# Letter to the Editor

# Kepler-432 b: a massive warm Jupiter in a 52-day eccentric orbit transiting a giant star<sup>\*,\*\*,\*\*\*</sup>

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#### ABSTRACT

We study the *Kepler* object Kepler-432, an evolved star ascending the red giant branch. By deriving precise radial velocities from multi-epoch high-resolution spectra of Kepler-432 taken with the CAFE spectrograph at the 2.2 m telescope of Calar Alto Observatory and the FIES spectrograph at the Nordic Optical Telescope of Roque de Los Muchachos Observatory, we confirm the planetary nature of the object Kepler-432 b, which has a transit period of 52 days. We find a planetary mass of  $M_p = 5.84 \pm 0.05 M_{Jup}$  and a high eccentricity of  $e = 0.478 \pm 0.004$ . With a semi-major axis of  $a = 0.303 \pm 0.007$  AU, Kepler-432 b is the first bona fide warm Jupiter detected to transit a giant star. We also find a radial velocity linear trend of  $\dot{\gamma} = 0.44 \pm 0.04$  m s<sup>-1</sup> d<sup>-1</sup>, which suggests the presence of a third object in the system. Current models of planetary evolution in the post-main-sequence phase predict that Kepler-432 b will be most likely engulfed by its host star before the latter reaches the tip of the red giant branch.

**Key words.** planets and satellites: detection – planets and satellites: fundamental parameters – planets and satellites: gaseous planets – planets and satellites: general – stars: individual: Kepler-432 (KOI-1299, KIC 10864656)

#### 1. Introduction

The number of Jupiter-like planets found to orbit evolved stars has constantly been growing in recent years. This includes planets around subgiant (Johnson et al. 2010, 2011) and giant stars (Gettel et al. 2012; Sato et al. 2013; Trifonov et al. 2014). These discoveries have provided evidence that the gas-giant planet population around evolved stars possesses different orbital properties than the population orbiting main-sequence (MS) stars (e.g., Jones et al. 2014). The most notable trend is the apparent lack of close-in Jupiter-like planets orbiting giant or subgiant stars, although these objects are easily found around many MS stars by Doppler surveys (e.g., Jones et al. 2013). Specifically, there seems to be a lack of planets around giant stars with semi-major axis a < 0.5 AU. Exceptions to this apparent trend are the recently discovered planet HIP 67851 b (Jones et al. 2015) and Kepler-91 b, the only hot Jupiter known to transit a giant star (Lillo-Box et al. 2014).

There are two different mechanisms that have been proposed to explain the paucity of close-in Jupiter-like planets around giant stars. The first one states that, as a result of stellar evolution, the inner planets are tidally engulfed by their host stars as the outer planets move farther out (Kunitomo et al. 2011; Adamów et al. 2012; Schlaufman et al. 2013). The second one suggests that although giant planets may form around intermediate-mass stars, they do not migrate inwards, owing to the short dissipation time-scale of protoplanetary disks (Kretke et al. 2009; Currie 2009). By searching specifically for close-in Jupiter-like planets around giant stars, we can help to place constraints on the theoretical models that try to explain these observations and, possibly, also learn something about the evolution of planetary systems after the host star leaves the MS.

In this Letter, we confirm and characterize Kepler-432 b, a massive warm gas-giant planet orbiting a star ascending the red giant branch (RGB).

# 2. High-resolution spectroscopic follow-up

The radial velocity (RV) follow-up of Kepler-432 was carried out between June and October 2014 using the Calar Alto Fiber-fed Échelle spectrograph (CAFE; Aceituno et al. 2013) mounted at the 2.2 m telescope of Calar Alto Observatory (Almería, Spain) – and the FIbre-fed Échelle Spectrograph (FIES; Telting et al. 2014) - mounted at the 2.56 m Nordic Optical Telescope of Roque de los Muchachos Observatory (La Palma, Spain). We acquired 11 RVs with CAFE ( $R \sim$ 62000), and 16 with FIES ( $R \sim 67000$ ) at different epochs. To remove cosmic-ray hits, three consecutive exposures were usually taken per epoch observation. Following the observing strategy described in Aceituno et al. (2013) and Buchhave et al. (2010), we traced the RV drift of CAFE and FIES by acquiring long-exposed ( $T_{exp} = 60-80$  s) ThAr spectra immediately before and after each epoch observation. The data were reduced using IRAF and IDL standard routines, which include bias subtraction,

