Measurement of the branching fractions of the radiative leptonic $\tau$ decays 
$\tau \rightarrow e\nu\bar{\nu}$ and $\tau \rightarrow \mu\nu\bar{\nu}$ at BABAR


(BABAR Collaboration)

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Leptonic $\tau$ decays are generally well suited to investigate the Lorentz structure of electroweak interactions in a model-independent way [1]. In particular, leptonic radiative decays $\tau \rightarrow l\nu\bar{\nu}$, where the charged lepton ($l$) is either an electron ($e$) or a muon ($\mu$), have been studied for a long time because they are sensitive to the anomalous magnetic moment of the $\tau$ lepton [2]. At tree level, these decays can proceed through three Feynman diagrams depending on whether the photon is emitted by the incoming $\tau$, the outgoing charged lepton, or the intermediate $W$ boson, as shown in Fig. 1. The amplitude for the emission of the photon by the intermediate boson is suppressed by a factor $(m_\tau/M_W)^2$ with respect to a photon from the incoming/outgoing fermions and is thus negligible with respect to next-to-leading-order QED radiative corrections [3]. Both branching fractions have been measured by the CLEO collaboration. CLEO obtained $B(\tau \rightarrow \mu \nu \bar{\nu}) = (3.61 \pm 0.16 \pm 0.35) \times 10^{-3}$ and $B(\tau \rightarrow e \nu \bar{\nu}) = (1.75 \pm 0.06 \pm 0.17) \times 10^{-2}$ for a minimum photon energy of 10 MeV in the $\tau$ rest frame [4]. In addition, the OPAL collaboration finds $B(\tau \rightarrow \mu \nu \bar{\nu}) = (3.0 \pm 0.4 \pm 0.5) \times 10^{-3}$ for a minimum photon energy of 20 MeV in the $\tau$ rest frame [5].

In the present work, we perform a measurement of $\tau \rightarrow l\nu\bar{\nu}$ branching fractions for a minimum photon energy of 10 MeV in the $\tau$ rest frame. This analysis uses data recorded by the BABAR detector at the PEP-II asymmetric-energy $e^+e^-$ storage rings operated at the SLAC National Accelerator Laboratory. The data sample consists of 431 fb$^{-1}$ of $e^+e^-$ collisions recorded at the center-of-mass energy ($CM$) $\sqrt{s} = 10.58$ GeV [6]. The cross section for $\tau$-pair production is $\sigma_{\tau\tau} = 0.919 \pm 0.003$ nb [7] corresponding to a data sample of about $400 \times 10^8$ $\tau$-pairs. A detailed description of the BABAR detector is given elsewhere [8,9]. Charged particle momenta are measured with a five-layer double-sided silicon vertex tracker and a 40-layer helium-isobutane drift chamber inside a 1.5 T superconducting solenoid magnet. An electromagnetic calorimeter (EMC) consisting of 6580 CsI(Tl) crystals is used to measure electron and photon energies; a ring-imaging Cherenkov detector is used to identify charged hadrons; the instrumented magnetic flux return (IFR) is used for...
muon identification. About half of the data were taken with the IFR embedded with resistive plate chambers, later partially replaced by limited streamer tubes.

For this analysis, a Monte Carlo (MC) simulation is used to estimate the signal efficiency and to optimize the selection algorithm. Simulated $\tau$-pair events are generated using KK2r [10], and $\tau$ decays are simulated with TAUOLA [11]. Final-state radiative effects for $\tau$ decays in TAUOLA are simulated using PHOTOS [12]. A signal $\tau$-pair MC sample is generated where one of the $\tau$ leptons decays to $\tau \rightarrow l\nu\bar{v}$, and the other decays according to known decay modes [13]. For the signal sample, we require the minimum photon energy in the $\tau$ rest frame to be $E_{\gamma,\text{min}} > 10$ MeV. The $\tau \rightarrow l\nu\bar{v}$ decays with $E_{\gamma,\text{min}} < 10$ MeV are treated as background. A separate $\tau$-pair MC sample is generated requiring each $\tau$ lepton to decay in a mode based on current experimental knowledge; we exclude signal events in the former sample to obtain a $\tau$-pair background sample. Other MC simulated background samples include $\mu^{+}\mu^{-}$, $q\bar{q}$ ($u\bar{u}$, $d\bar{d}$, $s\bar{s}$, $c\bar{c}$), and $B\bar{B}$ ($B = B^+, B^0$) events. The $\mu^{+}\mu^{-}$ events are generated by KK2r, $q\bar{q}$ events are generated using the JETSET generator [14], while $B\bar{B}$ events are simulated with EVTGEN [15]. The detector response is simulated with GEANT4 [16]. Background from two-photon and Bhabha events is estimated from data.

