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Osteoclasts are recruited to the subchondral bone in naturally occurring post-traumatic equine carpal osteoarthritis and may contribute to cartilage degradation

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(Article begins on next page)

1 **Osteoclasts are recruited to the subchondral bone in naturally occurring post-traumatic equine**
2 **carpal osteoarthritis and may contribute to cartilage degradation**

3

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20

21 **Running Title:** Osteoclasts in equine post-traumatic osteoarthritis

22

23 **Abstract**

24 Background: The role of osteoclasts (Ocs) in osteochondral degeneration in osteoarthritis (OA) has
25 rarely been investigated in spontaneous disease or animal models of OA.

26 Objective: The objectives of the current study were to investigate Oc density and location in post-
27 traumatic OA (PTOA) and control (C) specimens from racehorses.

28 Methods: Cores were harvested from a site in the equine third carpal bone, that undergoes repetitive,
29 high intensity loading. Histological and immunohistochemical (Cathepsin K and Receptor-antagonist
30 of Nuclear Factor- κ B ligand (RANKL)) sections from the cores were scored and the Oc density
31 calculated. A global score for each section and a subregional (ROI: 1-mm regions of interest) score
32 were made. The cartilage histological scores were compared with Oc density and RANKL scores.

33 Results: There was a greater density of Ocs in ROIs in PTOA samples and they were preferentially
34 located in the subchondral bone plate (SCB-P). RANKL scores positively correlated to the scores of
35 cartilage degeneration and the Oc density. The relationship between hyaline articular cartilage
36 RANKL score and Oc density was stronger than that of the SCB RANKL score suggesting that
37 cartilage RANKL may have a role in recruiting Ocs. The RANKL score in the articular calcified
38 cartilage (ACC) correlated with the number of microcracks also suggesting that Ocs recruited by
39 RANKL may contribute to calcified cartilage degeneration in PTOA.

40 Conclusion: Our results support the hypothesis that Ocs are recruited during the progression of
41 spontaneous equine carpal PTOA by cartilage RANKL, contributing to calcified cartilage
42 microcracks and focal SCB loss.

43

44 **Keywords**

45 Osteoclast, Subchondral Bone, RANKL, Post-traumatic Osteoarthritis, Racehorses, Articular
46 Cartilage, Osteoarthritis

47

48

49 **Introduction**

50 Osteoarthritis (OA), a slowly progressive degenerative joint disease, is characterized
51 histologically by fibrillation and erosions of the hyaline articular cartilage (HAC), remodelling of the
52 subchondral bone (SCB) and periarticular osteophytes. The HAC and SCB are juxtaposed and
53 coupled biomechanically and metabolically, but their complex interactions, particularly in the early
54 stages of OA, are not entirely understood. Radin et al. proposed that an increase of the stiffness
55 gradient in the SCB may initiate and promote progression of OA¹. However an early reduction in
56 SCB bone mineral density (BMD) has now been measured in many animal models of OA²⁻⁹
57 suggesting that very early resorptive remodelling events arise as part of the disease process.
58 Furthermore bone resorption is increased in patients with progressive knee OA¹⁰ and SCB
59 remodelling correlates with severity of overlying HAC pathology in human OA¹¹.

60 On the other hand, direct HAC injury in post-traumatic osteoarthritis (PTOA) initiates an
61 immediate cascade of events within the HAC itself that include: chondrocyte death, matrix
62 microcracks, release of matrix molecules, disruption of collagen structure and inflammation
63 (reviewed by Lotz)¹². Matrix breakdown and cracks, in an already permeable ACC, identified in
64 human and animal joint disease^{1,13-20}, may facilitate bi-directional molecular diffusion of a variety of
65 stimulatory molecules across these interfaces potentially regulating cartilage matrix degradation by
66 chondrocytes, osteoblast signalling and osteoclastogenesis. It is likely that the mechanisms and
67 chronology of events and prevailing direction of crosstalk will vary depending on the initial cause of
68 the OA.

69 OA arises frequently in racehorse joints and it is the only spontaneous, naturally-occurring,
70 model for investigation of the pathophysiological events of PTOA. Racehorse joints sustain repetitive
71 periods of high intensity loads, particularly in the carpal joints, during athletic activity that initially
72 induce adaptive (reviewed by Martig)²¹, but later degradative events, in the HAC, ACC and SCB.