<sup>\*</sup> Based on observations collected at the German-Spanish Astronomical Center, Calar Alto, jointly operated by the Max-Planck-Institut für Astronomie (Heidelberg) and the Instituto de Astrofísica de Andalucía (IAA-CSIC, Granada).

<sup>\*\*</sup> Based on observations obtained with the Nordic Optical Telescope, operated on the island of La Palma jointly by Denmark, Finland, Iceland, Norway, and Sweden, in the Spanish Observatorio del Roque de los Muchachos of the Instituto de Astrofísica de Canarias.

<sup>\*\*\*</sup> Table 3 is available in electronic form at http://www.aanda.org

flat fielding, order tracing and extraction, and wavelength calibration. Radial velocities were derived via multi-order crosscorrelation with the RV standard stars HD 182572 (CAFE) and HR 5777 (FIES).

The CAFE and FIES RVs are listed in Table 3 – along with their uncertainties, total exposure times, signal-to-noise (S/N) ratios per pixel at 5500 Å, and cross-correlation function (CCF) bisector spans - and are plotted in Fig. 1 together with the Keplerian fit to the data (upper panel) and residuals to the fit (middle panel). The lower panel of Fig. 1 shows the FIES CCF bisector spans plotted against the RV measurements, assuming that the error bars of the former are twice those of the latter. We followed the method described in Loyd & France (2014) to account for the uncertainties of our measurements and found a ~50% probability that an uncorrelated set of points (null hypothesis) can reproduce the data. The lack of a significant correlation between the CCF bisector spans and the RVs indicates that the Doppler shifts observed in Kepler-432 are most likely induced by the orbital motion of a planet and not by a blended eclipsing binary or stellar activity (see, e.g., Queloz et al. 2001). Moreover, the analysis of the centroid motion during the transit reported in the Kepler data validation report excludes, at the  $3\sigma$  level, any scenario in which the transit signal is caused by a contaminating eclipsing binary at a distance of more than 0.4" from the source.

## 3. Results

#### 3.1. Stellar properties

We determined the spectral parameters of Kepler-432 by fitting the co-added FIES spectrum ( $S/N \sim 145$  per pixel at 5500 Å) to a grid of synthetic spectra calculated with the SPECTRUM code (Gray & Corbally 1994) using ATLAS9 models (Castelli & Kurucz 2004). Microturbulent ( $v_{micro}$ ) and macroturbulent ( $v_{macro}$ ) velocities were derived following Hekker & Meléndez (2007). Stellar mass and radius were determined via the asteroseismic scaling relations given by White et al. (2011), using our estimate for the effective temperature along with the large frequency separation and the frequency at which oscillations have the maximum power, as derived by Huber et al. (2013). We estimated the stellar age using theoretical isochrones from Bressan et al. (2012). Distance and interstellar extinction were calculated following the method described in Gandolfi et al. (2008). The derived stellar parameters are listed in Table 1.

#### 3.2. Orbit and planet parameters

We fitted a Keplerian orbit to the RV data using the IDL code RVLIN (Wright & Howard 2009). Uncertainties of the derived parameters were estimated using the bootstrap procedure described in Wang et al. (2012). Orbital period  $P_{\rm orb}$  and mid-transit epoch  $T_0$  were fixed to the values reported in the Kepler objects of interest (KOI) database.

