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#### Wild rats as urban detectives for latent sources of asbestos contamination

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#### 1 TITLE: Wild rats as urban detectives for latent sources of asbestos contamination

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## 22 HIGHLIGHTS

24 Abstract

#### 1. INTRODUCTION

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29 30 According to international laws 'asbestos' is a set of six asbestiform silicates, five belonging to the 31 mineralogical group of amphiboles (actinolite, amosite or grunerite asbestos, anthophyllite, 32 crocidolite and tremolite) and one to serpentine group (chrysotile) (Dir. 2009/148/EC). 33 In the past, nearly all over the world, asbestos have been widely employed in many composite 34 materials for their chemical-physical and technological properties: resistance to abrasion, heat (nonflammable even at high temperatures) and chemicals, low sound-transmission coefficients, low 35 36 thermal conductivity and low density, flexibility (Gualtieri, 2012). Chrysotile, crocidolite and amosite 37 were broadly employed in the building field to produce asbestos-cement. 38 The asbestiform habit and surface activity are responsible of adverse effects on human health, when 39 the fibres from asbestos and asbestos containing material (ACM) are inhaled, especially at high doses. 40 ACM may potentially release respirable fibres (Stanton, 1981) especially if the ACM is deteriorated 41 or constituted by a friable matrix. At present, there is a large body of scientific literature on the presence of asbestos fibres in human lungs (and other tissues and organs) and its association with 42 43 pathological changes (e.g., lung cancer, pleural and peritoneal mesothelioma) both in humans and in 44 animals (IARC, 2012). In Italy there were from 2001 to 2007 about 1,000 cases of deaths per year for 45 pleural mesothelioma, 3,000 estimated cases of lung cancer and 560 asbestosis per year from 2003 to 2007 (Marinaccio et al., 2008); between 1993 and 2015 the Italian National Register of Malignant 46 47 Mesotheliomas collected 27,356 cases (Marinaccio et al., 2018). 48 From 1907 to 1986 the most important Italian manufacturing plant of asbestos-cement (Eternit S.p.A.) 49 was active in the municipality of Casale Monferrato (Piedmont Region, north-western Italy). 50 Corrugated and plane sheets, pipes and pressure pipes and other artefacts were produced, reaching a 51 peak consumption of 15,000 metric tons of raw asbestos in 1981. Chrysotile represented 90% of all 52 53

asbestos used that year and crocidolite the remaining 10% (Maule et al, 2007). Chrysotile was provided by the Italian mine in Balangero but was also imported, mainly from Russia. It is worth noting that Russian chrysotile was contaminated by tremolite asbestos (REF?). Today Casale Monferrato is known for the great impact that occupational exposure to asbestos had on the health of its citizens, in terms of incidence of asbestos related diseases (*i.e.*, asbestosis, mesothelioma, lung cancer, etc.) (Magnani *et al.*, 2001; Magnani *et al.*, 2007; Comba *et al.*, 2018). However, most mesothelioma cases occurring among residents of Casale Monferrato had never been employed at the asbestos-cement factory. Epidemiological studies carried out in Casale Monferrato have shown that the asbestos related diseases can not only depend on occupational and para-occupational exposure but also on passive exposure in asbestos containing buildings, such as public offices or schools, where

