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**Unprecedented collateral sensitivity for cisplatin-resistant lung cancer cells**

**presented by new ruthenium organometallic compounds**

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**Abstract**

Platinum-based therapies continue to be the main regimen used to treat non-small cell lung cancers (NSCLC), where multidrug resistance plays a key role on treatment failure and strategies to overcome this limitation are urgently sought for. In view to contribute to the development of this field, two sets of new organometallic Ru(II) compounds with general formula [Ru($\eta^5$-C$_5$H$_4$R')(bipyR)(PPh$_3$)][CF$_3$SO$_3$], where R' = CHO or CH$_2$OH and bipyR = 2,2'-bipyridine (**1**, **5**), 4,4'-dimethyl-2,2'-bipyridine (**2**, **6**), 4,4'-di(hydroxymethyl)-2,2'-bipyridine (**3**, **7**) and 4,4'-dibiotin ester-2,2'-bipyridine (**4**), were synthesized and fully characterized. All compounds were tested against four types of NSCLC cell lines (A549, NCI-H228, Calu-3 and NCI-H1975), and four of them (**1**, **2**, **4** and **6**) presented a strong activity against cisplatin-resistant NSCLC cells. They were also able to increase cisplatin cytotoxicity up to 1390-fold (when administrated at nontoxic doses) by inhibiting MRP1 and P-gp transporters. Given the role of MRP1 in cisplatin resistance, in particular in lung cancer where cisplatin is the first-line treatment, the finding that these compounds are inducers of collateral sensitivity is of particular relevance. As far as we are aware of, these are the first ruthenium-based compounds with such a mechanism of action, taking advantage of an "Achilles' heel" and act as MDR-selective compounds.

**Keywords**

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**Introduction**

Cancer is a leading cause of death worldwide, with estimated 9.6 million deaths in 2018. Among all types of cancer, lung cancer is the most common and most lethal disease, due to late diagnosis and intrinsic and/or acquired resistance. In particular, non-small cell lung cancer (NSCLC) accounts for 85% of all lung cancers and its treatment relies on surgery, chemotherapy, radiation, immunotherapy or combinations thereof.[1] The first line treatment for locally advanced stage NSCLC is simultaneous chemotherapy with different chemotherapeutics and radiation.[1] A multi-chemotherapeutics approach is frequently used and includes a platinum-based agent (such as cisplatin or carboplatin) and other drugs with different mechanisms of action such as a taxane or a vinca alkaloid.[2] Yet, due to drug resistance these therapeutic options have only limited success.

During the last years several research groups have developed new metallodrugs/metallodrugs formulations aiming at overcoming several forms of multidrug resistance.[3–9] However, in what concerns lung cancer, promising examples are still scarce. One of the strategies used has been the co-delivery of valproic acid (VPA), a histone deacetylase inhibitor, with a metallodrug.[10,11] For example, Mao and co-workers have developed VPA-functionalized cyclometalated iridium(III) complexes through a hydrolysable ester bond.[10] The results have shown a significant increased activity for the new conjugates (*vs.* VPA alone or a mixture of complexes + VPA) validating the strategy adopted. Also, the conjugates were able to overcome cisplatin resistance in human lung carcinoma cells (A549R). In another work, Du, Meng and co-workers, used L-cysteine decorated Zr-based metal–organic frameworks (MOFs) to co-deliver cisplatin and VPA.[11] Immunoblot and immunofluorescence analyses showed that the new MOFs were able to downregulate the expression of vascular endothelial growth factor (VEGF) and improved the sensitivity to cisplatin of resistant A549/CDDP. The *in vivo* experiments confirmed that chemotherapy using these MOFs combined with microwave thermal therapy

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significantly improved the therapeutic effect of cisplatin resistant lung cancer. In a different approach, a family of $Co^{II}$ and $Co^{III}$ tris(bipyridine) compounds has shown an important cytotoxicity against a panel of cancer cell lines that included taxol-resistant, cisplatin-resistant and p53-deficient cancer cells.[12] Overall, there was no direct correlation between the oxidation state of the complexes and their cytotoxicity, yet the methyl substituent at the bipyridine (*vs.* non-substituted or methoxy) seemed to impart a favorable response in cisplatin-resistant (A2780, SGC-7901, OV2008 and C13 *vs.* resistant phenotype), in taxol-resistant (MCF-7, HCT-8, and A549 *vs.* resistant phenotype) and in p53-deficient apoptosis-resistant (HCT116 p53$^{+/+}$ *vs.* HCT116 p53$^{-/-}$) cancer cells. It was further elucidated that compound [Co(4,4'-dimethyl-2,2'-bipyridine)$_3$]$^{3+}$ was able to inhibit P-gp, which is associated to taxol-resistance, and suppressed ~50 % of tumor growth in a lung cancer xenograft model. Indeed, one of the most important mechanisms of cell resistance is the overexpression of drug transporters, such as P-gp.[2] In this frame, there are a few reports on metal complexes that are able to inhibit ABC pumps. For example, Choudhuri and coworkers developed a series of [M(N-(2-hydroxy acetophenone)glycinate)(H$_2$O)$_n$] complexes, where the M is $Fe^{III}$ (n=3)[13], $Ni^{II}$ (n=1)[14], $Cu^{II}$ (n=3)[15] or $Zn^{II}$ (n=1)[16]. In particular, the $Cu^{II}$ complex was shown to directly interact with P-gp showing potential to reverse P-gp mediated drug resistance.[15] Interestingly, it did not compete for the substrate binding or to verapamil-, vinblastine- and progesterone-binding sites.

Ruthenium complexes are nowadays considered promising alternatives to the metal-based drugs in clinical use with some compounds still in clinical trials, namely KP1019 sodium salt NKP1339/IT-139 (sodium trans-[tetrachlorobis(indazole)ruthenate(III)])[17,18] and TLD1433 (Ru(II) polypyridyl complex; ClinicalTrials.gov Identifier: NCT03053635).[19] In the scope of ruthenium MDR modulators, i.e., compounds able to

block the drug efflux, only a few examples are known. Among them, the compound [Ru($\eta^6$-p-cymene)Cl$_2$(N-(Anthracen-9-yl)-imidazole)] (**Figure 1, left**), developed by Juillerat-Jeanneret, Dyson and coworkers, showed good cytotoxicity against A549 lung, HT29 colon, and T47D breast carcinoma and inhibited P-gp close to the levels of the reference inhibitor verapamil.[20] In this regard, we have been also engaged in the last years in the development of ruthenium(II) compounds with ATP Binding Cassette (ABC) efflux pumps inhibitory properties. Notably, during structure-activity studies on compounds from the [Ru$^{II}$($\eta^5$- C$_5$H$_4$R)(2,2'-bipyridine-4,4'-R')(PPh$_3$)]$^+$ family, one compound (**LCR134** for R = H, R' = biotin ester, **Figure 1, right**) has shown remarkable ability to inhibit P-gp (even better than the reference inhibitor verapamil)[21] and another stood out as a possible MRP1 inhibitor (**RT11** for R = CH$_3$ and R' = CH$_3$) (**Figure 1, bottom**).[22] In addition, both compounds (**LCR134** and **RT11**) were also cytotoxic for breast (MCF-7 and MDA-MB-231) and ovarian (A2780/A2780cisR) cancer cells, respectively, exhibiting a rare dual behavior as both cytotoxic agents and ABC pump inhibitors. It was further observed that the substituent at the bipyridine is key to provide inhibitory properties to this family of compounds. Thus, in the continuation of these studies other substituents were added at the cyclopentadienyl ring (-CHO or -CH$_2$OH) allowing the synthesis of a new family of compounds. The results disclosed in this work will highlight the importance that specific chemical groups have on the inhibitory properties of ABC pumps and how this might contribute to improve the activity of the compounds and to sensitize NSCLC cells to cisplatin.
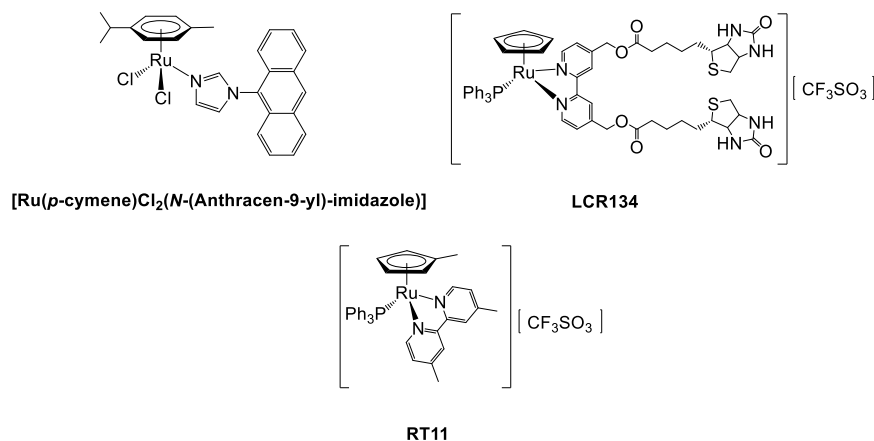
**[Ru(*p*-cymene)Cl₂(*N*-(Anthracen-9-yl)-imidazole)]**

**LCR134**



**RT11**

**Figure 1.** Ruthenium ABC pump inhibitors

**RESULTS AND DISCUSSION**

*Synthesis and Characterization*

For the synthesis of the new compounds, we first optimized the method described by Bai

Wei *et al*.[23] to prepare the precursor [Ru($\eta^5$-C₅H₄CHO)(PPh₃)₂Cl], by slightly changing

the purification protocol avoiding chromatography (*cf.* Experimental Section). Using this

complex as starting material, three new cationic compounds with general formula [Ru($\eta^5$-