The signature for $\tau \rightarrow l\nu\bar{v}$ decays is a charged particle (track), identified either as an electron or a muon, and an energy deposit (cluster) in the EMC not associated with any track, the photon. Since $\tau$ leptons decay mostly to a single charged particle, events with two well-reconstructed tracks and zero total charge are selected, where no track pair is consistent with being a photon conversion in the detector material. The transverse momentum of each track is required to be $p_T > 0.3$ GeV/c, and the cosine of the polar angle is required to be between $-0.75$ and $0.95$ within the calorimeter acceptance range to ensure good particle identification. The total missing transverse moment of the event is required to be $p_{T,\text{miss}} > 0.5$ GeV/c. All clusters in the EMC with no associated tracks (neutral clusters) are required to have a minimum energy of 50 MeV. We also reject events with neutral clusters having $E < 110$ MeV if they are within 25 cm of a track, where the distance is measured on the inner wall of the EMC.

Each event is divided into hemispheres (signal and tag hemispheres) in the CM frame by a plane perpendicular to the thrust axis, calculated using all reconstructed charged and neutral particles [17]. For every event, the magnitude of the thrust is required to be between 0.9 and 0.995. The lower limit on the thrust magnitude rejects most $q\bar{q}$ events, while the upper limit removes $e^+e^- \rightarrow \mu^+\mu^-$ and Bhabha events. The signal hemisphere must contain one track and one neutral cluster. The tag hemisphere must contain one track, identified either as an electron, muon, or pion, and possibly one additional neutral cluster or $n\pi^0$ ($n = 1, 2$). Each $\pi^0$ candidate is built up from a pair of neutral clusters with a diphoton invariant mass in the range [100, 160] MeV. To further suppress dimuon and Bhabha events, we reject events where the leptons in the signal and tag hemispheres have the same flavor. Since there are at least three undetected neutrinos in the final state, we require the total energy to be less than 9 GeV. In the signal hemisphere, we require that the distance ($d_y$) between the track and the neutral cluster, measured on the inner wall of the EMC, be less than 100 cm.

Electrons are identified by applying an Error Correcting Output Code [18] algorithm based on bagged decision tree (BDT) [19] classifiers using as input the ratio of the energy in the EMC to the magnitude of the momentum of the track ($E/p$), the ionization loss in the tracking system ($dE/dx$), and the shape of the shower in the electromagnetic calorimeter.

Muon identification makes use of a BDT algorithm, using as input the number of hits in the IFR, the number of interaction lengths traversed, and the energy deposition in the calorimeter. Since muons with momenta less than 500 MeV/c do not penetrate into the IFR, the BDT also uses as input the energy loss $dE/dx$ in the tracking system to maintain a very low $\mu$ misidentification probability and a high selection efficiency. The electron and muon identification efficiencies are 91% and 62%, respectively. The probability for a $\pi$ to be misidentified as an $e$ is below 0.1%, while the probability to be misidentified as a $\mu$ is around 1% depending on momentum.