- 62 the people involved have no awareness of direct physical contact with asbestos-containing material 63 (Mirabelli et al., 2010; Comba et al., 2018). The main sources of exposure were traced to residential 64 exposure to fall-out from the factory, sharing home with Eternit workers, and reuse of waste materials that were made freely available to the local population. These materials included broken products, 65 reduced by people to the dimension of small pebbles and used as substitutes of gravel in road and 66 67 courtyard pavements, and fine dust resulting from the grinding of asbestos-cement pipes extremities, used as thermal insulation in attics or remixed with cement to produce pavements (Magnani et al., 68 69 2001; Maule et al., 2007). In 1992 the Italian legislation forbade the extraction, import, processing, 70 marketing, use and sales of asbestos and ACM and required the issue of information about 71 remediation measures and controlled disposal. The widespread uses of asbestos-cement waste 72 materials were the target of remediation projects promoted over the years by the Casale Monferrato 73 municipality, but no census existed and there is concern that potential sources of asbestos pollution 74 still exist in the city, unrecognised because either undeclared or forgotten, perhaps decades after their 75 installation.
- In recent decades, it was found that the identification and monitoring of a wide variety of hazardous environmental pollutants on human health can be done through surveys of animal populations defined as "sentinel animals" systems (Reif, 2011). Specific experiences have been gained on the animal exposure to asbestos (Dumortier *et al.*, 2002; De Nardo *et al.*, 2004; Bellis *et al.*, 2005; Belluso *et al.*,
- 80 2006; Fornero et al., 2009; Ben-Shlomo et al., 2011; Capella et al. 2017).
- 81 In 2011, our research team has conducted a pilot study to develop and assess procedures to capture
- wild rats and analyse them to make possible the monitoring of an urban area (Ardizzone *et al.*, 2014).
- 83 Casale Monferrato had been selected as study area based on the known historical and diffuse asbestos
- 84 contamination and the choice of the rats was based on their adaptation in urbanized environments,
- 85 the extensive and widespread presence and the demonstrated susceptibility to asbestos fibres.
- 86 The aim of this study was to uncover sites with the greatest potential of non-occupational exposure
- 87 to asbestos within the urban area of Casale Monferrato by quantifying the amount of asbestos fibres
- in lung tissue of captured rats used as sentinel animals.

#### 2. MATERIAL AND METHODS

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## 2.1. Design of the study and sampling

- 93 Rats (i.e., Rattus rattus and R. norvegicus) have been chosen as sentinel animals to uncover asbestos
- 94 contamination sites in the urban area of Casale Monferrato.
- 95 The sample design was initially set as follows:

96 a) A regular grid of squares of 200 meters per side was placed on the map of the city (Fig. 1, 97 OpenStreetMap® cartographic image processed with QGIS System). The length of the side of the 98 squares was defined considering the rat behaviour and the home range usually covered around a 99 permanent den (Gardner-Santana et al., 2009). Initially the priority was given to areas with buildings 100 built in 60's and 70's ("60/70 area"), perimeter area located S and SW of the old town, i.e. dating 101 back to the period of greater asbestos production and high densities of population. A control location, 102 to check the ability of rat capturing of the research team, has been added in a suburban area (~2.5 km 103 from Casale Monferrato) where the presence of rats had been reported by private citizens. 104 b) Multiple capture traps (Ekomille, Ekommerce SRL, Atessa, Italy) were selected as sampling tools. 105 These devices allow multiple and continuous catches, until 10-12 wild rats are captured discouraging 106 other animal species to enter the trap. Traps were used following the instructions of the construction 107 companies using the appropriate personal protective equipment. It was planned that the available 108 traps (1 to 3) should have been placed as close as possible to the centre of squares for about 3 weeks 109 or less if the number of captured rats had been satisfactory (initially a target of 5 rats per trap had 110 been fixed). After the maximum 3-week period the traps were moved to other squares in the grid. 111 Each sampling point was localized using a GPS. The traps were named with the name of the quadrant 112 (letter-number, Fig. 1) plus a consecutive number per site and activation period (mid-April 2013 to 113 end-June 2015). 114 c) The rats had to be individually subjected to necropsy and histopathology. Later, the lung material 115 at each trapping sites was to be pooled and analysed, keeping separate the material from rats weighing 116 100 g or more (heavy rats: H) from that of smaller rats (light rats: L), regardless of sex or species. 117 The "100 g" cut-off was then used as a proxy for age at exposure to asbestos fibres: the assumption 118 was that younger animals (body-weight < 100 g), given their shorter lifespan, would have had fewer 119 opportunities to inhale and accumulate asbestos. 120 After the first year of sampling, the number of sampling points with successful captures and the 121 number of captured rats were much lower than expected. To improve capture performance, advice 122 from rodent control companies was obtained and an enhanced cooperation was searched from citizens 123 reporting rats. Therefore, the revised procedures about the traps' management were: site selection 124 based on information from residents, new types of bait, multiple traps per sampling point. Additional 125 30 individual-capture traps (hereinafter called as "snap traps") were incorporated into the sampling. These traps (Trapper® T-Rex, Bell Laboratories Inc., Madison, US) differed in the working features 126 127 (snap spring), in the number of catchable rats (one animal at time) and in the management of the 128 captured animals. Moreover, as a result of citizen reporting, the area was extended to the Casale's old 129 town (Fig. 1) where inhabitants were complaining about the presence of many rodents.