C₅H₄CHO)(bipy)(PPh₃)][CF₃SO₃], where bipy is 2,2'-bipyridine (**1**), 4,4'-dimethyl-2,2'-

bipyridine (**2**) and 4,4'-bis(hydroxymethyl)-2,2'-bipyridine (**3**), were synthesized in

moderate to good yield by chloride abstraction with silver trifluoromethanesulfonate

(**Figure 2, left**). However, the reaction with 4,4'-dibiotin ester-2,2'-bipyridine (bipy-

biotin) originated a secondary product very difficult to eliminate from the mixture even

by column chromatography or repeated recrystallization. In order to overcome this

difficulty, the 'chemistry-on-the-complex' concept[24] was used. Thus, complex **3** was used

as a platform to directly conjugate the envisaged vitamin via carbodiimide-based

activation method using *N*-(3-dimethylaminopropyl)-*N'*-ethylcarbodiimide

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hydrochloride (**Scheme S1**).[25] This proved to be an efficient alternative method to afford complex [Ru($\eta^5$-C$_5$H$_4$CHO)(bipy-biotin)(PPh$_3$)][CF$_3$SO$_3$] (**4**) with a high yield (88%). Finally, we reduced the formyl group in the cyclopentadienyl ring of the previous four compounds into their hydroxymethyl analogues of general formula [Ru($\eta^5$-C$_5$H$_4$CH$_2$OH)(bipyR)(PPh$_3$)][CF$_3$SO$_3$] (where bipyR = 2,2'-bipyridine (**5**), 4,4'-dimethyl-2,2'-bipyridine (**6**), 4,4'-di(hydroxymethyl)-2,2'-bipyridine (**7**) and 4,4'-dibiotin ester-2,2'-bipyridine (**8**)) (**Figure 2**, **right**). This reduction reaction was successfully performed for complexes **1**–**3** in THF in a one-step high yield procedure, using sodium borohydride as reduction agent (**Scheme S2**). Yet, the reduction reaction of complex **4** did not lead to the expected hydroxymethyl analogue and the main product of the reaction was the methylated counterpart (confirmed by NMR and single crystal X-ray diffraction). As the ester bond at the bipyridyl ligand showed to be sensitive to the reaction conditions, another approach was to reduce the formyl group at the precursor prior to the coordination of the biotinylated ligand, since the presence of three equally reactive primary alcohol groups at compound **7** prevented the use of the previously proposed 'chemistry-on-the-complex' approach. Unfortunately, all purification attempts of complex **8** were unsuccessful and therefore the characterization and, consequently, biological activity of complex **8** was not evaluated in this study. Thus, the seven new compounds were fully analyzed by ^{1}H, ^{13}C and ^{31}P-NMR, FTIR and UV-vis spectroscopies, ESI-MS and their purity confirmed by elemental analysis. In addition, single crystals of six compounds (**1, 2, 3, 5, 6** and **7**) were successfully obtained and studied using single-crystal X-ray crystallography.
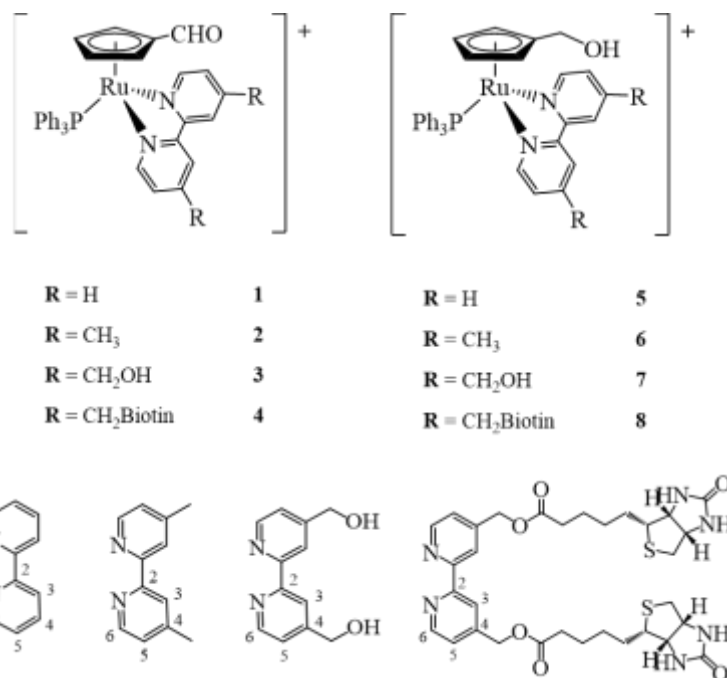
**Figure 2.** Chemical structures of compounds **1**–**8**. All compounds were isolated as $CF_3SO_3^-$ salts.

$^1$H, $^{13}$C{$^1$H} and $^{31}$P{$^1$H} NMR characterization of the new ruthenium-based compounds fully supported the proposed formulations (see SI). 2D NMR techniques (COSY, HMQC and HMBC) were used to assist on the assignment of all signals. Overall, analysis of the $^1$H NMR spectra shows that sigma coordination of the bipyridyl derivatives to the ruthenium-cyclopentadienyl scaffold induces a common deshielding effect of the monosubstituted $\eta^5$-cyclopentadienyl protons along with a deshielding of H6 ($\Delta$H6 up to 0.82 ppm) and a shielding of H3 ($\Delta$H3 up to -0.41 ppm) bipyridyl protons, as it was reported for related ruthenium cationic compounds.[26] Additionally, other resonances in the aromatic region (6.95 ppm $< \delta <$ 7.42 ppm) were ascribed to the aromatic *ortho*, *meta*

and *para* protons of the triphenylphosphane co-ligand. As expected, compounds **5**, **6** and **7** exhibit shielded resonances for the hydroxymethylcyclopentadienyl protons when compared to their formylcyclopentadienyl analogues, which is in good agreement with the electron donating character of the newly introduced pendant group. Detailed spectroscopic data concerning APT-$^{13}$C$\{^1$H$\}$ and $^{31}$P$\{^1$H$\}$-NMR experiments are included in the experimental section and are in accordance with the effects discussed in this $^1$H NMR analysis.

The solid state FTIR of the complexes (KBr pellets) of the novel organometallic ruthenium-cyclopentadienyl compounds **1–7** shows the bands for the $\upsilon$C–H stretching vibration of the phosphane, cyclopentadienyl and bipyridyl ligands in the range 3100−3050 cm$^{-1}$ and bands for $\upsilon$C=C ranging from 1489 to 1390 cm$^{-1}$. In addition, the presence of the triflate counter-ion was observed at 1250-1260 cm$^{-1}$. Characteristic stretching vibrations of the formyl and hydroxymethyl appended groups were also found at the expected region at ~1670 cm$^{-1}$ and ~3420 cm$^{-1}$, respectively.

Optical absorption spectra of complexes **1−7** were recorded at room temperature using ~10$^{-4}$ to ~10$^{-6}$ M solutions in dichloromethane and dimethylsulfoxide. **Table S1** presents molar absorptivity coefficient ($\varepsilon$) values and the correspondent wavelength ($\lambda_{max}$) for the bands observed. **Figure 3A** shows the spectra of compounds **1** and **5** which are representative of the behavior of formyl- or hydroxymethylcyclopentadienyl series of compounds (which exhibit very similar spectral features), whereas **Figure 3B** illustrates the solvatochromic phenomenon observed for compound **3**. The same trend is observed in the electronic spectra of all complexes with two very intense absorption bands at high energy values ($\lambda$ = 240–330 nm) that are ascribed to electronic transitions occurring in the organometallic fragment ($\{[\text{Ru}(\eta^5\text{-C}_5\text{H}_4\text{R})((\text{PPh}_3)]^+\}$ R = CHO or CH$_2$OH) and the coordinated ligands. In the visible range, one or two medium-strength absorption bands

assigned to metal-to-ligand charge transfer transitions (MLCT) from Ru 4d orbitals to the $\pi^*$ orbitals of the phosphane and bipyridyl ligands ($\lambda$ = 330–550 nm), as previously reported for related compounds.[22,27] The charge transfer character of these bands is corroborated by solvatochromic studies in DMSO where a clear blue-shift is observed with the increase of polarity of the solvent for compound **3** (379 nm in $CH_2Cl_2$ vs. 366 nm in DMSO).
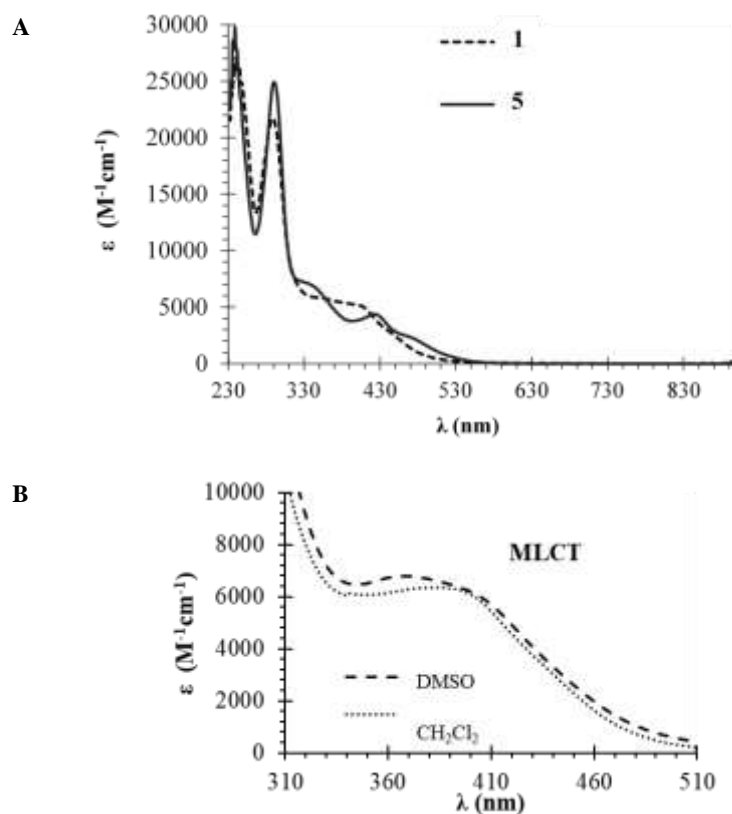


**Figure 3.** Electronic absorption spectra: **A.** UV-visible spectrum in dichloromethane for compounds **1** (----) and **5** (….) as representative of each series of compounds; **B.** Solvatochromic effect for compound **3**.

