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Osteoclasts are recruited to the subchondral bone in naturally occurring post-traumatic equine carpal osteoarthritis and may contribute to cartilage degradation

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| 1 | Osteoclasts are recruited to the subchondral bone in naturally occurring post-traumatic equine |
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| 2 | carpal osteoarthritis and may contribute to cartilage degradation |
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| 21 | Running Title: Osteoclasts in equine post-traumatic osteoarthritis |
| 22 | |

23 Abstract

<u>Background:</u> The role of osteoclasts (Ocs) in osteochondral degeneration in osteoarthritis (OA) has
 rarely been investigated in spontaneous disease or animal models of OA.

26 <u>Objective</u>: The objectives of the current study were to investigate Oc density and location in post-

27 traumatic OA (PTOA) and control (C) specimens from racehorses.

28 Methods: Cores were harvested from a site in the equine third carpal bone, that undergoes repetitive, 29 high intensity loading. Histological and immunohistochemical (Cathepsin K and Receptor-antagonist 30 of Nuclear Factor- $k\beta$ ligand (RANKL)) sections from the cores were scored and the Oc density 31 calculated. A global score for each section and a subregional (ROI: 1-mm regions of interest) score 32 were made. The cartilage histological scores were compared with Oc density and RANKL scores. 33 Results: There was a greater density of Ocs in ROIs in PTOA samples and they were preferentially 34 located in the subchondral bone plate (SCB-P). RANKL scores positively correlated to the scores of 35 cartilage degeneration and the Oc density. The relationship between hyaline articular cartilage RANKL score and Oc density was stronger than that of the SCB RANKL score suggesting that 36 37 cartilage RANKL may have a role in recruiting Ocs. The RANKL score in the articular calcified 38 cartilage (ACC) correlated with the number of microcracks also suggesting that Ocs recruited by 39 RANKL may contribute to calcified cartilage degeneration in PTOA.

40 <u>Conclusion:</u> Our results support the hypothesis that Ocs are recruited during the progression of
41 spontaneous equine carpal PTOA by cartilage RANKL, contributing to calcified cartilage
42 microcracks and focal SCB loss.

43

44 Keywords

45 Osteoclast, Subchondral Bone, RANKL, Post-traumatic Osteoarthritis, Racehorses, Articular
46 Cartilage, Osteoarthritis

49 Introduction

50 Osteoarthritis (OA), a slowly progressive degenerative joint disease, is characterized histologically by fibrillation and erosions of the hyaline articular cartilage (HAC), remodelling of the 51 subchondral bone (SCB) and periarticular osteophytes. The HAC and SCB are juxtaposed and 52 53 coupled biomechanically and metabolically, but their complex interactions, particularly in the early 54 stages of OA, are not entirely understood. Radin et al. proposed that an increase of the stiffness 55 gradient in the SCB may initiate and promote progression of OA¹. However an early reduction in SCB bone mineral density (BMD) has now been measured in many animal models of OA²⁻⁹ 56 suggesting that very early resorptive remodelling events arise as part of the disease process. 57 Furthermore bone resorption is increased in patients with progressive knee OA¹⁰ and SCB 58 remodelling correlates with severity of overlying HAC pathology in human OA¹¹. 59 60 On the other hand, direct HAC injury in post-traumatic osteoarthritis (PTOA) initiates an 61 immediate cascade of events within the HAC itself that include: chondrocyte death, matrix microcracks, release of matrix molecules, disruption of collagen structure and inflammation 62 (reviewed by Lotz)¹². Matrix breakdown and cracks, in an already permeable ACC, identified in 63 human and animal joint disease ^{1,13-20}, may facilitate bi-directional molecular diffusion of a variety of 64 65 stimulatory molecules across these interfaces potentially regulating cartilage matrix degradation by 66 chondrocytes, osteoblast signalling and osteoclastogenesis. It is likely that the mechanisms and 67 chronology of events and prevailing direction of crosstalk will vary depending on the initial cause of the OA. 68 69 OA arises frequently in racehorse joints and it is the only spontaneous, naturally-occurring,

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 model for investigation of the pathophysiological events of PTOA. Racehorse joints sustain repetitive
 periods of high intensity loads, particularly in the carpal joints, during athletic activity that initially
 induce adaptive (reviewed by Martig)²¹, but later degradative events, in the HAC, ACC and SCB.

