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1 ***High-quality Italian rice cultivars: chemical indices of ageing and aroma quality***

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13 **Abstract**

14 The volatile fractions of six Italian high-quality rice cultivars were investigated by HS-SPME-GC-MS to define  
15 fingerprinting and identify chemical markers and/or indices of ageing and aroma quality. In particular, four non-aromatic  
16 (Carnaroli, Carnise, Cerere and Antares) and two aromatic (Apollo and Venere) rices, harvested in 2010 and 2011, were  
17 monitored over 12 months.

18 Twenty five aroma components were considered and, despite considerable inter-annual variability, some of them  
19 showed similar trends over time, including 2-(*E*)-octenal as a marker of ageing for all cultivars, and heptanal, octanal and  
20 2-ethyl hexanol as cultivar-specific indicators. The area ratios 2-acetyl-1-pyrroline/1-octen-3-ol, for Venere, and 3-methyl-  
21 1-butanol/2-methyl-1-butanol, for Apollo, were also found to act as ageing indices.

22 Additional information on release of key-aroma compounds was also obtained from quantitation and its dependence on  
23 grain shape and chemical composition. Heptanal/1-octen-3-ol and heptanal/octanal ratios were also defined as  
24 characterizing the aroma quality indices of the six Italian rice cultivars investigated.

25

26

27 **KEYWORDS:** HS-SPME-GC-MS; rice (*Oryza sativa* L.); cultivars; storage; ageing markers and indices; quantitation;  
28 aroma quality; chemometrics

29

## 30 1.INTRODUCTION

31 Rice (*Oryza sativa* L.) is one of the most widely cultivated cereals in the world. It is a staple food for about half the world's  
32 population, in particular for Asian, South-American and African countries (Food and Agriculture Organization of the  
33 United Nations (FAOSTAT), United States Department of Agricultural (USDA)). Italy is the largest rice producer in the  
34 European Union, producing approximately 50 % of the total EU-27 harvest. Although Italy accounts for less than 1 % of  
35 world production, it is currently the fourth-largest rice-exporting country, after Thailand, United States, and India (counting  
36 intra-EU trade). Rice cultivation in Italy is mainly located in the northern regions (Piedmont, Lombardy and Veneto).

37 Rice cultivars can be classified into two major groups: the ecotype "*indica*", which is characterized by long grains, and the  
38 ecotype "*japonica*", with short grains. Several cultivars are cultivated in Italy, around 70% of them belonging to the "*indica*"  
39 variety (Ariete-Drago, Arborio, Baldo, S.Andrea, Carnaroli) (Istituto di Ricerche Economiche e Sociali per il Piemonte  
40 (IRES)). The EU characterizes specific qualities of specific products, through a series of labels (Protected Designation of  
41 Origin – PDO, Protected Geographical Indication – PGI, and Traditional Specialty Guaranteed – TSG), that give them a  
42 further added value related to their origins, and to the manufacturing and/or processing practices employed (European  
43 Commission, Agricultural and Rural Development). The quality of rice grains has great economic interest, characteristics  
44 such as yield, shape and defects being important in marketing, while the aroma of the cooked product, in particular when  
45 prepared in the Asiatic mode, has a big impact on consumers. The aroma of both aromatic and non-aromatic rice cultivars  
46 consists of a complex mixture of odor-active compounds. Several authors have studied the composition of the cooked rice  
47 volatile fraction, identifying a large number of components and defining several key-aroma compounds (Champagne,  
48 2008, Jezussek, Juliano, & Schieberle, 2002; Widjaja, Craske, & Wootton, 1996a; Yang, Shewfelt, Lee, & Keys, 2008 a-b;  
49 Zeng et al., 2008). These include saturated and unsaturated aldehydes, alcohols, and cyclic compounds; in particular,  
50 hexanal, 1-octen-3-ol and 2-pentylfuran are markers of both quality and ageing, while 2-acetyl pyrroline (2-AP) is one of the  
51 aroma quality markers for aromatic rice (Buttery, Turnbaugh, Ling 1988; Champagne, 2008; Grimm, Bergman, Delgado,  
52 Bryant, 2001; Laguerre, Mestres, Davrieux, Ringuet, & Boulanger, 2007; Mahatheeranont, Keawsa-Ard., Dumri, 2001;  
53 Widjaja, Craske, & Wootton, 1996a). It has a characteristic popcorn-like aroma that, together with its low odor threshold,  
54 gives aromatic rice a characteristic flavor, whose accumulation is favored by their genetic characteristics (Bradbury et al.,  
55 2005; Kovacha, Calingacion, Fitzgerald and McCouch, 2009; Fitzgerald, McCouch and Hall, 2009).

56 Rice is a seasonal product, harvested during a limited period of a few weeks, but consumed throughout the year. Rice in the  
57 field is never uniform, changing at each crop, therefore processing and storage after harvesting have a big impact on yield

58 and quality of the final product (Champagne 2008). During storage, the rice aroma can change, mainly because of  
59 oxidation and losses over time.

60 Headspace solid phase microextraction (HS-SPME) is a well-established and popular technique for headspace  
61 sampling, that is used in several fields, (Belliaro et al., 2006), including rice. Because of its flexibility and sensitivity, HS-  
62 SPME with a DVB/CAR/PCMS fiber has also been used to monitor the evolution of volatiles directly during storage  
63 (Grimm, Bergman, Delgado, & Bryant, 2001; Laguerre et al., 2007; Zeng et al., 2008).

64 This study aimed to analyze the volatile fractions of six high-quality Italian rice cultivars, by a fully-automated HS-SPME–  
65 GC–MS method, so as to define volatiles characterizing fingerprints and to identify reliable chemical markers and indices  
66 of ageing and aroma quality. In particular, the study comprised four main parts: i) the first part focused on validating the  
67 method of analysis; ii) the second part dealt with the effects of storage and temperature on the composition of the  
68 volatile fraction (aroma fingerprinting) of the investigated cultivars, seeking markers or indices correlated with ageing,  
69 independently of the inter-annual variability; iii) the third part comprised quantitation of the identified key-aroma  
70 compounds and the influence of the physico-chemical characteristics of the grain of the cultivars investigated on the  
71 release of the aroma components; iv) the final part concerns the identification of indices to describe the aroma quality of  
72 rice.