We fitted for the eccentricity *e*, argument of periastron  $\omega$ , radial velocity semi-amplitude *K*, periastron time  $T_p$ , systemic RV  $\gamma_{sys}$ , fixed zero point RV offset between CAFE and FIES data-sets, and RV linear trend  $\dot{\gamma}$ . Fixing  $\dot{\gamma} = 0$  leads to a poor fit to the data ( $\chi^2_{red} = 3.5$ ), with a systematic offset from the RV measurements. The FIES RV residuals – that is, those with the smaller error bars – show a significant correlation with time if no trend is considered, the correlation coefficient being 0.86 with a false-alarm probability lower than 0.9%. Therefore, we consider the trend in the RVs to be real and obtain a value of  $\dot{\gamma} = 0.44 \pm 0.04 \text{ m s}^{-1} \text{ d}^{-1}$ . This is significant at the 11 $\sigma$  level and most likely due to an additional companion in the system,



**Fig. 1.** Radial velocity measurements of Kepler-432. Upper panel: CAFE (blue circles) and FIES (red squares) RVs, and Keplerian fit to the data (black solid line) – including the linear RV trend. Middle panel: RV residuals. The rms is ~17 m/s and ~5 m/s for the CAFE and FIES data, respectively. The observed rms of the FIES RVs is consistent with the expected value of ~6 m/s for a star with log g = 3.35 dex (Hekker et al. 2008; Nowak 2013). Quadratically adding a jitter of 6 m/s to our formal RV measurement errors does not change the derived orbital parameters significantly (<1 $\sigma$ ). Additionally, a fit to the FIES data alone yields consistent results within 1 $\sigma$ . Lower panel: bisector velocity spans (BVS) of the FIES CCF versus RVs, assuming that the error bars of the former are twice those of the latter.

Table 1. Stellar parameters of Kepler-432.

Parameter	Value
Effective temperature $T_{\rm eff}$ (K)	$5020 \pm 60$
Spectroscopic surface gravity $\log g (\log_{10} \text{ g cm}^{-2})$	$3.35\pm0.07$
Metallicity [M/H] (dex)	$-0.02\pm0.06$
Microturbulent velocity $v_{\rm micro}$ (km s <sup>-1</sup> )	$1.3 \pm 0.3$
Macroturbulent velocity $v_{\text{macro}}$ (km s <sup>-1</sup> )	$3.5 \pm 0.5$
Projected rotational velocity $v \sin i$ (km s <sup>-1</sup> )	≤1
Stellar mass $M_{\star}$ ( $M_{\odot}$ )	$1.35 \pm 0.10$
Stellar radius $R_{\star}$ ( $R_{\odot}$ )	$4.15\pm0.12$
Age (Gyr)	$3.6^{+1.0}_{-0.6}$
Distance (pc)	$874 \pm 30$
Interstellar extinction $A_V$ (mag)	$0.26 \pm 0.04$
Spectral type	K2 III

whose nature remains to be established. We report the best-fit orbital parameters in Table 2.

## 4. Discussion

# 4.1. Internal structure and equilibrium temperature

Kepler-432 b is the first bona fide confirmed transiting warm Jupiter found to orbit a red giant star. About 70% of the known transiting giant planets have densities in the range 0.35–1.20 g cm<sup>-3</sup> and masses between 0.3 and 3  $M_{Jup}$ , with a peak around 1  $M_{Jup}$  (Fig. 2). With  $M_p = 5.84 \pm 0.05 M_{Jup}$  and  $\rho_p = 5.4 \pm 0.5$  g cm<sup>-3</sup>, Kepler-432 b is one of the most dense and massive gas-giant planets known so far. The mass of Kepler-432 b agrees with the general trend found by Doppler surveys, that is, planets around giant stars tend to be more massive (3–10  $M_{Jup}$ ) than planets orbiting solar-like stars (see e.g., Döllinger et al. 2009; Reffert et al. 2015). In fact, around 96% of the known planets orbiting solar-type MS stars have masses lower than 5  $M_{Jup}$ .

