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In vitro activity of cefiderocol against ceftazidime-avibactam susceptible and resistant KPC-producing Enterobacterales: cross-resistance and synergistic effects

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| Abstract: | <p>Purpose: To assess the in vitro activity of cefiderocol (CFDC) against a collection of both ceftazidime-avibactam (CZA) susceptible and resistant KPC-producing Enterobacterales (KPC-EB) isolates. Secondly, to assess its synergistic activity in combination with different antibiotics.</p> <p>Methods: One hundred KPC-EB isolates were tested: 60 CZA susceptible and 40 CZA resistant. Among them, 17 pairs of CZA susceptible and resistant KPC-producing Klebsiella pneumoniae (KPC-Kp) isolates were collected from 17 distinct patients before and after CZA treatment, respectively.</p> <p>Results: CFDC susceptibility was evaluated by both broth microdilution (lyophilized panels; Sensititre; Thermo Fisher) and disk diffusion testing. Results were interpreted using EUCAST breakpoints. Synergistic activity of CFDC in combination with CZA, meropenem-vaborbactam, imipenem, and amikacin against six characterized KPC-Kp strains, before and after acquisition of CZA resistance, was evaluated using gradient diffusion strip crossing method.</p> <p>CFDC resistance rate was significantly higher in CZA resistant EB subset than in the susceptible one ($p < 0.001$): 82.5% vs 6.7%. MIC₅₀ and MIC₉₀ values were 0.25 and 2 mg/L, 8 and 64 mg/L in CZA-susceptible and CZA-resistant subset, respectively. KPC-Kp isolates harboring KPC-D179Y or KPC-Δ242-GT-243 variants showed CFDC MICs ranging from 4 to 64 mg/L. CFDC showed in vitro synergistic effect mostly with</p> |

| | |
|--------------------------------------|--|
| | <p>CZA, against both CZA susceptible and resistant isolates, resulting in a synergy rate of 66.7%.</p> <p>Conclusions: CZA resistance mechanisms in KPC-EB impair the <i>in vitro</i> activity of CFDC, often leading to co-resistance. CFDC in combination with the new β-lactamases inhibitors might represent a strategy to enhance its activity.</p> |
| <p>Response to Reviewers:</p> | <p>We would like to thank the Editorial Team for his helpful suggestions, which in our view have greatly enhanced the quality and strength of our study. We hope that in this revised version the manuscript is now suitable for publication in European Journal of Clinical Microbiology and Infectious Disease.</p> <p>Please, note that the changes to the original manuscript have been highlighted in the text. The response to the Editor's comments and ensuing modifications in the manuscript are also clearly indicated in the rebuttal.</p> <p>Comments from Reviewers and point-by-point answers</p> <p>Reviewer #3: I'm afraid that the authors did not address my most important comment, which would not be difficult, at least for the 17 isolates' pairs that were submitted to WGS. These isolates could be analyzed by core-genome MLST or SNP analysis and phylogenetic trees could be constructed. I also suggest that the authors provide some data on the geographical and temporal distribution of the isolates and the varying or not resistotypes (although these are not very meaningful).</p> <p>If, as I feel, the isolates were clonal, this has to be mentioned as a limitation, which hampers the generalizability but does not eliminate the validity of the results.</p> <p>We thank the Reviewer for this comment.</p> <p>Five pairs of the susceptible and resistant KPC-producing <i>K. pneumoniae</i> isolates were previously characterized by WGS. Pairwise comparisons in the phylogenetic analysis showed tight clustering of isolates (0-1 single nucleotide polymorphism, SNP) in 4 of the 5 patients. We added these data in the revised manuscript (see lines 178-181). Unfortunately, we did not analyze by WGS all the other pairs of isolates.</p> <p>In the revised version of the manuscript, we added TABLE S1 as Supplementary material (see line 103) to provide some data on the geographical, temporal distribution and antimicrobial susceptibility patterns of the isolates selected in our study.</p> <p>The lack of a full molecular typing of all isolates represents the main limitation of our study and we added it in the text (see lines 240-241).</p> <p>Editor-in-Chief: As for the comments of the other referees, I see that the Introduction and Discussion sections were not shortened at all (Referee 2, comment 1), a comment should be added in the text that "The clinical relevance of synergistic testing remains controversial at best" (Referee 2, comment 3).</p> <p>We thank you for this appraisal. Accordingly, we shortened the Introduction and Discussion sections. We added in the revised manuscript a comment regarding the controversial clinical relevance of synergistic testing (see lines 246-247).</p> |

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1 **In vitro activity of cefiderocol against ceftazidime-avibactam susceptible and resistant KPC-**
2 **producing Enterobacterales: cross-resistance and synergistic effects**

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22 **Abstract**

23 **Purpose:** To assess the *in vitro* activity of cefiderocol (CFDC) against a collection of both
24 ceftazidime-avibactam (CZA) susceptible and resistant KPC-producing (KPC-EB) isolates.
25 Secondly, to assess its synergistic activity in combination with different antibiotics.

26 **Methods:** One hundred KPC-EB isolates were tested: 60 CZA susceptible and 40 CZA resistant.
27 Among them, 17 pairs of CZA susceptible and resistant KPC-producing *Klebsiella pneumoniae*
28 (KPC-Kp) isolates were collected from 17 distinct patients before and after CZA treatment,
29 respectively.

30 **Results:** CFDC susceptibility was evaluated by both broth microdilution (lyophilized panels;
31 Sensititre; Thermo Fisher) and disk diffusion testing. Results were interpreted using EUCAST
32 breakpoints. Synergistic activity of CFDC in combination with CZA, meropenem-vaborbactam,
33 imipenem, and amikacin against six characterized KPC-Kp strains, before and after acquisition of
34 CZA resistance, was evaluated using gradient diffusion strip crossing method.

35 CFDC resistance rate was significantly higher in CZA resistant EB subset than in the susceptible one
36 ($p < 0.001$): 82.5% vs 6.7%. MIC₅₀ and MIC₉₀ values were 0.25 and 2 mg/L, 8 and 64 mg/L in CZA-
37 susceptible and CZA-resistant subset, respectively. KPC-Kp isolates harboring KPC-D179Y or KPC-
38 $\Delta 242$ -GT-243 variants showed CFDC MICs ranging from 4 to 64 mg/L. CFDC showed *in vitro*
39 synergistic effect mostly with CZA, against both CZA susceptible and resistant isolates, resulting in
40 a synergy rate of 66.7%.

41 **Conclusions:** CZA resistance mechanisms in KPC-EB impair the *in vitro* activity of CFDC, often
42 leading to co-resistance. CFDC in combination with the new β -lactamases inhibitors might represent
43 a strategy to enhance its activity.

45 **Keywords:** cefiderocol; cross-resistance; KPC; *Klebsiella pneumoniae*; ceftazidime-avibactam;
46 multidrug resistance; synergistic activity

47 **1. Introduction**

48 Carbapenemase-producing Enterobacterales (CPE) have emerged as a major public health threat
49 worldwide due to both their difficult-to-treat resistance phenotypes and ability to rapidly disseminate
50 in healthcare facilities (1). The most clinically relevant carbapenemases encountered in
51 Enterobacterales (EB) belong to Ambler class A, mostly *Klebsiella pneumoniae* carbapenemase
52 (KPC) type, Ambler class B (metallo- β -lactamases such as IMP, VIM and NDM types) and Ambler
53 class D (OXA-48-like enzymes) (2). KPC-producing EB (KPC-EB) are endemic in several countries,
54 such as the United States, Latin America, and Southern Europe (1), and are associated with high rates
55 of morbidity and hospital mortality (3).

