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## Improved fluorescence-based evaluation of flavonoid in red and white winegrape cultivars

### **This is the author's manuscript**

*Original Citation:*

*Availability:*

This version is available <http://hdl.handle.net/2318/1634647> since 2021-11-24T17:10:16Z

*Published version:*

DOI:10.1111/ajgw.12276

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(Article begins on next page)

1 **Improved fluorescence-based evaluation of flavonoid in red**  
2 **and white winegrape cultivars**

3 Short running title: Non-destructive anthocyanin and flavonol measures

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11  
12  
13 **Abstract**

14 **Background and Aims:** Modern viticulture requires robust, fast, non-destructive  
15 methods to assess berry composition. We tested a chlorophyll fluorescence screening  
16 method to estimate berry phenolic substances.

17 **Methods and Results:** We focused on anthocyanin and flavonol in red and white  
18 cultivars. The ANTH\_RG index was dependent on the cultivar anthocyanin profile. In  
19 Nebbiolo, in which di-hydroxylated anthocyanins prevail, ANTH\_RG was 2.4 times  
20 higher than in Barbera, in which tri-hydroxylated anthocyanins prevail. Considering the  
21 profiles of the two cultivars at similar anthocyanin concentration and their relative in  
22 vitro absorbance, a bathochromic shift of 10 nm emerged, which can explain the different

23 screening effect exerted by anthocyanin on chlorophyll fluorescence. We propose the  
24 calibration of a new spectroscopic index, the FLAV\_UV, in coloured and white berries,  
25 finding good correlation with flavonol concentration determined analytically ( $R^2$  higher  
26 than 0.7).

27 **Conclusions:** Spectroscopic indices can estimate the concentration of anthocyanin and  
28 flavonol in grape berries.

29 **Significance of the Study:** A calibration curve for Nebbiolo, which has a distinctive  
30 anthocyanin profile, and the calibration of a new index, the FLAV\_UV, able to estimate  
31 flavonol concentration in both red and white cultivars, are described for the first time.  
32 These indices can effectively be applied for non-destructive assessment of grape  
33 flavonoid.

34

35

36 **Keywords:** *anthocyanin, flavonol, non-destructive measurements, Vitis vinifera, TSS*

37

38 **Introduction**

39 In coloured grapes the anthocyanin concentration is an important technological parameter  
40 to define harvest time and procedure. In the vineyard, the variability in the concentration  
41 of berry anthocyanin is higher than that due to sugar and acid concentration (Bramley  
42 2005). *Vitis vinifera* cultivars have a genetically determined capacity to accumulate a high  
43 or low quantity of anthocyanin, however, the concentration of individual anthocyanins  
44 (the profile) can vary moderately as a function of the environmental conditions, one of  
45 which is microclimate (Guidoni et al. 2008, Chorti et al. 2010). These factors can  
46 contribute to considerable variability that can result in the application of different types  
47 of technological techniques in winemaking to influence the final wine composition.  
48 Grapegrowers and vineyard consultants have been aware for some time of the importance  
49 of detailed determination of berry anthocyanin concentration to plan harvest time and to  
50 choose the most appropriate winemaking techniques. In addition, the number of  
51 cooperatives or of associated producers interested to pay viticulturists based on berry  
52 composition is increasing. Sampling and routine laboratory analysis required to cover the  
53 wide within-vineyard variability are time consuming, thus expensive, even in small  
54 vineyards. The possibility of estimating the anthocyanin concentration quickly and  
55 contextually to the measurement itself greatly helps in taking decisions at harvest.  
56 Portable optical sensors can help in this direction, also by providing an immediate  
57 estimation of anthocyanin through on-going measurements (Bramley et al. 2011). For  
58 research purposes, the use of a portable instrument able to measure in a few minutes a  
59 large number of berries greatly increases the coverage and significance of the  
60 experimental sampling, as well.

61 Chlorophyll fluorescence screening methods have been recently developed and  
62 applied in viticulture (Cerovic et al. 2008, Ben Ghozlen et al. 2010). The theoretical  
63 principles were developed and applied by Agati and co-workers in 2007 to Pinot Noir  
64 berries that accumulate a limited quantity of anthocyanin. Briefly, the anthocyanin  
65 measurement is an indirect measure, based on the interference effect exerted by  
66 anthocyanin absorbance over chlorophyll fluorescence excitation in the green and in the  
67 red spectral regions. A commercial instrument has been available since 2008 (Cerovic et  
68 al. 2008) and since 2010, an improved version of the sensor was described (Ben Ghozlen  
69 et al. 2008) and commercialised. The sensor was tested on Shiraz grapes able to achieve  
70 an anthocyanin concentration of 2.5 mg/g (Bramley et al. 2011), that is a higher  
71 concentration than that reported for Pinot Noir. The studies devoted to the use of the  
72 sensor for measurement of grape anthocyanin concentration proposed several calibration  
73 curves to fit spectroscopic to analytical measurements. Most authors related the  
74 ANTH\_RG index—the logarithm of the ratio of the far red fluorescence under red and  
75 that under green excitation, the  $\log FER = \log (FRF\_R/FRF\_G)$ —to anthocyanin  
76 concentration measured analytically. In 2011 Tuccio and co-workers observed that in  
77 Aleatico grapes the best fitting was obtained with an exponential curve ( $R^2 = 0.88$ ), even  
78 though a linear regression would fit anthocyanin concentration measured analytically to  
79 spectroscopic data with  $R^2 = 0.86$ , as well. Bramley and co-workers (2011) proposed a  
80 second-degree polynomial curve ( $R^2 = 0.78$ ) to fit anthocyanin concentration to  
81 spectroscopic measurements in Shiraz. A significant correlation ( $R^2 = 0.74$ ) with an  
82 exponential curve function was obtained in Tempranillo (Baluja et al 2012). Different  
83 authors (Bramley et al. 2011; Baluja et al. 2012) obtained a significant correlation  
84 between anthocyanin concentration and the FERARI spectroscopic index (log