73 The physiological adaptive modelling of the SCB with exercise is observed as increased bone density
74 on radiographs. However, the tipping point leading to pathological events in the osteochondral unit
75 remains to be elucidated. The osteochondral degradative events are focal, with characteristic patterns
76 for each joint due to extreme loading. Several investigations of the mineralized tissues in equine
77 PTOA have revealed that microcracks arise in the ACC^{19,22,23} and SCB^{18,22,24}, combined with bone
78 remodelling with excessive resorption and porosity^{17,19,22,23,25,26}. The complex inter-play between the
79 initial structural damage and the biological events, orchestrated by cells in the HAC and underlying
80 SCB is central to understanding PTOA pathophysiology, progression, prevention and therapy.

81 SCB remodelling is executed by basic multicellular units (BMUs), spearheaded by bone
82 resorbing osteoclasts (Ocs) that excavate tunnels followed by bone forming osteoblasts that lay down
83 osteoid in their wake. The Ocs are recruited from circulating peripheral blood monocytes in the bone
84 marrow and undergo differentiation and activation. The activated Ocs attach to the bone matrix,
85 release H⁺ ions to demineralize the matrix and produce enzymes, including cathepsin K and matrix
86 metalloproteases, to digest principally type I collagen in bone (reviewed by Cappariello)²⁷. Mature
87 Ocs are large, multinucleated cells with a lifespan of 9-10 days, but BMUs can be active for up to 4
88 months. Oc function is controlled by the Receptor Activator of NF- κ B (RANK), the Receptor
89 antagonist of Nuclear Factor- κ B ligand (RANKL) and the RANKL natural antagonist Osteoprotegerin
90 (OPG). RANKL, a member of the Tumor Necrosis Factor cytokine ligand superfamily is essential for
91 Oc differentiation and regulates their bone resorptive function²⁸ and prevents Oc death. RANKL
92 gradients also steer BMU cutting cones²⁹. RANKL is produced in greatest abundance by osteocytes³⁰
93 but also by osteoblasts²⁸, and chondrocytes embedded in the matrix³⁰⁻³². RANKL expression is up-
94 regulated in human OA cartilage specimens³³ and in rabbit models of OA. As RANKL is expressed
95 by chondrocytes this raises the question as to whether HAC chondrocytes could modulate Oc
96 recruitment, SCB remodelling and structure in OA by signalling to cells in the SCB.

.97 Oc biology and its role in SCB turnover in OA and its progression is receiving more attention
.98 recently as it is now recognized that Oc activity may be an important target for therapy (reviewed by
.99 Karsdal)³⁴. Although Ocs are the principal effectors of SCB resorption, there is only sparse data on
.00 their number in the SCB in human OA³⁵. Resorption pits extending from the subchondral bone into
.01 hyaline cartilage have been observed in greater numbers in OA patient specimens and it was posited
.02 that osteoclastogenic factors were released from chondrocytes³⁶. In addition, a new study has
.03 revealed that blood monocytes from OA patients have an enhanced capacity to generate Ocs³⁷ with
.04 increased resorptive activity and reduced Oc apoptosis. Ocs are also being increasingly identified in
.05 early OA in the subchondral plate in experimental animal models of OA³⁸⁻⁴⁰. These findings support
.06 the hypothesis that Ocs participate in the pathophysiology of OA by altering bone metabolism.

.07 We hypothesize that there is a focal increase in Ocs in regions of overload in PTOA linked to
.08 cartilage and bone loss in these tissues and that cartilage RANKL expression may drive this process.
.09 The objective of this investigation was to measure Oc density in subregional areas in the SCB in both
.10 control and naturally occurring equine PTOA specimens and to study the association of Oc density
.11 with lesions in the HAC and ACC and RANKL expression.

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.21 **Methods**

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.23 **Tissue source**

.24 The osteochondral sections examined in this study were from tissues banked from a previous
.25 study on equine carpal PTOA¹⁹. Briefly, cores (10 mm) were cut from the third carpal bone of
.26 racehorses (n=15), where the most severe cartilage PTOA lesions frequently arise (Figure 1). They
.27 were classified as healthy controls (n = 5) or affected with PTOA (n = 10), based on the articular
.28 cartilage changes observed on macroscopic examination of the articular surface as previously
.29 described¹⁹.

.30

.31 **Histological sections**

.32 The cores were fixed in paraformaldehyde solution (4% in Phosphate buffer solution (PBS))
.33 for 24h at +4°C, stored in PBS at +4°C, decalcified in 10% EDTA and embedded in paraffin. Five-
.34 µm thick sections were cut and stained with hematoxylin, eosin, phloxine and saffron (HEPS) for
.35 cellular and morphologic evaluation, and Safranin-O-Fast-Green (SOFG) for cartilage assessment.