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#### 2.2. Necropsy

- 132 The captured rats were sexed and weighed. A necropsy was performed according to a standardised
- protocol in order to detect the presence of any lesions referable to zoonotic diseases (as post-capture
- safeguarding of the health of the research team) and to collect tissue portions for further examination.
- Spleen, liver and kidneys were collected and tested for *Francisella tularensis* and *Leptospira* spp. by
- PCR (Forsman et al., 1994; ...). Lungs were sampled and fixed in 4% buffered formaldehyde
- solution. The left lung was subjected to histopathology whereas the right lung was subjected to
- electron microscopy. Heart, spleen, liver and kidneys were also sampled for histopathological
- examination to evaluate the general health status of the animals.

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#### 2.3. Histopathology

- Histological examination of the lung tissue was performed in order to highlight the so-called asbestos
- 143 corpuscles, golden-brown rounded or handlebar formations with a thin and translucent fibre in the
- 144 core, or any histopathological changes related to the inhalation of asbestos fibres. The corpuscles are
- typically described around fibres of amphiboles, while rarely around those of chrysotile and are
- 146 usually located within the fibrous tissue or can be placed within the alveolar spaces or
- intracytoplasmic in macrophages or in multinucleated giant cells.
- Each sampled organ-was fixed in 10% neutral buffered formalin and routinely processed: paraffin
- inclusion and cut into 3-5 µm sections and stained with haematoxylin-eosin (HE).
- 150 Serial sections of the lung were also stained by two histochemical stainings: Perls (method for ferric
- iron, Bio Optica Milano S.p.A, Milan, Italy) and Masson (Masson trichrome with aniline blue, Bio
- Optica Milano S.p.A, Milan, Italy), in order to easily detect, respectively, the presence of ferruginous
- corpuscles due to asbestos and the presence and the extent of pulmonary fibrosis.
- 154 The Perls staining is suitable to stain in blue iron-ferric on tissue sections but is not able to stain the
- iron-ferrous and the iron bound to haemoglobin, ferritin and pigments due to use of acid formalin. As
- 156 positive control for the Perls staining was used a sample of lung tissue from rats subjected to
- inhalation of amosite. The result of Perls staining was expressed as positive or negative.
- 158 The Masson staining allows the visualization of muscular fibres in red, collagenous tissue in blue and
- erythrocytes in yellow. An *ad hoc* grading score was used for the evaluation of presence/absence of
- pulmonary fibrosis and its extent, performing a semi-quantitative evaluation: Negative (absence of
- appreciable pulmonary fibrosis), Positive with grade I (minor fibrosis), Positive with grade II
- 162 (moderate fibrosis), and Positive with grade III (severe fibrosis).

#### 2.4. Scanning electron microscopy

- 165 The pools of lung samples were prepared for scanning electron microscopy with energy dispersive
- spectrometry (SEM-EDS) and examined to evaluate the presence of asbestos fibres and their
- 167 concentration according the protocol described by Belluso *et al.* (2006).
- 168 Each preparation was investigated by SEM (StereoScan-360, Cambridge Instruments, Cambridge,
- 169 UK). Only inorganic particles having aspect ratio > 3:1 have been considered. Their chemical
- 170 composition was measured by EDS (INCA Energy 2000, Oxford Instruments, Abingdon, UK). The
- detected inorganic fibres were identified by comparing their EDS spectrum with those collected in
- the available laboratory database. The inorganic fibres detected in rat lungs have been classified in
- 173 'asbestos fibres' and 'non-asbestos fibres', and in turn the 'asbestos fibres' were divided based on
- dimension into 'short asbestos fibres' (SAF:  $L < 5 \mu m$ ,  $d < 3 \mu m$  and L/d > 3) or 'long asbestos fibres'
- 175 (LAF: L > 5  $\mu$ m, d < 3  $\mu$ m and L/d > 3) according to the criteria defined in the European directive
- 176 (Dir. 2009/148/EC).