73 In particular, four non-aromatic (Carnaroli, Carnise, Cerere and Antares) and two aromatic (Apollo and Venere) rice  
74 cultivars, harvested in 2010 and 2011, were investigated over a period of 12 months.

75

## 76 2. MATERIALS AND METHODS

### 77 2.1 Reference compounds and solvents:

78 Pure reference compounds for analyte identity confirmation, and n-alkanes (*n*-C5 to *n*-C25) for linear retention index (*I*<sub>L</sub>)  
79 determination, were from Sigma–Aldrich (Milan, Italy), 2-acetyl-2-pyrroline was from BOC Sciences (Shirley, NY, USA).

80 A standard stock solution of *n*-heptadecane (C17) at 63 mg/L was prepared in dibutyl phtalate (Sigma–Aldrich, Milan,  
81 Italy) and stored in a sealed vial at – 18°C. C17 was used as Internal Standard for peak response normalization (ISTD).

82 Solvents (cyclohexane) were HPLC-grade from Riedel-de Haen (Seelze, Germany).

83

### 84 2.2 Samples:

85 Rice (*Oryza sativa* L.) samples, of six high-quality Italian cultivars, were harvested in 2010 and in 2011: four cultivars  
86 (Carnaroli, Carnise, Cerere and Antares) were non-aromatic, and two (Apollo and Venere) were aromatic; all were  
87 supplied by SA.PI.SE. (Vercelli – Italy). Carnaroli is a high-quality non-aromatic rice that is PDO-labeled as “Riso di  
88 Baraggia Biellese e Vercellese” (IT/PDO/0005/0337) and PGI-registered as “ Riso del delta del Po” (IT/PGI/0005/0712);  
89 Carnise is an agronomically-improved cultivar of Carnaroli, with enhanced ability to absorb condiments, maintaining its  
90 texture after cooking. Venere is a patented semi-whole pigmented black aromatic rice produced by SA.PI.SE  
91 (EU4481/1999) that has recently been introduced into Italy (2008), after adaptation to the climatic conditions by  
92 crossbreeding an Asiatic pigmented rice with a local cultivar, which contains lower relative amounts of key-odor compounds  
93 than does Apollo. **Table 1** reports the list of rice cultivars and their characteristics. Rice samples were stored as paddy at  
94 different controlled temperatures (i.e. at the conventional temperatures of 25°C and 5°C in air) and times (0-6-12  
95 months), under 13% relative humidity.

96 A set of commercial Thai rice samples and a standard solution of methyl isobutyl ketone (80 mg/L), carvone (4.75 mg/L),  
97 3-hexanol (40 mg/L), linalool (4.35 mg/L), 1-butanol-3-methyl acetate (17 mg/L), and eucalyptol (3.68 mg/L) in water  
98 (EXTD) were stored at -18°C and used as references to standardize the HS-SPME system performance over time (see  
99 paragraph 2.5) .

### 100 101 **2.3 Headspace solid phase microextraction (HS-SPME) sampling and optimization**

102 The SPME device and fibers were from Supelco (Bellefonte, PA, USA). A Divinylbenzene/  
103 Carboxen/Polydimethylsiloxane (DVB/CAR/PDMS) d<sub>f</sub> 50/30 µm, 2 cm length fiber was chosen, and conditioned before  
104 use as recommended by the manufacturer.

105 Volatiles were sampled by automated headspace Solid Phase Microextraction (auto-HS-SPME) using a Combi-PAL  
106 AOC 5000 (Shimadzu, Milan, Italy) on-line integrated with a Shimadzu QP2010 GC–MS system provided with a  
107 Shimadzu GC–MS Solution 2.51 software (Shimadzu, Milan, Italy).

108 The HS-SPME sampling conditions were optimized on a group of characteristic components of the rice volatile fraction of  
109 a milled Thai sample, i.e. 3-methyl-butanol, pentanal, hexanal, 3-methyl-1-butanol, 2-pentyl furan, hexanol, and nonanal  
110 at three temperatures (40°C, 60°C, 80°C) and times (15, 30, 60 min). (~~Wongpornchai, Dumri, ongkaewwattana & Siri,  
111 2004; Laguerre et al., 2007; Bryant, McClung, 2011~~). The adopted HS-SPME fiber (2cm, DVB/CAR/PDMS) and

112 conditions (60°C for 60 min) were a compromise to maximize recovery and repeatability throughout the two years of the  
113 project (Figure 1 SD).

114 Since most studies are based on cooked rice aroma, a group of experiments were carried out preliminarily on rice  
115 samples boiled for 20 min. These analyses, run under the conditions reported above, revealed that the volatile fraction  
116 was qualitatively identical but quantitatively more abundant than in uncooked rice, indicating that the method adopted  
117 can be applied to uncooked or cooked rice alike to study the influence of storage on the volatile aroma profile.

118 Aliquots of 3.5 g of dry uncooked milled rice of the investigated cultivars, and 5 µL of ISTD (C17), were placed in a 20  
119 mL screw-cap vial, equilibrated for 5 min, and sampled by HS-SPME at 60°C for 60 min. After sampling, the  
120 accumulated analytes were recovered by thermal desorption of the fiber for 5 min at 250 °C into the GC injector and  
121 then transferred on-line to the chromatographic column. All samples were analyzed in triplicate.

#### 122 **2.4 GC-MS analysis conditions**

123 *GC-MS analysis - Chromatographic conditions:* injector temperature: 250°C, injection mode: splitless; carrier gas:  
124 helium, flow rate: 1 mL/min; fiber desorption time and reconditioning: 5 min; column: 95% polydimethylsiloxane, 5%  
125 phenyl SE52 (d<sub>f</sub> 0.25 µm, d<sub>c</sub> 0.25 mm, length 30 m) (Mega, Legnano (Milan), Italy). Temperature program: from 45°C (5  
126 min) to 170°C at 3°C/min, then to 250 °C (2 min) at 7°C/min.