.36

.37 **Immunohistochemical stained sections**

.38 Immunohistochemistry was performed to detect both cathepsin K and RANKL protein
.39 expression. For details please see immunohistochemistry protocol (Supplementary information
.40 online). All sections were stained in a single session to eliminate interassay variability. Negative
.41 controls consisted of phosphate buffer solution-bovine albumin with of omission of the primary

.42 antibody (Supplementary information online, Figure 1,).

.43 All stained sections were captured as digital images at both 2.5x and 20x magnification using
.44 a Leica DM 4000 B with a camera Prosilica GT workstation. The HAC and ACC were separated at
.45 the level of the osteochondral junction in each image to provide 2 images stored separately that
.46 permitted a blinded, independent evaluation of the HAC/ACC and SCB changes separately and by
.47 different readers.

.48

.49 **Complete section and ROI assessment**

.50 All the sections were assessed in a dual fashion: first, as complete sections and subregionally
.51 within smaller regions of interest (ROI) as PTOA lesions are focally distributed. ROIs were created
.52 by digitally dividing all complete section images into 1-mm-width ROIs (Figure 1). This strategy
.53 permitted us to more accurately capture focal changes and make meaningful comparisons within these
.54 and also increased the number of data points.

.55

.56 **HAC score & ACC evaluation**

.57 The images of complete sections and ROIS were scored blindly by 2 readers employing a
.58 modified Mankin score for cartilage degeneration⁴¹ (Table 1, Supplementary information online). The
.59 numbers of microcracks in the ACC and cartilage pits were assessed as described previously¹⁹.

.60

.61 **SCB histomorphometry to assess bone structure**

.62 Digital images of the SCB from complete sections and ROIs, stained with HEPS and cathepsin K,

.63 were employed for 2-D bone histomorphometry. Images were processed with image analysis
.64 software as described previously⁴². The total section area (TA), the bone area (BA) and the bone
.65 perimeter (BPm) were measured. Bone volume (BV%) and porosity (BP%) fraction were derived
.66 with the following formulae, $BV\% = BA/TA$, $BP\% = 1/BV$.

.67

.68 **Osteoclasts (Ocs): numbers, density & location**

.69 Oc numbers were calculated by 2 independent evaluators in the HEPS sections and the cathepsin K
.70 immunostained sections. Ocs were defined as multinucleated (≥ 3 nuclei) giant cells observed in bone
.71 lacunae. The Oc numbers were then normalized to TA, BA and BPm to provide surface and linear Oc
.72 densities.

.73 In addition, to explore the depth location of Ocs in the mineralized tissues, three zones below
.74 the HAC were selected for Oc density assessment: the ACC, from the tidemark to the cement line; the
.75 SCB-plate (SCB-P), from the chondro-osseous junction to 3-mm-depth, and the SCB-TB (subchondral
.76 trabecular bone) below the 3-mm-line.

.77

.78 **RANKL expression score**

.79 RANKL expression in all the tissues was assessed semi-quantitatively on digital images of the whole
.80 sections (SL and CG) and ROIs (HR and AB) by expanding a previously described score for human
.81 HAC³³ (Table 2, Supplementary information online).

.82

.83

.84 **Statistical analyses**

.85 Intraclass correlation coefficient (ICC) was employed to assess interobserver agreement for all semi-
.86 quantitative parameters (HAC and RANKL scores) and Oc numbers in complete sections and 20
.87 randomly selected ROIs. When the ICC values were ≥ 0.8 , scores of one observer were used for
.88 subsequent statistical analyses. Pearson's correlation was employed for comparisons between the
.89 different Oc normalization methods.

.90 Wilcoxon's test were employed to detect differences between groups for ordinal scores (HAC total
.91 score, RANKL score, zonal location of Ocs) and t-tests for quantitative variables (ACC microcrack
.92 number, cartilage pit numbers in ACC, BV(%), BP(%), BA, TA, BPm, Oc density) in the complete
.93 sections and ROIs.

.94 Spearman rank correlations were employed to compare Oc density with: total HAC scores and
.95 individual HAC parameter scores in the complete sections. A Pearson's correlation test was
.96 employed to assess the association between the Oc density and SCB histomorphometric parameters
.97 and RANKL score. A Cochran-Mantel-Haenszel test for ordinal variables was used to test the
.98 association between the RANKL score (RANKL Total score, RANKL HAC score, RANKL
.99 Tidemark score and RANKL SCB score) and the HAC score, the ACC score, the BV (%) and BP (%)
:00 in the SCB. For the subregional assessment a mixed linear model was also employed to test the
:01 association between the same parameters in the ROIs as described above for the complete sections to
:02 determine if the associations changed when assessed at a more focal level.