The physiological adaptive modelling of the SCB with exercise is observed as increased bone density 73 74 on radiographs. However, the tipping point leading to pathological events in the osteochondral unit remains to be elucidated. The osteochondral degradative events are focal, with characteristic patterns 75 for each joint due to extreme loading. Several investigations of the mineralized tissues in equine 76 PTOA have revealed that microcracks arise in the ACC^{19,22,23} and SCB^{18,22,24}, combined with bone 77 remodelling with excessive resorption and porosity^{17,19,22,23,25,26}. The complex inter-play between the 78 initial structural damage and the biological events, orchestrated by cells in the HAC and underlying 79 SCB is central to understanding PTOA pathophysiology, progression, prevention and therapy. 80 SCB remodelling is executed by basic multicellular units (BMUs), spearheaded by bone 81 82 resorbing osteoclasts (Ocs) that excavate tunnels followed by bone forming osteoblasts that lay down 83 osteoid in their wake. The Ocs are recruited from circulating peripheral blood monocytes in the bone marrow and undergo differentiation and activation. The activated Ocs attach to the bone matrix, 84 85 release H+ ions to demineralize the matrix and produce enzymes, including cathepsin K and matrix metalloproteases, to digest principally type I collagen in bone (reviewed by Cappariello)²⁷. Mature 86 87 Ocs are large, multinucleated cells with a lifespan of 9-10 days, but BMUs can be active for up to 4 88 months. Oc function is controlled by the Receptor Activator of NF-k β (RANK), the Receptor 89 antagonist of Nuclear Factor-kβ ligand (RANKL) and the RANKL natural antagonist Osteoprotegerin 90 (OPG). RANKL, a member of the Tumor Necrosis Factor cytokine ligand superfamily is essential for Oc differentiation and regulates their bone resorptive function²⁸ and prevents Oc death. RANKL 91 gradients also steer BMU cutting cones²⁹. RANKL is produced in greatest abundance by osteocytes³⁰ 92 but also by osteoblasts²⁸, and chondrocytes embedded in the matrix³⁰⁻³². RANKL expression is up-93 regulated in human OA cartilage specimens³³ and in rabbit models of OA. As RANKL is expressed 94 by chondrocytes this raises the question as to whether HAC chondrocytes could modulate Oc 95 96 recruitment, SCB remodelling and structure in OA by signalling to cells in the SCB.

| 97 | Oc biology and its role in SCB turnover in OA and its progression is receiving more attention |
|-----|---|
| 98 | recently as it is now recognized that Oc activity may be an important target for therapy (reviewed by |
| 99 | Karsdal) ³⁴ . Although Ocs are the principal effectors of SCB resorption, there is only sparse data on |
| .00 | their number in the SCB in human OA ³⁵ . Resorption pits extending from the subchondral bone into |
| .01 | hyaline cartilage have been observed in greater numbers in OA patient specimens and it was posited |
| .02 | that osteoclastogenic factors were released from chondrocytes ³⁶ . In addition, a new study has |
| .03 | revealed that blood monocytes from OA patients have an enhanced capacity to generate Ocs37 with |
| .04 | increased resorptive activity and reduced Oc apoptosis. Ocs are also being increasingly identified in |
| .05 | early OA in the subchondral plate in experimental animal models of OA ³⁸⁻⁴⁰ . These findings support |
| .06 | the hypothesis that Ocs participate in the pathophysiology of OA by altering bone metabolism. |
| .07 | We hypothesize that there is a focal increase in Ocs in regions of overload in PTOA linked to |
| .08 | cartilage and bone loss in these tissues and that cartilage RANKL expression may drive this process. |
| .09 | The objective of this investigation was to measure Oc density in subregional areas in the SCB in both |
| .10 | control and naturally occurring equine PTOA specimens and to study the association of Oc density |
| .11 | with lesions in the HAC and ACC and RANKL expression. |
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.21 Methods

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.23 Tissue source

| .24 | The osteochondral sections examined in this study were from tissues banked from a previous |
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| .25 | study on equine carpal PTOA ¹⁹ . Briefly, cores (10 mm) were cut from the third carpal bone of |
| .26 | racehorses (n=15), where the most severe cartilage PTOA lesions frequently arise (Figure 1). They |
| .27 | were classified as healthy controls $(n = 5)$ or affected with PTOA $(n = 10)$, based on the articular |
| .28 | cartilage changes observed on macroscopic examination of the articular surface as previously |
| .29 | described ¹⁹ . |

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.31 Histological sections

| .32 | The cores were fixed in paraformaldehyde solution (4% in Phosphate buffer solution (PBS)) |
|-----|--|
| .33 | for 24h at +4°C, stored in PBS at +4°C, decalcified in 10% EDTA and embedded in paraffin. Five- |
| .34 | μ m thick sections were cut and stained with hematoxylin, eosin, phloxine and saffron (HEPS) for |
| .35 | cellular and morphologic evaluation, and Safranin-O-Fast-Green (SOFG) for cartilage assessment. |

.36

.37 Immunohistochemical stained sections

.38 Immunohistochemistry was performed to detect both cathepsin K and RANKL protein
.39 expression. For details please see immunohistochemistry protocol (Supplementary information
.40 online). All sections were stained in a single session to eliminate interassay variability. Negative
.41 controls consisted of phosphate buffer solution-bovine albumin with of omission of the primary

.42 antibody (Supplementary information online, Figure 1,).

All stained sections were captured as digital images at both 2.5x and 20x magnification using a Leica DM 4000 B with a camera Prosilica GT workstation. The HAC and ACC were separated at the level of the osteochondral junction in each image to provide 2 images stored separately that permitted a blinded, independent evaluation of the HAC/ACC and SCB changes separately and by different readers.

