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A retrospective study and survival analysis on bitches with mammary tumours spayed at the same time of mastectomy

This is a pre print version of the following article:

Original Citation:

Availability:

This version is available <http://hdl.handle.net/2318/1799198> since 2021-09-02T10:32:28Z

Published version:

DOI:10.1111/vco.12759

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2 spayed at the same time of mastectomy

3
4 Spaying bitches with mammary tumors

5
6 ABSTRACT

7 The aim of the present study was to retrospectively assess whether spaying at the same time of
8 mastectomy increased disease-free survival (DFS) in bitches with mammary tumors and to
9 investigate the utility of clinical data when designing a surgical plan that includes gonadectomy.
10 Data from 225 bitches were retrieved. Only 116 were surgically treated. Among these, 52 bitches
11 underwent mastectomy and ovariectomy and 46 bitches underwent mastectomy alone. Survival
12 analysis by Kaplan-Meier and in-between groups comparisons using Student's T, Chi-square, and
13 one-way ANOVA tests were performed. Eighteen bitches were already spayed. DFS was longer for
14 bitches that underwent ovariectomy and mastectomy compared to those that were left intact
15 ($P=0.00064$) or were already spayed ($P=0.0098$). Spaying status affected the tumor size (spayed:
16 $2.75 \text{ cm} \pm 2.72$; intact: $1.76 \text{ cm} \pm 2.04$; $P=0.039$), but not malignancy ($P>0.05$). Differences in age
17 were detected between animals with benign and malignant tumors (9.1 ± 2.8 and 10 ± 2.3 ; $P=0.004$),
18 with multiple and single tumors (10.18 ± 2.6 and 9.3 ± 2.8 ; $P=0.007$), and between purebred and
19 mixed breed bitches ($10.46 \text{ years} \pm 1.78$ and $9.27 \text{ years} \pm 2.68$; $P = 0.005$). Malignant tumors were
20 larger than benign ones ($2.17 \text{ years} \pm 2.31$ and $1.34 \text{ years} \pm 1.82$; $P = 0.005$) and size increased
21 according to the degree of malignancy. DFS was shorter for animals presenting tumors $>2 \text{ cm}$ in
22 size ($P<0.006$) and with tumors in the first pair of thoracic mammary glands ($P=0.00009$).
23 Gonadectomy should be suggested to owners of intact bitches carrying mammary tumors and age,
24 size of the tumor, and location should be carefully considered when performing surgery.

25
26 Key words: Dog | mammary tumor | mastectomy | ovariectomy | [gonadectomy](#)

27
28 1. INTRODUCTION

29 Canine mammary tumors (CMTs) are the most common reproductive neoplastic disease in dogs
30 and, generally, the most reported tumor in intact bitches¹. Surgery is the standard treatment, with
31 good prognosis in animals with benign-to-low grade non metastatic tumors.

32 The role of ovarian steroids on carcinogenesis of the mammary gland has been the object of several
33 studies in bitches. Sexual steroids act both under physiological and pathological conditions due to
34 the presence of hormone receptors in mammary tissue²⁻⁴, and they may have an autocrine/paracrine

35 role in the growth of mammary tumors and in the maintenance of the disease⁵. Ductal growth is
36 promoted by estrogens, whereas progesterone causes development and hyperplasia of lobulo-
37 alveolar tissue⁶. Progesterone might be involved in the upregulation of growth hormone (GH)
38 production within the mammary tissue, leading to proliferation of mammary stem cells that could
39 have a primary role in carcinogenesis^{1,7}. Hormonal stimulation of mammary tissue occurs at every
40 estrous cycle, so that the reduction of risk of mammary cancer development has been calculated in
41 relation to age (i.e., number of estrous cycles) at gonadal removal⁸⁻¹⁰. A systematic review of the
42 literature on the effect of spaying on the risk of benign and malignant mammary tumors in the
43 canine species, concluded that scientific evidence is too weak to serve as a basis for firm
44 recommendation of spaying as a preventive measure¹¹. Nevertheless, epidemiological studies
45 suggest that in countries where dogs are routinely spayed at an early age, the incidence of mammary
46 neoplasms is lower (e.g., United States) when compared to countries where spaying is not routinely
47 performed (e.g., Norway)^{12,13}. On the other hand, associations between gonadectomy and other
48 pathological conditions, such as urinary incontinence, cranial cruciate ligament rupture, hip
49 dysplasia, osteosarcoma, and hemangiosarcoma have been recognized¹⁴. Hormonal deprivation
50 following gonadal removal has also an impact on future health and longevity¹⁵. Therefore, surgical
51 spaying of young healthy bitches should be performed based on a patient-specific approach,
52 considering breed, age, surgical risk, and behavioral characteristics of the animal¹⁶.