The crystal structures of complexes **1–3** and **5–7** have been solved by X-ray diffraction analysis. **Figure *4*** displays the ORTEP diagrams of their molecular structures whereas the main crystallographic data and selected bond distances and angles can be found in the Supplementary Information section (**Tables S2–S3**). All compounds crystallize in the monoclinic system, space group C12/c1 (**1**), P12$_1$/c1 (**3, 7**) or P12$_1$/n (**5, 6**) with exception of compound **2** which crystallizes in the triclinic system, space group P-1. In all cases the corresponding unit cells of the complexes display two enantiomers in the racemic crystal.

All complexes adopt the classical pseudo-octahedral three-legged piano stool geometry and, hence, the cyclopentadienyl arene rings exhibit the usual π-bonded η$^5$ coordination mode, whereas the bipyridyl ligands are coordinated in a bidentate fashion. The sixth coordination site is occupied by the triphenylphosphane ligands. The distances between Ru and the centroids of the cyclopentadienyl moiety in all the complexes, are within a narrow interval (1.828–1.841 Å), similar to other cyclopentadienyl ruthenium complexes described in the literature.[26]
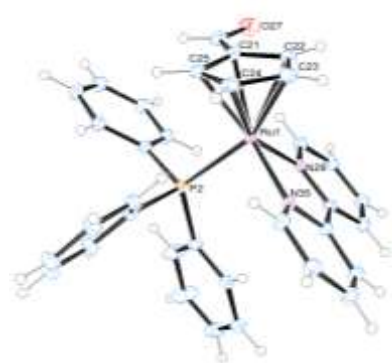
The Ru–P bond distances in the complexes are all in the same range (2.3086(11) – 2.3351(3) Å), similar to the Ru–N$_{bipy}$ bond distances, which are in the same order for all the compounds (2.0685(11)–2.1112(3) Å). The Ru–C distances are in general higher than Ru–N$_{bipy}$, probably due to the σ-donor character of the cyclopentadienyl rings. Moreover, the distances between Ru and the monosubstituted carbon on the η$^5$-cyclopentadienyl ring in complexes **1–3** (Ru–C21 = 2.1901(13) **1**; Ru–C2 = 2.212(3) **2**; Ru–C21= 2.1820(17) **3** Å) are in general slightly shorter than in complexes **5–7** (Ru–C21 = 2.230(2) **5**; Ru–C2 = 2.213(3) **6**; Ru–C21 = 2.235(3) **7** Å). This behavior could be due to the presence of the – CH$_2$OH substituent onto the arene ring in the latter, which presents an electron-

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withdrawing character a lower than that of the –CHO substituent. In the case of complex **1** (see **Figure S29**) an intramolecular hydrogen bond is formed between one pyridyl H atom and the O atom from the substituent –CHO (H-bond distances are H29–O27 = 2.580 Å), since the O atom of –CHO is orientated toward the bipyridine ligand.

The angles N–Ru–N and C–Ru–C in the complexes, show the geometrical restrictions imposed by the bipyridyl and cyclopentadienyl ligands, and the values found are similar to other compounds described in the literature.[22,26]

It is worth mentioning the packing structure of the compounds, where additional intermolecular hydrogen bonds can be observed between oxygen atoms of the triflate anions and the hydrogen atoms of the triphenylphosphane (**1**) or the bipyridine ligands (**2**, **3**); in addition, intermolecular hydrogen bonds are displayed between the oxygen atom of the -CH$_2$OH substituent and the hydrogen atoms of the bipyridine ligand of a neighboring molecule (**5**, **6**, **7**). In the case of **7** additional intermolecular hydrogen bonds are observed between O atom of the triflate anions and H atoms of the bipyridine ligand (**Figure S30**).

**A)**                                                    **B)**

**C)**

**D)**



**E)**

**F)**



**Figure 4**. ORTEP plots and labeling schemes for the cations of complexes **1**–**3** (A–C) and **5**–**7** (D–F).

*Stability in organic and aqueous media*

All compounds were evaluated over time by monitoring their UV-Vis profile in 100 % DMSO and 2–3 % DMSO/DMEM in order infer about their stability in conditions mimicking the biological assays. These studies were performed during 24 h at room temperature. UV-Vis absorption spectra of the complexes in cellular media exhibit one strong absorption band in the UV range and two broad absorption bands in the visible

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range, similarly to their correspondent spectra in organic solvents (**Figure S31**). No significant changes in the absorption spectra were observed over time, indicating adequate stability of all complexes for the biological assays.

### *Biological Evaluation of the Compounds*

In order to access the biological activity of the new compounds, four non-small cell lung cancer cell lines (A549, NCI-H228, Calu-3, NCI-H1975 cells) with different expression levels of P-gp and MRP1 transporters were used (**Figure S32**), *i.e.* the two main transporters involved in cisplatin efflux.[28] Cells were incubated with complexes **1-7** and cisplatin and cell viability was assessed after a 72 h period and their cytotoxicity ($IC_{50}$ values) was determined using the WST-1 assay.

Analyzing the different $IC_{50}$ obtained for cisplatin (**Table 1**), we observed that the higher the level of MRP1 in the cells analyzed (A549 and NCI-H228), the greater their resistance to cisplatin (*i.e.,* a higher $IC_{50}$ value is obtained), suggesting that MRP1 plays a key role in the efflux of cisplatin in our cellular models.

Based on the $IC_{50}$ values obtained, the resistance to cisplatin varies following the rank order: A549>NCI-H228>Calu-3>NCI-H1975 cells.

In a first experimental set, we evaluated the cell viability of the cell lines in the presence of increasing concentrations of the new ruthenium compounds (**Table 1**).

**Table 1.** $IC_{50}$ (µM) of the new ruthenium compounds and cisplatin in the NSCLC cell lines analyzed.

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | Cisplatin |
|---|---|---|---|---|---|---|---|---|
| A549 | 10.8±1.3 | 12.4±3.6 | >100 | 15.4±2.6 | >100 | 12.5±2.1 | >100 | >100 |
| NCI-H228 | 4.3±0.7 | 3.8±1.4 | >100 | 16.5±1.3 | >100 | 7.8±1.2 | >100 | >100 |
| Calu-3 | 24.7±4.1 | 4.9±1.6 | >100 | 28.9±0.8 | >100 | 5.9±1.2 | >100 | 63.4 ± 8.7 |
| NCI-H1975 | 91.8±10.4 | >100 | >100 | >100 | >100 | >100 | >100 | 3.8 ± 1.1 |

Viability of cells measured after 72 h incubation with increasing concentrations (0-100 µM) of each compound, measured with a spectrophotometric assay. Data are means $\pm$ SD (n = 4).

According to the $IC_{50}$ values obtained for the Ru compounds it can be noticed that four compounds – **1**, **2**, **4** and **6** – were more active. For the panel of compounds tested herein, the presence of the substituent -$CH_2OH$ seems to be detrimental for the activity of the overall compound, whether placed in the cyclopentadienyl ring (in **5** and **7**) or in the bipyridine ligand (in **3**). The formyl substituent in the cyclopentadienyl ring seems advantageous, with compounds **1**, **2** and **4** being active. The methyl substituent in the bipyridine co-ligand (complexes **2** and **6**) is, in this panel of complexes, highly beneficial for the activity, rendering active complexes. Indeed, compounds **1**, **2**, **4** and **6**, were stronger killers of A549 and NCI-H228 cells, i.e. the wo cell lines showing the highest resistance to cisplatin among those analyzed, suggesting that they could be inducers of collateral sensitivity of drug resistant cells. The $IC_{50}$ of cisplatin, commonly evaluated with the MTT assay, resulted often lower – e.g. between 5 and 20 µM – [ref:] in NSCLC cells than the value measured in the present work with the WST-1 assay. The different methods can explain such discrepancies. Indeed, the acidification required to solubilize the formazan dye in the MTT assay may precipitate proteins present in cell culture medium, producing light scattering: this reduces the absorbance, indicating a reduced number of viable cells and therefore a lower $IC_{50}$. Second, the incubation time of the dye in the MTT assay (ranging from 1 to 4 h in different experimental works) may increase or decrease the absorbance detected at the end of the incubation, therein altering the $IC_{50}$ values. By contrast, WST-1 does not suffer from these limitations. Moreover, phenole-derivatives, such as those used in the present manuscript, can interfere with the MTT

**Commented [CR2]:** Andreia: are these compounds phenolic? If not we can remove this sentence in yellow,

This is not the first time that metal-based compounds are reported to exert a selective cytotoxicity against drug resistant cells: for instance, cobalt-based complexes[12] and ferrocene-based compounds[29] overcome resistance to taxol-resistant tumors. Ruthenium-based compounds have been described as potent anti-cancer drugs,[30–33] but their potential as collateral sensitivity inducers and/or MDR-reversing agents remains poorly known.