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.49 Complete section and ROI assessment

All the sections were assessed in a dual fashion: first, as complete sections and subregionally within smaller regions of interest (ROI) as PTOA lesions are focally distributed. ROIs were created by digitally dividing all complete section images into 1-mm-width ROIs (Figure 1). This strategy permitted us to more accurately capture focal changes and make meaningful comparisons within these and also increased the number of data points.

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.56 HAC score & ACC evaluation

.57 The images of complete sections and ROIS were scored blindly by 2 readers employing a
 .58 modified Mankin score for cartilage degeneration⁴¹ (Table 1, Supplementary information online). The
 .59 numbers of microcracks in the ACC and cartilage pits were assessed as described previously¹⁹.

.60

.61 SCB histomorphometry to assess bone structure

.62 Digital images of the SCB from complete sections and ROIs, stained with HEPS and cathepsin K,

.63 were employed for 2-D bone histomorphometry. Images were processed with image analysis
.64 software as described previously⁴². The total section area (TA), the bone area (BA) and the bone
.65 perimeter (BPm) were measured. Bone volume (BV%) and porosity (BP%) fraction were derived
.66 with the following formulae, BV%=BA/TA, BP%=1/BV.

.67

.68 Osteoclasts (Ocs): numbers, density & location

.69 Oc numbers were calculated by 2 independent evaluators in the HEPS sections and the cathepsin K
.70 immunostained sections. Ocs were defined as multinucleated (≥ 3 nuclei) giant cells observed in bone
.71 lacunae. The Oc numbers were then normalized to TA, BA and BPm to provide surface and linear Oc
.72 densities.

.73 In addition, to explore the depth location of Ocs in the mineralized tissues, three zones below
.74 the HAC were selected for Oc density assessment: the ACC, from the tidemark to the cement line; the
.75 SCB-plate (SCB-P), from the chondro-osseus junction to 3-mm-depth, and the SCB-TB (subchondral
.76 trabecular bone) below the 3-mm-line.

.77

.78 RANKL expression score

.79 RANKL expression in all the tissues was assessed semi-quantitatively on digital images of the whole
.80 sections (SL and CG) and ROIs (HR and AB) by expanding a previously described score for human
.81 HAC³³ (Table 2, Supplementary information online).

.82

.83

.84 Statistical analyses

.85 Intraclass correlation coefficient (ICC) was employed to assess interobserver agreement for all semi.86 quantitative parameters (HAC and RANKL scores) and Oc numbers in complete sections and 20
.87 randomly selected ROIs. When the ICC values were ≥ 0.8, scores of one observer were used for
.88 subsequent statistical analyses. Pearson's correlation was employed for comparisons between the
.89 different Oc normalization methods.

Wilcoxon's test were employed to detect differences between groups for ordinal scores (HAC total
score, RANKL score, zonal location of Ocs) and t-tests for quantitative variables (ACC microcrack
number, cartilage pit numbers in ACC, BV(%), BP(%), BA, TA, BPm, Oc density) in the complete
sections and ROIs.

.94 Spearman rank correlations were employed to compare Oc density with: total HAC scores and

.95 individual HAC parameter scores in the complete sections. A Pearson's correlation test was

.96 employed to assess the association between the Oc density and SCB histomorphometric parameters

.97 and RANKL score. A Cochran-Mantel-Haenszel test for ordinal variables was used to test the

.98 association between the RANKL score (RANKL Total score, RANKL HAC score, RANKL

.99 Tidemark score and RANKL SCB score) and the HAC score, the ACC score, the BV (%) and BP (%)

in the SCB. For the subregional assessment a mixed linear model was also employed to test the

association between the same parameters in the ROIs as described above for the complete sections to

determine if the associations changed when assessed at a more focal level.

'03 The coefficient of determination (%) was calculated for all the significantly associated variables. The
'04 horse ID was considered as a random effect to take into account the repeated measurements for each
'05 individual. Statistical analysis was performed using SAS v.9.3 (Cary, N.C.) and Graphpad Prism v6.0

207 significance was set at 0.05. 208 Results 209 210 211 Macroscopic & histologic HAC assessment 12 Complete sections from the cores were in the C group (n = 5) or PTOA (n = 10) based on 13 macroscopic assessment. When separated into ROIs it yielded C = 42 regions or PTOA= 83 regions 214 for analyses. Mean ± SD HAC histopathological score for control and PTOA complete sections and 215 ROIs are provided in Table A. There was excellent agreement between the readers on HAC 216 histopathological score (ICC:0.93) on complete sections and the total HAC histopathological scores 17 were significantly different between C and PTOA groups (Table 3, Supplementary information 218 online). 219 ACC assessment 20 Mean ± SD microcrack numbers and SCB pits for complete sections and ROIs in C and PTOA groups 21 22 are provided in Table A. A significant difference in the microcrack density and the number of SCB 23 pits were also detected between C and PTOA specimens (Table 3, Supplementary information 24 online). 25 26 **SCB** histomorphometry 27 BP(%) and BV(%) data are in Table 3 (Supplementary information online) and no differences were 28 detected between groups.