53 Gonadectomy has also been suggested as an adjuvant treatment to mastectomy: bitches with benign
54 mammary tumors and hyperplastic lesions that underwent both mastectomy and gonadal removal at
55 the same time, were seen to have a 50% decrease in recurrence of disease¹⁷, whereas bitches with
56 mammary carcinomas variably responded to neutering at the time of mastectomy⁶.

57 As literature data are not univocal and seem to suggest that gonadal removal in association with
58 mastectomy can be beneficial mainly when hormone receptors are expressed by tumors, it would be
59 very useful to re-evaluate this observation that is crucial for a clinician when suggesting the best
60 treatment option for a patient.

61 Some history data, such as the reproductive condition, and some clinically assessable factors, such
62 as age, tumor size and tumor number, have been described for risk of CMTs development and for
63 their value in predicting malignancy. CMTs are typically diagnosed in older animals and the median
64 age of occurrence ranges from 8 to 10 years¹⁸⁻²⁰. A correlation exists between tumor size and
65 malignancy, with larger masses having higher risk of malignancy^{18,21}. On the contrary, the presence
66 of multiple tumors does not necessarily indicate a high degree of malignancy or a bad prognosis,
67 because each neoplasm can belong to a different subtype^{21,22}.

68 This study is a retrospective investigation aiming to assess whether spaying at the time of
69 mastectomy should be suggested to owners based on parameters collected in the context of the
70 clinical examination and on the analysis of disease-free survival.

71 2. MATERIALS AND METHODS

72 2.1 Data collection

73 The database of the *** was searched for records of bitches that had been presented because of
74 CMTs and that underwent mastectomy between January 2011 and January 2020. Each dog was
75 counted only once, irrespective of the number of visits, and records were evaluated retrospectively.
76 Only bitches with no previous history of mammary tumor were included. Data from animals that
77 did not undergo surgery were included only in the descriptive analysis. Proper informed consent
78 had been signed by the owners prior to surgery, allowing for surgical treatment and data collection
79 for research purposes.

80 Age, breed and spaying status of the patients, previous hormonal treatments, [previous pregnancies](#),
81 [pseudo-pregnancies](#), [previous reproductive conditions](#), clinical tumor features (number, location,
82 and size), and evaluation of regional lymph nodes were retrieved from the records.

83 The database contained also the standard pre-surgical diagnostics, such as blood exams, thoracic
84 radiographies, cardiological assessment and, in some cases, abdominal ultrasounds and cytologic
85 exams. All these preliminary exams had led to the decision of performing surgery.

86 Surgery type, either mastectomy alone or mastectomy and gonadectomy (ovariectomy or
87 ovariectomy) had been recorded, together with the surgical approach for mastectomy and the
88 histological diagnosis. Histological classification and grading were based on criteria defined by
89 Zappulli (2019) and Peña (2019).

90 Follow-up data were obtained by the clinical records or by contacting the owners for a check-up
91 clinical examination at >365 days from surgery.

92 2.2 Analysis of data

93 Descriptive statistics was carried out considering data extracted from all retrieved clinical records
94 and data are presented as mean and standard deviation (SD) for continuous parameters or as
95 frequency for categories. Normality for continuous parameters was assessed by Shapiro-Wilk test.