85 5000/FRF\_R), as well. In addition, the chlorophyll fluorescence screening method was  
86 successfully applied to evaluate anthocyanin concentration in tablegrapes (Bahar et al.  
87 2012). From these studies, it emerged that the predictive value of the calibration curves  
88 may differ as a function of the cultivar studied and this may depend on the anthocyanin  
89 profile specific for each cultivar. For this reason, in the present work we validated the use  
90 of the sensor to measure the anthocyanin accumulation in the skins of two *V. vinifera*  
91 Italian cultivars, Barbera and Nebbiolo, that differ widely in both anthocyanin  
92 concentration and profile. Barbera accumulates anthocyanin up to 2 g/kg with the  
93 prevalence of tri-hydroxylated forms (Ferrandino and Guidoni 2010), similar to Shiraz,  
94 Pinot Noir and Aleatico. Nebbiolo accumulates a reduced quantity of anthocyanin (up to  
95 0.8 g/kg) with the prevalence of di-hydroxylated forms, peonidin 3-O-glucoside being the  
96 main anthocyanin (Ferrandino et al. 2012).

97 Flavonol is present in berry skins of both coloured and white berries, and ranges  
98 in concentration from few milligrams per kg up to about 200 mg/kg depending on the  
99 cultivar (Mattivi et al. 2007, Castillo-Muñoz et al. 2010, Ferrandino et al. 2012), on light  
100 exposure (Downey et al. 2004), and on vintage and water availability (Zarrouk et al.  
101 2012). The anti-scavenging and antioxidant properties of berries, particularly of white  
102 berries, largely rely on flavonol; quercetin glycosides, in particular, are among the  
103 strongest antioxidants known in grapes. Flavonol accumulates in plant epidermal organs,  
104 included berry skins, in response to abiotic stress to protect the underlying tissues against  
105 UV-induced damage (Kolb et al. 2003). Recent work has shown that flavonol is involved  
106 in the plant response to biotic stress as well, contributing to the control of leaf sensitivity  
107 to *Plasmopara viticola* (Agati et al. 2008, Latouche et al. 2013).

108           The monitoring of plant response to biotic and abiotic stress conditions through  
109 the assessment of the trend in flavonol accumulation over the season could become a  
110 valuable, early tool to predict the state of vine health and to study the kinetics of flavonol  
111 accumulation. Flavonol is also important in winemaking, contributing to colour  
112 stabilisation through co-pigmentation phenomena of anthocyanin (Boulton 2001); in  
113 white wine processing, flavonol contributes to increase the concentration of phenolic  
114 substances in the resulting wines, with a positive effect on storage and antioxidant  
115 properties (Hernanz et al. 2007). Thus, the rapid assessment of flavonol could become an  
116 important feature to add to the routine parameters of juice evaluation, such as °Brix,  
117 titratable acidity and pH, particularly in white cultivars. The spectroscopic FLAV index,  
118 the logarithm of the ratio between the far-red fluorescence under red and that under UV  
119 excitation (Agati et al. 2011), was calibrated for estimating berry flavonol concentration  
120 in a limited number of cultivars. Among the studies dealing with the detection of flavonol  
121 in grape berries by spectroscopy (Kolb et al. 2003, Lenk et al. 2007) only a few were  
122 performed with a commercially available instrument on the coloured grape Aleatico  
123 (Tuccio et al. 2008) and on the white Vermentino (Agati et al. 2013). For all these reasons,  
124 in the present work we investigated the possibility of assessing non-destructively the  
125 flavonol accumulation in the coloured grape Barbera and in several white cultivars.  
126 Furthermore, we propose and calibrate here for the first time a new spectroscopic index,  
127 the FLAV\_UV, calculated as the decadic logarithm of the inverted far-red fluorescence  
128 signal under UV excitation, which is related to the berry flavonol concentration.

129           Nowadays, spectroscopic methods in viticulture increasingly require multi-  
130 parametric instruments able to measure simultaneously as many parameters as possible.  
131 For this reason, the simple fluorescence emission ratio (SFR\_R, equal to the far-red-

132 fluorescence\_R/red-fluorescence\_R ratio) was successfully tested to estimate TSS, being  
133 linearly and inversely correlated to TSS in grapes from Pinot Noir, Pinot Meunier and  
134 Chardonnay with  $R^2 = 0.85$  (Ben Ghazlen et al. 2010). In the present work, we measured  
135 the SFR\_R in white Nascetta and Chardonnay berries and we related the temporal changes  
136 of this index to the beginning of ripening (start of chlorophyll degradation in berries) and  
137 to TSS accumulation.