(Graphpad Software Inc. USA). Data are presented as Mean+/-SD. The level of statistical

:30 Oc numbers

| 231 | Oc densities are provided in Table 3 (Supplementary information online). There was a high |
|-------------|---|
| :32 | interobserver agreement for the Oc numbers on the HEPS and cathepsin K stained specimens (ICC: |
| :33 | 0.90) and between two readers (ICC: 0.94). Ocs with \geq 3 nuclei were frequently seen in PTOA |
| :34 | specimens and were usually localized in resorbing bays, often in apposition to bone tissue. Giant, |
| :35 | hypernucleated, cells with more than 20 nuclei were occasionally observed (Figure 2. C, F, I). |
| :36 | Cathepsin K staining revealed the enzyme within the cytoplasm (Figure 2. D, E, F). |
| :37 | |
| :38 | Oc density |
| :39 | There was a strong association (p<0.001, $r = 0.99$ for Oc/BA and Oc/TA; $p = 0.002$, $r = 0.74$ for |
| 240 | Oc/TA and Oc/BPm and $p = 0.003$, $r = 0.71$ for Oc/BA and Oc/BPm) between the Oc density |
| 241 | normalization methods. Oc/TA was employed in all the subsequent comparisons. Mean \pm SD Oc |
| <u>'</u> 42 | densities for complete sections and ROIs in control and PTOA cores are provided in Table 3 |
| <u>'</u> 43 | (Supplementary information online). The Oc density was significantly higher in the PTOA group |
| <u>'</u> 44 | compared with the C group (Figure 3). |
| <u>'</u> 45 | |
| 246 | Oc location |
| <u>'</u> 47 | Oc density in the mineralized tissue zones (ACC, SCB-P and SCB-TB) are presented in Table 3 |
| 248 | (Supplementary information online). The Oc density was significantly increased in the SCB-P, |
| 249 | compared to ACC and SCB-TB in the PTOA but not in the C group (Figure 3). |
| 250 | |
| <u>'</u> 51 | |

253 RANKL expression

| :54 | RANKL scores are provided in Table 3 (Supplementary information on line). There was high |
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| !55 | interobserver agreement for RANKL expression in both complete sections (ICC: 0.87) and ROIs |
| !56 | (ICC: 0.89). RANKL expression in the HAC and ACC was pericellular and in the matrix. RANKL |
| !57 | expression was mostly located in the middle and deeper layers of the HAC, with a distribution that |
| !58 | paralleled the pattern of the glycosaminoglycan loss in the matrix, detectable on the contiguous SOFG |
| !59 | stained specimens (Figure 4). A patchy RANKL expression was also observed in the SCB, |
| :60 | preferentially around the bone lacunae staining both osteoblasts and blood vessels. There was a highly |
| :61 | significant difference in total RANKL score between C and PTOA in ROIs, but this was a trend (p = |
| :62 | 0.05) when assessed in the complete sections (Figure 5). |
| :63 | |
| | |
| <u>'</u> 64 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL |
| :64 :65 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL score |
| !64 !65 !66 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL score There was a significant positive correlation between the Oc density and the total HAC score, the HAC |
| 264 265 266 267 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL score There was a significant positive correlation between the Oc density and the total HAC score, the HAC structure score, the HAC staining score (Figure 6), the HAC clusters, the ACC microcracks (Figure 6) |
| 264 265 266 267 268 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL score There was a significant positive correlation between the Oc density and the total HAC score, the HAC structure score, the HAC staining score (Figure 6), the HAC clusters, the ACC microcracks (Figure 6) and the cartilage pits in both the complete section and ROI analyses (Table 1). A significant positive |
| 264 265 266 267 268 269 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL score There was a significant positive correlation between the Oc density and the total HAC score, the HAC structure score, the HAC staining score (Figure 6), the HAC clusters, the ACC microcracks (Figure 6) and the cartilage pits in both the complete section and ROI analyses (Table 1). A significant positive correlation was also detected between the Oc density and the RANKL HAC score on both the |
| 264 265 266 267 268 269 270 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL score There was a significant positive correlation between the Oc density and the total HAC score, the HAC structure score, the HAC staining score (Figure 6), the HAC clusters, the ACC microcracks (Figure 6) and the cartilage pits in both the complete section and ROI analyses (Table 1). A significant positive correlation was also detected between the Oc density and the RANKL HAC score on both the complete and ROI analyses (Figure 7). On the complete section analyses there was also a positive |
| 264 265 266 267 268 269 270 271 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL score There was a significant positive correlation between the Oc density and the total HAC score, the HAC structure score, the HAC staining score (Figure 6), the HAC clusters, the ACC microcracks (Figure 6) and the cartilage pits in both the complete section and ROI analyses (Table 1). A significant positive correlation was also detected between the Oc density and the RANKL HAC score on both the complete and ROI analyses (Figure 7). On the complete section analyses there was also a positive correlation between the Oc density and the RANKL SCB scores, but the significance was lower than |
| 264 265 266 267 268 269 270 271 271 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL score There was a significant positive correlation between the Oc density and the total HAC score, the HAC structure score, the HAC staining score (Figure 6), the HAC clusters, the ACC microcracks (Figure 6) and the cartilage pits in both the complete section and ROI analyses (Table 1). A significant positive correlation was also detected between the Oc density and the RANKL HAC score on both the complete and ROI analyses (Figure 7). On the complete section analyses there was also a positive correlation between the Oc density and the RANKL SCB scores, but the significance was lower than that observed with the HAC cartilage RANKL score. A significant association with a weak |
| !64 !65 !66 !67 !68 !69 !70 !71 !72 !73 | Correlations between Oc density and HAC scores, ACC scores, SCB parameters and RANKL score There was a significant positive correlation between the Oc density and the total HAC score, the HAC structure score, the HAC staining score (Figure 6), the HAC clusters, the ACC microcracks (Figure 6) and the cartilage pits in both the complete section and ROI analyses (Table 1). A significant positive correlation was also detected between the Oc density and the RANKL HAC score on both the complete and ROI analyses (Figure 7). On the complete section analyses there was also a positive correlation between the Oc density and the RANKL SCB scores, but the significance was lower than that observed with the HAC cartilage RANKL score. A significant association with a weak correlation was found between the Oc density and the BP(%) in the SCB. |