To verify if the compounds can improve the sensitivity to cisplatin, in particular in the cell lines with the highest degree of resistance to this drug, we incubated cells with increasing concentration of cisplatin together with **1**, **2**, **4** and **6** at 1 µM, a concentration equivalent to $IC_{25}$ of the Ru compounds for all cell lines. Working at these poorly toxic concentrations, it is indeed easier to evaluate the effect of the compounds as enhancers of cisplatin toxicity, not as cytotoxic drugs. The ratio $IC_{50}$(cisplatin)/$IC_{50}$(cisplatin + Ru compound), *i.e.* the Resistance factor (Rf) reported in **Table 2**, was considered an index of sensitization toward cisplatin[34] and indicates how many folds the compound enhances the efficacy of cisplatin.

**Table 2.** Resistant factor (Rf) of the NSCLC cell lines treated with cisplatin alone *versus* cisplatin and Ru compounds.

|          | 1     | 2      | 4      | 6     |
|----------|-------|--------|--------|-------|
| A549     | 71.4  | 555.6  | 1250   | 243.9 |
| NCI-H228 | 333.3 | 1389.9 | 588.2  | 344.8 |
| Calu-3   | 26.3  | 126    | 33.2   | 78.7  |

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| | | | | |
|---|---|---|---|---|
| NCI-H1975 | 2.9 | 0.6 | 1.6 | 0.7 |

Viability of cells measured after 72 h incubation with increasing concentrations (0-100 µM) of cisplatin, co-incubated with 1 µM of each compound, measured with a spectrophotometric assay. Values are data from Rf = $IC_{50}$(cisplatin)/$IC_{50}$(cisplatin + Ru compound) (n = 4).

All the compounds strongly sensitized A549 and NCI-H228 cell lines, that in our experimental conditions resulted the most resistant to cisplaton, showing a moderate sensitization effect in the mild cisplatin-resistant Calu-3 cells, and losing their effect on the cisplatin-sensitive NCI-H1975 cells.

Of note, A549 and NCI-H228 cells were the cell lines with the highest expression of MRP1, whereas in Calu-3 the most abundant transporter was P-gp. Thus, we decided to investigate if the Ru compounds can directly modulate the activity of these transporters by measuring the ATPase activity of cell extracts after incubation with the compounds (**Figure 5**). The assay indicates if the compounds, once entered within the cells, bind the ABC transporters and interfere with their catalytic activity, that is based on the hydrolysis of two ATPs associated to the efflux of the drug. Therein, a reduction in the ATPase activity of MRP1 or Pgp may suggest that the compounds inhibits the efflux activity of these transporters, e.g. by acting as inhibitors, substrates or negative allosteric modulators, as previously reported [ref].
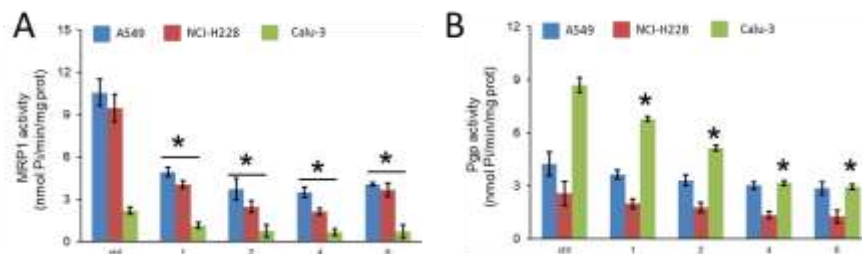
**Figure 5.** MRP1 (A) and P-gp (B) ATPase activity, measured spectrophotometrically on the proteins immune-purified from cells treated without (ctrl) or with 1 μM of compounds **1**, **2**, **4** and **6** for 24 h. Data are means $\pm$ SD (n = 3). *p<0.05: *vs* ctrl.

MRP1 and P-gp activities differed between the cell lines, in agreement with the expression levels of the protein, as observed for the controls. Interestingly, all Ru compounds inhibited MRP1 ATPase activity (**Figure 5A**). They also inhibited P-gp activity in Calu-3 cells, that expresses P-gp to a greater extent (**Figure 5B**). We could not detect any significant decrease of P-gp ATPase activity in A549 and NCI-H228 cells, most likely as a consequence of the lower amount of P-gp expressed. From the four complexes tested, compounds **4** and **6** seem to be the most efficient in inhibiting P-gp activity in Calu-3 cell extracts.

These results provided evidence that the selected ruthenium compounds increased the sensitivity to cisplatin in the resistant cell lines by directly impairing the catalytic activity of the main drug efflux transporters expressed the panel of lung cancer cell lines chosen. We are now investigating if this inhibition is exerted on other ABC transporters and by which molecular mechanisms. Considering our previous results on anti-cancer ruthenium cyclopentadienyl compounds, it seems that a trend is gaining some consistency: concerning their cytotoxicity, hydroxylated $[Ru(\eta^5\text{-}C_5H_4R)(PPh_3)(bipy)]^+$ (R = H, CH$_3$, CHO or CH$_2$OH) based compounds are less active or inactive in any of the cell lines

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tested (lung, breast or ovarian). This could possibly be related to their behavior as substrates of ABC pumps as we have previously observed for [Ru($\eta^5$-C$_5$H$_5$)(4,4'-di(hydroxymethyl)-2,2'-bipyridine)(PPh$_3$)]$^+$ (**pmc79**) and [Ru($\eta^5$-C$_5$H$_4$CH$_3$)(4,4'-di(hydroxymethyl)-2,2'-bipyridine)(PPh$_3$)]$^+$ (**RT12**) compounds that were possibly P-gp substrates.[22,25] **RT12** was also a poor substrate for MRP1, MRP2 and BCRP. On the contrary, [Ru($\eta^5$-C$_5$H$_5$)(4,4'-dibiotin ester-2,2'-bipyridine)(PPh$_3$)]$^+$ (**LCR134**) and [Ru($\eta^5$-C$_5$H$_4$CH$_3$)(4,4'-dimethyl-2,2'-bipyridine)(PPh$_3$)]$^+$ (**RT11**) were found to be P-gp and MRP1/MRP2 inhibitors, respectively.[22,25] We will now focus on understanding how these structural changes influence the ability of the compounds to act as inhibitors at the molecular level.


**Conclusions**

Two sets of new piano-stool compounds with the general formula [Ru($\eta^5$-C$_5$H$_4$CHO)(bipyR)(PPh$_3$)][CF$_3$SO$_3$] and [Ru($\eta^5$-C$_5$H$_4$CH$_2$OH)(bipyR)(PPh$_3$)][CF$_3$SO$_3$],where bipyR = 2,2'-bipyridine (**1**, **5**), 4,4'-dimethyl-2,2'-bipyridine (**2**, **6**), 4,4'-di(hydroxymethyl)-2,2'-bipyridine (**3**, **7**) and 4,4'-dibiotin ester-2,2'-bipyridine (**4**), have been synthesized and completely characterized by analytical and spectroscopic techniques. In addition, the molecular structure of compounds **1**–**3** and **5**–**7** was unveiled by X-ray diffraction studies. All compounds were evaluated as prospective chemotherapeutics for lung cancer, and their activity assessed in a panel of different human lung cells. From this set of compounds, four of them (**1, 2, 4** and **6**) have shown strong activity against cisplatin-resistant non-small cell lung cancer cell lines, disclosing them as highly promising agents for the treatment of lung cancer.

These four complexes were further tested for their ability to sensitize cisplatin-resistant cells. Complexes **1, 2, 4** and **6** increased cisplatin cytotoxicity up to 1390-fold by

inhibiting MRP1 and P-gp transporters. This is of particular interest since, besides exerting an important role in cisplatin resistance[35], MRP1 has been proposed as a potential mediator of collateral sensitivity.[36–38] Few compounds targeting MRP1 are inducers of collateral sensitivity[39] but the research in this field is in great expansion. In lung cancer, where cisplatin is the first-line treatment and MRP1 is one of the main responsible for resistance to this drug[40], finding MRP1-targeting agents, inducing collateral sensitivity is absolutely preeminent. In this context, complexes **1, 2, 4** and **6** emerge as valuable prospective agents for lung cancer chemotherapy, specially compounds **4** and **6**, both quite active as cytotoxic agents and able to inhibit both MRP1 and P-gp, suggesting an important ability to overcome drug resistance mechanisms.

**Methods**

*Materials*

All chemicals were purchased from commercial sources and used without further purification (unless otherwise stated).

*Instrumentation and methods* **(Experimental section)**

*General procedures*

All reactions and purification of compounds were performed under nitrogen atmosphere using Schlenk techniques. All solvents were used as purchased. Only dichloromethane, *n*-hexane and tetrahydrofuran used for synthetic procedures and work-up were dried using an MBRAUN solvent purification system (MB SPS-800, M Braun Inertgas-Systeme GmbH, Garching, Germany). NMR spectra were recorded on a Bruker Advance 400 spectrometer at probe temperature using commercially available deuterated acetone. Chemical shifts ($\delta$) are reported in parts per million (ppm) referenced to tetramethylsilane ($\delta$ 0.00 ppm) using the residual proton solvent peaks as internal standards. $^{31}P\{^{1}H\}$ NMR chemical shifts were reported downfield from external standard 85 % $H_3PO_4$. The multiplicity of the peaks is abbreviated as follows: s (singlet), d (doublet), t (triplet), m (multiplet), comp (complex). Coupling constants (*J*) are reported in Hertz (Hz). All assignments were attributed using COSY, HMBC, and HMQC 2D-NMR techniques. Infrared spectra were recorded on KBr pellets using a Mattson Satellite FT-IR spectrophotometer. Only considered relevant bands were cited in the text. Electronic spectra were recorded at room temperature on a Jasco V-660 spectrometer from solutions of $10^{-4}$-$10^{-6}$ M in quartz cuvettes (1 cm optical path). Elemental analyses were performed at *Laboratório de Análises,* at *Instituto Superior Técnico*, using a Fisons Instruments EA1 108 system. ESI-MS data acquisition, integration and handling were performed using a PC with the software package EAGER-200 (Carlo Erba Instruments).