### 138 **Materials and methods**

139 In 2008 and 2009, Barbera and Nebbiolo anthocyanin was evaluated by the fluorescence  
140 excitation screening method with the Multiplex sensor (ForceA, Paris, France). In 2008,  
141 measurements on Barbera berries were taken starting 20 days after veraison in a vineyard  
142 located at Camporotondo, Agliano (Asti Province, Piedmont, north-west Italy), known to  
143 produce high quality grapes (the so called Super Barbera, Table 1). At commercial  
144 harvest, berries from ten other Barbera vineyards in the area, representing a wide range  
145 of grape composition (from low to high anthocyanin concentration at harvest) were  
146 measured spectroscopically and analytically. In 2009, measurements were repeated in the  
147 vineyard of Camporotondo (from early veraison onwards, three dates) and in another six  
148 vineyards chosen among those studied during the previous year (Table 1). Measurements  
149 for Nebbiolo were taken following the same timing (from 20 days after veraison onwards  
150 in 2008 and at early veraison in 2009) on berries from the Cannubi hill [Damilano cellar,  
151 Barolo, Cuneo Province (CN), Italy]. In 2008, spectroscopic data (FLAV index) of  
152 Barbera grapes from the Camporotondo vineyard and from the other ten vineyards  
153 sampled were correlated to flavonol concentration analytically measured. In 2010, the  
154 FLAV index and the newly proposed FLAV\_UV index were measured with a Multiplex3  
155 sensor on berries of several white berry cultivars from the collection vineyard of Grinzane

156 Cavour (CN; 44° 39'09.0 " N; 7° 59 '41.0' E). In 2011, measurements were made on  
157 Chardonnay and Nascetta grapes. Chardonnay grapes were collected in a Barolo (CN)  
158 vineyard whereas Nascetta grapes were collected in a Sinio (Serralunga d'Alba, CN)  
159 vineyard (Table 1). In each vineyard, three rows, located at the top, in the middle and at  
160 the bottom of the hill, were chosen. On each row, measurements were taken on the  
161 bunches of 25 consecutive vines (10 vines in the Grinzane Cavour collection vineyard)  
162 from the two sides of the row and from the upper, the central and the basal part of the  
163 bunch. About 96 bunches (32 for each replicate) were flashed with the optical sensor,  
164 using the 8 cm diameter mask. From the flashed bunches, groups of two–three berries  
165 were collected and stored in portable refrigerators; once taken to the laboratory,  
166 subgroups of 20 berries each were immediately extracted for phenolic substances and  
167 stored at -20°C until further analysis. The remaining berries were crushed to measure TSS  
168 (ATAGO digital refractometer, Atago, Tokyo, Japan).

169 *Calculation of spectroscopic indices*

170 Spectroscopic indices were calculated in accordance with previously published papers  
171 (Table 2). The indices ANTH\_RG and FERARI were used to estimate anthocyanin  
172 concentration; FLAV and SFR\_R were related to flavonol and to TSS, respectively.

173 In addition, we calculated a new index, the FLAV\_UV accordingly to the  
174 following formula:

175

$$176 \text{ FLAV\_UV} = \log (1/\text{FRF\_UV}).$$

177

178 Similar to the FERARI index, which is a simplified spectroscopic estimation of  
179 anthocyanin as it exclusively takes into account the far-red chlorophyll fluorescence after

180 excitation in the red, we propose for the first time an index exclusively based on the  
181 screening effect of flavonol on the chlorophyll far-red fluorescence after excitation in the  
182 UV, to estimate the berry flavonol concentration. Before calculations, signals were  
183 corrected for residual electronic noise and normalised to a fluorescence standard (blue  
184 plastic foil, Force-A).

#### 185 *Fluorescence spectroscopy of red grape berries*

186 Excitation spectra of chlorophyll fluorescence of intact grape berries were acquired by a  
187 spectrofluorimeter equipped with an optical fiber (FluorMax II, Horiba Jobin Yvon,  
188 Edison, NJ, USA) with emission set at 685 nm. Measurements were taken from the berry  
189 apex and repeated, on the same area, after removing the skin. The in situ absorption  
190 spectrum of the berry skin was calculated as the logarithm of the fluorescence excitation  
191 ratio (FER) between skin-devoid berries and whole berries, as previously described  
192 (Agati et al. 2005).