127 *MSD conditions:* ionization mode: EI (70 eV), ion source temperature: 200°C; quadrupole temperature: 150°C; transfer  
128 line temperature: 270°C. A Standard Tune was used with a m/z 35-350 scan range and a scanning rate of 1.000 amu/s.  
129 Analytes were identified on the basis of their linear retention indices and EI-MS spectra, compared to those of authentic  
130 standards, or were tentatively identified through their EI-MS fragmentation patterns and retention indices.

131

#### 132 **2.5 HS-SPME-GC-MS Validation**

133 A validation protocol was adopted to assess the following parameters of method performance: specificity, precision  
134 (repeatability and intermediate precision), robustness, and Limit of Detection (LOD). A two-week validation scheme was  
135 applied for two months; 15 replicate analyses were run during this period. Specificity and precision were assessed on  
136 paddy rice and on an external standard mixture. ISTD linearity and matrix response were verified by analyzing ISTD  
137 stock solution (63 mg/L in dibutylphthalate) and milled rice and paddy as reported in Table 1 SD - (Supplementary  
138 data). Twenty five peaks characteristics of the rice volatile fraction were characterized, identified, and adopted for  
139 sample profiling, to evaluate inter- and intra-week variability of retention data (Linear Retention Index  $I^r_s$ ), Absolute Peak



140 Areas, Normalized Peak Areas % (calculated vs. C17 as ISTD) and mass spectra match factors. Peak Areas were  
141 calculated on the basis of analytes' Target Ion (Ti) response; the ratios Ti/Q1 and Ti/Q2 (Target ion and two qualifiers)  
142 were used to confirm analytes' identity and to minimize quantitative differences due to changes in chromatographic  
143 performance over time.

144

#### 145 ***2.6 HS-SPME fiber performance evaluation***

146 SPME fiber performance was evaluated in terms of total volatile fingerprint area, to characterize the fiber's sampling  
147 capability and selectivity, and to minimize sampling errors/discriminations, in view of the extended duration (24 months)  
148 of this study and the large number of samples and replicates (Bicchi et al. 2007). The sampling performance of four  
149 DVB/CAR/PDMS fibers from different lots was evaluated on three replicated analyses for each fiber, on Thai rice and  
150 paddy samples.

151 The raw total volatile fingerprint areas from the entire set of analyses were submitted to Analysis of Variance (ANOVA).  
152 The One-Way ANOVA analysis on the replicates for each fiber revealed that the null hypothesis (i.e. *"there is no*  
153 *difference among the four fibers in terms of total volatile fingerprint area"*) was verified with  $p \leq 0.05$ . Additional fibers  
154 were submitted to the entire testing routine, by analyzing reference samples stored at  $-18^{\circ}\text{C}$ .

155 The fiber's life-span was monitored throughout the study with a set of commercial Thai rice samples and 100  $\mu\text{L}$  of a  
156 standard mixture (EXTD), whose composition is reported in paragraph 2.2.

157

#### 158 ***2.7 Data elaboration***

159 ANOVA analysis was run with XLStat<sup>®</sup> software (Version 7.5.1) copyright 1995–2005 Addinsoft (Paris, France).  
160 Principal Component Analysis (PCA), Partial Least Square Discriminant Analysis (PLS-DA) and regression analysis  
161 were performed with Pirouette<sup>®</sup> (Comprehensive Chemometrics Modeling Software version 4.0 - 2009) (Infometrix, Inc.  
162 Bothell, WA). The data matrix consisted of as many rows as the number of samples (total objects: 192) and 25 columns  
163 (m/z variables). Data for statistical elaboration were pre-treated by baseline correction, through noise subtraction, and by  
164 internal normalization of the signal from each sample, and then pre-processed by autoscaling.

165

### 166 **3. RESULTS AND DISCUSSION**

167 The goals of this study, which were listed in the introduction, will be discussed in separate sub-sections.

168

## 169 3.1 Method validation

170

### 171 3.1.1 Evaluation of method performance parameters

172 Specificity was assessed for each target compound, by verifying analytes' identities through the Mass Spectrum Match  
173 factor, taking spectra in commercial and in-house databases as reference, together with target ion (Ti), qualifier ratios  
174 (Q1 and Q2), and interactive use of analytes' linear retention indices  $I_s$  (Liberto et al. 2008, Costa, De Fina, Valentino,  
175 Dugo & Mondello 2007). **Table 2** confirms that the method is specific for matching the target analytes. Retention index  
176 allowance for a correct match was set at  $\pm 10$  units, and in all cases the MS match factors were above the arbitrarily-fixed  
177 threshold (75%), with an average value of 90%.

178 Precision, expressed as repeatability, and intermediate precision of the HS-SPME-GC-MS target profile, were evaluated  
179 over the entire validation period. Repeatability was determined on five analyses on rice and standard solution samples  
180 (see paragraph 2.5) during one week, while intermediate precision was measured on five repetitions of each samples  
181 per day, over three non-consecutive weeks during three months. Repeatability and intermediate precision are here  
182 expressed as Relative Standard Deviation % (RSD%) on the absolute areas for each target analyte, for the above  
183 validation periods (**Table 2**). The results show very good average repeatability, which in no case exceeded 11%, and  
184 satisfactory average intermediate precision of 12.6%. These values are very satisfactory, in consideration of the low  
185 abundance of some components, the large number of experiments, and the long duration of the study.

186

### 187 3.1.2 Limit of Detection and method sensitivity

188 The limit of detection (LOD) was determined experimentally across the entire set of validation analyses, and was taken  
189 as the lowest Absolute Peak area for which analyte identity confirmation was consistent with a fixed acceptable matching  
190 factor (75%) established a priori. In particular, minor or trace components were selected, and their identification was  
191 checked within the limits adopted (**Table 2**). The results are expressed as minimum Absolute Peak Area corresponding  
192 to 10,000 counts, measured on dec-(2E)-enal.

193

## 194 3.2 Volatile fraction fingerprinting

195 This part concerns the possibility of using volatile fingerprinting as a parameter to study the effect of storage  
196 temperatures and times on the volatile fraction composition. In the first year, paddy was also analyzed, and the results  
197 were in line with those of processed rice: since it is not the final product destined for the consumer, the study therefore  
198 only focused on processed rice. Twenty five volatiles released from processed Italian rice were considered to evaluate  
199 variations in the volatile fingerprint (**Table 2**).