Table 2. Orbital	parameters of	f Kepler-432 b.
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Parameter	Value
RV semi-amplitude $K$ (m/s)	$294.6 \pm 2.1$
Eccentricity e	$0.478 \pm 0.004$
Argument of periastron $\omega$ (deg)	$68.4 \pm 0.7$
Periastron time $T_p$ (BJD-2450000)	$6841.06 \pm 0.03$
Systemic velocity $\gamma_{\rm sys}$ (km s <sup>-1</sup> )	$-33 \pm 0.3$
CAFE-FIES offset velocity (m/s)	$634 \pm 5$
RV linear trend $\dot{\gamma}$ (m/s/d)	$0.44 \pm 0.04$
Planet mass $M_{\rm p}$ ( $M_{\rm Jup}$ )	$5.84 \pm 0.05$
Semi-major axis $a$ (AU)	$0.303 \pm 0.007$

**Notes.** Period and mid-transit epoch fixed to  $P_{orb} = 52.5010768$  days and  $T_0 = 5004.519$  (BJD-2 450 000). To derive the true planet mass, we use an orbital inclination value of  $i = 89.95^{\circ}$  from the KOI database.

We investigated the internal structure of Kepler-432 b using the models from Fortney et al. (2007), which couple planetary evolution to stellar irradiation for H-He-rich planets (dashed blue lines in Fig. 2). The planet radius of  $R_p = 1.102 \pm 0.032 R_{Jup}$ is consistent within  $2\sigma$  with theoretical values for giant planets with core masses of  $\leq 100 M_{\oplus}$ . This implies that the planet core accounts most likely for 6% or less of the total mass, that is, similar to the fractional core mass of Jupiter (Saumon & Guillot 2004). We note that the solar-like metallicity of the host star [Fe/H] =  $-0.02 \pm 0.06$  dex supports the low-fractional core mass scenario for Kepler-432 b.

As a consequence of the high eccentricity of the orbit ( $e = 0.478 \pm 0.004$ ), the planet is at nearly ~0.16 AU (~8  $R_{\star}$ ) from its host star during periastron, receiving a flux of  $F_{per} = (6.1 \pm 0.6) \times 10^8$  erg s<sup>-1</sup> cm<sup>-2</sup>. At apastron, Kepler-432 b travels as far out as ~0.45 AU (~23  $R_{\star}$ ), receiving an incoming radiation of  $F_{apo} = (7.6 \pm 0.8) \times 10^7$  erg s<sup>-1</sup> cm<sup>-2</sup>. The time-averaged incident flux on Kepler-432 b is  $\langle F \rangle = (1.66 \pm 0.17) \times 10^8$  erg s<sup>-1</sup> cm<sup>-2</sup>.

Assuming a black-body stellar emission and planetary heat redistribution factor f between 0.25 (instantaneous energy redistribution into the planet atmosphere) and 0.67 (instantaneous energy reradiation to space; see López-Morales & Seager 2007), we derive an average equilibrium temperature of  $T_{eq} = 943 \pm 20$  K for a bond albedo of 0.27 (based on Kane & Gelino 2010). Following the planet classification in Sudarsky et al. (2000), Kepler-432 b would be a member of the class IV planets with temperatures in the range 900 <  $T_{eq} < 1500$  K, for which a tropospheric silicate layer is expected to exist. However, we note that eccentric orbits can lead to significant changes in the atmospheric compositions, owing to the large variation of the incident stellar flux (Sudarsky et al. 2005). For Kepler-432 b, we expect temperature differences of ~500 K between periastron and apastron.

# 4.2. Kepler-432 b: a close-in eccentric planet

Among planets orbiting giant stars, Kepler-432 b is very peculiar both in terms of eccentricity and orbital period, as it occupies scarcely populated regions of the  $P_{orb}$  versus  $M_*$  and *a* versus *e* diagrams (Fig. 3). While planets with orbital periods between 1 and  $10^4$  days are common around MS stars, there is a clear lack of short-period planets around giant stars, and Kepler-432 b is one of the few inhabitants of the region with  $P_{orb} < 100$  days.