:03 The coefficient of determination (%) was calculated for all the significantly associated variables. The
:04 horse ID was considered as a random effect to take into account the repeated measurements for each
:05 individual. Statistical analysis was performed using SAS v.9.3 (Cary, N.C.) and Graphpad Prism v6.0

.06 (Graphpad Software Inc. USA). Data are presented as Mean \pm -SD. The level of statistical
.07 significance was set at 0.05.

.08

.09 **Results**

.10

.11 **Macroscopic & histologic HAC assessment**

.12 Complete sections from the cores were in the C group (n = 5) or PTOA (n = 10) based on
.13 macroscopic assessment. When separated into ROIs it yielded C = 42 regions or PTOA= 83 regions
.14 for analyses. Mean \pm SD HAC histopathological score for control and PTOA complete sections and
.15 ROIs are provided in Table A. There was excellent agreement between the readers on HAC
.16 histopathological score (ICC:0.93) on complete sections and the total HAC histopathological scores
.17 were significantly different between C and PTOA groups (Table 3, Supplementary information
.18 online).

.19

.20 **ACC assessment**

.21 Mean \pm SD microcrack numbers and SCB pits for complete sections and ROIs in C and PTOA groups
.22 are provided in Table A. A significant difference in the microcrack density and the number of SCB
.23 pits were also detected between C and PTOA specimens (Table 3, Supplementary information
.24 online).

.25

.26 **SCB histomorphometry**

.27 BP(%) and BV(%) data are in Table 3 (Supplementary information online) and no differences were
.28 detected between groups.

!29

!30 **Oc numbers**

!31 Oc densities are provided in Table 3 (Supplementary information online). There was a high
!32 interobserver agreement for the Oc numbers on the HEPS and cathepsin K stained specimens (ICC:
!33 0.90) and between two readers (ICC: 0.94). Ocs with ≥ 3 nuclei were frequently seen in PTOA
!34 specimens and were usually localized in resorbing bays, often in apposition to bone tissue. Giant,
!35 hypernucleated, cells with more than 20 nuclei were occasionally observed (Figure 2. C, F, I).
!36 Cathepsin K staining revealed the enzyme within the cytoplasm (Figure 2. D, E, F).

!37

!38 **Oc density**

!39 There was a strong association ($p < 0.001$, $r = 0.99$ for Oc/BA and Oc/TA; $p = 0.002$, $r = 0.74$ for
!40 Oc/TA and Oc/BPm and $p = 0.003$, $r = 0.71$ for Oc/BA and Oc/BPm) between the Oc density
!41 normalization methods. Oc/TA was employed in all the subsequent comparisons. Mean \pm SD Oc
!42 densities for complete sections and ROIs in control and PTOA cores are provided in Table 3
!43 (Supplementary information online). The Oc density was significantly higher in the PTOA group
!44 compared with the C group (Figure 3).

!45

!46 **Oc location**

!47 Oc density in the mineralized tissue zones (ACC, SCB-P and SCB-TB) are presented in Table 3
!48 (Supplementary information online). The Oc density was significantly increased in the SCB-P,
!49 compared to ACC and SCB-TB in the PTOA but not in the C group (Figure 3).

!50

!51

!52

!53 **RANKL expression**

!54 RANKL scores are provided in Table 3 (Supplementary information on line). There was high
!55 interobserver agreement for RANKL expression in both complete sections (ICC: 0.87) and ROIs
!56 (ICC: 0.89). RANKL expression in the HAC and ACC was pericellular and in the matrix. RANKL
!57 expression was mostly located in the middle and deeper layers of the HAC, with a distribution that
!58 paralleled the pattern of the glycosaminoglycan loss in the matrix, detectable on the contiguous SOFG
!59 stained specimens (Figure 4). A patchy RANKL expression was also observed in the SCB,
!60 preferentially around the bone lacunae staining both osteoblasts and blood vessels. There was a highly
!61 significant difference in total RANKL score between C and PTOA in ROIs, but this was a trend ($p =$
!62 0.05) when assessed in the complete sections (Figure 5).

!63

!64 **Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL**
!65 **score**

!66 There was a significant positive correlation between the Oc density and the total HAC score, the HAC
!67 structure score, the HAC staining score (Figure 6), the HAC clusters, the ACC microcracks (Figure 6)
!68 and the cartilage pits in both the complete section and ROI analyses (Table 1). A significant positive
!69 correlation was also detected between the Oc density and the RANKL HAC score on both the
!70 complete and ROI analyses (Figure 7). On the complete section analyses there was also a positive
!71 correlation between the Oc density and the RANKL SCB scores, but the significance was lower than
!72 that observed with the HAC cartilage RANKL score. A significant association with a weak
!73 correlation was found between the Oc density and the BP(%) in the SCB.