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*Synthesis of the ruthenium complexes*

*Synthesis of the precursor complex [Ru(η⁵-C₅H₄CHO)(PPh₃)₂Cl]*

The synthesis of $[Ru(\eta^5\text{-}C_5H_4CHO)(PPh_3)_2Cl]$ is already described[23] but in this work another purification method was applied. A mixture of $RuCl_2(PPh_3)_3$ (307 mg, 0.32 mmol), 6-dimethylaminopentafulvene (77 mg, 0.63 mmol) in 10 mL of THF/water ($v/v$ = 7:3) was refluxed for 3 h. After that period, the reaction mixture was cooled to room temperature and concentrated to dryness and the aqueous phase discarded. The brown residue was washed with water (5 mL x 2), light petroleum ether (5 mL x 3), a mixture of light petroleum ether/diethyl ether ($v/v$ =3/3 x 3) and hexane (5 mL x 3). The experimental data obtained is in agreement with the previous literature.

*Synthesis of the complexes [Ru(η⁵-C₅H₄CHO)(bipy-R)(PPh₃)][CF₃SO₃] (1– 3)*

Complexes of general formula $[Ru(\eta^5\text{-}C_5H_4CHO)(bipy\text{-}R)(PPh_3)][CF_3SO_3]$ (**1– 3**) were prepared from the parent neutral complex $[Ru(\eta^5\text{-}C_5H_4CHO)(PPh_3)_2Cl]$ (150 mg, 0.2 mmol) by halide abstraction with silver trifluoromethanesulfonate (55 mg , 0.22 mmol) in degassed methanol and in the presence of a slight excess (1.2 equivalents) of the 2,2-bipyridine ligands (for **1**, 38 mg, 0.24 mmol), 4,4'-dimethyl-2,2'-bipyridine (for **2**, 44 mg, 0.24 mmol) or 4,4'-hydroxymethyl-2,2'-bipyridine (for **3**, 52 mg, 0.24 mmol), at reflux under nitrogen atmosphere for 13–15 h. After cooling to room temperature, filtering and removing the solvent, the compounds were treated with a mixture of propan-2-ol/water ($v/v$ 1:2, 15 mL) and filtered. The filtrate was concentrated to dryness and the residues obtained were washed with *n*-hexane (15 mL x 3) and recrystallized from acetone/*n*-hexane (**1** and **2**) or dichloromethane/ether (**3**), to give dark orange-brown crystalline solids.

*[Ru(η⁵-C₅H₄CHO)(2,2'-bipy)(PPh₃)][CF₃SO₃]* (**1**)

Yield: 75 % (114 mg). Orange-brown single crystals were obtained from slow diffusion of $n$-hexane into acetone solution.

$^1$H NMR [(CD$_3$)$_2$CO, Me$_4$Si, δ/ppm]: 9.35 (broad, 2H, H6), 9.24 (s, 1H, (η$^5$-C$_5$H$_4$CHO), 8.20 (d, 2H, $^3J_{HH}$ = 8.0, H3), 7.97 (t, 2H, $^3J_{HH}$ = 7.6, H4), 7.46 (m, 5H, H$_p$PPh$_3$ + H5), 7.36 (m, 6H, H$_m$PPh$_3$), 7.12 (t, 6H, $^3J_{HH}$ = 8.4, H$_o$PPh$_3$), 5.80 (broad, 2H, H$_β$-η$^5$-C$_5$H$_4$CHO), 4.93 (broad, 2H, H$_γ$-η$^5$-C$_5$H$_4$CHO).

APT-$^{13}$C{$^1$H} NMR [(CD$_3$)$_2$CO, δ/ppm]: 189.1 (η$^5$-C$_5$H$_4$CHO), 156.8 (C6), 138.1 (C4), 133.9 (d, $^2J_{CP}$ = 11, CH$_o$PPh$_3$), 131.8 (d, $^1J_{CP}$ = 43.8, C$_q$PPh$_3$), 131.4 (d, $^4J_{CP}$ = 1.9, CH$_p$PPh$_3$), 129.5 (d, $^3J_{CP}$ = 9.8, CH$_m$PPh$_3$), 126.7 (C5), 124.4 (C3), 100.8 (C$_α$-η$^5$-C$_5$H$_4$CHO), 85.2 (C$_β$-η$^5$-C$_5$H$_4$CHO), 79.1 (C$_γ$-η$^5$-C$_5$H$_4$CHO).

$^{31}$P{$^1$H} NMR [(CD$_3$)$_2$CO, δ/ppm]: 48.81 (s, PPh$_3$).

FTIR [KBr, cm$^{-1}$]: 3105-3055 (υ$_{C–H}$ aromatic rings), 1667 (υ$_{C=O}$, η$^5$-C$_5$H$_4$CHO), 1433 (υ$_{C=C}$ aromatic rings), 1262 (υCF$_3$SO$_3$ counter ion).

UV-vis [DMSO, λ$_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 289 (24.49), 362 (6.46), 415 (Sh).

UV-vis [CH$_2$Cl$_2$, λ$_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 289 (21.71), 357 (Sh), 409 (Sh), 457 (Sh).

Elemental analysis calc. for C$_{35}$H$_{28}$F$_3$N$_2$O$_4$PRuS (761.71): C, 55.2, H, 3.7; N, 3.7; S, 4.2. Found: C, 54.9; H, 3.5; N, 3.6; S, 4.3.

ESI-MS: [**1**-CF$_3$SO$_3$]+ calc. for [C$_{34}$H$_{28}$N$_2$OPRu]+: 613.10 found: 613.05.


*[Ru(η$^5$-C$_5$H$_4$CHO)(4,4'-CH$_3$-2,2'-bipy)(PPh$_3$)][CF$_3$SO$_3$]* (**2**)

Yield: 64 % (101 mg). Orange-red single crystals were obtained by slow diffusion of $n$-hexane into acetone solution.

$^1$H NMR [(CD$_3$)$_2$CO, Me$_4$Si, δ/ppm]: 9.25 (s, 1H, (η$^5$-C$_5$H$_4$CHO)), 9.16 (broad, 2H, H6), 8.04 (s, 2H, H3), 7.47 (m, 3H, H$_p$PPh$_3$), 7.36 (m, 6H, H$_m$PPh$_3$), 7.30 (br s, 2H, H5), 7.12 (t, 6H, $^3J_{HH}$ = 8.4, H$_o$PPh$_3$), 5.75 (broad, 2H, H$_β$-(η$^5$-C$_5$H$_4$CHO), 4.85 (broad, 2H, H$_γ$-(η$^5$-C$_5$H$_4$CHO), 2.48 (s, 6H, CH$_3$).

APT-$^{13}$C{$^1$H} NMR [(CD$_3$)$_2$CO, δ/ppm]: 189.0 (η$^5$-C$_5$H$_4$C$\underline{H}$O), 156.5 ($\underline{C}$2), 156.0 ($\underline{C}$6), 150.5 ($\underline{C}$4), 134.0 (d, $^2J_{CP}$ = 11, $\underline{C}$H$_o$PPh$_3$), 132.2 (d, $^1J_{CP}$ = 43, $\underline{C}_q$PPh$_3$), 131.3 (d, $^4J_{CP}$ = 2, $\underline{C}$H$_p$PPh$_3$), 129.5 (d, $^3J_{CP}$ = 9, $\underline{C}$H$_m$PPh$_3$), 127.7 ($\underline{C}$5), 125.1 ($\underline{C}$3), 103.0 ($\underline{C}_\alpha$-η$^5$-C$_5$H$_4$CHO), 84.8 ($\underline{C}_\beta$-η$^5$-C$_5$H$_4$CHO), 78.6 ($\underline{C}_\gamma$-η$^5$-C$_5$H$_4$CHO), 20.8 ($\underline{C}$H$_3$).

$^{31}$P{$^1$H} NMR [(CD$_3$)$_2$CO, δ/ppm]: 48.94 ppm (s, $\underline{P}$Ph$_3$).

FTIR [KBr, cm$^{-1}$]: 3071−3050 cm$^{-1}$ (υ$_{C–H}$ aromatic rings), 2922 cm$^{-1}$ (υ$_{C–H}$ alkanes), 1670 (υ$_{CO}$, η$^5$-C$_5$H$_4$CHO), 1440 (υ$_{C=C}$ aromatic rings), 1258 cm$^{-1}$ (υCF$_3$SO$_3$ counterion).

UV-vis [DMSO, λ$_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 289 (26.27), 371 (7.21), 412 (Sh).

UV-vis [CH$_2$Cl$_2$, λ$_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 290 (26.10), 366 (6.91), 412 (Sh).

Elemental analysis calc. for C$_{37}$H$_{32}$F$_3$N$_2$O$_4$PRuS (789.76): C, 56.3, H, 4.1; N, 3.6; S, 4.1. Found: C, 54.8; H, 3.9; N, 3.4; S, 4.0.

ESI-MS: [**2**-CF$_3$SO$_3$]+ calc. for [C$_{36}$H$_{32}$N$_2$OPRu]+: 641.13 found: 641.02.


*[Ru(η$^5$-C$_5$H$_4$CHO)(4,4'-CH$_2$OH-2,2'-bipy)(PPh$_3$)][CF$_3$SO$_3$]* (**3**)

Yield: 62 % (103 mg). Orange single crystals were obtained by slow diffusion of diethyl ether into dichloromethane solution.