Correlation of RANKL score with HAC scores, ACC scores and SCB parameters.

There was a significant positive correlation between the total RANKL score and the total HAC score and HAC structure score in the complete section (Table 1). A positive correlation was also detected between the RANKL HAC score and Total HAC score, HAC structure score, HAC staining score and ACC microcrack density, in the complete sections. The SCB RANKL was also correlated to the ACC microcrack and cartilage pits in the complete sections. Interestingly only the ACC RANKL score was correlated with the Total HAC score, the HAC staining, the HAC structure and the ACC microcrack density in the ROIs (Figure 2, Supplementary information on line).

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B4 Discussion

The findings of this study provide a number of novel insights into PTOA pathology that are clinically 285 286 relevant as the samples are from specimens with naturally occurring disease. First, there was a greater 287 density of Ocs in focal subregions in OA samples when compared to control sites and, importantly, 288 they were preferentially located in the subchondral plate, directly under the articular cartilage. 289 Second, RANKL, an essential and potent osteoclastogenic molecule, was localized in the middle and 290 deep layers of the HAC and was correlated both to the degenerative processes in cartilage and the Oc 291 density in the mineralized tissues signifying that it could be a key signalling molecule in crosstalk 292 between cartilage and bone tissues. The relationship between the HAC RANKL score and Oc density :93 was stronger than that of the SCB RANKL score suggesting that HAC RANKL may have a role in 294 recruiting OCs. Finally, the RANKL score in the ACC correlated with the number of microcracks 295 also suggesting that, combined with the Oc sites in the subchondral bone plate, these powerful cells 296 may also contribute to ACC degeneration in PTOA.

| <u>'</u> 97 | This is the first study to relate cartilage RANKL expression to Oc density in the SCB in PTOA |
|-------------|---|
| <u>'</u> 98 | tissues. The observation of increased density of Ocs in the SCB in PTOA tissues agrees with the |
| <u>'</u> 99 | findings of others as Ocs have been observed in human ^{35,36} and equine ^{19,43} naturally occurring OA |
| 00 | and several experimental animal models of OA ³⁸⁻⁴⁰ . This observation provides a biological |
| ;01 | explanation for our previous findings of SCB hypomineralization in these tissues ¹⁹ . The assumption in |
| 602 | the past has been that Ocs are recruited in the advanced stages of OA alone. However recent |
| :03 | observations from animal models of OA challenge this dogma. Oc numbers were increased in the |
| 604 | subchondral bone plate in animal models of early OA ³⁸⁻⁴⁰ . As Ocs have a short lifespan, their |
| ;05 | increased detection in the subchondral bone plate in OA suggests that an active osteoclastogenic |
| 06 | process or molecule is recruiting them to this site. |

The subregional assessment approach, by examining ROIs in the tissues, emphasized the very focal nature of the changes, at least in these PTOA tissues, and supports the observations of others about the very focal nature of cartilage lesions in early human and animal OA¹⁹. The OC density increased significantly, up to 9 fold, in the subregional SCB regions, below the degenerated HAC and there was a positive and significant correlation between the increased OC density and the bone porosity in the same regions.

:13 These findings raise 2 questions: The first question is why are Ocs recruited to the SCB plate ;14 in PTOA? and second: what are their effects on the osteochondral unit? Although it is logical to ;15 investigate the crucial osteoclastogenic molecule RANKL in respect to Oc recruitment, other factors such as inflammation and microdamage could also play a role. With respect to RANKL, its :16 ;17 expression was upregulated in the middle and deep layers of the HAC and correlated with the :18 cartilage degradation score and the Oc density in the mineralized tissues suggesting a link between ;19 these events and that it could be a key signalling molecule in crosstalk between cartilage and bone ;20 tissues in PTOA. Several recent investigations have suggested that soluble cartilage RANKL may

modulate subchondral bone remodelling in a paracrine fashion in OA^{31,32}. Furthermore, it is tempting
to speculate that microcracks and degeneration in the ACC would facilitate diffusion and signalling of
cartilage RANKL to SCB marrow cells to recruit Ocs in disease. The RANKL score in the articular
calcified cartilage correlated with the number of microcracks in this tissue also raising the possiblity
that Ocs localized in the juxtaposed subchondral bone plate may have a role in ACC degeneration,
and overlying HAC degeneration as Ocs have the capacity to digest articular cartilage⁴⁴.