56 Ceftazidime-avibactam (CZA) showed to improve clinical outcomes in patients suffering from KPC-
57 EB infections, especially if compared to older treatment options such as polymyxins (4, 5). Despite
58 it was recently introduced several reports attested the *in vivo* selection of CZA resistant KPC-EB
59 strains. Several mechanisms were described, including presence of β -lactamase enzymes and
60 alterations of *ompK35/36* porins (6, 7). Indeed, presence of KPC variants exhibiting single amino
61 acid substitutions in the Ω -loop region (aminoacid positions 164-179), and particularly the
62 Asp179Tyr substitution, was the most spread mechanism in CZA resistant strains, leading to
63 enhanced affinity toward ceftazidime, together with a concomitant reduced binding to avibactam.
64 Moreover, KPC variants encoding mutations outside the Ω -loop region and associated with CZA
65 resistance (e.g. KPC-41, KPC-23, KPC-14, KPC-8, KPC-50) have been recently isolated from
66 patients with or without history of CZA treatment (8-11).

67 Cefiderocol (CFDC) (formerly S-649266), a novel siderophore cephalosporin recently approved by
68 the Food and Drug Administration and European Medicines Agency showed broad activity against
69 multidrug-resistant Gram-negative organisms, including carbapenem-resistant EB, *Pseudomonas*

70 *aeruginosa* and *Acinetobacter baumannii*. Its broad activity is explained by its distinctive mechanism
71 of penetrations via the iron transport system of Gram-negative bacteria overcoming resistance
72 mechanisms including efflux pump up-regulation and porin channel loss. Moreover, the side-chain
73 properties render high stability against hydrolysis by β -lactamases, including serine β -lactamases
74 (KPC and OXA) and metallo- β -lactamases (NDM, VIM, IMP) (12).

75 Antimicrobial susceptibility testing of CFDC presents considerable challenge for microbiology
76 laboratories since reference broth microdilution requires iron-depleted Mueller-Hinton, whose
77 preparation is cumbersome. Disk diffusion and commercial broth microdilution panels has been
78 proposed as alternative methods (13).

79 Activity of CFDC has been investigated in large international surveillance studies, revealing
80 promising results on the *in vitro* activity against meropenem-non-susceptible Gram-negative isolates,
81 including KPC-EB strains (14). Multiple studies covering approximately 1,000 KPC-EB isolates
82 reported MIC₅₀ and MIC₉₀ ranging from 0.25 to 2 mg/L and from 2 to 8 mg/L, respectively (15-18).
83 However, limited evidence on both the *in vitro* activity of CFDC against notable collections of CZA
84 resistant KPC-EB strains and effects of CZA resistance mechanisms on CFDC efficacy are available
85 so far. Additionally, the role of CFDC-based regimes has not been explored to evaluate the potential
86 for *in vitro* synergy against CZA susceptible and resistant KPC-EB.

87 This study aimed at evaluating the *in vitro* activity of CFDC against a collection of KPC-EB,
88 including both CZA susceptible and resistant clinical isolates. Secondly, synergistic activity of CFDC
89 in combination with different antibiotics against KPC-producing *Klebsiella pneumoniae* (KPC-Kp)
90 clinical strains, before and after acquisition of CZA resistance, was assessed.

91 **2. Material and Methods**

92 **2.1 Clinical isolates and antimicrobial susceptibility testing**

93 *In vitro* activity of CFDC against a collection of KPC-EB clinical isolates was evaluated. Then, *in*
94 *vitro* susceptibility to CFDC of a collection of KPC-Kp clinical isolates, before and after acquisition
95 of CZA resistance, was also tested. Review of medical records of patients who presented with CZA
96 susceptible to resistant KPC-Kp isolates was carried out to investigate clinical context and CZA
97 exposure.

98 The isolates of KPC-EB tested in this study (n=100) were selected from a collection obtained from
99 the program of surveillance and control of healthcare-associated MDR Gram-negative infections
100 based at the Microbiology Laboratory of the “University Hospital Città della Salute e della Scienza
101 di Torino”, a 2300-bed tertiary care teaching hospital in Turin, North-western Italy. The isolates
102 selection was performed evaluating antimicrobial pattern differences, hospital, department, and date
103 of isolation to minimize the number of clonal isolates (see Supplementary material, Table S1). The
104 isolates tested were mostly isolated from blood, rectal swabs, respiratory specimens, wound exudate
105 and urines of patients admitted from 2017 to 2020. All isolates were stored at -80 °C in cation-
106 adjusted Muller-Hinton broth (Teknova, Hollister, CA) with 20% glycerol and were subcultured
107 twice on blood agar plates prior to use.

108 Species identification was carried out by matrix-assisted laser desorption ionization-time of flight
109 mass spectrometry (MALDI-TOF MS) (Bruker DALTONIK GmbH, Bremen, Germany). Detection
110 of carbapenemase genes was carried out using the Xpert Carba-R assay (Cepheid, Sunnyvale, CA,
111 USA) and confirmed with an in-house real-time PCR. Carbapenemase activity was investigated by
112 modified carbapenem inactivation method (mCIM), following CLSI guidelines
113 (<http://www.iaclid.ir/DL/public/96/CLSI-2017.pdf>).

114 Susceptibility to ceftazidime (CAZ), cefepime (FEP), meropenem (MEM), imipenem (IMP),
115 ertapenem (ERT), were determined by a commercially available microdilution assay (Panel NMDR,
116 Microscan WalkAway 96 Plus, Beckman Coulter, Switzerland) according to the manufacturer's
117 instructions. CZA MICs were determined by gradient diffusion method (Liofilchem[®], Roseto degli

118 Abruzzi, Italy). CFDC antimicrobial susceptibility was carried out using lyophilized panel
119 (Sensititre™, ThermoFisher Scientific, Waltham, MA, USA) and disk diffusion testing (Liofilchem®,
120 Roseto degli Abruzzi, Italy). The broth microdilution panel contained CFDC concentrations ranging
121 from 0.03 to 64 mg/L and a proprietary chelator in the wells, bypassing the requirement for iron-
122 depleted cation-adjusted Mueller-Hinton broth. The CFDC lyophilized panel has been shown to be
123 substantially equivalent to reference broth microdilution and has received FDA clearance (15). The
124 disk diffusion method was carried out on standard Mueller-Hinton agar incubated for 18 to 24 h at 35
125 ± 2 °C following EUCAST guidelines (19). *Escherichia coli* ATCC 25922, *Pseudomonas aeruginosa*
126 ATCC 27853 and *K. pneumoniae* ATCC 700603 were used as quality control strains on each day of
127 testing, checking that all quality control results were within the specified ranges. Susceptibility data
128 were interpreted according to current EUCAST breakpoints (<https://eucast.org>). Resistance
129 breakpoints were as follows: for CAZ, FEP and MEM: >8 mg/L; for ERT and IMP: >1 mg/L, and for
130 CFDC: >2 mg/L and <22 mm.

131 **2.2 Evaluation of synergistic activity**

132 Synergistic activity of CFDC in combination with CZA, meropenem-vaborbactam (MEV), IMP, and
133 amikacin (AK) against six KPC-Kp clinical strains, before and after acquisition of CZA resistance,
134 was also evaluated. These three paired CZA susceptible and resistant KPC-EB isolates were
135 previously characterized by whole genome sequencing (WGS) to identify potential resistance
136 mechanisms and multilocus sequence typing (20). All isolates were tested for CFDC susceptibility
137 by broth microdilution and disk diffusion testing as above described.