96 Survival analysis was carried out using Kaplan-Meier method with log-rank tests and Bonferroni's
97 post hoc test to estimate differences in disease-free survival (DFS) among spayed bitches, intact

98 bitches that were subjected to mastectomy alone, and bitches that underwent mastectomy and
99 gonadectomy at the same time. Only bitches that underwent surgery and had the surgically excised
100 mammary tumor histologically evaluated were included. The same analysis was carried out to
101 estimate differences in disease-free survival (DFS) according to tumor size, malignancy, and tumor
102 location. Tumor size was considered as continuous; however, data were grouped in five categories
103 for the survival analysis (A < 1 cm, B = 1 to <2 cm, C = 2 to <3 cm, D = 3 to <5 cm, E > 5 cm)^{10, 21}.

104 Disease-free survival (DFS) was calculated from the time of surgery to the time of diagnosis of a
105 new mammary tumor. Bitches lost to follow-up and animals that died or that were euthanized for
106 causes unrelated to mammary tumors were censored at the time of death. Animals lost to follow-up
107 were censored at the time of their last contact with the clinician.

108 Student's T test for continuous normally distributed variables, Chi-square test, and one-way
109 ANOVA followed by Bonferroni's post hoc test for categories, were used to point out differences
110 based on age, breed, spaying status, tumor size, and malignancy of tumors in bitches that underwent
111 surgery.

112 Significance was considered for $P < 0.05$. Statistical analyses were performed with the software *R*
113 *version 3.2.2*.

114 3. RESULTS

115 Two-hundred and twenty-five bitches with a total number of 489 tumors were retrieved from the
116 database. Characteristics of the animals (age, purebred or mixed breed, and spaying status) and
117 characteristics of the tumors (size, number, location) are reported in *Table 1* and in *Table 2*. The
118 frequency of the different breeds is reported in Supplementary material (S1).

119 None of the included bitches had ever received any hormonal treatment during its lifetime or had
120 ever presented with any reproductive disease, according to information reported by owners.
121 Nevertheless, eight bitches had previous pregnancies (0.03%, five bitches had one previous
122 pregnancy, whereas three bitches had two previous pregnancies) and three bitches had previous
123 pseudo-pregnancies (0.01%). At clinical examination, 13 bitches (5.8%) presented altered regional
124 lymph nodes. Cytology was performed and they were included in the study only when the node was
125 not metastatic. Nine of these patients were deemed as node-positive after histology (69.2%),
126 whereas two of them presented just lymphadenitis (30.8%). The number of bitches that underwent
127 mastectomy and that were diagnosed with CMTs based on the histological examination was 116,
128 carrying a total number of 298 tumors. Frequencies of benign and malignant tumors are reported in

129 *Table 3.* Surgical margins were clear in all the bitches according to histological examination.

130 Histological types are reported in *Table 4.*

131 Tumor removal was carried out with different approaches, more frequently with a regional
132 mastectomy or with a combination of different techniques (i.e., regional mastectomy and simple
133 mastectomy), when tumors were present on both sides (*Table 5*).

134 Only 15.6% of the bitches that underwent surgery (n = 18) was already spayed and the
135 gonadectomy happened at least two years before mammary tumors occurrence. Fifty-two out of 98
136 intact bitches were spayed at the same time of mastectomy. Survival analysis showed a statistically
137 significant difference in DFS depending on spaying status (P = 0.0007). Specifically, bitches that
138 were subjected to spaying at the time of mastectomy showed longer DFS when compared with both
139 bitches that were already spayed (P = 0.0098) and bitches that remained intact (P = 0.00064).
140 However, median DFS for bitches that were subjected to spaying at the time of mastectomy was not
141 available because recurrence was < 50% in both intact bitches and bitches that were spayed at the
142 time of mastectomy (n = 9/64, 14% and n = 2/52, 3%, respectively). Recurrence in bitches that were
143 already spayed was 27.8% (n = 5/18) and their median DFS was 757 days (95% CI, 369-1026).

144 Statistically significant differences in mean age were detected between animals with benign and
145 malignant tumors, as shown in *Table 6*. Animals with multiple neoplasms were older than the ones
146 with single tumors ($10.18 \pm \text{SD } 2.6$ and $9.3 \pm \text{SD } 2.8$, respectively), with statistically significant
147 results (P = 0.004).