:27 Although we provide evidence for increased expression of RANKL in PTOA cartilage with increased Oc density in the SCB, it is recognized that there may be alternative pathways to modulate 28 osteoclastogenesis in PTOA. These pathways could be either independent or synergistic with the :29 cartilage RANKL pathway we and others^{31,33} propose. It is well recognized that inflammation is 30 31 induced by trauma or overload in PTOA and that a proinflammatory cytokine milieu is a major driving force in cartilage degradation in OA (reviewed by Lotz)¹². Therefore, alternative potential 32 33 osteoclastogenic pathways in PTOA include the influence of inflammatory cells and their cytokines such as 1L -1, 6, 23, 17 & 34 (reviewed by Adamapoulos)⁴⁵. An interesting link between repeated 34 35 biomechanical overload and inflammation has also been established in animal models. Repeated 36 biomechanical overload increased Ocs, their activity, cortical thinning and reduced bone mineral density in the radial metaphyseal trabeculae in rats⁴⁶. There was a concomitant increase in the 37 38 inflammatory cytokines IL-1beta and TNF-alpha, both locally in the bone and systemically and the changes were prevented by the administration of Ibuprofen. This suggests repetitive bone overload 39 40 induces local inflammatory cascades and could be a potential pathway for Oc recruitment in equine 41 PTOA. In an experimental rat overload model of the temporomandibular joint Ocs also significantly increased in the SCB at 20 days³⁹. The proximal articular surface of the equine 3rd carpal bone :42 studied here, sustains cyclic loading of high forces in the radial facet during both training and racing. 43 ;44 The normal SCB's response to loading is anabolic or catabolic depending on the magnitude,

45 frequency and duration of the load and determines the bone's density, structure, matrix composition 46 and strength (reviewed by Robling)⁴⁷. Characteristic features of the SCB in equine repetitive PTOA in 47 racehorses include an initial adaptive sclerosis but later, microcracks in the sclerotic bone (reviewed by Martig)²¹. Oc lacunae and sites of bone resorption have been identified^{18,19,26}. The role of Ocs in 348 :49 the process, the only bone resorbing cell, has never been examined. Repair of microcracks induced by 50 repetitive overload is important for the maintenance of the bone's mechanical properties and microdamage activates BMUs¹⁵. However, precisely how Ocs are recruited to the microcracks :51 52 remains to be elucidated. Osteocytes are mechano-sensors transducing mechanical stimuli into biological signals and are the main producers of bone RANKL for osteoclastogenesis^{48,49}. Specifically, 53 54 membrane bound RANKL, located on osteocyte processes is now believed to orchestrate bone remodelling⁴⁹. Soluble RANKL is cleaved by matrix metalloproteinases from the membrane bound :55 :56 form. Recent studies suggest that membrane bound RANKL and cell to cell contact is necessary for osteoclastogenesis, pointing to a greater role of osteocytes in the process^{48,49}. In these specimens 57 where pathology is induced by repetitive trauma, microcracks in the bone matrix may injure 58 :59 osteocytes leading to osteocyte apoptosis and may contribute to target remodelling of the bone by 60 BMUs⁴⁷. However osteocytes are reduced in regions where microcracks are detectable in the equine SCB⁵⁰. 61

The findings of this study lend support for the strategy of targetting osteochondral tissue remodelling in OA therapy, either to prevent or arrest the progression of disease. This strategy could be either a downstream decrease in the number Ocs as with bisphosphonate therapy, specifically inhibiting the powerful collagenases cathepsin K produced by osteoclasts or alternatively an upstream approach targetting RANKL and other pro-osteoclastogenic molecules³⁴. However the downside of this approach is that BMUs are required for normal bone remodelling and repair and potential long

term adverse effects of their shutdown on the skeletal tissue health, particularly in athletes with bonemicrocracks requires additional elucidation.

;70

71 Conclusion

;72 Taken together these results support the hypothesis that Ocs are recruited in the SCB during the 73 progression of spontaneous equine carpal PTOA by the classic RANK/RANKL pathway and RANKL ;74 expression by chondrocytes may be an important chemo-attractant for Ocs to the SCB-P, contributing ;75 to focal SCB bone loss, ACC microcracks, cartilage collapse into the SCB-P and potentially ;76 osteochondral fractures. Ocs, by releasing cathepsin K a powerful collagenase capable of degrading ;77 bone type I and cartilage type II collagens focally, may participate and, precipitate, degradation of ;78 articular cartilage during progression of natural OA. A better understanding of Ocs attraction and ;79 migration to the SCB-P in naturally occurring OA pathologic tissues, could help identify additional 80 drug targets to inhibit excessive bone resorption and preserve bone integrity structure and function 81 during OA.