138 Synergy testing was carried out using gradient diffusion strip crossing, as previously described (21).
139 Briefly, CFDC strips (BioMérieux, Marcy-l'Étoile, France) were placed perpendicularly to CZA,
140 MEV, IMP, and AK strips (Liofilchem®, Roseto degli Abruzzi, Italy) at their respective MICs onto
141 Mueller-Hinton agar plates using a 0.5 MacFarland inoculum and incubated at 37 °C overnight. MICs
142 were read at the point at which the elliptical inhibition area touched the strips. The mean fractional

143 inhibitory concentration index (FICI) was calculated by dividing the mean MIC of each drug in
144 combination by the MIC of each drug alone and adding the results. FICI results were interpreted as
145 follows: ≤ 0.5 as synergy; >0.5 to ≤ 4 as no interaction; and >4 as antagonism.

146 **2.3 Statistical analysis**

147 Comparison involving dichotomous variables were tested using X^2 test. Comparison involving MICs
148 distributions were tested using the Mann-Whitney test (2-tailed). Summary statistics used for MIC
149 values included the MIC range (MIC_{min} [lowest detected MIC] and MIC_{max} [highest detected MIC]),
150 MIC₅₀ and MIC₉₀. The CFDC disk diffusion results were analyzed by mean and range of inhibition
151 zones. For all tests, a $p \leq 0.05$ was considered significant.

152 **3. Results**

153 **3.1 *In vitro* activity**

154 Sixty of the KPC-EB isolates included in the study were susceptible to CZA (*K. pneumoniae* n=57,
155 *E. coli* n=1, *Klebsiella oxytoca* n=1, *Serratia marcescens* n=1) and the remaining 40 were CZA
156 resistant *K. pneumoniae*. All the isolates tested positive to *bla*_{KPC} and negative to the other
157 carbapenemase genes detectable by the molecular assay used (NDM, IMP, VIM and OXA-48 like).
158 Moreover, 25 out of 40 (62.5%) CZA resistant isolates resulted negative to mCIM suggesting
159 impaired carbapenemase activity.

160 MIC ranges, MIC₅₀ and MIC₉₀ values of CFDC and comparators, as well as resistance rates were
161 summarized in Table 1. CFDC resistance rate was significantly higher in CZA resistant EB subset
162 (CZA-R) than in the susceptible one (CZA-S) ($p < 0.001$): 82.5% vs 6.7% and 82.5% vs 13.3%
163 according to broth microdilution and disk diffusion results, respectively. Conversely, carbapenems
164 resistance rates were significantly higher in CZA-S ($p < 0.001$; 86.6-96.6% vs 32.5-72.5%) and a lower
165 rate of carbapenemase activity was detected by mCIM in CZA-R (15/40, 37.5%) vs CZA-S (60/60,
166 100%) (data not shown). Significant discrepancy in the pattern of CFDC MICs and inhibition zones

167 distributions was observed in the two subsets ($p < 0.001$). MIC 50 and MIC90 values were 0.25 and
168 2 mg/L, 8 and 64 mg/L in CZA-S and CZA-R, respectively. Of note, full concordance in CFDC
169 susceptibility results tested by broth microdilution and disk diffusion methods in CZA-R was
170 observed, while five discordant results among CZA susceptible KPC-EB isolates were observed (MIC
171 2 mg/L $n=5$ vs inhibition zones 19 mm $n=2$ or 20 mm $n=3$).

172 Among the KPC-EB isolates selected, 17 paired KPC-Kp isolates, susceptible and resistant to CZA,
173 were collected from 17 distinct hospitalized patients before and after CZA treatment, respectively.
174 Clinical characteristics of patients, characterization of the isolates and pairwise comparison of CZA
175 and CFDC susceptibility results were shown in Table 2. Amongst the CZA resistant strains, 10 out
176 of 17 were previously investigated for CZA resistance mechanisms (20, 22): expression of *bla*KPC
177 harbouring D179Y amino acid mutation was the main mechanism identified ($n= 5$) followed by
178 expression of KPC-2 variant carrying $\Delta 242$ -GT-243 deletion ($n=2$). Moreover, the first 5 paired of
179 CZA susceptible and resistant isolates were previously investigated by Whole Genome Sequencing
180 and pairwise comparisons in the phylogenetic analysis showed tight clustering of isolates (0-1 single
181 nucleotide polymorphism) in pairs 1-A/1-B, 2-A/2-B, 3-A/3-B and 5-A/5-B (20).

182 Paired isolates, susceptible and resistant to CZA, exhibited CZA MICs ranging from 0.064 to 8 and
183 from 12 to >256 mg/L, respectively. CFDC MICs in CZA susceptible strains were significantly lower
184 than those observed in the respective CZA resistant isolates ($p < 0.001$; ranges 0.12-16 mg/L vs 4 to
185 >64 mg/L, respectively), as also documented by the reduction of inhibition zones diameters for the
186 isolates which developed CZA resistance.

187 Overall, 16 out of 17 CZA resistant isolates were also resistant to CFDC according to both broth
188 microdilution and disk diffusion results, while 16 out of 17 CZA susceptible isolates were susceptible
189 to CFDC. As such, isolate 3-A, collected by a transplant recipient with bloodstream infection, was
190 CZA susceptible and CFDC resistant (MICs 4 mg/L and 16 mg/L, respectively), while isolate 12-B,

191 collected by a patient treated for pneumonia, remained susceptible to CFDC (MIC 0.5 mg/L) despite
192 CZA resistance development (MIC 12 mg/L).

193 **3.2 Evaluation of synergistic activity**

194 Synergistic activity of CFDC in combination with CZA, MEV, IMP, and AK evaluated against paired
195 ceftazidime-avibactam susceptible (1-A, 2-A, 5-A) and resistant (1-B, 2-B, 5-B) KPC-Kp isolates
196 was shown in Table 3. Of note, CFDC MICs determined by gradient diffusion testing were lower
197 than those obtained with reference broth microdilution method, leading to consider isolates 1-B, 2-B,
198 and 5-B as false susceptible to CFDC in comparison to both broth microdilution and disk diffusion
199 testing.

200 Acquisition of CZA resistance mechanisms conferred restoration of susceptibility to both MEV and
201 IMP and did not affect MEV susceptibility. Paired isolates n°1 and n°2 were resistant to AK
202 exhibiting high off-scale MIC values.

203 Overall, rate of synergistic activity of CFDC-based combinations was 29.2% (7/24). In detail,
204 combinations with β -lactamases inhibitors (CZA and MEV) showed FICI ≤ 1 against all isolates
205 tested. *In vitro* synergistic effect was mostly observed with CZA, against both CZA susceptible and
206 resistant isolates (1-A/1-B and 5-A/5-B), resulting in a synergy rate of 66.7%. Synergistic activities
207 with MEV against isolates 1-B and 5-B and IMP against isolate 1-B were also observed.

208 **4. Discussion**

209 Evidence on *in vitro* CFDC activity available so far included less than ten KPC-EB isolates resistant
210 to CZA, of which three VIM-carbapenemase co-producers (15-18). To the best of our knowledge,
211 this is the first study that evaluated the *in vitro* activity of CFDC against a KPC-EB collection that
212 included a notable number of clinical isolates resistant to CZA. Our results showed potent
213 antimicrobial activity of CFDC against CZA susceptible KPC-EB isolates and were consistent with
214 literature (15-19). As such, the largest surveillance study involving KPC-producing EB strains, named

215 ARGONAUT, tested the *in vitro* activity of CDFC against 738 KPC-EB isolates and reported
216 MIC₅₀/MIC₉₀ of 1/8 mg/L and 0.25/2 mg/L for KPC-2 and KPC-3 producers, respectively (16).