148
149 No differences between the incidence of benign and malignant tumors between purebred and mixed
150 breed animals were detected (P > 0.05), although purebred bitches had the tendency to develop
151 mammary tumors at a younger age (mean $10.46 \text{ years} \pm \text{SD } 1.78$) if compared to mixed breed ones
152 (mean $9.27 \text{ years} \pm \text{SD } 2.68$; P = 0.005).

153 Being already spayed did not affect the frequency of benign and malignant tumors (P > 0.05), nor
154 the degree of malignancy, I, II, or III (P > 0.05). However, intact bitches had smaller tumors when
155 compared to spayed ones (mean $1.76 \text{ cm}, \pm \text{SD } 2.04$ and $2.75 \text{ cm} \pm \text{SD } 2.72$, respectively;
156 P=0.003), although they showed a higher tendency to multiple tumors (P = 0.039).

157 Tumor size was statistically different between benign and malignant neoplasms (*Table 5*) and
158 differences in size were also detected based on the tumor grade, with grade III tumors being larger
159 than grades I and II (P = 0.05 and P = 0.003, respectively). Grade I malignant tumors had a mean

160 size of 2.1 cm (\pm SD 2.3), grade II malignant tumors had a mean size of 1.64 cm (\pm SD 1.1), and
161 grade III malignant tumors had a mean size of 3.6 cm (\pm SD 2.2).

162 Survival analysis showed a statistically significant difference in DFS depending on the size of
163 mammary tumors ($P = 0.003$), considering the five classes mentioned in subsection 2.2.
164 Specifically, smaller tumors belonging to classes A and B had a longer DFS when compared to
165 larger tumors belonging to class E ($P = 0.002$ and $P = 0.006$, respectively; A: median DFS 2102
166 days, 95% CI 1143-2385; B: median DFS 1148 days, 95% CI 1076-2267; D: median DFS 669 days,
167 95% CI 434-669; E: median DFS 359, 95% CI 72-811). Class C included a low number of data, that
168 were insufficient to the purpose of Kaplan-Meier analysis.

169 Survival analysis showed also a statistically significant difference in DFS depending on location of
170 mammary tumors ($P = 0.00009$). Animals presenting with neoplasms located in the cranial thoracic
171 mammary glands (I pair), had a worse prognosis for mammary tumors recurrence (I: median DFS
172 434 days, 95% CI 188-434; II: median DFS 1143 days, 95% CI 659-1143; III: median DFS 1502 CI
173 811-2385; IV: median DFS 1259 days, 95% CI 1096-2385; V: median DFS 1148, 95% CI 759-
174 2385). No differences in DFS were detected between bitches presenting with single and multiple
175 tumors ($P > 0.05$).

176 4. DISCUSSION

177 The effect on time free of disease of OHE at the same time of mastectomy was evaluated in a mixed
178 population of bitches affected by mammary tumors at different stages. The population included in
179 the present study shared some common characteristics to those included in previous studies in terms
180 of age, breed, spaying status, and mean size of benign and malignant tumors^{18-21, 25} and additional
181 factors such as location and number of tumors were assessed. The typical presentation for the
182 diagnosis of canine mammary tumor is middle-aged non-spayed purebred bitches, however younger
183 and mixed breed animals can be affected.

184 Spaying status effect on canine mammary tumors has been widely investigated, with contradictory
185 results¹¹. It is commonly known that spaying before the first estrus comes with a lower risk of
186 mammary tumors development⁸, and this confirms the involvement of ovarian steroids in mammary
187 tissue carcinogenesis. Accordingly, our data showed that the number of spayed bitches presenting
188 with CMTs was consistently lower than the number of intact ones. However, this might be also the
189 consequence of a smaller general population of spayed animals in Italy, compared to the one of
190 intact bitches. There is no data in the literature about the population of ovariectomized bitches,
191 although spaying is a rather diffuse practice in Italy. Nevertheless, early spaying is becoming less

192 popular when balancing benefits and possible adverse effects.