200 PCA (Principal Component Analysis) carried out on the volatile fraction fingerprints of the investigated samples over the  
201 two years clearly shows the influence of storage time on discrimination of the first two principal components (PCs,  
202 explained variance 52.3%) (**Figure 1a and b**). The loadings plot indicated that discrimination at different storage times  
203 depended on several components: isopentyl acetate and ethyl hexanoate, which are abundant at T0, 2-butanone, 2 and  
204 3-methyl butanol, pentanal, hexanal 2-pentyl furan, 3-octen-2-one at T6, and heptanal, 2-(*E*)-heptenal, 2-(*E*)-octenal, 1-  
205 octen-3-ol, 2-ethyl hexanoate, nonanal, 2-(*E*)-nonenal, 1-octanol, octanal at T12. Some components (heptanal, octanal,  
206 2-ethyl-1-hexanol) increased in both years for all cultivars, although to different extents. Venere was the only cultivar  
207 whose composition only changed slightly with storage, probably because it is a semi-whole rice, and because it contains  
208 large amounts of phenolic compounds, in particular anthocyanines, which give it great resistance to oxidation over time (  
209 Gui-Fang Deng et al. 2013, Frank, Reichardt, Qingyao, Engel, 2012).

210 Conversely, the effect of storage temperature was slight (**Figure 1a**). This was also confirmed by PLS-DA (Partial Least  
211 Square Discriminant Analysis), which was used to evaluate whether volatile fingerprinting can be correlated to the  
212 physical characteristics of the grains of rice in cultivar classification. The results were satisfactory, although **Figure 1c**  
213 shows that some samples did not match any model category (class 0, shown on the x axis) while others actually  
214 belonging to class 2 were misclassified as class 1. The ability of the model to correctly classify samples in the proper  
215 class was characterized by a sensitivity of 97.8%, and the ability to reject samples that do not belong to a given class  
216 had a specificity of 79.2%. Within the defined classes, i.e. the grain shape, the model for samples belonging to long  
217 grains type B and round grain had low sensitivity 66.7% but a high specificity (100%), while long grains type A and  
218 medium-long grains showed a sensitivity of 91.6% and low specificity (92%).

219 The year of harvest strongly influences rice fingerprints, although to different extents depending on the cultivar (**Figure 2**  
220 **SD**).

221 On the other hand, storage at different temperatures (25°C and at 5°C) within the same year of harvest, does not  
222 significantly affect the total amount of volatiles in each cultivar. In consequence, the following discussion will mainly  
223 focus on the usual condition of storage (25°C) unless specified otherwise.

224 The different climatic conditions occurring during the growing season affected not only the total abundance of the volatile  
225 fraction, but also its composition. Between the first and second years' harvest, the volatile fingerprints of the investigated  
226 cultivars showed different trends, also depending on the duration of storage.

227 Antares, Carnise and Venere increased their volatile abundance from 0 to 12 months, although differently. Cerere,  
228 Carnaroli and Apollo volatiles tended to increase over time, for rice of the first year's harvest; for that of the second year,  
229 they decreased at T6 and increased again at T12; this trend may be due to interaction of some volatiles with the starchy  
230 matrix, through the formation of stable inclusion complexes and/or adsorption, mainly for highly polar compounds  
231 (Arvisenet, Voilley & Cayot, 2002; Boutboul et al., 2002; Jouquand, Ducruet & Le Bail, 2006). At 25°C ketones were  
232 more abundant in the early phases of storage, and decreased or even disappeared after T0 **Figure 2**. The trend differed  
233 depending on the cultivar: in Carnise and Apollo, saturated aldehydes decreased up to T6 and then increased again; in  
234 Antares, Cerere and Venere, they increased until T6 and decreased to T12; in Carnaroli, they increased steadily until  
235 T12. These trends were similar for all saturated aldehydes, and were closely related to hexanal, which is the most  
236 abundant aldehyde. Aromatic and unsaturated aldehydes and alcohols (e.g. benzaldehyde, dec-(2*E*)-enal, 2-ethyl-1-  
237 hexanol and 1-octen-3-ol) showed a reduction at T6 and an increase at T12, although to different extents, again  
238 depending on the cultivar. This trend may be due to a competitive interaction between the oxidative formation of these  
239 volatiles and the starchy matrix.

240

### 241 3.3 Definition of markers of ageing

242 The change of the volatile fraction over time showed different trends depending on year of harvest and the cultivar;  
243 however, it highlighted time-related compounds and enabled markers of ageing to be defined. These can be divided into  
244 three groups: i) a universal marker, valid for all cultivars: 2-(*E*)-octenal, ii) markers for all cultivars except Venere:  
245 heptanal, octanal, 2-ethyl-1-hexanol, iii) cultivar-specific markers: 1-octen-3-ol (Carnise), 2-pentyl furan (Carnaroli), dec-  
246 (*E*)-enal (Venere).

247 The Venere aroma fraction was the least susceptible to storage time, despite the inter-annual differences occurring in  
248 the two years of harvest.

249 2-AP has been reported as a possible marker of ageing, because it decreases over time (Wongpornchai, Dumri,  
250 Jongkaewwattana & Siri, 2004; Widjaja, Craske, & Wootton, 1996b). The results on Italian rice showed that both the  
251 abundance of this compound and its trend over time depend closely on the year of harvest. The 2010 samples showed a  
252 cultivar-specific reduction of 2-AP's relative abundance over time, in agreement with the literature, while those of the  
253 second year presented an increase during storage, in particular for Apollo aromatic rice. This could be due to a  
254 contamination of paddy by microorganisms, in particular *Bacillus cereus*, which is reported to be a possible agent of 2-  
255 AP formation (Yoshihashi T., Huang Thi Thu N., Inatomi H., 2002).