217 The main finding of this study was showing how CFDC resistance rate significantly increased from
218 6.7% in CZA-S to 82.5% in CZA-R subset. Indeed, a 32-fold increase in both CFDC MIC₅₀ and
219 MIC₉₀ values (8 mg/L and 64 mg/L, respectively) and a reduction of 6.2 mm of the mean inhibition
220 zone were also observed. Herein, we observed that CZA treatment may lead to select subpopulations
221 resistant to this β -lactam/ β -lactamase inhibitor combination but also co-resistant to the new
222 cephalosporin CFDC. Data on the development of CFDC resistance in Gram-negative bacteria are
223 lacking and a clear correlation between β -lactamase production and increased CFDC MICs has not
224 yet been found (23). Tiseo *et al* recently reported the *in vivo* selection of CZA and CFDC co-resistant
225 *K. pneumoniae* isolate harboring KPC-D179Y mutant following 14 days of empirical treatment with
226 CZA (24). Likewise, Shields *et al* described a case of clinical evolution of AmpC-mediated CZA and
227 CFDC co-resistance in *Enterobacter cloacae* following FEP exposure (25). The AmpC sequence
228 contained a 2-amino acid deletion of alanine and leucine at positions 292 and 293, respectively
229 (A292_L293DEL), not present in the AmpC carried by the CZA susceptible ancestor strain. Among
230 characterized isolates included in this study, KPC-Kp isolates harboring KPC-D179Y and KPC-
231 Δ 242-GT-243 variants showed CFDC MICs ranging from 4 to 64 mg/L and from 16 to 64 mg/L,
232 respectively. We may hypothesize that these mutations might be associated with an increased
233 hydrolytic activity against CFDC. This hypothesis was recently supported by *in vitro* experiments;
234 Hobson *et al* observed 4-32-fold increases of CFDC MICs on 37 *in vitro* mutants harboring various
235 blaKPC variants with increased CZA MICs (26).

236 However, KPC-Kp isolates both resistant to CZA and harboring no mutation in bla_{KPC} showed a range
237 of CFDC MICs of 4-32 mg/L. Therefore, other resistance mechanisms such as co-production of other
238 beta-lactamases, high number of bla_{KPC} copies, expression of efflux pumps and porins loss could also
239 lead to CZA and CFDC co-resistance and variability in the MIC values.

240 The lack of a full molecular characterization of resistance genes content and cloning typing of all
241 bacterial isolates represents the main limitation of our study. Studies in-depth of the entire resistance
242 genes content and investigations of steady-state kinetic parameters of KPC mutants are warranted to
243 understand the mechanisms of co-resistance in detail.

244 Knowledge of resistance mechanisms represents the starting point for setting the role of CFDC-based
245 combination therapies, especially in cases of reduced susceptibility or resistance to this new
246 antibiotic. Although clinical relevance of synergistic testing remains controversial at best, our data
247 on synergistic activity of CFDC suggest that combination of CFDC with formulations that include
248 avibactam or vaborbactam β -lactamases inhibitors might represent an appropriate choice to act
249 synergistically against KPC-EB isolates.

250 In conclusion, CZA resistance mechanisms in KPC-EB may impair the *in vitro* activity of CFDC
251 leading to co-resistance. CFDC in combination with the new β -lactamases inhibitors might represent
252 a strategy to enhance its activity. Our data support the needing for further investigations on CFDC
253 activity alone and in combination, against worldwide CZA resistant KPC-EB.

254 **Declarations**

255 **Funding**

256 This research did not receive any specific grant from funding agencies in the public, commercial, or
257 not-for-profit sectors.

258 **Competing interests**

259 No competing financial interests exist.

260 **Availability of data and material**

261 The authors confirm that the data supporting the findings of this study are available within the
262 article.

263 **Code availability**

264 Not applicable

265 **Ethical Approval**

266 This study was conducted in accordance with the Declaration of Helsinki. Formal ethical approval
267 was obtained by Center's institutional review board.

268 **Consent to participate**

269 Not applicable

270 **Consent for publication**

271 Not applicable

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1 **In vitro activity of cefiderocol against ceftazidime-avibactam susceptible and resistant KPC-**
2 **producing Enterobacterales: cross-resistance and synergistic effects**

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22 **Abstract**

23 **Purpose:** To assess the *in vitro* activity of cefiderocol (CFDC) against a collection of both
24 ceftazidime-avibactam (CZA) susceptible and resistant KPC-producing (KPC-EB) isolates.
25 Secondly, to assess its synergistic activity in combination with different antibiotics.

26 **Methods:** One hundred KPC-EB isolates were tested: 60 CZA susceptible and 40 CZA resistant.
27 Among them, 17 pairs of CZA susceptible and resistant KPC-producing *Klebsiella pneumoniae*
28 (KPC-Kp) isolates were collected from 17 distinct patients before and after CZA treatment,
29 respectively.

30 **Results:** CFDC susceptibility was evaluated by both broth microdilution (lyophilized panels;
31 Sensititre; Thermo Fisher) and disk diffusion testing. Results were interpreted using EUCAST
32 breakpoints. Synergistic activity of CFDC in combination with CZA, meropenem-vaborbactam,
33 imipenem, and amikacin against six characterized KPC-Kp strains, before and after acquisition of
34 CZA resistance, was evaluated using gradient diffusion strip crossing method.

35 CFDC resistance rate was significantly higher in CZA resistant EB subset than in the susceptible one
36 ($p < 0.001$): 82.5% vs 6.7%. MIC₅₀ and MIC₉₀ values were 0.25 and 2 mg/L, 8 and 64 mg/L in CZA-
37 susceptible and CZA-resistant subset, respectively. KPC-Kp isolates harboring KPC-D179Y or KPC-
38 Δ 242-GT-243 variants showed CFDC MICs ranging from 4 to 64 mg/L. CFDC showed *in vitro*
39 synergistic effect mostly with CZA, against both CZA susceptible and resistant isolates, resulting in
40 a synergy rate of 66.7%.

41 **Conclusions:** CZA resistance mechanisms in KPC-EB impair the *in vitro* activity of CFDC, often
42 leading to co-resistance. CFDC in combination with the new β -lactamases inhibitors might represent
43 a strategy to enhance its activity.

45 **Keywords:** cefiderocol; cross-resistance; KPC; *Klebsiella pneumoniae*; ceftazidime-avibactam;
46 multidrug resistance; synergistic activity

47 **1. Introduction**

48 Carbapenemase-producing Enterobacterales (CPE) have emerged as a major public health threat
49 worldwide due to both their difficult-to-treat resistance phenotypes and ability to rapidly disseminate
50 in healthcare facilities (1). The most clinically relevant carbapenemases encountered in
51 Enterobacterales (EB) belong to Ambler class A, mostly *Klebsiella pneumoniae* carbapenemase
52 (KPC) type, Ambler class B (metallo- β -lactamases such as IMP, VIM and NDM types) and Ambler
53 class D (OXA-48-like enzymes) (2). KPC-producing EB (KPC-EB) are endemic in several countries,
54 such as the United States, Latin America, and Southern Europe (1), and are associated with high rates
55 of morbidity and hospital mortality (3).