!74

!75 **Correlation of RANKL score with HAC scores, ACC scores and SCB parameters.**

!76 There was a significant positive correlation between the total RANKL score and the total HAC score
!77 and HAC structure score in the complete section (Table 1). A positive correlation was also detected
!78 between the RANKL HAC score and Total HAC score, HAC structure score, HAC staining score and
!79 ACC microcrack density, in the complete sections. The SCB RANKL was also correlated to the ACC
!80 microcrack and cartilage pits in the complete sections. Interestingly only the ACC RANKL score was
!81 correlated with the Total HAC score, the HAC staining, the HAC structure and the ACC microcrack
!82 density in the ROIs (Figure 2, Supplementary information on line).

!83

!84 **Discussion**

!85 The findings of this study provide a number of novel insights into PTOA pathology that are clinically
!86 relevant as the samples are from specimens with naturally occurring disease. First, there was a greater
!87 density of Ocs in focal subregions in OA samples when compared to control sites and, importantly,
!88 they were preferentially located in the subchondral plate, directly under the articular cartilage.
!89 Second, RANKL, an essential and potent osteoclastogenic molecule, was localized in the middle and
!90 deep layers of the HAC and was correlated both to the degenerative processes in cartilage and the Oc
!91 density in the mineralized tissues signifying that it could be a key signalling molecule in crosstalk
!92 between cartilage and bone tissues. The relationship between the HAC RANKL score and Oc density
!93 was stronger than that of the SCB RANKL score suggesting that HAC RANKL may have a role in
!94 recruiting OCs. Finally, the RANKL score in the ACC correlated with the number of microcracks
!95 also suggesting that, combined with the Oc sites in the subchondral bone plate, these powerful cells
!96 may also contribute to ACC degeneration in PTOA.

197 This is the first study to relate cartilage RANKL expression to Oc density in the SCB in PTOA
198 tissues. The observation of increased density of Ocs in the SCB in PTOA tissues agrees with the
199 findings of others as Ocs have been observed in human^{35,36} and equine^{19,43} naturally occurring OA
200 and several experimental animal models of OA³⁸⁻⁴⁰. This observation provides a biological
201 explanation for our previous findings of SCB hypomineralization in these tissues¹⁹. The assumption in
202 the past has been that Ocs are recruited in the advanced stages of OA alone. However recent
203 observations from animal models of OA challenge this dogma. Oc numbers were increased in the
204 subchondral bone plate in animal models of early OA³⁸⁻⁴⁰. As Ocs have a short lifespan, their
205 increased detection in the subchondral bone plate in OA suggests that an active osteoclastogenic
206 process or molecule is recruiting them to this site.

207 The subregional assessment approach, by examining ROIs in the tissues, emphasized the very
208 focal nature of the changes, at least in these PTOA tissues, and supports the observations of others
209 about the very focal nature of cartilage lesions in early human and animal OA¹⁹. The OC density
210 increased significantly, up to 9 fold, in the subregional SCB regions, below the degenerated HAC and
211 there was a positive and significant correlation between the increased OC density and the bone
212 porosity in the same regions.

213 These findings raise 2 questions: The first question is why are Ocs recruited to the SCB plate
214 in PTOA? and second: what are their effects on the osteochondral unit? Although it is logical to
215 investigate the crucial osteoclastogenic molecule RANKL in respect to Oc recruitment, other factors
216 such as inflammation and microdamage could also play a role. With respect to RANKL, its
217 expression was upregulated in the middle and deep layers of the HAC and correlated with the
218 cartilage degradation score and the Oc density in the mineralized tissues suggesting a link between
219 these events and that it could be a key signalling molecule in crosstalk between cartilage and bone
220 tissues in PTOA. Several recent investigations have suggested that soluble cartilage RANKL may

modulate subchondral bone remodelling in a paracrine fashion in OA^{31,32}. Furthermore, it is tempting to speculate that microcracks and degeneration in the ACC would facilitate diffusion and signalling of cartilage RANKL to SCB marrow cells to recruit Ocs in disease. The RANKL score in the articular calcified cartilage correlated with the number of microcracks in this tissue also raising the possibility that Ocs localized in the juxtaposed subchondral bone plate may have a role in ACC degeneration, and overlying HAC degeneration as Ocs have the capacity to digest articular cartilage⁴⁴.