256 A set of ageing indices, expressed as analyte area ratio, were then defined and their trends investigated over time. The  
257 aroma compounds most closely correlated with storage, and showing similar trends in all cultivars, were selected by  
258 regression analysis. Their mutual area ratios were calculated at each storage time, by means of a routine carried out  
259 with a specific visual basic Excel macro. The resulting ratios were multiplied by a factor of 1000 to facilitate data  
260 handling, and normalized to those at T0 (i.e. initial storage time). The selected indices were those for which there was  
261 both an increase or decrease versus T0, and a correlation coefficient  $r^2 > 0.7$ , in both years of harvest. The results  
262 showed that some cultivar-specific indices related to ageing can be defined **Table 1**; indices of ageing cannot be defined  
263 for some non-aromatic cultivars (Cerere, Carnaroli, Carnise), although significant variations were detected in function of  
264 storage time, because of the great seasonal fluctuation in their absolute values, i.e. the high standard deviation between  
265 years. Some ageing indices were found that decrease over time up to 6 months of storage: for Antares (1-  
266 hexanol/heptanal) and for Venere (2-acetyl pyrroline/2-(E)-nonenal, 2-methyl propanol/pentanal, 2-acetyl pyrroline/2-(E)-  
267 octenal, 2-acetyl pyrroline/ dec-(2E)-enal) while others, such as pentanal/3-methyl butanol, increased significantly in late  
268 storage. Effective chemical indicators of storage for longer than 6 months were 2-acetyl pyrroline/1-octen-3-ol for Venere  
269 and 3-methyl butanol /2-methyl butanol for Apollo.

270

### 271 3.4 Aroma quality:

#### 272 3.4.1 markers quantitation

273 Analytical procedures suitable to detect, identify, and quantify active odor components, occurring at trace levels and  
274 sometimes below ng/kg, are in general rather complex and time consuming. Conventional approaches based on liquid-  
275 liquid extraction, or steam distillation-solvent extraction techniques, such as Solvent Assisted Flavor Evaporation

276 (SAFE), can meet the need for fundamental studies to isolate-identify-quantify key odorants, but their applicability in fully  
277 automated systems to fast high-throughput screenings and detailed profiling are often limited.

278 HS sampling can overcome these limits, in particular for quantitative determination of volatile compounds from solid  
279 matrices; however, it is in any case rather complex, because of the strong matrix effect that can affect aroma release.  
280 This occurs, for example, for rice whose volatile fraction composition is strongly influenced by the amylose/amylopectin  
281 fraction. Multiple Headspace Extraction (MHE) is an approach for the true quantitation of volatile compounds from solid  
282 matrices, which overcomes the matrix effect, although this characteristic is not yet generally appreciated (Serrano,  
283 Beltrán, Hernández, 2009; Bicchi et al., 2010, Nicolotti et al, 2013). The most widely adopted approach for sample  
284 comparison is relative abundance based on peak area % or Internal Standard normalization. Although these approaches  
285 are scientifically accepted for several applications, they may be inaccurate (Bicchi et al., 2008) and misleading for  
286 profiling, when chemical composition must be correlated to sensory properties. Conversely, MHE is of great interest, not  
287 only for quantitation, but also useful to provide information about variations in the release of the same odorant(s) from  
288 different matrices into the headspace, and their distribution in the solid matrix (Nicolotti et al., 2013). It may thus be  
289 applicable to rice grains with different textures and shapes, or that are characterized by different amylose/amylopectin  
290 ratios, or different protein and lipid contents (Champagne E.T., 2008; Guichard E., 2002).

291 MHE is based on a dynamic gas extraction carried out stepwise; the total peak area, obtained from a series of  
292 consecutive extractions, is directly proportional to the total amount of the analytes presents in the sample (Kolbe, Etre  
293 ,1997). The analytes' peak area decreases exponentially with the number of extractions, provided that a suitable amount  
294 of matrix is processed. The cumulative instrumental response is obtained from the following equation:

295

$$AT = \sum_{i=1}^{\infty} Ai = A1 \left( \frac{1}{1 - e^{-q}} \right) = \frac{A1}{1 - \beta}$$

296

297 where  $AT$  is the total estimated area,  $A1$  is the area detected with the first extraction, and  $q$  is a constant describing the  
298 exponential decay of the area with successive extractions. When a series of relatively homogeneous samples of the  
299 same matrix are processed, the  $\beta$  value (i.e. the e-logarithm of  $-q$ ) is in general constant or, at least, falls within an  
300 acceptable range, which can be fixed a priori for a given analyte (Bicchi et al., 2010). True quantitation can be achieved  
301 by an external standard calibration with a standard solution of the analyte(s) investigated under the same MHE

302 conditions as for the matrices; in this study, nine key-aroma compounds (table 2) in dibutylphthalate in a 0.5-100 ppm  
303 concentration range for five calibration points were used.

304 Quantitative analyses were carried out on the four cultivars of most interest for the European and Italian markets, in  
305 terms of grain dimension and aroma quality (i.e. Carnaroli, Apollo, Venere and Cerere), at T0 stored at 25°C **Table 2**.  
306 Carnaroli and Apollo are respectively the non-aromatic and the aromatic standard of reference for Italian rice, in terms of  
307 organoleptic properties. Carnaroli is the reference variety for the “risotto” (Italian rice dish) because of its behavior when  
308 cooked, whereas Apollo may be considered as an “Italian Basmati rice” because of its similar aroma, which persists after  
309 cooking, mainly due to its high 2-AP content.

310 The results showed different matrix effects on specific odor-active compounds, as is clear from their  $\beta$  values **Figure 3**.  
311 Compared to the other cultivars, Venere displayed higher  $\beta$  values for all investigated components, with the sole  
312 exception of 2-AP, meaning that the relative release of these markers in the headspace was slowed because of greater  
313 retention. This shows that the release of a compound from the matrix is governed by different mechanisms of retention,  
314 depending on matrix composition and texture rather than on the volatility of the compound in question. Venere is a semi-  
315 whole grain rice, therefore the substantial presence of polysaccharides, proteins, and ash in the caryopsis  
316 antagonistically influences the release of the aroma compounds. On the other hand, Apollo contains large amounts of  
317 amylose, which may interact with the release of 2-AP in the headspace (Bellato S. et al. 2013).

