in PD suggesting a link between inflammation and a major cognitive decline: CD25 is a costimulatory molecule supporting immune cell activation,⁴⁰ and CD146 acts as an essential regulator of pericyte–endothelial cell communication in the blood–brain barrier and it has been identified as a potential key therapeutic target for cerebrovascular disorders.⁴¹ In MSA, the concentration of EVs measured by NTA and flow cytometry analysis correlated with disease duration and cognitive impairment. These findings favor the hypothesis of a perpetuation of toxic effects by circulating EVs due to chronic immune activation, even if a compensatory/neuroprotective role of EVs in response to the progressive neurodegeneration cannot be excluded.

Among EV markers differentially expressed in PD, CD146 and MCSP are of interest because they have been associated with melanoma and used for detection of circulating tumor cells.⁴² Consistently, a link between PD and melanoma has been supported by many epidemiologic studies, showing that patients with PD have a higher incidence of this tumor, even if the underlying pathogenic mechanisms are unknown.⁴³

The network analysis of potential interactors of EV surface markers demonstrated that functional pathways and network hubs in PD and MSA were coincident and different from those of AP-Tau. Of interest, among hubs shared by PD and MSA, we found SP1, a transcription factor playing a key role in regulating neuroinflammation in MS.⁴⁴ The most represented KEGG pathways were immune system, signal transduction, signaling molecules and folding, sorting and degradation in alpha-synucleinopathies, whereas FoxO signaling pathway and some pathways of the endocrine system were higher in AP-Tau, matching with the relation that has been found by many authors between endocrine signaling, tauopathies, and FoxO.^{45,46} However, this exploratory network analysis should be interpreted with caution because AP-Tau had less differentially expressed EV markers, consequently the smaller network was a limiting factor to recover potential pathways and functions in tauopathies. Anyhow, among the identified hubs, it has been encouraging to find some of them described in the literature: cytoplasmic protein NCK2 was recently described as a PD-associated gene.⁴⁷ Tyrosine-protein kinase Lyn (LYN), a specific hub of MSA, was related to enhanced microglial migration by α Syn.⁴⁸ Of note, signal transducer and activator of transcription 3 (STAT3), a specific hub of AP-Tau, has been found to be a direct target of C3 and C3a receptor signaling that functionally mediates Tau pathogenesis.⁴⁹ However, these network analyses are hypothetical, and further validation studies are required to assess their possible roles in causing PD and AP.

Limitations of this study are the relatively low number of subjects, especially in AP groups, and the inclusion of patients only with long duration of disease: larger studies and inclusion of different cohorts of patients, especially at early stages of

disease, are strongly recommended. Moreover, a customized panel of EV surface proteins including CNS and microglia markers would probably increase the diagnostic model. Finally, this is an antemortem study, and it lacks the diagnostic confirmation of postmortem brain histopathologic analysis.

In conclusion, we systematically characterized circulating EVs in plasma of patients with PD or AP. Several EV surface antigens were differentially expressed and correlated with disease gravity and cognitive impairment, suggesting EVs as potential biomarkers of disease, also in clinical trials for disease-modifying drugs. We propose a diagnostic model built through supervised machine learning algorithms, based on EV-specific signature, which was able to discriminate patients with PD and MSA with high accuracy. Finally, we provided internal and external validations of our model, confirming reliable diagnostic performance.

This is a highly relevant result with a potential impact on clinical practice, allowing with a noninvasive, low-cost blood test to identify patients with PD and MSA. Furthermore, circulating EV surface protein analysis can shed light on the differential inflammation/immunity pathways involved in protein aggregation–related neurodegenerative disease, to be confirmed by functional analysis in experimental models of diseases.

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Disclosure

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Jacopo Burrello, MD	Cardiocentro Ticino Foundation, Lugano	Acquisition, analysis, and interpretation of data and drafted the manuscript

Continued

Appendix (continued)

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Pierluigi Mauri, PhD	ITB-CNR, Milan	Interpreted the data and revised the manuscript for intellectual content
Giuseppe Vassalli, MD	Cardiocentro Ticino Foundation, Lugano	Interpreted the data and revised the manuscript for intellectual content
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Lucio Barile, PhD	Cardiocentro Ticino Foundation, Lugano	Study design, interpreted the data, and revised the manuscript for intellectual content
Alain Kaelin-Lang, MD, PhD	Neurocenter of Southern Switzerland, Lugano	Study design, patient enrollment, interpreted the data, and revised the manuscript for intellectual content
Giorgia Melli, MD, PhD	Neurocenter of Southern Switzerland, Lugano	Study design, patient enrollment, interpreted the data, and manuscript writing and revision

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Immune profiling of plasma-derived extracellular vesicles identifies Parkinson disease

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