56 Ceftazidime-avibactam (CZA) showed to improve clinical outcomes in patients suffering from KPC-
57 EB infections, especially if compared to older treatment options such as polymyxins (4, 5). Despite
58 it was recently introduced several reports attested the *in vivo* selection of CZA resistant KPC-EB
59 strains. Several mechanisms were described, including presence of β -lactamase enzymes and
60 alterations of *ompK35/36* porins (6, 7). Indeed, presence of KPC variants exhibiting single amino
61 acid substitutions in the Ω -loop region (aminoacid positions 164-179), and particularly the
62 Asp179Tyr substitution, was the most spread mechanism in CZA resistant strains, leading to
63 enhanced affinity toward ceftazidime, together with a concomitant reduced binding to avibactam.
64 Moreover, KPC variants encoding mutations outside the Ω -loop region and associated with CZA
65 resistance (e.g. KPC-41, KPC-23, KPC-14, KPC-8, KPC-50) have been recently isolated from
66 patients with or without history of CZA treatment (8-11).

67 Cefiderocol (CFDC) (formerly S-649266), a novel siderophore cephalosporin recently approved by
68 the Food and Drug Administration and European Medicines Agency showed broad activity against
69 multidrug-resistant Gram-negative organisms, including carbapenem-resistant EB, *Pseudomonas*

70 *aeruginosa* and *Acinetobacter baumannii*. Its broad activity is explained by its distinctive mechanism
71 of penetrations via the iron transport system of Gram-negative bacteria overcoming resistance
72 mechanisms including efflux pump up-regulation and porin channel loss. Moreover, the side-chain
73 properties render high stability against hydrolysis by β -lactamases, including serine β -lactamases
74 (KPC and OXA) and metallo- β -lactamases (NDM, VIM, IMP) (12).

75 Antimicrobial susceptibility testing of CFDC presents considerable challenge for microbiology
76 laboratories since reference broth microdilution requires iron-depleted Mueller-Hinton, whose
77 preparation is cumbersome. Disk diffusion and commercial broth microdilution panels has been
78 proposed as alternative methods (13).

79 Activity of CFDC has been investigated in large international surveillance studies, revealing
80 promising results on the *in vitro* activity against meropenem-non-susceptible Gram-negative isolates,
81 including KPC-EB strains (14). Multiple studies covering approximately 1,000 KPC-EB isolates
82 reported MIC₅₀ and MIC₉₀ ranging from 0.25 to 2 mg/L and from 2 to 8 mg/L, respectively (15-18).
83 However, limited evidence on both the *in vitro* activity of CFDC against notable collections of CZA
84 resistant KPC-EB strains and effects of CZA resistance mechanisms on CFDC efficacy are available
85 so far. Additionally, the role of CFDC-based regimes has not been explored to evaluate the potential
86 for *in vitro* synergy against CZA susceptible and resistant KPC-EB.

87 This study aimed at evaluating the *in vitro* activity of CFDC against a collection of KPC-EB,
88 including both CZA susceptible and resistant clinical isolates. Secondly, synergistic activity of CFDC
89 in combination with different antibiotics against KPC-producing *Klebsiella pneumoniae* (KPC-Kp)
90 clinical strains, before and after acquisition of CZA resistance, was assessed.

91 **2. Material and Methods**

92 **2.1 Clinical isolates and antimicrobial susceptibility testing**

93 *In vitro* activity of CFDC against a collection of KPC-EB clinical isolates was evaluated. Then, *in*
94 *vitro* susceptibility to CFDC of a collection of KPC-Kp clinical isolates, before and after acquisition
95 of CZA resistance, was also tested. Review of medical records of patients who presented with CZA
96 susceptible to resistant KPC-Kp isolates was carried out to investigate clinical context and CZA
97 exposure.

98 The isolates of KPC-EB tested in this study (n=100) were selected from a collection obtained from
99 the program of surveillance and control of healthcare-associated MDR Gram-negative infections
100 based at the Microbiology Laboratory of the “University Hospital Città della Salute e della Scienza
101 di Torino”, a 2300-bed tertiary care teaching hospital in Turin, North-western Italy. The isolates
102 selection was performed evaluating antimicrobial pattern differences, hospital, department, and date
103 of isolation to minimize the number of clonal isolates (see Supplementary material, Table S1). The
104 isolates tested were mostly isolated from blood, rectal swabs, respiratory specimens, wound exudate
105 and urines of patients admitted from 2017 to 2020. All isolates were stored at -80 °C in cation-
106 adjusted Muller-Hinton broth (Teknova, Hollister, CA) with 20% glycerol and were subcultured
107 twice on blood agar plates prior to use.

108 Species identification was carried out by matrix-assisted laser desorption ionization-time of flight
109 mass spectrometry (MALDI-TOF MS) (Bruker DALTONIK GmbH, Bremen, Germany). Detection
110 of carbapenemase genes was carried out using the Xpert Carba-R assay (Cepheid, Sunnyvale, CA,
111 USA) and confirmed with an in-house real-time PCR. Carbapenemase activity was investigated by
112 modified carbapenem inactivation method (mCIM), following CLSI guidelines
113 (<http://www.iaclid.ir/DL/public/96/CLSI-2017.pdf>).

114 Susceptibility to ceftazidime (CAZ), cefepime (FEP), meropenem (MEM), imipenem (IMP),
115 ertapenem (ERT), were determined by a commercially available microdilution assay (Panel NMDR,
116 Microscan WalkAway 96 Plus, Beckman Coulter, Switzerland) according to the manufacturer's
117 instructions. CZA MICs were determined by gradient diffusion method (Liofilchem[®], Roseto degli

118 Abruzzi, Italy). CFDC antimicrobial susceptibility was carried out using lyophilized panel
119 (Sensititre™, ThermoFisher Scientific, Waltham, MA, USA) and disk diffusion testing (Liofilchem®,
120 Roseto degli Abruzzi, Italy). The broth microdilution panel contained CFDC concentrations ranging
121 from 0.03 to 64 mg/L and a proprietary chelator in the wells, bypassing the requirement for iron-
122 depleted cation-adjusted Mueller-Hinton broth. The CFDC lyophilized panel has been shown to be
123 substantially equivalent to reference broth microdilution and has received FDA clearance (15). The
124 disk diffusion method was carried out on standard Mueller-Hinton agar incubated for 18 to 24 h at 35
125 ± 2 °C following EUCAST guidelines (19). *Escherichia coli* ATCC 25922, *Pseudomonas aeruginosa*
126 ATCC 27853 and *K. pneumoniae* ATCC 700603 were used as quality control strains on each day of
127 testing, checking that all quality control results were within the specified ranges. Susceptibility data
128 were interpreted according to current EUCAST breakpoints (<https://eucast.org>). Resistance
129 breakpoints were as follows: for CAZ, FEP and MEM: >8 mg/L; for ERT and IMP: >1 mg/L, and for
130 CFDC: >2 mg/L and <22 mm.

131 **2.2 Evaluation of synergistic activity**

132 Synergistic activity of CFDC in combination with CZA, meropenem-vaborbactam (MEV), IMP, and
133 amikacin (AK) against six KPC-Kp clinical strains, before and after acquisition of CZA resistance,
134 was also evaluated. These three paired CZA susceptible and resistant KPC-EB isolates were
135 previously characterized by whole genome sequencing (WGS) to identify potential resistance
136 mechanisms and multilocus sequence typing (20). All isolates were tested for CFDC susceptibility
137 by broth microdilution and disk diffusion testing as above described.