82

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Author contributions

;93

| 94 | SL conceived and designed the study and evaluated the histological specimens and wrote the |
|-----|---|
| 95 | manuscript. AB participated in study design, evaluated the histological specimens and wrote the |
| 96 | manuscript. ML collected the specimens. HR performed the histological and immunohistochemical |
| ¦97 | analyses. CG evaluated the histological specimens and revised the manuscript. GB performed the |
| 98 | statistical analysis and revised the manuscript. |
| 99 | |
| 00 | Conflict of interest |

101 None of the authors had any conflict of interest.

| 03 | Index of Abbreviations |
|-----|---|
| 04 | OA= Osteoarthritis |
| 05 | ROI=Region of interest |
| 06 | HAC= Hyaline articular cartilage |
| 07 | ACC= Articular calcified cartilage |
| ł08 | SCB= Subchondral bone |
| ŀ09 | SCB-P= Subchondral bone plate |
| 10 | TB= Trabecular bone |
| 11 | TA= Total Area |
| 12 | BA= Bone Area |
| 13 | BPm= Bone perimeter |
| 14 | BV(%)= Bone Volume fraction |
| 15 | BP(%)= Bone Porosity fraction |
| 16 | BMD= Bone mineral density |
| 17 | Ocs= Osteoclasts |
| 18 | RANKL= Receptor-antagonist of Nuclear Factor- $k\beta$ ligand |
| 19 | RANK= Receptor-antagonist of Nuclear Factor-kβ |
| 20 | OPG= Osteoprotegerin |
| | |

- 21 SOFG= Safranin-O-Fast Green
- **HEPS**= Hematoxylin-Eosin-Phloxine-Saffron
- **BMU**= Basic Multicellular Unit
- 24 PTOA= Post-Traumatic Osteoarthritis
- C= control
- 26 ICC= Interclass Coefficient Correlation

- 27 PBS= Phosphate buffer solution
- 28 PBS-BSA= Phosphate buffer solution-bovine albumin

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62 Legend

| 63 | Figure 1. | Study | design |
|----|-----------|-------|--------|
| | | | |

| 64 | The intercarpal surface of equine third carpal bones (C3) was scored for articular cartilage |
|----|---|
| 65 | macroscopic changes and classified as post-traumatic osteoarthritis affected surface (PTOA) or |
| 66 | control (C). A cylindrical osteochondral core was cut from the radial facet of C3 close to the dorsal |
| 67 | border of the bone and notched for orientation purposes and decalcified. Sections were stained with |
| 68 | Hematoxylin Eosin Phloxin and Safran (HEPS) and Safranin O fast green (SOFG). |
| 69 | Immunohistochemistry was performed with Cathepsin K and receptor activated nuclear kappa α |
| 70 | ligand (RANKL). All the sections were digitalized and the hyaline articular cartilage (HAC) and |
| 71 | articular calcified cartilage (ACC) were digitally separated from the subchondral bone (SCB) and |
| 72 | blindly evaluated. A computer analysis was employed to generate a bone mask of the SCB. The SCB |
| 73 | mask and the corresponding cartilage were subdivided in 1-mm-width regions of interest (ROI) for a |
| 74 | subregional histomorphometric assessment. Parameters assessed included HAC modified Mankin |
| 75 | score, ACC microcracks and cartilage pits, Osteoclast density, histomorphometry and RANKL |
| 76 | expression. |
| 77 | |

Key: C3 = Third carpal bone; PTOA=post traumatic osteoarthritis; C=Control; HEPS=Hematoxylin
Eosin Phloxin and Safran ; SOFG=Safranin O fast green; RANKL=receptor activated nuclear kappa α
ligand; HAC=hyaline articular cartilage; ACC=articular calcified cartilage; SCB=subchondral bone;
ROI=region of interest.

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Figure 2. HEPS, SOFG and Cathepsin K immunohistochemical stained sections revealing

- 87 osteoclasts.
- A. A BMU with arrow pointing to 3 osteoclasts in a cutting cone .
- B & C. Numerous osteoclasts visible in this section. Dotted square magnified in C. Arrow reveals
- i90 large osteoclasts.
- 591 D, E and F. Cathepsin K stained sections with intracellular Cathepsin K in osteoclasts. Insert from E
- is magnified (F) to reveal morphology.
- G, H and I. Additional examples of osteoclast morphology observed in the subchondral bone.
- i94
- Key: BMU=bone remodelling unit.
- 696 Bar=100μm.
- i97

Figure 3. Comparisons between Control and PTOA specimens: Hyaline articular cartilage

score, Oc density and Oc location in bone.