193 Some owners decided upon mastectomy alone, notwithstanding the fact that gonadectomy was
194 always recommended to owners of intact bitches presenting with CMTs, when overall clinical
195 conditions made it advisable. The recommendation was based on the higher risk of uterine and
196 ovarian disease in middle-aged and old bitches²⁶ and on the higher risk of new malignant CMTs in
197 bitches with a previous history of malignant CMT²⁷. The reasons underneath this increased risk of
198 CMTs might be well explained by the hormonal effect to which the whole mammary tissue is
199 exposed to¹. Furthermore, the positive effect of gonadectomy at the time of mastectomy as an
200 adjuvant therapy has been investigated, with encouraging results especially on
201 hyperplastic/dysplastic and benign mammary diseases¹⁷ and bitches with grade II carcinomas
202 presenting estrogen receptors or with increased peri-surgical serum concentrations of 17 β -estradiol⁶.
203 However, to classify a tumor as hormonally dependent, receptors for sexual steroids need to be
204 detected on neoplastic tissue. Some authors relate a decrease in receptors for ovarian steroids with a
205 worse prognosis^{28, 29}. Therefore, including the search of receptors for both estrogens and
206 progesterone in post-surgical investigations in intact bitches, could represent a very useful tool to
207 improve prognostic precision and treatment protocols¹.

208 The observation on hormone receptors in the removed tumors could not be included because it was
209 not available in the database, and this represents an important limitation. However, when the
210 clinician suggests a treatment option, he cannot rely on this information and focuses on general
211 findings only. Results on DFS and rate of recurrence of CMTs in bitches that were spayed at the
212 time of mastectomy were encouraging. Patients that remained intact had higher recurrence of
213 CMTs. The fact that recurrence was even higher in already spayed bitches should be furtherly
214 investigated in order to point out factors influencing mammary tissue carcinogenesis in the absence
215 of hormonal stimulation. In addition, our results agree with those of Burrai *et al.* (2020), showing
216 that spaying status had no significant influence on whether tumors were benign or malignant. The
217 limited number of spayed bitches included does not allow us to consider malignancy responsible for
218 higher recurrence rates in spayed bitches.

219 The decisional process of the clinician should start with a complete evaluation of the patient, in
220 order to assess its suitability for mastectomy and to decide the appropriate surgical technique and
221 whether to include gonadectomy in its surgical plan. Patients presenting with mammary tumors
222 should be carefully checked for evidence of metastatic disease³⁰, starting with the evaluation of
223 regional lymph nodes. These organs are difficult to assess when normal, and the easily palpable
224 ones should be checked, possibly indicating regional metastasis^{1, 31}, to be confirmed through
225 cytological examination. There is evidence that disease-free survival is shorter and survival rate is

226 lower in node positive patients³². Other clinical parameters are related to malignancy and prognosis.
227 Age is a risk factor for neoplastic disease in general³³, and the median age of occurrence of CMTs
228 ranges from 8 to 10 years¹⁸⁻²⁰, in accordance with our results, that also agree on the fact that median
229 age of bitches with benign tumors is lower than age of animals with malignant ones²¹.

230 Incidence of CMTs in purebred animals was higher than in mixed breed bitches and frequencies are
231 coherent with information reported in studies that indicate a higher risk of CMTs in breeds such as
232 Poodles, English Springer Spaniels, Brittany Spaniels, German Shepherds, Maltese terriers,
233 Yorkshire Terriers, Dachshunds, Doberman Pinschers, Leonbergers, and Boxers^{1, 34-35}. However,
234 few studies investigate the genetic predisposition of specific breeds towards mammary subtypes^{36, 37}
235 and further studies should be conducted.

236 Majority of patients carried multiple nodules and had malignant neoplasms, although a lower degree
237 of malignancy was more common than higher ones. In general, older animals have the tendency to
238 carry multiple nodules and are expected to be diagnosed with malignant neoplasms. The presence of
239 multiple nodules does not necessarily indicate a high degree of malignancy or a bad prognosis,
240 because each neoplasm can belong to a different subtype^{21, 22}.