138 Synergy testing was carried out using gradient diffusion strip crossing, as previously described (21).
139 Briefly, CFDC strips (BioMérieux, Marcy-l'Étoile, France) were placed perpendicularly to CZA,
140 MEV, IMP, and AK strips (Liofilchem®, Roseto degli Abruzzi, Italy) at their respective MICs onto
141 Mueller-Hinton agar plates using a 0.5 MacFarland inoculum and incubated at 37 °C overnight. MICs
142 were read at the point at which the elliptical inhibition area touched the strips. The mean fractional

143 inhibitory concentration index (FICI) was calculated by dividing the mean MIC of each drug in
144 combination by the MIC of each drug alone and adding the results. FICI results were interpreted as
145 follows: ≤ 0.5 as synergy; >0.5 to ≤ 4 as no interaction; and >4 as antagonism.

146 **2.3 Statistical analysis**

147 Comparison involving dichotomous variables were tested using X^2 test. Comparison involving MICs
148 distributions were tested using the Mann-Whitney test (2-tailed). Summary statistics used for MIC
149 values included the MIC range (MIC_{min} [lowest detected MIC] and MIC_{max} [highest detected MIC]),
150 MIC₅₀ and MIC₉₀. The CFDC disk diffusion results were analyzed by mean and range of inhibition
151 zones. For all tests, a $p \leq 0.05$ was considered significant.

152 **3. Results**

153 **3.1 *In vitro* activity**

154 Sixty of the KPC-EB isolates included in the study were susceptible to CZA (*K. pneumoniae* n=57,
155 *E. coli* n=1, *Klebsiella oxytoca* n=1, *Serratia marcescens* n=1) and the remaining 40 were CZA
156 resistant *K. pneumoniae*. All the isolates tested positive to *bla*_{KPC} and negative to the other
157 carbapenemase genes detectable by the molecular assay used (NDM, IMP, VIM and OXA-48 like).
158 Moreover, 25 out of 40 (62.5%) CZA resistant isolates resulted negative to mCIM suggesting
159 impaired carbapenemase activity.

160 MIC ranges, MIC₅₀ and MIC₉₀ values of CFDC and comparators, as well as resistance rates were
161 summarized in Table 1. CFDC resistance rate was significantly higher in CZA resistant EB subset
162 (CZA-R) than in the susceptible one (CZA-S) ($p < 0.001$): 82.5% vs 6.7% and 82.5% vs 13.3%
163 according to broth microdilution and disk diffusion results, respectively. Conversely, carbapenems
164 resistance rates were significantly higher in CZA-S ($p < 0.001$; 86.6-96.6% vs 32.5-72.5%) and a lower
165 rate of carbapenemase activity was detected by mCIM in CZA-R (15/40, 37.5%) vs CZA-S (60/60,
166 100%) (data not shown). Significant discrepancy in the pattern of CFDC MICs and inhibition zones

167 distributions was observed in the two subsets ($p < 0.001$). MIC 50 and MIC90 values were 0.25 and
168 2 mg/L, 8 and 64 mg/L in CZA-S and CZA-R, respectively. Of note, full concordance in CFDC
169 susceptibility results tested by broth microdilution and disk diffusion methods in CZA-R was
170 observed, while five discordant results among CZA susceptible KPC-EB isolates were observed (MIC
171 2 mg/L $n=5$ vs inhibition zones 19 mm $n=2$ or 20 mm $n=3$).

172 Among the KPC-EB isolates selected, 17 paired KPC-Kp isolates, susceptible and resistant to CZA,
173 were collected from 17 distinct hospitalized patients before and after CZA treatment, respectively.
174 Clinical characteristics of patients, characterization of the isolates and pairwise comparison of CZA
175 and CFDC susceptibility results were shown in Table 2. Amongst the CZA resistant strains, 10 out
176 of 17 were previously investigated for CZA resistance mechanisms (20, 22): expression of *bla*KPC
177 harbouring D179Y amino acid mutation was the main mechanism identified ($n= 5$) followed by
178 expression of KPC-2 variant carrying $\Delta 242$ -GT-243 deletion ($n=2$). Moreover, the first 5 paired of
179 CZA susceptible and resistant isolates were previously investigated by Whole Genome Sequencing
180 and pairwise comparisons in the phylogenetic analysis showed tight clustering of isolates (0-1 single
181 nucleotide polymorphism) in pairs 1-A/1-B, 2-A/2-B, 3-A/3-B and 5-A/5-B (20).

182 Paired isolates, susceptible and resistant to CZA, exhibited CZA MICs ranging from 0.064 to 8 and
183 from 12 to >256 mg/L, respectively. CFDC MICs in CZA susceptible strains were significantly lower
184 than those observed in the respective CZA resistant isolates ($p < 0.001$; ranges 0.12-16 mg/L vs 4 to
185 >64 mg/L, respectively), as also documented by the reduction of inhibition zones diameters for the
186 isolates which developed CZA resistance.

187 Overall, 16 out of 17 CZA resistant isolates were also resistant to CFDC according to both broth
188 microdilution and disk diffusion results, while 16 out of 17 CZA susceptible isolates were susceptible
189 to CFDC. As such, isolate 3-A, collected by a transplant recipient with bloodstream infection, was
190 CZA susceptible and CFDC resistant (MICs 4 mg/L and 16 mg/L, respectively), while isolate 12-B,

191 collected by a patient treated for pneumonia, remained susceptible to CFDC (MIC 0.5 mg/L) despite
192 CZA resistance development (MIC 12 mg/L).

193 **3.2 Evaluation of synergistic activity**

194 Synergistic activity of CFDC in combination with CZA, MEV, IMP, and AK evaluated against paired
195 ceftazidime-avibactam susceptible (1-A, 2-A, 5-A) and resistant (1-B, 2-B, 5-B) KPC-Kp isolates
196 was shown in Table 3. Of note, CFDC MICs determined by gradient diffusion testing were lower
197 than those obtained with reference broth microdilution method, leading to consider isolates 1-B, 2-B,
198 and 5-B as false susceptible to CFDC in comparison to both broth microdilution and disk diffusion
199 testing.

200 Acquisition of CZA resistance mechanisms conferred restoration of susceptibility to both MEV and
201 IMP and did not affect MEV susceptibility. Paired isolates n°1 and n°2 were resistant to AK
202 exhibiting high off-scale MIC values.

203 Overall, rate of synergistic activity of CFDC-based combinations was 29.2% (7/24). In detail,
204 combinations with β -lactamases inhibitors (CZA and MEV) showed FICI ≤ 1 against all isolates
205 tested. *In vitro* synergistic effect was mostly observed with CZA, against both CZA susceptible and
206 resistant isolates (1-A/1-B and 5-A/5-B), resulting in a synergy rate of 66.7%. Synergistic activities
207 with MEV against isolates 1-B and 5-B and IMP against isolate 1-B were also observed.

208 **4. Discussion**

209 Evidence on *in vitro* CFDC activity available so far included less than ten KPC-EB isolates resistant
210 to CZA, of which three VIM-carbapenemase co-producers (15-18). To the best of our knowledge,
211 this is the first study that evaluated the *in vitro* activity of CFDC against a KPC-EB collection that
212 included a notable number of clinical isolates resistant to CZA. Our results showed potent
213 antimicrobial activity of CFDC against CZA susceptible KPC-EB isolates and were consistent with
214 literature (15-19). As such, the largest surveillance study involving KPC-producing EB strains, named

215 ARGONAUT, tested the *in vitro* activity of CDFC against 738 KPC-EB isolates and reported
216 MIC₅₀/MIC₉₀ of 1/8 mg/L and 0.25/2 mg/L for KPC-2 and KPC-3 producers, respectively (16).