318 However, grain dimension does not strongly influence key-aroma release, as is shown by Carnaroli, Apollo, and Cerere;  
319 these three cultivars have different grain shape, but their  $\beta$  values are similar. In particular, release of highly volatile  
320 compounds (i.e. hexanal, heptanal) is controlled by their volatility, independently of the cultivar, while the distribution of  
321 1-octen-3-ol, nonanal, 2-(*E*)-nonenal, and decanal in the headspace is governed by the partition coefficient between  
322 solid matrix and vapor phase, which is cultivar-dependent. Apollo shows a higher  $\beta$  value than Carnaroli or Cerere,  
323 probably because of the higher content of amylose fraction (**Table 1**) which may induce the formation of inclusion  
324 complexes with the investigated key-aroma (Arvisenet, Voilley & Cayot, 2002; Boutboul et al., 2002; Jouquand, Ducruet  
325 & Le Bail, 2006, Bellato S. et al. 2013).

326 The true quantitation of rice key-aroma compounds, known as markers of both quality and ageing, (**Table 2**) provides  
327 other additional and diagnostic information: i) Carnaroli is richer than the other non-aromatic cultivars in key-aroma  
328 compounds, in particular nonanal and decanal, which mainly originate from oleic acid autoxidation; ii) Apollo contains  
329 similar concentrations of hexanal to Venere, but higher concentrations of 2-AP; iii) Venere releases the largest amount of

330 2-pentyl furan, probably because of enolization and cyclization of 5-ketnonanal, i.e. an oxidation product of linoleic acid,  
331 as is 1-octen-3-ol (Widjaja, R., Craske, J. D., & Wootton, M. 1996b); a possible explanation is that Venere and Carnaroli  
332 cultivars contain the most lipids, in particular Carnaroli has an abundant polyunsaturated fraction (Simonelli, Casati,  
333 Cormegna, Abbiati, 2013); iv) Cerere contains a lower concentration of hexanal, possibly because of its round-grain  
334 conformation, which has a smaller air-exposed surface for the same volume, influencing the oxidation rate.

335

### 336 **3.4.2 Tools for aroma quality definition**

337 More than 200 volatile compounds contribute to the aroma and flavor of rice, although few (13 compounds) have been  
338 found to characterize the aroma and flavor of cooked rice (Grosch,1993). Some of the key markers were found to be  
339 related to odor/off odor depending on storage; most of these are products of lipidic degradation, such as hexanal,  
340 octanal, 2-(*E*)-nonenal, dec-(2*E*)-enal, 2-pentylfuran, and (*E,E*)-2,4-decadienal (Champagne, 2008). The present results  
341 can also be used to define aroma quality indices for Italian rice cultivars; they were determined through systematic study  
342 over time and on different years of harvest. These indices can be obtained from the ratio of normalized areas between  
343 key aroma components but, if they are to be defined as a characteristic of different Italian rice cultivars, they should  
344 remain constant over time and should not vary with the year of harvesting. Again, normalized area ratios were calculated  
345 at each storage time, by a routine carried out with a specific visual basic Excel macro. Those indices that did not show  
346 significant variations over time, on the basis of an arbitrarily fixed relative standard deviation of  $\pm 30\%$  within the two  
347 years of harvest, were taken as aroma quality descriptors. The results show that the heptanal/1-octen-3-ol and  
348 heptanal/octanal ratios (indices) could be used to characterize rice aroma quality. **Figure 4** shows the specific index  
349 values at 25°C with their standard deviations in all cultivars. lo toglierei del tutto questa frase oppure metterei:

350 These results will be confirmed on the same rice cultivars from 2013 harvest. TOGLIEREI

351

## 352 **CONCLUSIONS**

353 This study monitors the variations of the volatile profile of six Italian rice cultivars stored under different conditions,  
354 through a robust, reliable, and fully-automated analytical method. The study showed that: i) the storage temperature  
355 (5°C vs. 25°C) does not significantly influence aroma preservation; ii) fingerprinting depends on the grain shape, and  
356 chemical composition and texture of the cultivars investigated; iii) the effects of ageing can be monitored by several  
357 components: 2-(*E*)-octenal was identified as an universal marker of ageing for all rice cultivars, while 2-pentyl furan, 1-



358 octen-3-ol and dec-(2*E*)-enal were cultivar-specific ageing markers. In addition, independently of inter-annual variability,  
359 specific indices, such as 2-acetyl pyrroline/1-octen-3-ol for Venere, and 3-methyl butanol/2-methyl butanol for Apollo,  
360 were found to be reliable indicators of the different stages of ageing; iv) heptanal/1-octen-3-ol and heptanal/octanal were  
361 identified as indices of aroma quality for the Italian cultivars investigated and, more in general, for rice aroma quality.

362

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368

369

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- 458

459 **Caption to Figures**

460

461 **Figure 1:** Scores (a) and loadings plots (b) resulting from the PCA on rice from two different year crops stored during 0  
462 (circle, orange), 6 (square, blue), and 12 months (diamond, fuchsia). (c) PLS-DA class prediction: class 0 no match,  
463 class 1 long grain A (brown), class 2 round grain (red), class 3 medium grain (green), class 4 long grain B (fuchsia).  
464 Acronym legend: VE5, VE25: samples at T0 of the first year at 5°C and 25°C respectively; 2VE5 and 2VE25: samples at  
465 T6 of the first year; 3VE5 and 3VE25: samples at T12 of the first year, 2\_2VE25: a Venere sample at T6-25°C of second  
466 year crop

467

468 **Figure 2:** Class volatile profiling in the different rice cultivars stored at 25°C, results are expressed as ISTD normalized  
469 abundance averaged on the two year of harvest

470

471 **Figure 3:**  $\beta$  values of MHE decaying of some key aroma compounds in four of the investigated Italian rice cultivars.

472

473 **Figure 4:** Average value and standard deviation of the two aroma quality indices

474

475 **Supplementary data**

476 **Figure 1 SD:** Comparison of HS-SPME sampling conditions on some selected compounds from rice volatile fraction.

477

478 **Figure 2 SD:** HS-SPME-GC-MS total area volatile profiling normalized to the ISTD (C17) in the two years of harvest for  
479 the investigated cultivars.

480

481

482

483 **Caption to Tables**

484

485 **Table 1** List of the rice samples and their commercial and physico-chemical characteristics together with their chemical  
486 indices of ageing, expressed as relative target ion area ratio obtained by HS-SPME–GC–MS, reported as average value;  
487 in parentheses standard deviation calculated on the two years of harvest at 25°C.