$^1$H NMR [(CD$_3$)$_2$CO, Me$_4$Si, δ/ppm]: 9.26 (m, 3H, (η$^5$-C$_5$H$_4$C$\underline{H}$O) + $\underline{H}$6), 8.09 (s, 2H, $\underline{H}$3), 7.43 (m, 5H, $\underline{H}_p$PPh$_3$ + $\underline{H}$5), 7.35 (m, 6H, $\underline{H}_m$PPh$_3$), 7.12 (t, 6H, $^3J_{HH}$ = 8, $\underline{H}_o$PPh$_3$), 5.78 (broad, 2H, $\underline{H}_\beta$-η$^5$-C$_5$H$_4$CHO), 4.87–4.80 (m, 8H, $\underline{H}_\gamma$-η$^5$-C$_5$H$_4$CHO + C$\underline{H}_2$OH + CH$_2$O$\underline{H}$).

APT-$^{13}$C{$^1$H} NMR [(CD$_3$)$_2$CO, δ/ppm]: 189.0 (η$^5$-C$_5$H$_4$$\underline{C}$HO), 156.5 ($\underline{C}$2), 156.2 ($\underline{C}$6), 154.9 ($\underline{C}$4), 134.0 (d, $^2J_{CP}$ = 11, $\underline{C}$H$_o$PPh$_3$), 132.1 (d, $^1J_{CP}$ = 44, $\underline{C}_q$PPh$_3$), 131.3 (d, $^4J_{CP}$ = 2, $\underline{C}$H$_p$PPh$_3$), 129.5 (d, $^3J_{CP}$ = 10, $\underline{C}$H$_m$PPh$_3$), 123.9 ($\underline{C}$5), 121.3 ($\underline{C}$3), 103.0 ($\underline{C}_\alpha$-η$^5$-C$_5$H$_4$CHO), 89.4 ($\underline{C}_\beta$-η$^5$-C$_5$H$_4$CHO), 78.7 ($\underline{C}_\gamma$-η$^5$-C$_5$H$_4$CHO), 62.4 ($\underline{C}$H$_2$OH).

$^{31}$P NMR [(CD$_3$)$_2$CO, δ/ppm]: 48.94 (s, $\underline{P}$Ph$_3$).

FTIR [KBr, cm$^{-1}$]: 3464 ($\upsilon_{O-H}$), 3084-2916 ($\upsilon_{C-H}$ aromatic rings); 2884-2857 ($\upsilon_{C-H}$ alkanes), 1678 ($\upsilon_{CO}$, $\eta^5$-C$_5$H$_4$CHO), 1440 ($\upsilon_{C=C}$ aromatic rings), 1246 cm$^{-1}$ ($\upsilon$CF$_3$SO$_3$ counter ion), 1221 cm$^{-1}$ ($\upsilon_{C-O}$).

UV-vis [DMSO, $\lambda_{max}$/nm ($\varepsilon$ x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 290 (27.15), 366 (7.27), 416 (Sh).

UV-vis [CH$_2$Cl$_2$, $\lambda_{max}$/nm ($\varepsilon$ x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 277 (Sh), 289 (28.43), 379 (7.43), 451 (Sh).

Elemental analysis calc. for C$_{37}$H$_{32}$F$_3$N$_2$O$_6$PRuS (821.76): C, 54.1; H, 3.9; N, 3.4; S, 3.9. Found: C, 54.1; H, 3.9; N, 3.4; S, 3.9.

ESI-MS: [**3**-CF$_3$SO$_3$]+ calc. for [C$_{36}$H$_{32}$N$_2$O$_3$PRu]+: 673.12 found: 673.02.


*[Ru($\eta^5$-C$_5$H$_4$CHO)(4,4'-CH$_2$Biotin-2,2'-bipy)(PPh$_3$)][CF$_3$SO$_3$]* (**4**)

[Ru($\eta^5$-C$_5$H$_4$CHO)(4,4'-CH$_2$OH-2,2'-bipy)(PPh$_3$)][CF$_3$SO$_3$] (**3**, 100 mg, 0.12 mmol) was combined with 5-[(3aS,4S,6aR)-2-oxohexahydro-1H-thieno[3,4-d] imidazol-4-yl]pentanoic acid (biotin) (68 mg, 0.28 mmol), DMAP (14 mg; 0.12 mmol) and EDC.Cl (55 mg, 0.28 mmol) in a Schlenk and DMF (8 mL) was added. The orange mixture was stirred for 14 h at room temperature. After that, the solvent was removed under vacuum and the residue obtained washed with water (10 mL x 3) and light petroleum ether (10 mL x 3), to yield an orange solid.

Yield: 88 % (135 mg).

$^1$H NMR [(CD$_3$)$_2$CO, Me$_4$Si, $\delta$/ppm]: 9.35 (broad, 2H, <u>H</u>6), 9.26 (s, 1H, $\eta^5$-C$_5$H$_4$C<u>H</u>O), 8.15 (s, 2H, <u>H</u>3), 7.48 (m, 3H, $^3J_{HH}$ = 7.6, <u>H</u>4), 7.46 (m, 5H, <u>H</u>$_p$PPh$_3$ + <u>H</u>5), 7.36 (m, 6H, <u>H</u>$_m$PPh$_3$), 7.12 (t, 6H, $^3J_{HH}$ = 8, <u>H</u>$_o$PPh$_3$), 6.11 (broad s, 2H, NH) 5.90 (d, 2H, NH), 5.83 (broad, 2H, <u>H</u>$_\beta$-$\eta^5$-C$_5$H$_4$CHO), 5.28 (m, 4H, bipy-C<u>H</u>$_2$Biotin), 4.93 (broad, 2H, <u>H</u>$_\gamma$-$\eta^5$-C$_5$H$_4$CHO), 4.50 (SCH$_2$-C<u>H</u>Biotin), 4.32 (m, 2H, C<u>H</u>Biotin), 3.22 (m, 2H, S-C<u>H</u>Biotin), 2.94 (m, 2H, SC<u>H</u>$_2$Biotin), 2.67 (m, 2H, H), 2.52 + 1.75 – 1.49 (3 x m, 16H, C<u>H</u>$_2$C<u>H</u>$_2$C<u>H</u>$_2$C<u>H</u>$_2$Biotin).

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APT-$^{13}$C{$^{1}$H} NMR [(CD$_3$)$_2$CO, δ/ppm]: 189.3 (η$^5$-C$_5$H$_4$<u>C</u>HO), 173.5 (<u>C</u>O, bipy-Biotin ester), 164.1 (CO, bipy-Biotin, urea), 157.1 (C1), 156.6 (C2), 148.7 (C4), 133.9 ($^2J_{CP}$ = 11, C$_{ortho}$-PPh$_3$), 131.9 ($^1J_{CP}$ = 40, C$_q$, PPh$_3$), 131.5 ($^4J_{CP}$ = 1, C$_{para}$-PPh$_3$), 129.6 ($^3J_{CP}$ = 10, C$_{meta}$-PPh$_3$), 123.9 (d, $^4J_{CP}$ = 6, <u>C</u>5), 122.6 (d, $^4J_{CP}$ = 8, <u>C</u>3), 107.5 (<u>C</u>$_α$-η$^5$-C$_5$H$_4$CHO), 85.1 (2 signals, s, C$_β$-η$^5$C$_5$H$_4$CHO), 79.1 (2 signals, s, C$_γ$-η$^5$-C$_5$H$_4$CHO), 64.0 (2 signals, s, bipy-<u>C</u>H$_2$Biotin), 62.6 (2 signals, s, <u>C</u>HBiotin), 60.9 (2 signals, s ,SCH$_2$-<u>C</u>HBiotin), 56.7 (2 signals, s, S<u>C</u>HBiotin), 41.1 (2 signals, s, S<u>C</u>H$_2$Biotin), 34.3 + 29.2* + 25.6 (sets of 2 signals, <u>C</u>H$_2$<u>C</u>H$_2$<u>C</u>H$_2$<u>C</u>H$_2$Biotin).

$^{31}$P NMR [(CD$_3$)$_2$CO, δ/ppm]: 48.70 (s, <u>P</u>Ph$_3$).

FTIR [KBr, cm$^{-1}$]: 3073 (υ$_{C-H}$ aromatic rings), 2928-2859 (υ$_{C-H}$ alkanes), 1736-1620 (υ$_{C=O}$ η$^5$-C$_5$H$_4$CHO, urea, ester), 1433 (υ$_{C=C}$ aromatic rings), 1260 cm$^{-1}$ (υCF$_3$SO$_3$ counter ion).

UV-vis [DMSO, λ$_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 293 (47.69), 358 (11.94), 414 (Sh) 468 (Sh).

UV-vis [CH$_2$Cl$_2$, λ$_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 291 (12.59), 345 (Sh), 416 (2.39), 479 (Sh).

Elemental analysis calc. for C$_{57}$H$_{60}$F$_3$N$_6$O$_{10}$PRuS$_3$ (1274.35): C, 53.7; H, 4.8; N, 6.6; S, 7.6. Found: C, 52.8; H, 5.2; N, 6.7; S, 7.0.

ESI-MS: [**4**-CF$_3$SO$_3$]+ calc. for [C$_{56}$H$_{60}$N$_6$O$_7$PRuS$_2$]+: 1125.27 found: 1125.09.