Although we provide evidence for increased expression of RANKL in PTOA cartilage with increased Oc density in the SCB, it is recognized that there may be alternative pathways to modulate osteoclastogenesis in PTOA. These pathways could be either independent or synergistic with the cartilage RANKL pathway we and others^{31,33} propose. It is well recognized that inflammation is induced by trauma or overload in PTOA and that a proinflammatory cytokine milieu is a major driving force in cartilage degradation in OA (reviewed by Lotz)¹². Therefore, alternative potential osteoclastogenic pathways in PTOA include the influence of inflammatory cells and their cytokines such as IL -1, 6, 23, 17 & 34 (reviewed by Adamopoulos)⁴⁵. An interesting link between repeated biomechanical overload and inflammation has also been established in animal models. Repeated biomechanical overload increased Ocs, their activity, cortical thinning and reduced bone mineral density in the radial metaphyseal trabeculae in rats⁴⁶. There was a concomitant increase in the inflammatory cytokines IL-1beta and TNF-alpha, both locally in the bone and systemically and the changes were prevented by the administration of Ibuprofen. This suggests repetitive bone overload induces local inflammatory cascades and could be a potential pathway for Oc recruitment in equine PTOA. In an experimental rat overload model of the temporomandibular joint Ocs also significantly increased in the SCB at 20 days³⁹. The proximal articular surface of the equine 3rd carpal bone studied here, sustains cyclic loading of high forces in the radial facet during both training and racing. The normal SCB's response to loading is anabolic or catabolic depending on the magnitude,

145 frequency and duration of the load and determines the bone's density, structure, matrix composition
146 and strength (reviewed by Robling)⁴⁷. Characteristic features of the SCB in equine repetitive PTOA in
147 racehorses include an initial adaptive sclerosis but later, microcracks in the sclerotic bone (reviewed
148 by Martig)²¹. Oc lacunae and sites of bone resorption have been identified^{18,19,26}. The role of Ocs in
149 the process, the only bone resorbing cell, has never been examined. Repair of microcracks induced by
150 repetitive overload is important for the maintenance of the bone's mechanical properties and
151 microdamage activates BMUs¹⁵. However, precisely how Ocs are recruited to the microcracks
152 remains to be elucidated. Osteocytes are mechano-sensors transducing mechanical stimuli into
153 biological signals and are the main producers of bone RANKL for osteoclastogenesis^{48,49}. Specifically,
154 membrane bound RANKL, located on osteocyte processes is now believed to orchestrate bone
155 remodelling⁴⁹. Soluble RANKL is cleaved by matrix metalloproteinases from the membrane bound
156 form. Recent studies suggest that membrane bound RANKL and cell to cell contact is necessary for
157 osteoclastogenesis, pointing to a greater role of osteocytes in the process^{48,49}. In these specimens
158 where pathology is induced by repetitive trauma, microcracks in the bone matrix may injure
159 osteocytes leading to osteocyte apoptosis and may contribute to target remodelling of the bone by
160 BMUs⁴⁷. However osteocytes are reduced in regions where microcracks are detectable in the equine
161 SCB⁵⁰.

162 The findings of this study lend support for the strategy of targeting osteochondral tissue
163 remodelling in OA therapy, either to prevent or arrest the progression of disease. This strategy could
164 be either a downstream decrease in the number Ocs as with bisphosphonate therapy, specifically
165 inhibiting the powerful collagenases cathepsin K produced by osteoclasts or alternatively an upstream
166 approach targeting RANKL and other pro-osteoclastogenic molecules³⁴. However the downside of
167 this approach is that BMUs are required for normal bone remodelling and repair and potential long

168 term adverse effects of their shutdown on the skeletal tissue health, particularly in athletes with bone
169 microcracks requires additional elucidation.

170

171 **Conclusion**

172 Taken together these results support the hypothesis that Ocs are recruited in the SCB during the
173 progression of spontaneous equine carpal PTOA by the classic RANK/RANKL pathway and RANKL
174 expression by chondrocytes may be an important chemo-attractant for Ocs to the SCB-P, contributing
175 to focal SCB bone loss, ACC microcracks, cartilage collapse into the SCB-P and potentially
176 osteochondral fractures. Ocs, by releasing cathepsin K a powerful collagenase capable of degrading
177 bone type I and cartilage type II collagens focally, may participate and, precipitate, degradation of
178 articular cartilage during progression of natural OA. A better understanding of Ocs attraction and
179 migration to the SCB-P in naturally occurring OA pathologic tissues, could help identify additional
180 drug targets to inhibit excessive bone resorption and preserve bone integrity structure and function
181 during OA.

182

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190 Tipperary Ireland.