The value of  $e = 0.478 \pm 0.004$  for the eccentricity is among the highest for planets orbiting giant stars. Most planets around giant stars tend to have low eccentricity (63% have e < 0.2), with a median of e = 0.15, whereas planets around MS stars tend to be more eccentric. If we consider objects with  $a \ge 0.5$  AU – where



**Fig. 2.** Radius and masses of the known transiting exoplanets (black empty circles). The position of Kepler-432 b is marked by the red triangle. The Fortney et al. (2007) isochrones for planet core masses of 0, 10, 25, 50, and 100  $M_{\oplus}$  – interpolated to the insolation and age of Kepler-432 b – are overplotted with dashed lines *from top to bottom*. The *upper left inset* is a zoom around Kepler-432 b. Also shown are models for planets of different compositions derived by Seager et al. (2007). Solar system planets are marked with magenta letters. We note that Kepler-432 b falls in a region with a lack of planets between ~4.5 and ~7  $M_{Jup}$ .



**Fig. 3.** Left panel: eccentricity and semi-major axis of the extrasolar planets discovered around MS stars (black dots) and giant stars (magenta circles). The dashed line shows the value of a = 0.5 AU. Right panel: orbital period versus stellar mass. The dashed lines represent the region of  $10 \le P_{\text{orb}} \le 100$  days. The position of Kepler-432 b is marked with a green triangle in both panels.

most of the planets around giant stars are found – only 39% of planets orbiting solar-type stars exhibit e < 0.2, and a K-S test gives a probability of 0.1% that the eccentricity of planets around giant and MS stars is drawn from the same distribution.

Because Kepler-432 b is dynamically young (circularization time scale  $\tau_{circ} \sim 150$  Gyr; see Jackson et al. 2008), the nonzero eccentricity of the planet might be a tracer of its migration history. In this context, it is expected that some kind of high-eccentricity migration (HEM) mechanism might have operated to excite the eccentricity of Kepler-432 b to its current value (see Socrates et al. 2012, and references therein). Within this scenario, it has been proposed that warm Jupiters can form via tidal dissipation at the high-*e* stage during Kozai-Lidov oscillations (Wu & Lithwick 2011; Dong et al. 2014). Moreover,

Dong et al. (2014) suggested that these planets need close companions for HEM to occur. They calculated upper limits for the perturber separation  $b_{per}$  to allow an efficient tidal dissipation of the orbit. Assuming a perturber mass of between 1 and 10  $M_{Jup}$ , we find an upper limit of  $b_{per} = 6-13$  AU for an additional object in the Kepler-432 system.

Following Montet et al. (2014), for example, the detected RV acceleration  $\dot{\gamma} = 0.44$  m/s/d requires a perturbing object in a circular orbit at  $a \sim 1$  and  $a \sim 3$  AU for masses of 1 and 10  $M_{Jup}$ , respectively, consistent with the HEM scenario. We consider it unlikely that spot-induced variability might be the source of the RV drift because no emission in the core of the Ca H and K lines is detected in the FIES co-added spectrum. Furthermore, McQuillan et al. (2013) found no significant modulation in the Kepler light curve of Kepler-432, which suggests that this is a magnetically quiet star. A second companion in the system might thus account for the observed RV drift. Additional observations are needed to better assess the nature of this trend.

#### 4.3. Post-main-sequence evolution

After Kepler-91 b and HIP 67851 b, Kepler-432 b is the third planet found to orbit a giant star at a distance closer than a =0.5 AU (Fig. 3), and the second found to transit a star ascending the RGB. Although the current sample is not statistically significant, the discovery of Kepler-432 b confirms that closein planets around intermediate-mass giant stars do exist. Given the short dissipation time-scale of protoplanetary disks, gravitational interaction seems to be the favorite migration channel for close-in planets of intermediate-mass stars. Their paucity might be ascribed to enhanced tidal dissipation and subsequent stellar engulfment during the RGB phase.