193

#### 194 *Measurement of anthocyanin and flavonol concentration*

195 All spectroscopic measurements obtained in the field were fitted against laboratory  
196 analysis of the same berries measured by the sensor, following previously published  
197 methods used in our laboratory, by spectrophotometry (anthocyanin) or by HPLC-diode  
198 array detector (DAD) (flavonol) (Ferrandino and Guidoni 2010). In brief, skin was  
199 separated from pulp and seeds and immersed in a pH 3.2 buffer containing 2 g/L of  
200 Na<sub>2</sub>S<sub>2</sub>O<sub>5</sub>; after extraction of skin phenolic substances (at 30°C for 3 days), anthocyanin  
201 was measured by spectrophotometry, by diluting the grape skin extract with ethanol:  
202 water: HCl (70:30:1). Absorbance units at 520 nm were converted into mg/L according  
203 to a calibration curve prepared for malvidin 3-O-glucoside chloride (Extrasynthèse,

204 Genay, France). Anthocyanin concentration was expressed as mg/kg of berries which is  
205 the best way to express measurements for applicative purposes. The anthocyanin profile  
206 of grape skin extracts was assessed by prior separation of anthocyanin on a 300 mg C18  
207 cartridge. Anthocyanin was analysed by HPLC-DAD (Perkin-Elmer series 200-L pump)  
208 equipped with a Puropsher STAR RP-100 RP-18 5  $\mu$ m (25 x 0.4 cm ID) and a pre-column  
209 (Puropsher RP-18, 5  $\mu$ m), all from Merck (Darmstadt, Germany). Formic acid:water  
210 (10:90 v/v) and formic acid:methanol:water (10:50:40 v/v/v) were solvents A and B,  
211 respectively, with a flow rate of 1 ml/min and a gradient between 28 and 90% of B over  
212 53 min (Di Stefano and Cravero 1991).

213 For flavonol measurement, the grape skin extracts were diluted 1:1 with 1 mol/L  
214 phosphoric acid, filtered through 0.2  $\mu$ m GHP membrane filters (Pall Corporation, New  
215 York, NY, USA) in dark glass vials and injected into a HPLC-DAD (Perkin-Elmer)  
216 equipped with a LiChrosphere 100 RP-18 5  $\mu$ m (25 x 0.4 cm ID) column with a  
217 LiChrocart C18 guard column (Merck). The chromatographic analysis followed a  
218 previously published procedure (Ferrandino et al. 2012); briefly, peaks were separated  
219 with solvent A (phosphoric acid  $10^{-3}$  mol/L) and solvent B (CH<sub>3</sub>OH 100%), establishing  
220 a gradient between 5 and 100% of solvent B over 49 min at a flow rate of 0.48 mL/min.  
221 The DAD was set at an acquisition range of 200–700 nm. Flavonol was detected at 360  
222 nm and identified using pure standards [quercetin 3-O-glucopyranoside, myricetin 3-O-  
223 glucopyranoside and kaempferol 3-O-glucoside (Extrasynthèse)] when available and by  
224 comparing retention times and UV-VIS absorption data with those found in the literature  
225 for quercetin 3-O-glucuronide (Kammerer et al. 2004, Downey and Rochfort 2008). The  
226 concentration of each flavonol was calculated through the external standard method and  
227 expressed as mg equivalents of quercetin 3-O-glucoside per kilogram of berries. The

228 concentration of flavonol was obtained by summing the concentration of the individual  
229 flavonols.

230

### 231 *Absorbance spectra simulation of grape anthocyanin extracts*

232 The absorbance spectra of the anthocyanin mixtures of the two cultivars were calculated  
233 by taking into account their relative composition at a similar concentration of about 750  
234 mg/kg of berries. The shape of the absorbance spectrum of individual anthocyanins and  
235 acylated-anthocyanins in formic acid:methanol:water (10:50:40, v/v/v) was derived by  
236 the HPLC-DAD analysis of skin berry extracts. Each spectrum, normalised to the  
237 maximum, was multiplied by the corresponding extinction coefficient at pH 3.1, as  
238 reported by Cabrita et al. (2000). For acylated compounds, the hyperchromic shift  
239 induced by acylation (Davies and Mazza 1993, Gris et al. 2007) was considered.  
240 Moreover, spectra of individual anthocyanins were weighted considering the proportion  
241 of each anthocyanin over the anthocyanin total concentration (the profile, as reported in  
242 Table 3). At each wavelength from 400 to 700 nm absorbance of all compounds was  
243 summed to obtain the total absorbance of the extracts.

## 244 **Results and discussion**

245 *Calibration of spectroscopic indices with chemical analysis* **Spectroscopic index**  
246 **calibration in Barbera and Nebbiolo for anthocyanin.** As previously described, the  
247 relation between ANTH\_RG and anthocyanin concentration in Barbera berries was  
248 studied in an interval of 18–1800 mg/kg of fresh berries; it was represented by a complex  
249 curve, the difference between two exponential equations:  $ANTH\_RG = 0.0597 - 8.85 \exp$   
250  $(-0.0026x) - \exp(0.0024x)$  (Ferrandino et al. 2012). This mathematical relation showed  
251 that within 350 mg/kg the correlation between the analytical data and spectroscopic index

252 was positive, whereas after this threshold it became negative, suggesting a non-univocal  
253 relation between the two sets of data, as two different values of anthocyanin concentration  
254 corresponded to a unique spectroscopic index value. Above an anthocyanin concentration  
255 of 325 mg/kg, however, a second degree equation ( $ANTH\_RG = 7.75E-08x^2 - 0.0003x +$   
256  $0.4337$ ;  $R^2 = 0.72$ ) fitted the Barbera set of data (Figure 1). This second-degree equation  
257 expressed a relationship between analytical and spectroscopic data similar to that  
258 proposed for Shiraz (Bramley et al. 2011).