488

489 **Table 2** List of the 25 target peaks characteristic of the volatile fraction of Italian rice, identified through MS and (linear  
490 retention index ITS). Each compound is listed together with its Identity Spectrum Match factor, target ion (Ti) qualifiers  
491 (Q1 and Q2) ratios and ITS. Validation data on method precision (repeatability and intermediate precision) expressed as  
492 relative standard deviation% calculated over replicates collected over the whole validation period (Week 1–3) or in each  
493 validation week (Week 1, Week 2 and Week 3).

494

495 **Supplementary Table – Table 1 SD:** Experimental plan giving the number of replicates per sample and total number of  
496 analyses for the validation of the method, aEXTD solution isobutyl ketone (80 mg/L), carvone (4.75 mg/L), 3-hexanol  
497 (40 mg/L), linalool (4.35 mg/L), 1-butanol-3-methyl acetate (17 mg/L), eucalyptol (3.68 mg/L) in water ISTD solution C17  
498 63 mg/L in dibutylphthalate

Table 1

Cultivars	Type	Commercial name and Product group*	Characteristics <sup>§</sup>	Amylose g/100g	Indices	Ti response ratio	T0	T6	T12
ANTARES (AN)	non-aromatic	Ribe Fine	Long grain rice A	n.a.	<i>1-hexanol/heptanal</i>	56/70	8074 (±1435)	4588(±449)	4250(±183)
CERERE (CE)	non-aromatic	Original Common	Round grain rice	16.3	-	-	-	-	-
CARNAROLI (CA)	non-aromatic	Carnaroli Superfine	Long grain rice A	22.4	<i>2-ethyl hexanol/2-(E)-decenal</i>	57/70	50119(±12334)	71627(±11083)	124732(±64435)
CARNISE (CR)	non-aromatic	Carnaroli Superfine	Long grain rice A	22.9	<i>1-hexanol /2-(E)-octenal</i>	56/70	19258(±4920)	12695(±3074)	10432(±3019)
APOLLO (AP)	aromatic	Fragrance Superfine	Long grain rice B	24.2	<i>pentanal/3-methyl butanol 3-methyl butanol /2-methyl butanol</i>	44/70 70/57	3599(±1151) 1938(±461)	8527(±4025) 1197(±192)	19791(±7590) 731(±85)
VENERE (VE)	aromatic	Venere Semifine	Medium grain rice	16.3	<i>pentanal/2-(E)-heptenal 2-methyl propanol/pentanal 2-methyl propanol /1-hexanol 2-acetyl pyrroline/1-octen-3-ol 2-acetyl pyrroline /2-(E)-octenal 2-acetyl pyrroline /2-(E)-nonenal 2-acetyl pyrroline /2-(E)-decenal</i>	44/41 43/44 43/56 83/57 83/70 83/70 83/70	3341(±1026) 493(±27) 31(±11) 164(±49) 1521(±1107) 1635(±837) 13125(±8894)	6509(±2729) 218(±66) 18(±5) 73(±11) 264(±31) 413(±288) 2843(±648)	8666(±1747) 177(±57) 14(±3) 43(±5) 224(±84) 296(±9) 2134(±469)

\*G.U. n°121, 25.5.2012, § EEC Regulation No 1234/2007, 22.10.2007



Table2

Compounds	MS match factor	$I_{calc}$	$I_{ref}$	Ti	Q <sub>s</sub>	average abs area	w1-3	w1	w2	w3	OT in water (ppb)	odor description	concentration (ng/g)			
Ketones													CA	CE	AP	VE
2-Butanone	93	604	609	43	72-57	5064728	6.46	3.40	6.02	2.28	50000	fruity, ethereal <sup>1,2</sup>				
6-Methyl-5-hepten-2-one	87	982	986	43	41-69	31401	13.02	4.53	7.93	19.71	50.0	citrus-like, green <sup>1</sup>				
Oct-(3E)-en-2-one	86	1034	1038	55	43-111	11056	14.08	1.23	19.01	9.59	-	nutty, berry <sup>1</sup>				
Saturated aldehydes																
Pentanal	86	700	697	44	41-58	31219	19.94	1.47	11.70	7.64	12.0	nutty, malty <sup>1,2</sup>				
<i>Hexanal*</i>	96	761	770	56	41-44	642612	12.12	0.41	8.55	5.90	4.5	green, fatty <sup>1,2</sup>	68.10	32.90	64.20	53.50
<i>Heptanal*</i>	90	897	906	70	41-44	40521	10.63	4.21	7.04	1.14	3.0	fat, rancid <sup>2</sup>	2.20	1.70	1.66	1.70
<i>Octanal*</i>	94	998	998	56	57-84	26096	18.00	11.26	20.14	11.89	0.7	fatty, citrus-like <sup>2</sup>	2.80	0.60	0.63	0.30
<i>Nonanal</i>	82	1099	1099	57	41-70	297697	20.80	2.05	7.87	0.76	1.0	fat, citrus, green, waxy <sup>1,2</sup>	40.60	30.00	32.90	13.20
<i>Decanal*</i>	94	1200	1208	57	41-70	17031	16.00	2.61	16.47	13.11	0.1	soapy <sup>2</sup>	52.20	37.30	37.70	13.90
Unsaturated aldehydes																
2(E)-Heptenal	86	950	956	41	55-83	16861	17.04	5.48	6.77	2.27	13.0	green, soapy <sup>1,2</sup>				
Benzaldehyde	95	955	961	77	105-106	56739	5.12	5.48	11.66	7.03	350	bitter almond <sup>1</sup>				
2(E)-Octenal	84	1052	1059	70	41-55	26811	7.38	8.39	10.19	7.77	3.0	green, nutty, fatty <sup>2</sup>				
<i>2(E)-Nonenal*</i>	88	1154	1157	70	41-69	18080	13.99	11.37	7.91	3.14	0.1	fatty, tallow <sup>1,2</sup>	6.80	5.10	6.90	3.30
Dec-(2E)-enal	82	1257	1265	70	41-43	19191	2.37	5.50	10.20	3.44	0.3	fatty, earthy <sup>1</sup>				
Alcohols																
1-Propanol, 2-methyl-	86	625	631	43	41-42	1050556	12.87	1.18	1.40	6.07	7000	ethereal, winey <sup>1</sup>				
1-Butanol, 3-methyl-	91	717	714	70	42-55	11819187	10.11	2.36	12.63	4.41	250	fruity, malty <sup>1,2</sup>				
1-Butanol, 2-methyl	93	719	721	57	41-56	3596635	12.70	4.07	12.98	3.72	300	malty, wine <sup>2</sup>				
1-Hexanol	95	858	867	56	39-55	258701	14.17	2.62	12.01	13.71	2500	green, flower <sup>2</sup>				
<i>1-Octen-3-ol*</i>	86	975	978	57	43-72	113325	18.85	1.41	1.89	3.67	1.0	mushroom, earthy <sup>1,2</sup>	3.50	2.10	3.67	8.10