217 The main finding of this study was showing how CFDC resistance rate significantly increased from
218 6.7% in CZA-S to 82.5% in CZA-R subset. Indeed, a 32-fold increase in both CFDC MIC₅₀ and
219 MIC₉₀ values (8 mg/L and 64 mg/L, respectively) and a reduction of 6.2 mm of the mean inhibition
220 zone were also observed. Herein, we observed that CZA treatment may lead to select subpopulations
221 resistant to this β -lactam/ β -lactamase inhibitor combination but also co-resistant to the new
222 cephalosporin CFDC. Data on the development of CFDC resistance in Gram-negative bacteria are
223 lacking and a clear correlation between β -lactamase production and increased CFDC MICs has not
224 yet been found (23). Tiseo *et al* recently reported the *in vivo* selection of CZA and CFDC co-resistant
225 *K. pneumoniae* isolate harboring KPC-D179Y mutant following 14 days of empirical treatment with
226 CZA (24). Likewise, Shields *et al* described a case of clinical evolution of AmpC-mediated CZA and
227 CFDC co-resistance in *Enterobacter cloacae* following FEP exposure (25). The AmpC sequence
228 contained a 2-amino acid deletion of alanine and leucine at positions 292 and 293, respectively
229 (A292_L293DEL), not present in the AmpC carried by the CZA susceptible ancestor strain. Among
230 characterized isolates included in this study, KPC-Kp isolates harboring KPC-D179Y and KPC-
231 Δ 242-GT-243 variants showed CFDC MICs ranging from 4 to 64 mg/L and from 16 to 64 mg/L,
232 respectively. We may hypothesize that these mutations might be associated with an increased
233 hydrolytic activity against CFDC. This hypothesis was recently supported by *in vitro* experiments;
234 Hobson *et al* observed 4-32-fold increases of CFDC MICs on 37 *in vitro* mutants harboring various
235 blaKPC variants with increased CZA MICs (26).

236 However, KPC-Kp isolates both resistant to CZA and harboring no mutation in *bla*_{KPC} showed a range
237 of CFDC MICs of 4-32 mg/L. Therefore, other resistance mechanisms such as co-production of other
238 beta-lactamases, high number of *bla*_{KPC} copies, expression of efflux pumps and porins loss could also
239 lead to CZA and CFDC co-resistance and variability in the MIC values.

240 The lack of a full molecular characterization of resistance genes content and cloning typing of all
241 bacterial isolates represents the main limitation of our study. Studies in-depth of the entire resistance
242 genes content and investigations of steady-state kinetic parameters of KPC mutants are warranted to
243 understand the mechanisms of co-resistance in detail.

244 Knowledge of resistance mechanisms represents the starting point for setting the role of CFDC-based
245 combination therapies, especially in cases of reduced susceptibility or resistance to this new
246 antibiotic. Although clinical relevance of synergistic testing remains controversial at best, our data
247 on synergistic activity of CFDC suggest that combination of CFDC with formulations that include
248 avibactam or vaborbactam β -lactamases inhibitors might represent an appropriate choice to act
249 synergistically against KPC-EB isolates.

250 In conclusion, CZA resistance mechanisms in KPC-EB may impair the *in vitro* activity of CFDC
251 leading to co-resistance. CFDC in combination with the new β -lactamases inhibitors might represent
252 a strategy to enhance its activity. Our data support the needing for further investigations on CFDC
253 activity alone and in combination, against worldwide CZA resistant KPC-EB.

254 **Declarations**

255 **Funding**

256 This research did not receive any specific grant from funding agencies in the public, commercial, or
257 not-for-profit sectors.

258 **Competing interests**

259 No competing financial interests exist.

260 **Availability of data and material**

261 The authors confirm that the data supporting the findings of this study are available within the
262 article.

263 **Code availability**

264 Not applicable

265 **Ethical Approval**

266 This study was conducted in accordance with the Declaration of Helsinki. Formal ethical approval
267 was obtained by Center's institutional review board.

268 **Consent to participate**

269 Not applicable

270 **Consent for publication**

271 Not applicable

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Turin, 23th August 2021

Editor-in-Chief

European Journal of Clinical Microbiology and Infectious Disease

We would like to thank the Editorial Team for his helpful suggestions, which in our view have greatly enhanced the quality and strength of our study. We hope that in this revised version the manuscript is now suitable for publication in European Journal of Clinical Microbiology and Infectious Disease.

Please, note that the changes to the original manuscript have been highlighted in the text. The response to the Editor's comments and ensuing modifications in the manuscript are also clearly indicated in the rebuttal.

Comments from Reviewers and point-by-point answers

Reviewer #3: I'm afraid that the authors did not address my most important comment, which would not be difficult, at least for the 17 isolates' pairs that were submitted to WGS. These isolates could be analyzed by core-genome MLST or SNP analysis and phylogenetic trees could be constructed. I also suggest that the authors provide some data on the geographical and temporal distribution of the isolates and the varying or not resistotypes (although these are not very meaningful).

If, as I feel, the isolates were clonal, this has to be mentioned as a limitation, which hampers the generalizability but does not eliminate the validity of the results.

We thank the Reviewer for this comment.

*Five pairs of the susceptible and resistant KPC-producing *K. pneumoniae* isolates were previously characterized by WGS. Pairwise comparisons in the phylogenetic analysis showed tight clustering of isolates (0-1 single nucleotide polymorphism, SNP) in 4 of the*

5 patients. We added these data in the revised manuscript R1 (see lines 178-181).

Unfortunately, we did not analyze by WGS all the other pairs of isolates.

In the revised version of the manuscript, we added TABLE S1 as Supplementary material (see line 103) to provide some data on the geographical, temporal distribution and antimicrobial susceptibility patterns of the isolates selected in our study.

The lack of a full molecular typing of all isolates represents the main limitation of our study and we added it in the text (see lines 240-241).

Editor-in-Chief: As for the comments of the other referees, I see that the Introduction and Discussion sections were not shortened at all (Referee 2, comment 1), a comment should be added in the text that "The clinical relevance of synergistic testing remains controversial at best" (Referee 2, comment 3).

We thank you for this appraisal. Accordingly, we shortened the Introduction and Discussion sections. We added in the revised manuscript a comment regarding the controversial clinical relevance of synergistic testing (see lines 246-247).

Table 1. MIC ranges, MIC₅₀, MIC₉₀, inhibition zones means and ranges, resistance percentages of cefiderocol and comparators against ceftazidime/avibactam susceptible and resistant KPC-producing Enterobacterales tested.

| Species (no. of isolates) | Antimicrobial agent | MIC range (mg/L) | MIC ₅₀ (mg/L) | MIC ₉₀ (mg/L) | Resistance (%) | Inhibition zone mean, range (mm) | Resistance (%) |
|----------------------------|------------------------------|------------------|--------------------------|--------------------------|----------------|----------------------------------|----------------|
| CZA susceptible EB (n= 60) | Cefiderocol | 0.12-16 | 0.25 | 2 | 6.7 | 24.9, 15-29 | 13.3 |
| | <i>Ceftazidime-avibactam</i> | 0.064-8 | 1.5 | 4 | 0 | NA | NA |
| | <i>Ceftazidime</i> | >32 | >32 | >32 | 100 | NA | NA |
| | <i>Cefepime</i> | >8 | >32 | >32 | 100 | NA | NA |
| | <i>Meropenem</i> | 1 to >32 | 32 | >32 | 86.6 | NA | NA |
| | <i>Imipenem</i> | 2 to >8 | >8 | >8 | 93.3 | NA | NA |
| | <i>Ertapenem</i> | 0.5 to >1 | >1 | >1 | 96.6 | NA | NA |
| CZA resistant EB (n= 40) | Cefiderocol | 0.5 to >64 | 8 | 64 | 82.5 | 16.8, 13-25 | 82.5 |
| | <i>Ceftazidime-avibactam</i> | 12 to >256 | >256 | >256 | 100 | NA | NA |
| | <i>Ceftazidime</i> | >32 | >32 | >32 | 100 | NA | NA |
| | <i>Cefepime</i> | >8 | >8 | >8 | 100 | NA | NA |
| | <i>Meropenem</i> | ≤0.12 to >32 | 1 | 32 | 32.5 | NA | NA |
| | <i>Imipenem</i> | ≤1 to >8 | ≤1 | >8 | 35 | NA | NA |
| | <i>Ertapenem</i> | 0.5 to >1 | >1 | >1 | 72.5 | NA | NA |

Ceftazidime-avibactam MICs were determined by Etest method. Cefiderocol, ceftazidime, cefepime, meropenem, imipenem and ertapenem MICs were determined by broth microdilution panels. MICs values were interpreted following current EUCAST breakpoints.