191

192 **Author contributions**

193

194 SL conceived and designed the study and evaluated the histological specimens and wrote the
195 manuscript. AB participated in study design, evaluated the histological specimens and wrote the
196 manuscript. ML collected the specimens. HR performed the histological and immunohistochemical
197 analyses. CG evaluated the histological specimens and revised the manuscript. GB performed the
198 statistical analysis and revised the manuscript.

199

100 **Conflict of interest**

101 None of the authors had any conflict of interest.

102

03 **Index of Abbreviations**

04 OA= Osteoarthritis

05 ROI=Region of interest

06 HAC= Hyaline articular cartilage

07 ACC= Articular calcified cartilage

08 SCB= Subchondral bone

09 SCB-P= Subchondral bone plate

10 TB= Trabecular bone

11 TA= Total Area

12 BA= Bone Area

13 BPm= Bone perimeter

14 BV(%)= Bone Volume fraction

15 BP(%)= Bone Porosity fraction

16 BMD= Bone mineral density

17 Ocs= Osteoclasts

18 RANKL= Receptor-antagonist of Nuclear Factor- κ B ligand

19 RANK= Receptor-antagonist of Nuclear Factor- κ B

20 OPG= Osteoprotegerin

21 SOFG= Safranin-O-Fast Green

22 HEPS= Hematoxylin-Eosin-Phloxine-Saffron

23 BMU= Basic Multicellular Unit

24 PTOA= Post-Traumatic Osteoarthritis

25 C= control

26 ICC= Interclass Coefficient Correlation

127 PBS= Phosphate buffer solution

128 PBS-BSA= Phosphate buffer solution-bovine albumin

129

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i61

i62 **Legend**

i63 **Figure 1. Study design**

i64 The intercarpal surface of equine third carpal bones (C3) was scored for articular cartilage
i65 macroscopic changes and classified as post-traumatic osteoarthritis affected surface (PTOA) or
i66 control (C). A cylindrical osteochondral core was cut from the radial facet of C3 close to the dorsal
i67 border of the bone and notched for orientation purposes and decalcified. Sections were stained with
i68 Hematoxylin Eosin Phloxin and Safran (HEPS) and Safranin O fast green (SOFG).
i69 Immunohistochemistry was performed with Cathepsin K and receptor activated nuclear kappa α
i70 ligand (RANKL). All the sections were digitalized and the hyaline articular cartilage (HAC) and
i71 articular calcified cartilage (ACC) were digitally separated from the subchondral bone (SCB) and
i72 blindly evaluated. A computer analysis was employed to generate a bone mask of the SCB. The SCB
i73 mask and the corresponding cartilage were subdivided in 1-mm-width regions of interest (ROI) for a
i74 subregional histomorphometric assessment. Parameters assessed included HAC modified Mankin
i75 score, ACC microcracks and cartilage pits, Osteoclast density, histomorphometry and RANKL
i76 expression.

i77
i78 Key: C3 = Third carpal bone; PTOA=post traumatic osteoarthritis; C=Control; HEPS=Hematoxylin
i79 Eosin Phloxin and Safran ; SOFG=Safranin O fast green; RANKL=receptor activated nuclear kappa α
i80 ligand; HAC=hyaline articular cartilage; ACC=articular calcified cartilage; SCB=subchondral bone;
i81 ROI=region of interest.

i82

i83

i84

i85

i86 **Figure 2. HEPS, SOFG and Cathepsin K immunohistochemical stained sections revealing**
i87 **osteoclasts.**

i88 A. A BMU with arrow pointing to 3 osteoclasts in a cutting cone .

i89 B & C. Numerous osteoclasts visible in this section. Dotted square magnified in C. Arrow reveals
i90 large osteoclasts.

i91 D, E and F. Cathepsin K stained sections with intracellular Cathepsin K in osteoclasts. Insert from E
i92 is magnified (F) to reveal morphology.

i93 G, H and I. Additional examples of osteoclast morphology observed in the subchondral bone.

i94

i95 Key: BMU=bone remodelling unit.

i96 Bar=100µm.

i97

i98 **Figure 3. Comparisons between Control and PTOA specimens: Hyaline articular cartilage**
i99 **score, Oc density and Oc location in bone.**

i00 A. Total HAC scores in either complete section or subregional ROI analyses in C and PTOA groups.

i01 B. Oc density (Oc/TA cells/mm²) scores in either complete section or subregional ROI analyses in C
i02 and PTOA groups.

i03 C. Oc location in bone in subregional ROI analyses

i04

i05 Key: HAC=hyaline articular cartilage; ROI=region of interest; C= Control; PTOA=post-traumatic
i06 osteoarthritis; Oc=osteoclast; TA=Total area.