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- By the SEM-EDS it is difficult to distinguish correctly between chrysotile and asbestiform antigorite
- 178 (a non-asbestos), both species belonging to the serpentine group (Fornero et al., 2009). When it was
- 179 not possible to classify the fibre as chrysotile *sensu stricto*, in conservative mode and considering that
- 180 the final objective is to locate residual sources of asbestos to sanitize the area, for our analysis we
- assumed that these fibres belonging to the asbestos group chrysotile. Besides, our assumption is
- supported by the fact that there is no deposit or natural outcrops of these minerals were reported near
- 183 Casale Monferrato; therefore, it was reasonable to assume that these fibres derive from anthropogenic
- 184 manufacturing linked to asbestos.
- 185 Since mineral species tremolite and actinolite (both asbestos) do differ only in their chemical
- composition (amount of Mg and Fe), it is not possible to distinguish them by SEM-EDS, are hereafter
- 187 considered one group (indicated tremolite/actinolite) (Capella et al., 2017). The number of detected
- inorganic fibres was normalized to 1 g of dry tissue as indicated by international guidelines (De Vuyst
- 189 et al., 1998) reporting the concentration in terms of load of asbestos fibres per gram of dry lung tissue
- 190 weight: ff/gdw.

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#### 192 2.5. Statistical analysis

- 193 The concentration of inorganic fibres (ff/gdw) detected in each pool was described by sampling point,
- 194 fibre category and weight group (i.e., L:  $\leq 100$  g or H:  $\geq 100$  g) of sampled animals.
- 195 A statistical analysis was aimed at exploring the existence of geographical differences in the
- 196 concentration of asbestos fibres and to detect the potential for outliers associated to specific sites. The
- outliers identified were considered as probable hot-spot points. For this purpose, since the fibre

- 198 concentration in the different pools analysed did not fit a Gaussian distribution, a MAD (median
- 199 absolute deviation) method was used (Leys et al., 2013; Iglewicz and Hoaglin, 1993). Iglewicz and
- 200 Hoaglin (1993) suggested that observations could be labelled outliers when |MAD-score|>3.5.
- 201 Statistical analysis was performed using Stata 14.1 (StataCorp, 2015).
- Furthermore, to identify the most likely area where putative unrecognized local sources of asbestos
- 203 contamination were present, we delimited a circular area with a 100 m radius ("buffer area") around
- sampling points with outliers in the lung fibre burden. One hundred meters is a plausible distance
- 205 covered by wild rats around a permanent den (Byers et al., 2019). The buffer area was processed with
- 206 QGIS System (GNU) and drawn on an OpenStreetMap<sup>®</sup> cartographic image (CC BY-SA).

## 208 **3. RESULTS**

3.1. Rodent trapping

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- Based on the operational capacity of the research team and the reporting of murine presence by the
- 212 citizens, over the study period, the traps have been placed in (or just outside) 29 squares of the grid
- 213 (17 from the 60/70 area and 12 from the old town, Fig. 1). It was not possible to obtain captures in
- 214 all the sampled squares, although the presence of rodents was demonstrated by the large consumption
- of bait in all traps. In total 40 rats (37 R. norvegicus and 3 R. rattus) were caught from 15 sampling
- 216 points (11 and 4 respectively from the old town and the 60/70 area; Fig. 1, Table 1) and from the
- 217 control location outside urban area. Thirty animals were trapped by multiple capture traps (20, 7 and
- 218 3 from respectively the old town, the 60/70 area, and the control location), 8 by snap traps and 2
- caught by a cat near a multiple capture trap placed in the old town. Both H and L rats were captured
- 220 in five sampling points.
- 222 3.2. Necropsy and PCR
- During the necropsy, no macroscopic lesions were found in any captured rat. All PCR analyses
- 224 conducted on the samples were negative for F. tularensis and Leptospira spp. It was not possible to
- 225 perform any laboratory analysis on two of the captured rats since, at a first macroscopic evaluation,
- 226 they were in very poor conditions of conservation.

#### 228 3.3. Histopathology

- Out of the 38 rats (22 classified as H) available for histopathological analysis, in 12 it was possible
- 230 to detect pneumonia or bronchopneumonia with different degree of inflammatory lesions, and in one
- rat an area of fibrotic pleural thickening was apparent. No pathological lesions directly attributable to

- 232 the inhalation of asbestos fibres were identified in hearts, spleens, livers, and kidneys. Perls staining
- 233 was positive in 17 animals, even if only in 5 of them it was possible to identify the presence of
- 234 ferruginous bodies compatible with asbestos corpuscles. Masson staining allowed the detection of
- severe, moderate, and minor fibrosis in 5, 14 and 3 samples respectively; no appreciable fibrosis was
- found in 16 samples.
- 237 A histopathological diagnosis of asbestosis could be done in 2 animals from one sampling point
- 238 (F1 1), where both corpuscles and severe and moderate fibrosis were simultaneously detected. In 9
- 239 rats (7 sampling points), asbestosis could be hypothesized since Perls staining was positive and
- 240 associated with fibrosis detected by Masson staining; however, they didn't show any ferruginous
- 241 body.