241 Some studies indicate that tumor location is not associated with tumor type³⁸ nor with survival
242 time³⁸, whereas a more recent paper³⁹ indicates tumor location as predictive of malignancy, with a
243 significantly higher proportion of malignant tumors developing in the inguinal mammary glands.
244 We found that incidence of nodules progressively increased from cranial to caudal mammary
245 glands, probably because caudal abdominal and inguinal mammary glands physiologically have
246 more abundant parenchyma⁴⁰. In contrast with Ariyaratna *et al.* (2018), no difference in
247 malignancy occurred according to tumor location, although a lower DFS was pointed out for bitches
248 presented with nodules located in the first thoracic pair of mammary glands. This should be kept in
249 mind by the surgeon, because more invasive surgery could be considered in these cases, although
250 prospective studies correlating surgical techniques with tumor location represent an area for further
251 research.

252 In accordance with other studies^{18-19, 21}, size of the tumor is another important clinical parameter
253 with prognostic value, with malignant tumors being generally larger than benign ones. Our results
254 show that among malignant tumors, larger size corresponds to higher malignancy grade and lower
255 DFS.

256 We conclude that spaying at the time of mastectomy should always be considered in intact bitches
257 with mammary tumors, possibly followed by the additional assessment of hormone receptors
258 presence on the removed tumors. Intact bitches around 9 years old, have higher probability to

259 develop mammary tumors and older age of bitches and tumors size larger than 2 cm are more
260 commonly related to malignant neoplasms. Location should be carefully considered when designing
261 the surgical plan, because bitches with nodules located in the cranial thoracic mammary glands have
262 a shorter time free of mammary tumors. This will help the clinician to make a more precise
263 prognosis to the patient.

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Table 1. Frequencies of some parameters of bitches with CMTs (n = 225) and tumors (n = 489).

	Spaying status			Breed		Number of tumors†		Location of tumors				
	Intact	Spayed	N/A	Purebred	Mixed breed	Single tumor	Multiple tumors	I	II	III	IV	V
<i>n</i>	141	31	53	145	80	78	147	22	56	104	143	164
<i>Percentage (%)</i>	62.7	13.8	23.5	64.4	35.5	34.7	65.3	4.4	11.5	21.3	29.2	33.6

†Bitches with single or multiple neoplasms.

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Table 2. Mean and standard deviation (SD) of age of the bitches included in the study (n = 225) and size of the tumors.

	Mean	±SD
<u>Age (years)</u>	<u>9.8</u>	<u>2.8</u>
<u>Size of tumors (cm)</u>	<u>2.1</u>	<u>4.8</u>

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Table 3. Frequency of benign and malignant tumors with degree of malignancy

	Benign tumors	Malignant tumors		
		I degree	II degree	III degree
<i>n</i>	88	134	43	20.4
<i>Percentage (%)</i>	29.5	63.9	20.4	15.7

Table 4. Histological diagnosis (number: n and percentage: %)

	<i>n</i>	<i>Percentage (%)</i>
Simple benign tumors		
Adenoma, simple	32	10.7
Ductal-associated benign tumors		
Intraductal papillary adenoma	26	8.9
Nonsimple benign tumors		
Complex adenoma	10	3.4

Benign mixed tumor	12	4
Fibroadenoma	8	2.7
Simple carcinoma		
Carcinoma, simple	27	9.1
Tubopapillary carcinoma	51	17.1
Solid carcinoma	2	0.6
Nonsimple carcinoma		
Carcinoma in a benign mixed tumor	21	7
Complex carcinoma	99	33.2
Others		
Adenosquamous carcinoma	4	1.3
Carcinosarcoma	3	1
Myoepithelioma	2	0.6
Osteosarcoma	1	0.4

Table 5. Frequencies of surgical techniques for mastectomy in 116 bitches.

	<i>n</i>	<i>Percentage (%)</i>
Lumpectomy	14	12
Simple mastectomy	15	13
Regional mastectomy	39	33.5
Unilateral mastectomy	18	15.5
Combination of techniques	30	26%

Table 6. Differences (mean and standard deviation: SD) in age and tumor size in bitches with benign or malignant tumors.

	Age (years)			Size (cm)		
	Mean	SD	P-value	Mean	SD	P-value
Benign tumors	9.1	2.8	0.007*	1.34	1.82	0.004*
Malignant tumors	10	2.3		2.17	2.31	

*Significance for $P < 0.05$