*Synthesis of the complexes [Ru(η$^5$-C$_5$H$_4$CH$_2$OH)(bipy-R)(PPh$_3$)][CF$_3$SO$_3$] (5–7)*

To a mixture of [Ru(η$^5$-C$_5$H$_4$CHO)(2,2'-bipy)(PPh$_3$)][CF$_3$SO$_3$] (**1**, 100 mg, 0.13 mmol), [Ru(η$^5$-C$_5$H$_4$CHO)(4,4'-CH$_3$-2,2'-bipy)(PPh$_3$)][CF$_3$SO$_3$] (**2**, 100 mg, 0.13 mmol) or [Ru(η$^5$-C$_5$H$_4$CHO)(4,4'-CH$_2$OH-2,2'-bipy)(PPh$_3$)][CF$_3$SO$_3$] (**3**, 100 mg, 0.12 mmol) and NaBH$_4$ (586 mg, 10.1 mmol) in THF (2 mL) was slowly added MeOH (10 mL) over 20 minutes. Following the addition, the mixture was stirred at room temperature until gas bubbles were no longer detected (60–90 min). The solvents were removed under vacuum and the residue obtained was extracted with dichloromethane (20 mL × 2) and acetone

(10 mL) and filtered through Celite®. The filtrate was concentrated to dryness, the residue was washed with water (5 mL × 3), hexane (5 mL × 3) and then recrystallized from methanol/diethyl ether (**5**) or dichloromethane/*n*-hexane (**6** and **7**), to afford bright orange crystalline solids.

*[Ru($\eta^5$-C$_5$H$_4$CH$_2$OH)(2,2'-bipy)(PPh$_3$)][CF$_3$SO$_3$]* (**5**)

Yield: 81 % (80 mg). Orange single crystals were obtained by slow diffusion of diethyl ether into a methanolic solution or slow evaporation of dichloromethane solution.

$^1$H NMR [(CD$_3$)$_2$CO, Me$_4$Si, δ/ppm]: 9.49 (broad, 2H, H6), 8.17 (d, 2H, $^3J_{HH}$ = 8, H3), 7.88 (t, 2H, $^3J_{HH}$ = 8, H4), 7.41–7.32 (m, 11H, H$_p$PPh$_3$ + H$_m$PPh$_3$ + H5), 7.11 (t, 6H, $^3J_{HH}$ = 8, H$_o$PPh$_3$), 4. 94 (broad, 2H, H$_β$-$\eta^5$-C$_5$H$_4$CH$_2$OH), 4.62 (broad, 2H, H$_γ$-$\eta^5$-C$_5$H$_4$CH$_2$OH), 4.11 (s, 3H, $\eta^5$-C$_5$H$_4$CH$_2$OH + $\eta^5$-C$_5$H$_4$CH$_2$OH).

APT-$^{13}$C{$^1$H} NMR [(CD$_3$)$_2$CO, δ/ppm]: 157.0 (d, J$_{CP}$ = 2, C6), 156.7 (C2), 136.9 (C4), 133.8 (d, $^2J_{CP}$ = 11, CH$_o$PPh$_3$), 132.6 (d, $^1J_{CP}$ = 41, C$_q$PPh$_3$), 130.9 (d, $^4J_{CP}$ = 2, CH$_p$PPh$_3$), 129.3 (d, $^3J_{CP}$ = 10, CH$_m$PPh$_3$), 126.1 (C5), 124.1 (C3), 104.9 (C$_α$-$\eta^5$-C$_5$H$_4$CH$_2$OH), 76.7 + 76.5 (C$_β$-$\eta^5$-C$_5$H$_4$CH$_2$OH + C$_γ$-$\eta^5$-C$_5$H$_4$CH$_2$OH), 57.7 ($\eta^5$-C$_5$H$_4$CH$_2$OH).

$^{31}$P NMR [(CD$_3$)$_2$CO, δ/ppm]: 51.25 (s, PPh$_3$).

FTIR [KBr, cm$^{-1}$]: 3412 ($\upsilon_{O-H}$), 3075-3055 ($\upsilon_{C-H}$ aromatic rings), 2962-2853 ($\upsilon_{C-H}$ alkanes), 1435 ($\upsilon_{C=C}$ aromatic rings), 1256 ($\upsilon$CF$_3$SO$_3$ counter ion).

UV-vis [DMSO, $\lambda_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 292 (16.59), 344 (Sh), 411 (2.93), 483 (Sh).

UV-vis [CH$_2$Cl$_2$, $\lambda_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 291 (24.89), 348 (Sh), 423 (4.38), 486 (Sh).

Elemental analysis calc. for C$_{35}$H$_{30}$F$_3$N$_2$O$_4$PRuS (763.73): C, 55.0; H, 4.0; N, 3.7; S, 4.2. Found: C, 53.6; H, 3.9; N, 3.5; S, 4.2.

ESI-MS: [**5**-CF$_3$SO$_3$]+ calc. for [C$_{34}$H$_{30}$N$_2$OPRu]+: 615.11 found: 615.02.

*[Ru(η⁵-C₅H₄CH₂OH)(4,4'-CH₃-2,2'-bipy)(PPh₃)][CF₃SO₃]* (**6**)

Yield: 65 % (62 mg). Orange single crystals were obtained from slow diffusion of *n*-hexane into dichloromethane solution.

$^1$H NMR [(CD₃)₂CO, Me₄Si, δ/ppm]: 9.29 (broad, 2H, $\underline{H}$6), 8.01 (s, 2H, $\underline{H}$3), 7.41 (m, 3H, $\underline{H}_p$PPh₃), 7.32 (m, 6H, $\underline{H}_m$PPh₃), 7.20 (broad, 2H, $\underline{H}$5), 7.12 (t, 6H, $^3J_{HH}$ = 8, $\underline{H}_o$PPh₃), 4.88 (broad, 2H, $\underline{H}_\beta$-η⁵-C₅H₄CH₂OH), 4.56 (broad, 2H, $\underline{H}_\gamma$-η⁵-C₅H₄CH₂OH), 4.10 (s, 3H, η⁵-C₅H₄C$\underline{H}_2$OH + η⁵-C₅H₄CH₂O$\underline{H}$), 2.45 (s, 6H, C$\underline{H}_3$).

APT-$^{13}$C{$^1$H} NMR [(CD₃)₂CO, δ/ppm]: 156.4 ($\underline{C}$), 156.1 (d, $J_{CP}$ = 2, $\underline{C}$), 149.1 ($\underline{C}$), 133.9 (d, $^2J_{CP}$ = 11, $\underline{C}H_o$PPh₃), 133.0 (d, $^1J_{CP}$ = 41, $\underline{C}_q$PPh₃), 130.8 (d, $^4J_{CP}$ = 2, $\underline{C}H_p$PPh₃), 129.6 (d, $^3J_{CP}$ = 9, $\underline{C}H_m$PPh₃), 127.1 ($\underline{C}$5), 124.6 ($\underline{C}$3), 104.5 (d, $^2J_{CP}$ = 6, $\underline{C}_a$η⁵-C₅H₄CH₂OH), 76.2 ($\underline{C}_\beta$-η⁵-C₅H₄CH₂OH), 76.1 (d, $^2J_{CP}$ = 2, $\underline{C}_\gamma$-η⁵-C₅H₄CH₂OH), 57.8 ($\underline{C}H_2$OH), 20.8 ($\underline{C}H_3$).

$^{31}$P NMR [(CD₃)₂CO, δ/ppm]: 51.28 [s, PPh₃].

FTIR [KBr, cm$^{-1}$]: 3415 ($\upsilon_{O–H}$), 3071−3050 cm$^{-1}$ ($\upsilon_{C–H}$ aromatic rings), 2922 cm$^{-1}$ ($\upsilon_{C–H}$ alkanes), 1440 ($\upsilon_{C=C}$ aromatic rings), 1258 cm$^{-1}$ ($\upsilon$CF₃SO₃ counterion).

UV-vis [DMSO, $\lambda_{max}$/nm ($\varepsilon$ x 10³ / M$^{-1}$cm$^{-1}$)]: 291 (4.11), 351 (Sh), 418 (6.86), 472 (Sh).

UV-vis [CH₂Cl₂, $\lambda_{max}$/nm ($\varepsilon$ x 10³ / M$^{-1}$cm$^{-1}$)]: 287 (29.20), 345 (Sh), 417 (5.28), 470 (Sh).

Elemental analysis calc. for C₃₇H₃₄F₃N₂O₄PRuS (791.78): C, 56.1; H, 4.3; N, 3.5; S, 4.1. Found: C, 55.4; H, 4.1; N, 3.3; S, 4.0.

ESI-MS: [**6**-CF₃SO₃]+ calc. for [C₃₆H₃₄N₂OPRu]+: 643.15 found: 643.00.

*[Ru(η⁵-C₅H₄CH₂OH)(4,4'-CH₂OH-2,2'-bipy)(PPh₃)][CF₃SO₃]* (**7**)

Yield: 58 % (62 mg). Orange single crystals were obtained from slow diffusion of *n*-hexane into a dichloromethane solution.

$^1$H NMR [(CD$_3$)$_2$CO, Me$_4$Si, δ/ppm]: 9.39 (broad, 2H, $\underline{H}$6), 8.07 (s, 2H, $\underline{H}$3), 7.41 (m, 3H, $\underline{H}_p$PPh$_3$), 7.32 (m, 8H, $\underline{H}_m$PPh$_3$ + $\underline{H}$5), 7.12 (t, 6H, $^3J_{HH}$ = 8, $\underline{H}_o$PPh$_3$), 4.91 (broad, 2H, $\underline{H}_\beta$-η$^5$-C$_5$H$_4$CH$_2$OH), 4.79 (s, 6H, C$\underline{H_2}$OH + CH$_2$O$\underline{H}$), 4.60 (broad, 2H, $\underline{H}_\gamma$-η$^5$-C$_5$H$_4$CH$_2$OH), 4.11 (m, 3H, η$^5$-C$_5$H$_4$C$\underline{H_2}$OH + η$^5$-C$_5$H$_4$CH$_2$O$\underline{H}$).