259

260 We examined the relationship between ANTH\_RG and anthocyanin  
261 concentration measured spectrophotometrically in Nebbiolo in a concentration interval of  
262 270–770 mg/kg of berries (Figure 1). In this cultivar, above 270 mg/kg, the relation  
263 between spectroscopic measurement and chemical analysis was also represented by a  
264 second-degree equation ( $ANTH\_RG = 3.77E-08x^2 - 0.0004x + 0.9146$ ;  $R^2 = 0.55$ ).

265 The two fitting curves differed markedly as to the constant term value (Figure 1),  
266 suggesting that the anthocyanin profile could influence the relation existing between  
267 spectroscopic measurement and chemical analysis. Indeed, Barbera grapes, besides  
268 showing an anthocyanin concentration higher than that of Nebbiolo grapes, also showed  
269 a prevalence of tri-hydroxylated anthocyanins, in line with most *V. vinifera* cultivars.  
270 Among the numerous grapevine cultivars, the anthocyanin profile of Nebbiolo grapes is  
271 distinctive: in fact, it shows the uncommon prevalence of di-hydroxylated anthocyanins,  
272 with peonidin 3-O-glucoside as the main form (Guidoni et al. 2008, Ferrandino et al.  
273 2012). Moreover, the incidence of acylated forms as a proportion of the anthocyanin is  
274 different, as in Barbera acylated anthocyanins account for about 20% of the anthocyanin

275 whereas in Nebbiolo they account for about 8% at harvest [Table 3 (Ferrandino et al.  
276 2012)].

277         The absorption spectrum of tri-hydroxylated anthocyanins shows a bathochromic  
278 shift of about 10 nm with respect to that of the di-hydroxylated anthocyanins (Cabrita et  
279 al. 2000). The simulation of absorbance spectra of extracts of Barbera and Nebbiolo grape  
280 skin (Figure 2a) confirmed the presence of a bathochromic shift of about 10 nm (peak  
281 wavelength at 526 nm for Barbera and 516 nm for Nebbiolo). Although the calculation  
282 refers to an in vitro approximation, it can provide an interpretation for the large shift (2.4  
283 times on average) in the anthocyanin index between the cultivars shown in Figure 1.  
284 According to Figure 2a, at similar anthocyanin concentration the filtering effect of  
285 anthocyanin compounds on the red excitation light relative to the green is higher for  
286 Barbera than for Nebbiolo, resulting in a lower value of ANTH\_RG for Barbera compared  
287 to that of Nebbiolo. This was confirmed by comparing the in situ absorption spectra of  
288 berry skins of the two cultivars measured by the chlorophyll fluorescence excitation  
289 method (Agati et al. 2005) (Figure 2b). The spectra showed that skin absorbance in the  
290 red relative to that in the green for Barbera was higher than that for Nebbiolo, determining  
291 a value of ANTH\_RG in Barbera lower than that in Nebbiolo. Therefore, the difference  
292 in the anthocyanin profile of the two cultivars could explain the different correlations  
293 detected between the ANTH\_RG spectroscopic index and anthocyanin concentration.  
294 Due to the variability of the anthocyanin profile of *V. vinifera* cultivars, present results  
295 suggest that the instrument should be calibrated for each cultivar or, alternatively, to use  
296 different calibration curves in relation to the expected profile of the grape berry  
297 anthocyanin. Some calibration curves are available at present for grapevine cultivars  
298 whose anthocyanin profile is dominated by tri-hydroxylated compounds such as

299 Tempranillo [Baluja et al. 2012), see Nuñez et al. (2004) for profiles], Shiraz [see Downey  
300 and Rochefort (2008) for profiles)], Aleatico [Tuccio et al. (2011), see Bellincontro et al.  
301 (2006) for profiles], Cabernet Sauvignon and Merlot (Agati et al. 2013), in line with data  
302 obtained in the present work for Barbera. To our knowledge, this is the first time a  
303 calibration of a spectroscopic index has been proposed for a cultivar such as Nebbiolo  
304 whose anthocyanin profile is dominated by di-hydroxylated compounds.

305         The Multiplex sensor system was calibrated from veraison, as well for the  
306 application of the FERARI index (Figure 3). In Barbera, analytical data were related to  
307 the spectroscopic index through an exponential curve with  $R^2 = 0.81$ , whereas for  
308 Nebbiolo the same relationship was linear ( $R^2 = 0.61$ ). Considering both cultivars taken  
309 together, a good exponential fitting curve of data,  $y = 0.2372 + 2.6285 (1 - e^{-0.0004x})$  with  
310  $R^2 = 0.85$ , was obtained (Figure 3). It is worth noting that the FERARI index, being mono-  
311 parametric and referring exclusively to the far-red fluorescence under red excitation  
312 (FRF\_R), was not influenced by the anthocyanin profile of the cultivar. Figure 3 shows  
313 that in the range 270–1800 mg/kg of anthocyanin the FERARI index can be used to  
314 estimate the concentration of grape berry anthocyanin, regardless of the cultivar. More  
315 improved calibration curves for the FERARI index can be obtained including pre-  
316 veraison data (not shown).