- A. Total HAC scores in either complete section or subregional ROI analyses in C and PTOA groups.
- 601 B. Oc density (Oc/TA cells/mm²) scores in either complete section or subregional ROI analyses in C
- i02 and PTOA groups.
- i03 C. Oc location in bone in subregional ROI analyses
- ;04
- Key: HAC=hyaline articular cartilage; ROI=region of interest; C= Control; PTOA=post-traumatic
- i06 osteoarthritis; Oc=osteoclast; TA=Total area.
- ;07
- 606
- ;09

| 510 | Figure 4. | SOFG and RANKI | . immunohistochemical | stained | osteochondral | sections. |
|-----|-----------|----------------|-----------------------|---------|---------------|-----------|
| | | | | | | |

- A. SOFG stained section revealing intact HAC structure with loss of staining in the superficial zone
- i12 of cartilage. Microcracks visible in the ACC.
- B. RANKL staining in the superficial zone of the HAC and patchy staining in the subchondral bone.
- i14 C. Close up of dotted square in B revealing superficial diffuse staining. Patchy artefactual staining
- iii within the HAC.
- D. Loss of staining in all the HAC. Coalescence of microcracks in the SCB.
- E. RANKL staining (intracellular and pericellular) in deep zone of the HAC and ACC. Marked
- i18 staining in the SCB matrix.
- i19 F. Close up of dotted square in E
- G. Loss of staining principally in the HAC. Collapse of the ACC into the SCB on the left of theimage.
- H. RANKL staining in the intercellular zone in the matrix of the HAC and in the SCB matrix.
- i23 I. Close up of dotted square in H
- J. Loss of up to 50% of HAC matrix and loss of SOFG staining.
- K. & L. Intense focal uptake of RANKL stain in the ACC.
- M. Focal loss of HAC structure and SOFG staining in lesion top the left of the image.
- N. & O. RANKL staining inversely proportional to loss of SOFG staining.
- i28 P. Focal loss of HAC structure and SOFG staining in lesion top the left of the image.
- i29 Q. & R. RANKL staining both intracellularly and matrix.
- ;30
- i31 Key: HAC=hyaline articular cartllage ; ACC=articular calcified cartilage ; SOFG=safranin O fast
- i32 green; RANKL=receptor activated nuclear kappa α ligand.
- i33 Bar = $1 \text{ mm}:500 \mu \text{m}$ in C, F, I, L, O, R

$\label{eq:34} \textbf{Bar}{=}100 \mu \textbf{m}. \ \textbf{A}, \textbf{B}, \textbf{D}, \textbf{E}, \textbf{G}, \textbf{H}, \textbf{J}, \textbf{K}, \textbf{M}, \textbf{N}, \textbf{P}, \textbf{Q}.$

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| i36 | Figure 5. RANKL expression in control and PTOA osteochondral sections |
|-----|---|
| 637 | Total RANKL score in the complete sections and subregional ROI analyses in both C and PTOA |
| i38 | specimens |
| i39 | |
| 640 | Key: RANKL=receptor activated nuclear kappa α ligand; ROI=region of interest; C=Control. |
| 641 | PTOA=post traumatic osteoarthritis. |
| 642 | |
| 643 | Figure 6. Correlations between Osteoclast density and total HAC score, HAC structural & |
| 644 | Safranin O stain scores and ACC microcracks in complete sections and on subregional analysis. |
| 645 | A. Correlation of Oc density and total HAC score in both complete sections and ROIs |
| 646 | B. Correlation of Oc density and HAC structure score in both complete sections and ROIs |
| 647 | C. Correlation of Oc density and HAC Safranin O stain score in both complete sections and ROIs |
| 648 | D. Correlation of Oc density and ACC microcracks in both complete sections and ROIs |
| 649 | |
| i50 | Key: Oc=Osteoclast; TA=total area; HAC=hyaline articular cartilage; ACC=articular calcified |
| 51 | cartilage. |
| i52 | |
| i53 | Figure 7. Osteoclast density correlated with the hyaline articular cartilage RANKL expression |
| 54 | Correlations between osteoclast density and RANKL HAC score in complete sections and on |
| i55 | subregional analysis. |
| 56 | |

- 557 Key: Oc=Osteoclast; TA=total area; RANKL=receptor activated nuclear kappa α ligand. HAC =
- i58 hyaline articular cartilage.

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| 60 | On line |
|----|---------|
| | |

Figure 1, Supplementary information on line. Sections stained immunohistochemically with

| 62 | either RANKL | or Cathe | psin K ar | ntibodies a | and controls |
|-----|--------------|----------|------------|-------------|--------------|
| ,02 | | or Caune | poin is ai | informed a | ina conti oi |

- A. Osteochondral section stained with RANKL. Increased staining can be observed at the surface of
- the cartilage and also in the subchondral bone matrix.
- B. Negative control: Adjacent section to A stained with PBS-BSA.
- i66 C. Cathepsin K stained section with increased staining at the surface of HAC and also visible in thei67 bone matrix.
- D. Negative control: Adjacent section to A stained with rabbit antiserum.
- 69
- ⁱ⁷⁰ Key: RANKL=receptor activated nuclear kappa α ligand. HAC=hyaline articular cartllage.
- 671 Bar=500 μm
- ;72

Figure 2, Supplementary information on line. Articular calcified cartilage RANKL score

⁵⁷⁴ correlated with hyaline articular cartilage histological scores and microcracks

- A. Total HAC score correlated with the ACC RANKL score
- A.1. HAC structure score correlated with the ACC RANKL score
- A.2. HAC safranin O score correlated with the ACC RANKL score
- 578 D. Microcracks in ACC correlated with ACC RANKL score
- ;79
- Key: HAC=hyaline articular cartilage. ACC=articular calcified cartilage; RANKL=receptor activated
 nuclear kappa α ligand.
- 682
- ;83