Ranges of antimicrobial agents (mg/L) tested: cefiderocol (0.03-64), ceftazidime avibactam (0.06-256), ceftazidime (1-32), cefepime (0.5-8), meropenem (0.12-32), imipenem (1-8), ertapenem (0.5-1). MICs were interpreted according to current EUCAST breakpoints.

Abbreviations: MIC, minimum inhibitory concentration; CZA, ceftazidime-avibactam; EB, Enterobacterales; NA, non applicable.

Table 2. Cefiderocol susceptibility in ceftazidime-avibactam susceptible to resistant KPC-producing *Klebsiella pneumoniae* isolates in patients treated with ceftazidime-avibactam.

| Patient | Age (year), sex | Patient type | CZA susceptible KPC-Kp isolate before CZA treatment | | | | | CZA resistant KPC-Kp isolate following CZA treatment | | | | | |
|---------|-----------------|----------------------|---|-----------------|----------------|-----------------|---------------------------|--|------------------------|--|----------------|-----------------|---------------------------|
| | | | Isolate | Material | CZA MIC (mg/L) | CFDC MIC (mg/L) | CFDC inhibition zone (mm) | Isolate | Material | bla _{KPC} variant (amino acid mutation) | CZA MIC (mg/L) | CFDC MIC (mg/L) | CFDC inhibition zone (mm) |
| 1 | 42, M | Surgical | 1-A | sputum | 1 | 0.25 | 27 | 1-B | blood | KPC-31 (D179Y) | 96 | 4 | 20 |
| 2 | 54, F | Surgical | 2-A | blood | 8 | 0.25 | 28 | 2-B | blood | KPC-33 (D179Y) | >256 | 8 | 19 |
| 3 | 67, M | Transplant recipient | 3-A | blood | 4 | 16 | 19 | 3-B | blood | KPC-3 | 32 | 32 | 18 |
| 4 | 63, M | Medical | 4-A | blood | 4 | 1 | 22 | 4-B | blood | KPC-3 | 64 | 4 | 18 |
| 5 | 69, F | Medical | 5-A | bronchoaspirate | 3 | 1 | 27 | 5-B | blood | KPC-14 (Δ242-GT-243) | >256 | 16 | 19 |
| 6 | 22, M | Transplant recipient | 6-A | rectal swab | 2 | 0.12 | 27 | KpBC01 | blood | KPC-14 (Δ242-GT-243) | 64 | 64 | 12 |
| 7 | 44, M | Surgical | 7-A | rectal swab | 1.5 | 0.25 | 26 | KpBC04 | blood | KPC-33 (D179Y) | >256 | 64 | 15 |
| 8 | 79, M | Surgical | 8-A | rectal swab | 1 | 0.25 | 26 | KpBC07 | blood | KPC-31 (D179Y) | >256 | 32 | 15 |
| 9 | 40, M | Surgical | 9-A | bronchoaspirate | 0.75 | 0.12 | 29 | KpBC05 | blood | KPC-31 (D179Y) | >256 | 4 | 18 |
| 10 | 55, M | Medical | 10-A | sputum | 1.5 | 1 | 28 | KpBC09 | blood | KPC-2 | >256 | 32 | 14 |
| 11 | 45, M | Medical | 11-A | rectal swab | 1 | 1 | 23 | 11-B | bronchoaspirate | untyped | >256 | 16 | 14 |
| 12 | 25, F | Surgical | 12-A | rectal swab | 1.5 | 0.25 | 25 | 12-B | bronchoalveolar lavage | untyped | 12 | 0.5 | 24 |
| 13 | 63, F | Medical | 13-A | blood | 4 | 2 | 21 | 13-B | urine | untyped | >256 | 32 | 15 |
| 14 | 57, F | Medical | 14-A | rectal swab | 1.5 | 1 | 25 | 14-B | rectal swab | untyped | >256 | 16 | 17 |
| 15 | 69, F | Medical | 15-A | rectal swab | 0.75 | 0.5 | 27 | 15-B | rectal swab | untyped | >256 | >64 | 13 |
| 16 | 62, M | Medical | 16-A | rectal swab | 0.064 | 0.25 | 26 | 16-B | rectal swab | untyped | >256 | 4 | 19 |
| 17 | 72, M | Transplant recipient | 17-A | urine | 1 | 0.12 | 27 | 17-B | pus wound | untyped | 16 | 4 | 20 |

Abbreviations: CZA, ceftazidime-avibactam; KPC-Kp, KPC-producing *K. pneumoniae*; CFDC, cefiderocol; M, male; F, female.

Table 3. Genotypic characterization, antimicrobial susceptibility and synergy testing results of KPC-producing *Klebsiella pneumoniae*.

| Isolate | Sequence type | KPC variant | CFDC susceptibility by broth microdilution (mg/L) | Minimum inhibitory concentrations (mg/L) by gradient diffusion testing | | | | | Fractional inhibitory concentration index | | | |
|---------|---------------|------------------------------|---|--|------|-------|------|------|---|-------------|-------------|------|
| | | | | CFDC | CZA | MEV | IMP | AK | CZA | MEV | IMP | AK |
| 1-A | 307 | <i>bla</i> _{KPC-3} | 0.25 | 0.004 | 1 | 0.064 | >32 | >256 | 0.25 | 0.75 | 1.25 | 1.75 |
| 1-B | 307 | <i>bla</i> _{KPC-31} | 4 | 0.25 | 96 | 0.094 | 0.25 | >256 | 0.43 | 0.3 | 0.38 | 1.51 |
| 2-A | 101 | <i>bla</i> _{KPC-2} | 0.25 | 0.004 | 8 | 6 | >32 | >256 | 1 | 0.83 | 2 | 2 |
| 2-B | 101 | <i>bla</i> _{KPC-33} | 8 | 0.25 | >256 | 1.5 | 0.75 | >256 | 0.62 | 0.71 | 0.71 | 2 |
| 5- A | 1685 | <i>bla</i> _{KPC-2} | 1 | 0.008 | 3 | 1.5 | >32 | 4 | 0.5 | 0.71 | 1.5 | 2 |
| 5-B | 1685 | <i>bla</i> _{KPC-14} | 16 | 0.38 | >256 | 1 | 0.25 | 4 | 0.5 | 0.45 | 1.26 | 1.41 |

Bold character indicates synergistic effect.

Abbreviations: CFDC, cefiderocol; CZA, ceftazidime-avibactam; MEV, meropenem-vaborbactam; IMP, imipenem; AK, amikacin.



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Electronic Supplementary Material
TABLE S1.docx