Villaver & Livio (2009) and Kunitomo et al. (2011) have computed the planetary orbit evolution during the post MS phase for stars more massive than the Sun. They concluded that shortperiod planets are swallowed by their host stars as a result of the increased star-planet tidal interaction during the RGB phase. Both studies predict a critical semi-major axis below which planets are engulfed by their host star. The expected values for a star as massive as Kepler-432 are much higher than the current semimajor axis of Kepler-432 b. This implies that the planet will not survive the RGB phase and will be swallowed by its host star before it reaches the tip of the RGB.

# 5. Conclusions

We spectroscopically confirmed the planetary nature of the transiting candidate Kepler-432 b, derived a planetary mass of  $M_{\rm p} = 5.84 \pm 0.05 \ M_{\rm Jup}$ , and found that the orbit is eccentric  $(e = 0.478 \pm 0.004)$ . Kepler-432 b is the first bona fide warm Jupiter planet found to orbit a giant star, and, after Kepler-91 b, is the second found to transit a giant star. The semi-major axis  $a = 0.303 \pm 0.007$  AU and eccentricity of the planet suggest that some kind of migration mechanism must have operated (or is operating now) to bring the planet to its current position. In this context, we discussed the high-eccentricity migration scenario as a plausible mechanism for the formation of this system. This possibility, although not directly verifiable with the currently available data, would account for the high eccentricity and small semi-major axis of the planet, provided that a second massive planet is also orbiting the system. The latter scenario is corroborated by the detection of a significant radial velocity

trend in our data. Additional spectroscopic follow-up observations are needed to better characterize the system.

Although our discovery confirms that close-in ( $a \leq 0.5$  AU) giant planets can exist around giant stars, more detections are needed to properly characterize the population of these objects around post-MS stars. According to current post-MS evolutionary models, Kepler-432 b will not survive the RGB phase and will be engulfed by its host star.

Note added in proof. This Letter was submitted in parallel with that of Ciceri et al. (2015), who independently also confirmed the planetary nature of Kepler-432 b. Their results agree with ours within the observational errors.

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**Table 3.** CAFE and FIES radial velocity measurements of Kepler-432.

BJD (- 2450,000)	RV km s <sup>-1</sup>	$\sigma_{\rm RV}$ km s <sup>-1</sup>	Exp. Time	<i>S /N</i> /pixel @5500 Å	BVS km s <sup>-1</sup>
( 2150000)	KIII 5	KIII 5	5	e 5500 M	KIII 5
CAFE					
6826.63307	-36.018	0.014	5400	25	-
6827.64045	-36.017	0.029	5400	16	_
6828.62402	-35.976	0.019	5400	22	-
6835.64888	-35.799	0.016	5400	26	-
6836.63077	-35.808	0.017	5400	22	-
6837.63356	-35.820	0.025	5400	16	-
6838.63076	-35.783	0.024	5400	20	-
6839.63282	-35.830	0.017	5400	24	-
6858.56617	-36.314	0.029	5400	18	-
6870.39070	-36.125	0.019	5400	19	-
6906.41528	-36.316	0.023	4500	21	-
FIES					
6841.71887	-35.409	0.008	1800	31	-0.001
6842.71994	-35.512	0.009	1800	30	0.004
6843.71619	-35.610	0.007	1800	33	-0.002
6844.72316	-35.653	0.009	1680	29	-0.001
6854.70204	-35.704	0.006	2400	41	-0.003
6855.69674	-35.693	0.008	2700	44	-0.007
6856.68809	-35.680	0.005	2700	41	-0.002
6860.40078	-35.632	0.008	1800	34	-0.005
6875.41274	-35.418	0.007	2400	36	0.006
6880.52282	-35.327	0.006	2400	44	0.002
6892.55722	-35.223	0.007	2400	35	-0.004
6901.41459	-35.716	0.007	2400	36	-0.006
6902.46355	-35.719	0.007	2400	31	-0.004
6903.52509	-35.704	0.006	2400	38	-0.001
6916.36055	-35.565	0.013	1800	24	0.012
6936.46518	-35.234	0.006	2400	44	-0.001

Notes. The total exposure time, S/N per pixel at 5500 Å, and CCF bisector spans are listed in the last three columns.