i07

i08

i09

i10 **Figure 4. SOFG and RANKL immunohistochemical stained osteochondral sections.**

i11 A. SOFG stained section revealing intact HAC structure with loss of staining in the superficial zone

i12 of cartilage. Microcracks visible in the ACC.

i13 B. RANKL staining in the superficial zone of the HAC and patchy staining in the subchondral bone.

i14 C. Close up of dotted square in B revealing superficial diffuse staining. Patchy artefactual staining

i15 within the HAC.

i16 D. Loss of staining in all the HAC. Coalescence of microcracks in the SCB.

i17 E. RANKL staining (intracellular and pericellular) in deep zone of the HAC and ACC. Marked

i18 staining in the SCB matrix.

i19 F. Close up of dotted square in E

i20 G. Loss of staining principally in the HAC. Collapse of the ACC into the SCB on the left of the

i21 image.

i22 H. RANKL staining in the intercellular zone in the matrix of the HAC and in the SCB matrix.

i23 I. Close up of dotted square in H

i24 J. Loss of up to 50% of HAC matrix and loss of SOFG staining.

i25 K. & L. Intense focal uptake of RANKL stain in the ACC.

i26 M. Focal loss of HAC structure and SOFG staining in lesion top the left of the image.

i27 N. & O. RANKL staining inversely proportional to loss of SOFG staining.

i28 P. Focal loss of HAC structure and SOFG staining in lesion top the left of the image.

i29 Q. & R. RANKL staining both intracellularly and matrix.

i30

i31 Key: HAC=hyaline articular cartilage ; ACC=articular calcified cartilage ; SOFG=safranin O fast

i32 green; RANKL=receptor activated nuclear kappa α ligand.

i33 Bar =1 mm:500 μ m in C, F, I, L, O, R

i34 Bar=100µm. A, B, D, E, G, H, J, K, M, N, P, Q.

i35

i36 **Figure 5. RANKL expression in control and PTOA osteochondral sections**

i37 Total RANKL score in the complete sections and subregional ROI analyses in both C and PTOA
i38 specimens

i39

i40 Key: RANKL=receptor activated nuclear kappa α ligand; ROI=region of interest; C=Control.

i41 PTOA=post traumatic osteoarthritis.

i42

i43 **Figure 6. Correlations between Osteoclast density and total HAC score, HAC structural &**
i44 **Safranin O stain scores and ACC microcracks in complete sections and on subregional analysis.**

i45 A. Correlation of Oc density and total HAC score in both complete sections and ROIs

i46 B. Correlation of Oc density and HAC structure score in both complete sections and ROIs

i47 C. Correlation of Oc density and HAC Safranin O stain score in both complete sections and ROIs

i48 D. Correlation of Oc density and ACC microcracks in both complete sections and ROIs

i49

i50 Key: Oc=Osteoclast; TA=total area; HAC=hyaline articular cartilage; ACC=articular calcified
i51 cartilage.

i52

i53 **Figure 7. Osteoclast density correlated with the hyaline articular cartilage RANKL expression**

i54 Correlations between osteoclast density and RANKL HAC score in complete sections and on
i55 subregional analysis.

i56

i57 Key: Oc=Osteoclast; TA=total area; RANKL=receptor activated nuclear kappa α ligand. HAC =
i58 hyaline articular cartilage.

i59

i60 On line

i61 **Figure 1, Supplementary information on line. Sections stained immunohistochemically with**
i62 **either RANKL or Cathepsin K antibodies and controls.**

i63 A. Osteochondral section stained with RANKL. Increased staining can be observed at the surface of
i64 the cartilage and also in the subchondral bone matrix.

i65 B. Negative control: Adjacent section to A stained with PBS-BSA.

i66 C. Cathepsin K stained section with increased staining at the surface of HAC and also visible in the
i67 bone matrix.

i68 D. Negative control: Adjacent section to A stained with rabbit antiserum.

i69

i70 Key: RANKL=receptor activated nuclear kappa α ligand. HAC=hyaline articular cartilage.

i71 Bar=500 μ m

i72

i73 **Figure 2, Supplementary information on line. Articular calcified cartilage RANKL score**
i74 **correlated with hyaline articular cartilage histological scores and microcracks**

i75 A. Total HAC score correlated with the ACC RANKL score

i76 A.1. HAC structure score correlated with the ACC RANKL score

i77 A.2. HAC safranin O score correlated with the ACC RANKL score

i78 D. Microcracks in ACC correlated with ACC RANKL score

i79

i80 Key: HAC=hyaline articular cartilage. ACC=articular calcified cartilage; RANKL=receptor activated
i81 nuclear kappa α ligand.

i82

i83