1-Hexanol, 2-ethyl-	92	1025	1030	57	41-70	91541	21.13	14.11	14.06	11.90	270000	floral, green <sup>1,2</sup>				
1-Octanol	94	1068	1076	70	56-69	28204	5.63	2.91	16.05	14.16	110	waxy, green <sup>1</sup>				
<b>Esters</b>																
Isopentyl acetate	95	860	869	70	43-55	227224	14.12	5.61	13.53	0.26	2.0	sweet, fruity <sup>1,2</sup>				
Ethyl hexanoate	89	997	1003	88	70-99	554470	7.72	6.76	10.98	6.94	1.0					
<b>Heterocycle compounds</b>																
2-acetyl-pyrroline	90	916	918	83	68-111	29840	16.23	12.91	10.05	9.97	0.1	popcorn-like <sup>1,2</sup>			8.78	3.50
2-pentyl- Furan*	85	986	991	81	82-138	207129	6.00	2.46	17.74	3.05	6.0	beany <sup>1,2</sup>	2.40	1.00	3.50	5.40

<sup>1</sup> [www.thegoodscentscompany.com](http://www.thegoodscentscompany.com), <sup>2</sup> <http://flavornet.org/>, \* Key-marker aroma compounds.



Figure 2

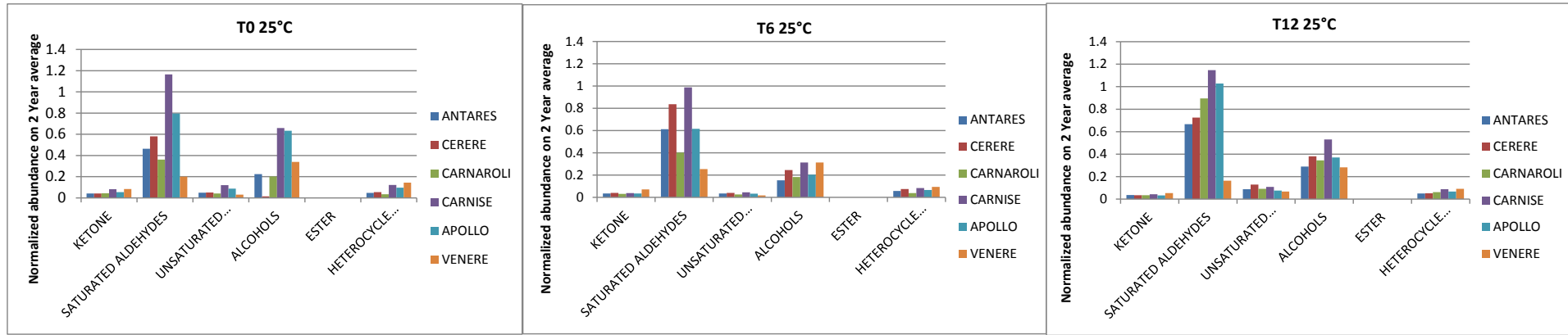


Figure 3

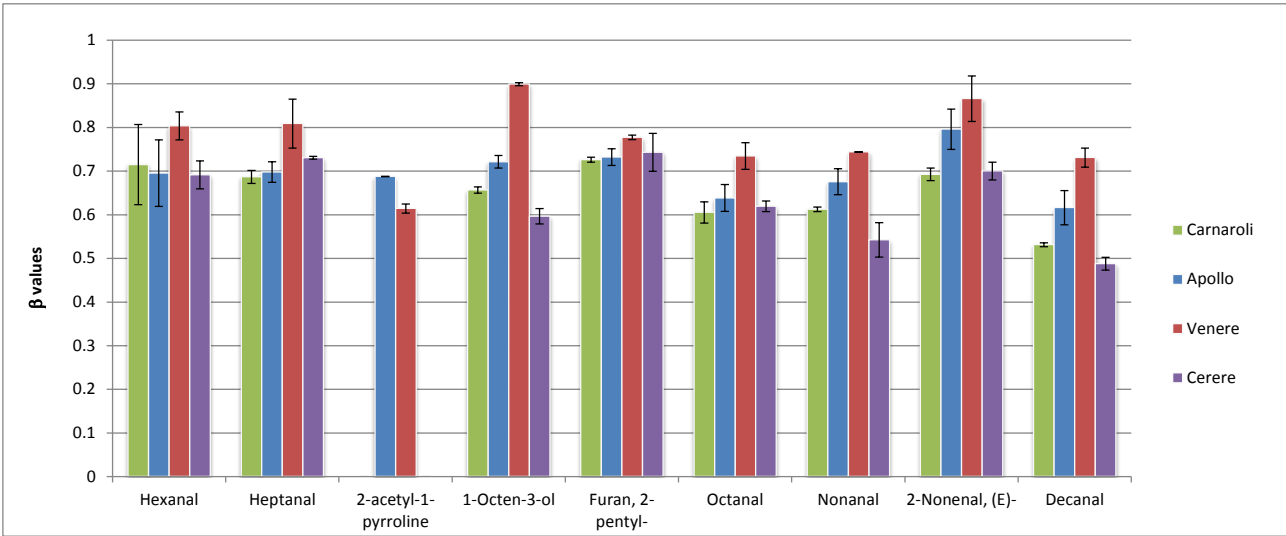


Figure4