#### 3.4. Scanning electron microscopy

- 244 In total lung tissues from 36 wild rats were suitable for SEM-EDS investigation and have been
- 245 analysed in 19 pools (7 from L rats); the remaining four rats were excluded due to very poor lungs
- 246 condition.
- 247 Inorganic fibres have been detected in 15 pools. In total, 13 types of fibrous inorganic species have
- been identified, among which asbestos tremolite s.s. (or tremolite/actinolite), amosite, and chrysotile
- 249 s.s. (or chrysotile/antigorite). Eleven positive pools (8 pools from H rats) contained asbestos fibres;
- in one case only SAF were detectable whereas in 6 only LAF.
- Among the 11 pools positive to asbestos, one contained the 2 rats with histopathological diagnosis
- 252 for asbestosis; one rat with probable asbestosis (i.e., Perls and Masson stains positive but without
- 253 identifying ferruginous bodies) was present in each of other 3 pools.
- 254 The mean concentration of asbestos fibres was 30,136 ff/gdw (n = 11 pools, sd = 27,126 ff/gdw).
- LAF showed higher concentration (n = 10, mean = 30,600, sd = 26,336 ff/gdw) compared with SAF
- 256 (n = 5, mean = 5,100, sd = 0 ff/gdw).
- 257 Regardless of the length of the asbestos fibres, tremolite/actinolite (including tremolite s.s.) was the
- 258 most common species of asbestos found in the lungs of rats, both in absolute number of fibres (43
- 259 fibres in 9 pools, 16 rats) and per gram of dry tissue (mean = 24,367 ff/gdw), followed by amosite (9
- 260 fibres in 2 pools, 7 rats; 22,950 ff/gdw) and chrysotile/asbestiform antigorite (including those
- properly identified as chrysotile: 9 fibres in 6 pools, 12 rats; 11,050 ff/gdw).
- 262 The highest asbestos load has been detected in the pools of the sampling points F2 1 (2 pools, 1 H
- and 1 L, mean = 58,650 ff/gdw) and F2 3 (81,600 ff/gdw) (Fig. 2).
- Outlier concentrations was detected at the sampling point F2 3 (MAD-score = 4.38); a high MAD-
- score (2.87), but not significant, was obtained for the sampling point F2\_1. Furthermore, was

observed an overlap of the respective buffer areas (*i.e.*, hypothetical home-range around the sampling point), consistent with the hypothesis of an asbestos hot-spot in this area (Fig. 3).

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#### 4. DISCUSSION AND CONCLUSIONS