317

318 **Spectroscopic index calibration for flavonol in Barbera and in white cultivars.** In  
319 2008 we related the flavonol concentration of Barbera berries with the FLAV  
320 spectroscopic index and with the newly proposed FLAV\_UV index, using the Multiplex  
321 (first version) sensor (Figure 4). The FLAV spectroscopic index did not correlate with  
322 analytical data ( $R^2 = 0.04$ ) in line with results obtained in Aleatico (Tuccio et al. 2011),

323 which could be due to the large change on the FRF\_R signal induced by the anthocyanin  
324 accumulation while the FRF\_UV was slightly affected. In contrast, by plotting the  
325 FLAV\_UV index (that does not imply FRF\_R in the calculation) against flavonol  
326 concentration, we found a good correlation between the two sets of data with  $R^2 = 0.74$   
327 (Figure 4). Thus, this index can be proposed as a non-destructive proxy of flavonol  
328 concentration in red grape berries.

329

330 In 2011, we studied the correlation between the Multiplex3 flavonol indices and  
331 the flavonol concentration analytically determined in two white grape cultivars, Nascetta  
332 and Chardonnay, chosen for their similarity in flavonol concentration and profiles (Table  
333 4) and for being important for the Province (Nascetta) and as an international reference  
334 (Chardonnay). Considering the two sets of data separately, we observed a good  
335 correlation between flavonol analytically measured and both the FLAV and FLAV\_UV  
336 indices (Figure 5a,b). In both cultivars flavonol concentration fitted with spectroscopic  
337 measurements through linear correlations with  $R^2$  values always higher than 0.8 (Figure  
338 5a,b). Taking data from the two cultivars together, it emerged that the FLAV\_UV index  
339 was still well correlated with flavonol concentration, with  $R^2$  equal to 0.86, in a  
340 concentration range from about 30 to about 150 mg/kg of flavonol in berries, regardless  
341 of the cultivar (Figure 5c). Moreover, we compared the FLAV\_UV versus flavonol  
342 calibration curve defined for Chardonnay and Nascetta with data collected in 2010 for  
343 several white cultivars whose flavonol concentration at harvest ranged between 50–220  
344 mg/kg of berries and which had different flavonol profiles (Table 4). Figure 5c shows that  
345 for Albarola, Cortese, Leiseret and Sauvignon Blanc the relationship between FLAV\_UV  
346 and flavonol concentration fitted the calibration curve well. In contrast, the data for Arneis

347 and Timorasso were markedly outside of it. At the moment, we do not know the reason  
348 for that. Since these two cultivars were those with the highest concentration of flavonol  
349 (Table 4), we can hypothesise that a saturation effect on the optical method occurs when  
350 the flavonol concentration in the berries is higher than 150 mg/kg. Further investigation  
351 collecting more experimental data is required to clarify this point. It is worth noting that  
352 the Nascetta data collected in 2010 were also well represented by the calibration curve  
353 built in 2011 (Figure 5c).

354

355 **SFR\_R as index of veraison and technological maturity in white berries.** The simple  
356 fluorescence ratio index, representing the chlorophyll degradation, decreased over the  
357 season (Figure 6a) but offset in time between Chardonnay and Nascetta. In Chardonnay,  
358 a plateau phase was detected until day 190, whereas this plateau was prolonged until day  
359 210 in Nascetta. Afterwards, in both cultivars the fluorescence ratio constantly declined  
360 over the remainder of the season. The beginning of ripening measured by TSS matched  
361 the start of the decrease in SFR\_R, as shown by symmetric trends of the TSS  
362 accumulation curves (Figure 6a). This confirms that in white cultivars the SFR\_R  
363 spectroscopic index is a valuable tool to estimate the start of ripening, well correlated  
364 with the TSS accumulation (Figure 6b), and accords with results previously found for  
365 Pinot Noir, Pinot Meunier and Chardonnay (Ben Ghazlen et al. 2010) and for Cabernet  
366 Sauvignon, Merlot and Vermentino (Agati et al. 2013).

367

368

369 **Conclusions**

370 Spectroscopic indices can effectively estimate the concentration of some important  
371 classes of grape berry skin phenolic substances (anthocyanin and flavonol) in a fast,  
372 reliable and non-destructive way. For ANTH\_RG measurements applied to estimate  
373 anthocyanin, an important cultivar effect tied to the anthocyanin profile emerged.  
374 Actually, considering the anthocyanin profile of two cultivars, Barbera and Nebbiolo, at  
375 similar concentration, and the relative in vitro absorbance, a bathochromic shift of about  
376 10 nm emerged that can contribute to the different screening effect exerted on the  
377 chlorophyll fluorescence excitation in the red relative to the green. As to cultivars such  
378 as Barbera, in which tri-hydroxylated anthocyanins are more prevalent, some calibration  
379 curves have already been published. As to Nebbiolo, which is a di-hydroxylated prevalent  
380 cultivar, this is the first time a calibration curve has been proposed. To assess  
381 spectroscopically the concentration of berry anthocyanin regardless of the anthocyanin  
382 profile, the FERARI index was found to be effective.