APT-$^{13}$C{$^1$H} NMR [(CD$_3$)$_2$CO, δ/ppm]: 156.4 ($\underline{C}$2), 156.3 (d, $^3J_{CP}$ = 2, $\underline{C}$6), 153.7 ($\underline{C}$4), 133.8 (d, $^2J_{CP}$ = 11, $\underline{C}$H$_o$PPh$_3$), 132.8 (d, $^1J_{CP}$ = 40, $\underline{C}_q$PPh$_3$), 130.8 (d, $^4J_{CP}$ = 2, $\underline{C}$H$_p$PPh$_3$), 129.3 (d, $^3J_{CP}$ = 10, $\underline{C}$H$_m$PPh$_3$), 123.4 ($\underline{C}$5), 120.9 ($\underline{C}$3), 104.5 ($\underline{C}_\alpha$-η$^5$-C$_5$H$_4$CH$_2$OH), 76.3+76.2 ($\underline{C}_\beta$-η$^5$-C$_5$H$_4$CH$_2$OH + $\underline{C}_\gamma$-η$^5$-C$_5$H$_4$CH$_2$OH), 62.5 ($\underline{C}$H$_2$OH), 57.8 (η$^5$-C$_5$H$_4$$\underline{C}$H$_2$OH).

$^{31}$P NMR [(CD$_3$)$_2$CO, δ/ppm]: 51.27 (s, $\underline{P}$Ph$_3$).

FTIR [KBr, cm$^{-1}$]: 3486-3470 (υ$_{O-H}$), 2922-2864 (υ$_{C-H}$ alkanes), 1440 (υ$_{C=C}$ aromatic rings), 1261 (υCF$_3$SO$_3$ counter ion), 1234 (υ$_{C-O}$).

UV-vis [DMSO, λ$_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 292 (5.67), 348 (Sh), 416 (1.02), 478 (Sh).

UV-vis [CH$_2$Cl$_2$, λ$_{max}$/nm (ε x 10$^3$ / M$^{-1}$cm$^{-1}$)]: 291 (4.11), 351 (Sh), 418 (6.86), 472 (Sh).

Elemental analysis calc. for C$_{37}$H$_{34}$F$_3$N$_2$O$_6$PRuS (823.78): C, 53.9; H, 4.1; N, 3.4; S, 3.9. Found: C, 52.3; H, 4.0; N, 3.1; S, 3.0.

ESI-MS: [**7**-CF$_3$SO$_3$]+ calc. for [C$_{36}$H$_{34}$N$_2$O$_3$PRu]+: 675.14 found: 675.01.

*X-ray structure analysis*

The X-ray intensity data were measured on a D8 QUEST ECO three-circle diffractometer system equipped with a Ceramic x-ray tube (Mo Kα, λ = 0.71076 Å) and a doubly curved silicon crystal monochromator, using APEX3[41] software package. The frames were integrated with the Bruker SAINT[42]. Data were corrected for absorption effects using the Multi-Scan method (SADABS)[43]. The structures were solved and refined using the Bruker SHELXTL[44].

The crystallographic data as well as details of the structure solution and refinement procedures are reported in supplementary information. CCDC 2042407-2042412 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/products/csd/request/

***Stability studies***

For the stability studies, all complexes were dissolved in 100 % DMSO and a sample containing each compound in 2 % DMSO/DMEM at 90–180 μM was prepared. Their electronic spectra were recorded in the range allowed by the solvent mixture at set time intervals. Samples were stored at room temperature and protected from light between measurements. The variation percentage between measurements were calculated by the following expression: % variation $= \frac{Abs(\lambda, tmix) - Abs(\lambda, tmix+i)}{Abs(tmix)}$ x 100.

***Cell lines.*** Human non-small cell lung cancer cells A549, NCI-H228, Calu-3, NCI-H1975 were purchased from ATCC (Manassas, VA). Cells were grown in RPMI-1640 medium, supplemented with 10% v/v FBS and 1% penicillin-streptomycin, at 37 °C, 5% $CO_2$, in a humidified atmosphere.

***Immunoblotting.*** Cells were rinsed with ice-cold lysis buffer (50 mM Tris, 10 mM EDTA, 1% v/v Triton-X100), supplemented with the protease inhibitor cocktail set III (80 μM aprotinin, 5 mM bestatin, 1.5 mM leupeptin, 1 mM pepstatin; Calbiochem, San Diego, CA), 2 mM phenylmethylsulfonyl fluoride and 1 mM $Na_3VO_4$, then sonicated (10 bursts of 1 s, amplitude 40%; Hielscher UP200S, Ultrasound Sonicator, GmbH, Teltow, Germany) and centrifuged at 13,000 × g for 10 min at 4°C. 20 μg protein extracts were subjected to SDS-PAGE and probed with the following antibodies: anti-P-gp (1:250, rabbit polyclonal #sc-8313, Santa Cruz Biotechnology Inc., Santa Cruz, CA), anti-MRP1

(1:500, mouse clone MRPm5, Abcam, Cambridge, UK), anti-β-tubulin (1:1000, mouse clone D10, Santa Cruz Biotechnology Inc.). This procedure was followed by the exposure to a peroxidase-conjugated secondary antibody (Bio-Rad Laboratories). The membranes were washed with Tris-buffered saline-Tween 0.1% v/v solution, and the proteins were detected by enhanced chemiluminescence (Bio-Rad Laboratories).

*Cytotoxic activity.* Cells were seeded in 96 well plates. In a first experimental set, cells were incubated for 72 h with DMSO as a solvent or cisplatin at the following concentrations: 1 nM, 10 nM, 100 nM, 1 μM, 10 μM, 100 μM. In a second experimental set, cells were incubated with DMSO or each compound at the following concentrations: 1 nM, 10 nM, 100 nM, 1 μM, 10 μM, 100 μM. In the third experimental set, cells were incubated for 72 h with 1 μM of the selected compound plus cisplatin at the following concentrations: 1 nM, 10 nM, 100 nM, 1 μM, 10 μM, 100 μM. Cell viability was evaluated using the WST-1 assay (Sigma-Merck), as per manufacturer's instructions, using a Packard EL340 microplate reader (Bio-Tek Instruments, Winooski, VT). The absorbance units of the untreated cells were considered 100%; the absorbance units of the other experimental conditions were expressed as percentage versus untreated cells. $IC_{50}$ and $IC_{25}$ were defined as the concentrations of cisplatin of compound that killed 50% and 25% cells, respectively. The Resistance Factor was the ratio between $IC_{50}$ of cisplatin alone/$IC_{50}$ of cisplatin + compound.

*ATPase activity.* The P-gp ATPase activity was measured in membrane vesicles as described previously.[45] Cells were washed with Ringer's solution (148.7 mM NaCl, 2.55 mM $K_2HPO_4$, 0.45 mM $KH_2PO_4$, 1.2 mM $MgSO_4$; pH 7.4), lysed on crushed ice with lysis buffer (10 mM Hepes/Tris, 5 mM EDTA, 5 mM EGTA, 2 mM dithiothreitol; pH 7.4) supplemented with 2 mM phenylmethylsulfonyl fluoride, 1 mM aprotinin, 10 μg/ml pepstatin, 10 μg/ml leupeptin, and subjected to nitrogen cavitation at 1200 psi for 20 min.

Samples were centrifuged at $300 \times g$ for 10 min in the pre-centrifugation buffer (10 mM Tris/HCl, 25 mM sucrose; pH 7.5), overlaid on a sucrose cushion (10 mM Tris/HCl, 35% w/v sucrose, 1 mM EDTA; pH 7.5) and centrifuged at $14,000 \times g$ for 10 min. The interface was collected, diluted in the centrifugation buffer (10 mM Tris/HCl, 250 mM sucrose; pH 7.5) and subjected to a third centrifugation at $100,000 \times g$ for 45 min. The vesicle pellet was re-suspended in 0.5 ml centrifugation buffer and stored at -80°C until the use, after the quantification of the protein content. 1 mg of total protein were immunoprecipitated with the anti-P-gp or anti-MRP1 antibody. 100 μg of each immunpurified protein was incubated for 30 min at 37°C with 50 μl of the reaction mix (25 mM Tris/HCl, 3 mM ATP, 50 mM KCl, 2.5 mM $MgSO_4$, 3 mM dithiothreitol, 0.5 mM EGTA, 2 mM ouabain, 3 mM $NaN_3$; pH 7.0). The reaction was stopped by adding 0.2 ml ice-cold stopping buffer (0.2% w/v ammonium molybdate, 1.3% v/v $H_2SO_4$, 0.9% w/v SDS, 2.3% w/v trichloroacetic acid, 1% w/v ascorbic acid). After 30 min incubation at room temperature, the absorbance of the phosphate hydrolyzed from ATP was measured at 620 nm, using a Packard EL340 microplate reader. The absorbance was converted into nmoles hydrolyzed phosphate (Pi)/min/mg proteins, according to the titration curve previously prepared.

***Statistical analysis.*** All data in the text and figures are provided as means ± SD. The results were analyzed by a one-way analysis of variance (ANOVA) and Tukey's test. $p < 0.05$ was considered significant.

**Supporting Information**

Schemes for synthesis of compounds **1**–**7**; characterization of compounds **1**–**7** (ESI-MS and NMR spectra and UV-vis data); crystallographic data and structural refinement details for X-ray data for **1**, **2**, **3**, **4**, **5**, and **6**; stability curves in DMSO/DMEM; P-gp and MRP1 expression in non-small cell lung cancer cell lines (immunoblotting).

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