- 270 Our study confirms the rat (R. norvegicus and R. rattus) as a helpful sentinel of remaining asbestos;
- 271 moreover, our results suggest that at least one geographically defined location in the urban area of
- 272 Casale Monferrato could represent a probable hot-spot. Our approach is based on the observation that
- 273 the murine lung tissue acts as a filter for mineral fibres, among which the asbestos fibres are
- 274 distinguishable and can be also classified by species.
- 275 The heterogeneity of the mineral species found and the marked differences between the
- 276 concentrations detected make it possible to process the data and search for the causes (sources) of
- 277 these differences, valid for territorial realities even diverse from Casale Monferrato.
- 278 Three types of asbestos were detected in the lung tissue of the captured rats: chrysotile (the most used
- asbestos at the Eternit plant), tremolite/actinolite, and amosite. Interesting to note is the high load of
- tremolite/actinolite asbestos, which were not commercially used in ACM to a significant extent (e.g.,
- in the preparation of fibre cement), and the absence of crocidolite fibres.
- 282 The high load of tremolite/actinolite asbestos in the rat lungs could be explained by the following
- reasons: the presence of natural sources (Capella et al., 2017), their high persistence in biological
- 284 tissues (Ref?), and the contamination of crocidolite imported by Eternit from Russia by tremolite
- asbestos (Ref?). On the other hand, given the great distance from the hypothetical home-ranges of
- asbestos-positive rats to the railway track (Fig. 3B), it would not seem likely that the railway ballast
- are sources of asbestos tremolite/actinolite.
- 288 The non-detection of crocidolite (fibres that were commonly used at the plant) could be the result of
- 289 the remediation effort carried out in recent decades in our study area. On the contrary, amosite was
- 290 rarely used in the productive process of Eternit, but it was widely used for the insulation of the boiler
- bodies, in the central heating pipes and for the spray coating of walls and ceilings of electrical control
- 292 units. Then the quite unexpected finding of high load of amosite in two close sites could be explained
- 293 by a specific source of asbestos.
- 294 Significant rat capture difficulties arose during the study: despite of large consumption of bait in all
- traps, the number of caught rats was less than expected. The reasons for this can be found in the innate
- ability of rats to warn of the danger of traps, in the periodic rodent control activities carried out in the
- 297 urban area, and in the low effectiveness of traps. Multiple capture traps allow for long-term
- 298 monitoring with acceptable management costs (i.e., personnel effort to check the traps) and it is very
- 299 effective when the spread of rats is epidemic. However, given that periodic rodent control activities

300 have reduced the murine population in the urban area, it is reasonable to assume that the presence of 301 wild rats is widespread but without very large colonies, except in certain areas. 302 In contrast, snap traps appeared to be more effective, but these require higher management costs to 303 ensure daily, or twice-daily, controls. 304 Therefore, the skill and the effectiveness in the capture are crucial to successfully complete the study 305 and were a main stone in the planning of the study. Our experience suggests to considering all the 306 information about the territory, the density of murine population and to place several and different 307 kind of trap devices. For example, we suggest using the snap traps for short intensive periods and the 308 multiple capture traps for long periods, especially with high murine population density. 309 The methodology proposed by this study represents a preliminary screening step that, in situations 310 where the presence of latent sources of asbestos is suspected, significantly reduces the geographic 311 magnitude of the area to be investigated. Given a radius of 100 m around the sampling point, the 312 exact identification of the latent source requires a further and more detailed search. At this stage, sentinel animals may still be useful, e.g., other traps could be placed at a shorter distance and 313 314 additional research strategies applied in the suspect area, such as the administration to local 315 inhabitants of an ad hoc questionnaire to help in the detection of the putative latent source. 316 Furthermore, the search could be guided by the type of asbestos found in the lungs of locally captured 317 rats, that is, particularly by looking at the ACM that might contain them or the sites where they were 318 known to be located. Finally, to improve the search, the assistance of rodent experts could help to 319 track the footpaths and burrows of rats, which would make it possible to better determinate the 320 rodents' home-range and thus the search area. 321 As conclusion, we can say that the approach presented in this study can be used in the framework of 322 public health campaigns to address asbestos removal activity.

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Table 1: number of rats captured and analysed by sampling point, capture method, species, weight class (L: < 100 g or H:  $\ge 100 \text{ g}$ ).

			Captures			SEM-EDS	
Sampling point	Species	Capture method	Total	L	Н	L	Н
00_1	R. norvegicus	Multiple capture trap	3		3		2**
D9_1			1		1		1
F7_1			4	1	3	1	3
F6_2			1		1		1
E6_2			1		1		1
H3_1			2	2		2	
E3_1			7	6	1	4*	1
F2_1			6	5	1	5	1
F1_1			3		3		3
H3_1		Snap trap	1		1		1
E3_4			1		1		**
H2_1			2	1	1	1	1#
E1_2			2	1	1	1	1 <sup>§</sup>
E1_3			1		1		1§
E2_3		Preyed by cat	2	2		2	
F2_3	R. rattus	Multiple capture trap	2		2		2
H2_1		Snap trap	1		1		1#
Total			40	18	22	16	20

### 334 SEM numbers in bold were positive pools for asbestos fibres.

\*: two rats have been excluded by the histopathologic and SEM-EDS investigations since they were in poor conditions of conservation at a first macroscopic evaluation.

\*\*: one rat has been excluded by the SEM-EDS investigations because the quality of the sample did not allow it.

338 # and \\$: analysed in the same pool.

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# Figure in altro file

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