383         We presented here for the first time the FLAV\_UV index, the logarithm of  
384  $1/FRF_{UV}$ . This index is suitable for non-destructive flavonol assessment of coloured  
385 grapes as it is not affected by the interference effect due to anthocyanin in the red. In  
386 white cultivars, both the FLAV and the FLAV\_UV indices were suitable for flavonol  
387 estimation ( $R^2$  always higher than 0.8) even though a saturation effect at concentration  
388 greater than 150 mg/kg was observed. As such high concentration is uncommon in *V.*  
389 *vinifera* berries we deem the FLAV\_UV index as an efficient estimation of berry flavonol  
390 accumulation. Moreover, the simple fluorescence ratio (SFR\_R) was confirmed to be  
391 highly and inversely related to TSS accumulation.

392

393 **Acknowledgments**

394 We are grateful to Regione Piemonte (Project INCREASE BARBERA) for financial support and  
395 to Mr Nicola Centonze, Mr Giulio Spanu and Mr Luca Padovani, formerly Master Degree  
396 students (Laurea Magistrale in Scienze Viticole ed Enologiche, UNITO) for their contribution to  
397 data collection.

398 We thank Dr Anna Schneider and Dr Stefano Raimondi for providing the white  
399 grapes collected in the Grinzane Cavour collection vineyard. . Laboratory activity was  
400 performed at the Ampelion laboratory, Alba in the frame of the agreement UNITO – Cuneo  
401 Province.

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510 **Table 1.** Geo-localisation of vineyards hosting the trials with the indication of the type of  
 511 wine produced.  
 512

Grape variety and type of wine	Municipality area	Geographic coordinates
'Super Barbera'†	Agliano, Camporotondo (AT)	44°46'29.8"N 8°16'01.3"E
Barbera 'Cuore di Gamma'	Agliano (AT)	44°46'32.9"N 8°15'58.5"E
Barbera 'Cuore di Gamma'	Agliano (AT)	44°46'36.4"N 8°15'54.4"E
Barbera 'Terre Rosse'†	Cassine (AL)	44°47'58.9"N 8°32'30.2"E
Barbera 'Cuore di Gamma'	Castel Rocchero (AT)	44°43'01.9"N 8°24'53.2"E
Barbera 'Cuore di Gamma' high yield†	Nizza Monferrato (AT)	44°45'53.9"N 8°23'33.7"E
Barbera 'Cuore di Gamma' low yield	Nizza Monferrato (AT)	44°45'51.6"N 8°23'27.9"E
Barbera 'Storico'	Mombaruzzo (AT)	44°46'04.8"N 8°26'41.0"E
Barbera 'Selezione'†	Ricaldone (AL)	44°43'55.3"N 8°28'02.1"E
Barbera standard, high yield†	Rivalta Bormida (AL)	44°41'36.5"N 8°33'42.8"E
Barbera standard, low yield†	Rivalta Bormida (AL)	44°41'35.3"N 8°33'44.8"E
Barbera standard, high yield†	Tortona (AL)	44°50'29.7"N 8°54'36.2"E
Nebbiolo 'Cannubi'	Barolo (CN)	44°36'50.1"N 7°56'37.5"E
Chardonnay	Barolo (CN)	44°36'51.8"N 7°56'24.1"E
Nascetta	Sinio (CN)	44°36'01.5"N 8°00'32.0"E

513 † Represents vineyards where grapes were collected in 2008 and 2009. AL, Alessandria

514 Province; AT, Asti Province; CN, Cuneo Province.

515

516 **Table 2.** Spectroscopic indices used to estimate berry anthocyanin (ANTH\_RG and  
 517 FERARI) and flavonol concentration (FLAV).  
 518  
 519  
 520

<b>Spectroscopic index</b>	<b>Formula</b>	<b>Reference</b>
ANTH_RG	$\log(\text{FRF}_R/\text{FRF}_G)$	Agati et al. (2007)
FERARI	$\log(1/\text{FRF}_R)$	Ben Ghazlen et al. (2010)
FLAV	$\log\text{FRF}_R/\text{FRF}_{UV}$	Agati et al. (2011)
SFR_R	$\text{FRF}_R/\text{RF}_R$	Ben Ghazlen et al. (2010)

527 FRF\_R, far-red fluorescence in the red; FRF\_G, far-red fluorescence in the green;  
 528 FRF\_UV, far-red fluorescence in the UV; RF, red fluorescence.

529 **Table 3.** Average concentration of anthocyanin and anthocyanin profile in Barbera and  
 530 Nebbiolo grapes at harvest, and anthocyanin profile of Barbera at a concentration of 750  
 531 mg/kg.

532

		<b>Anthocyanin (mg/kg)</b>	<b>Non-acylated di-hydroxylated form (%)</b>	<b>Non-acylated tri-hydroxylated form (%)</b>	<b>Acylated forms (%)</b>
Barbera	at harvest	1200	7.4	71.7	20.9
Nebbiolo	at harvest	750	62.5	29.1	8.4
Barbera	at 750 mg/kg	-	5.0	66.6	28.4

533

534

535 **Table 4.** Flavonol concentration and flavonol profile of berries of white cultivars  
 536 collected 1 week before harvest (first row for each cultivar) and at harvest (second row  
 537 for each cultivar) in 2010 and of Nascetta and Chardonnay in 2011.

Cultivar	Flavonol (mg/kg)	Quercetin† glycosides (%)	Kaempferol‡ glycosides (%)
<b>2010</b>			
Albarola	53.6 ± 5.9	84.1	15.9
	-	-	-
Arneis	161.1 ± 8.8	85.9	14.1
	181.3 ± 11.0	82.5	16.5
Cortese	76.4 ± 11.3	82.5	17.6
	88.3 ± 7.7	83.9	16.1
Leiseret	125.9 ± 20.6	83.7	16.3
	118.2 ± 4.3	78.4	21.6
Nascetta	145.5 ± 8.3	79.5	20.4
	148.9 ± 15.8	73.1	26.9
Sauvignon Blanc	55.7 ± 2.2	84.8	15.2
	95.0 ± 19.9	84.1	15.9
Timorasso	221.6 ± 24.0	72.4	27.6
	211.6 ± 10.0	72.4	27.6
<b>2011</b>			
Chardonnay	152.5 ± 8.2	76.4	23.5
	101.5 ± 5.6	86.0	14.0
Nascetta	147.3 ± 8.9	75.4	24.7
	106.6 ± 5.2	94.2	5.8

538

539 †Quercetin glycosides, quercetin 3-O-glucuronide + quercetin 3-O-glucoside;

540 ‡kaempferol glycosides, kaempferol 3-O-glucuronide + kaempferol 3-O glucoside.

541

542 **Figure captions**

543 **Figure 1.** Relationship between the ANTH\_RG spectroscopic index measured ‘in field’  
544 and the anthocyanin concentration analytically measured in Barbera (◇) and Nebbiolo (●)  
545 grapes. Fitting curves are both second degree polynomial functions:  $y = 7.75E-08x^2 -$   
546  $0.0003x + 0.4337$ ;  $R^2 = 0.72$  for Barbera ;  $y = 3.77E-08x^2 - 0.0004x + 0.9146$ ;  $R^2 = 0.55$   
547 for Nebbiolo .

548  
549 **Figure 2.** (a) Simulated absorbance spectra of extracts of Barbera (—) and Nebbiolo  
550 (—) berry skin anthocyanin calculated taking into account the profile (according to  
551 Table 3) measured at the same total anthocyanin concentration (750 mg/kg). (b) In vivo  
552 absorbance spectra of Barbera and Nebbiolo berry skins measured by the chlorophyll  
553 fluorescence excitation method with a spectrofluorimeter with emission set at 685 nm.

554

555 **Figure 3.** Relationship between the FERARI [ $\log(1/FRF\_R)$ ] index measured ‘in field’  
556 and the anthocyanin concentration analytically measured in Barbera (◇) and Nebbiolo  
557 (●) grapes. Exponential fitting curve for both cultivars taken together [ $y = 0.2372 +$   
558  $2.6285(1 - e^{-0.0004x})$ ,  $R^2 = 0.85$  (—)], exponential fitting curve for individual Barbera [ $y =$   
559  $-0.0092 + 2.3061(1 - e^{-0.0007x})$ ,  $R^2 = 0.81$  (—)] and linear fitting curve for individual  
560 Nebbiolo [ $y = 0.3815 + 0.0008x$ ,  $R^2 = 0.61$  (—)].

561

562 **Figure 4.** Relationship between the FLAV (◇) and FLAV\_UV (◆) indices measured ‘in  
563 field’ and the flavonol concentration in Barbera grapes (2008). Fitting curves are both  
564 linear functions:  $y = 0.0008x + 0.0267$ ;  $R^2 = 0.04$ .  $y = 0.0034x + 0.8744$ ;  $R^2 = 0.74$ .

565

566 **Figure 5.** Calibration curves for the FLAV and the FLAV\_UV indices describing the  
567 relationship with flavonol concentration analytically measured. Measures were taken with  
568 the Multiplex3 instrument implemented in the UV emission in the white cultivars (a)  
569 Nascetta [for FLAV\_UV  $y = 0.0063x + 0.169$ ,  $R^2 = 0.82$ ; for FLAV  $y = 0.002x + 0.4385$ ,  
570  $R^2 = 0.8056$ ] and (b) Chardonnay [for FLAV\_UV  $y = 0.0055x + 0.2447$ ,  $R^2 = 0.92$ ; for  
571 FLAV  $y = 0.0018x + 0.3808$ ,  $R^2 = 0.83$ ], in 2011. (c) The flavonol calibration curve  
572 defined for Nascetta (▲) and Chardonnay (■) has been used as reference for data related  
573 to the white cultivars Leiseret (●), Nascetta (Δ), Cortese (◇), Albarola (○), Sauvignon  
574 Blanc (◆), Arneis (□) and Timorasso (◆), measured in 2010 [ $y = 0.0059x + 0.2087$ ,  $R^2 =$   
575  $0.86$ ].

576 **Figure 6.** (a) Simple fluorescence ratio after red excitation (SFR\_R) and TSS  
577 accumulation [SSC (°Brix)] in Nascetta (▲) and Chardonnay (■) berries over the season.  
578 (b) Relationship between SFR\_R and TSS (°Brix) in Nascetta (▲) and Chardonnay (■)  
579 berries [ $y = -22.575x + 36.696$ ;  $R^2 = 0.94$ ].

580