After the preselection, both samples are dominated by background events. For the $\tau \rightarrow \mu\nu\bar{v}$ sample, the main background sources are initial-state radiation, $\tau \rightarrow \pi\pi^0\nu$...
decays, $e^+e^- \rightarrow \mu^+\mu^-$ events, and $\tau \rightarrow \pi\nu$ decays. For the $\tau \rightarrow e\nu\bar{\nu}$ sample, almost all background contribution is from $\tau \rightarrow e\nu\bar{\nu}$ decays in which the electron radiates a photon in the magnetic field of the detector (bremsstrahlung). Further background suppression is obtained by placing requirements on the angle between the lepton and photon in the CM frame ($\cos \theta_{\gamma\nu}$). For $\tau \rightarrow \mu\nu\bar{\nu}$ we require $\cos \theta_{\gamma\nu} > 0.99$, while for $\tau \rightarrow e\nu\bar{\nu}$ we require $\cos \theta_{\gamma\nu} > 0.97$ (see Figs. 2 and 3). To reject background from $\tau \rightarrow e\nu\bar{\nu}$ decays in the $\tau \rightarrow e\nu\bar{\nu}$ sample, we further impose a minimum value for the invariant mass of the lepton-photon pair $M_{l\gamma} \geq 0.14$ GeV/c$^2$ for this channel. In addition to the aforementioned quantities, the selection criteria use the energy of the photon and $d_{l\gamma}$. The selection criteria are optimized in order to give the smallest statistical and systematic uncertainty on the branching fractions.

After optimization, for $\tau \rightarrow \mu\nu\bar{\nu}$, we require $\cos \theta_{\gamma\nu} \geq 0.99$, $0.10 \leq E_{\gamma} \leq 2.5$ GeV, $6 \leq d_{l\gamma} \leq 30$ cm, and $M_{l\gamma} \leq 0.25$ GeV/c$^2$. The requirement on $M_{l\gamma}$ rejects backgrounds from nonsignal $\tau$ decays. For the $\tau \rightarrow e\gamma\bar{\nu}$ channel, we require $\cos \theta_{\gamma\nu} \geq 0.97$, $0.22 \leq E_{\gamma} \leq 2.0$ GeV, $8 \leq d_{l\gamma} \leq 65$ cm in addition to $M_{l\gamma} \geq 0.14$ GeV/c$^2$.

The signal efficiencies, the fraction of background events, and the number of events selected in the data are given in Table I.

The branching fraction is determined using

$$B = \frac{N_{\text{obs}}(1 - f_{\text{bkg}})}{2\sigma_{\tau\tau}L\epsilon},$$

where $N_{\text{obs}}$ is the number of observed events, $\sigma_{\tau\tau}$ is the cross section for $\tau$ pair production, $L$ is the total integrated luminosity, and the signal efficiency $\epsilon$ is determined from the MC sample.

After applying all selection criteria, we find

$$B(\tau \rightarrow \mu\nu\bar{\nu}) = (3.69 \pm 0.03 \pm 0.10) \times 10^{-3},$$
$$B(\tau \rightarrow e\gamma\bar{\nu}) = (1.847 \pm 0.015 \pm 0.052) \times 10^{-2},$$

where the first error is statistical and the second is systematic.

The systematic uncertainties on signal efficiency and on the number of the expected background events affect the final result and are summarized in Table II. The most

FIG. 2 (color online). Selection of the $\tau \rightarrow \mu\nu\bar{\nu}$: (a) distance between lepton and photon candidates on the inner EMC wall, (b) cosine of the angle between momenta of the lepton and photon candidates in the CM frame, (c) invariant mass of the lepton photon pair, and (d) photon candidate energy in the CM frame for radiative $\tau$ decay into a muon after applying all selection criteria except the one on the plotted quantities. The selection criteria on the plotted quantities are highlighted by the vertical lines; we retain the regions indicated by the horizontal arrows.
important contributions to the total uncertainty are from the uncertainties on particle identification and photon detection efficiency.

To estimate the uncertainty on photon detection efficiency, we rely on $e^+e^- \rightarrow \mu^+\mu^-\gamma$ events for the high energy region ($E_\gamma > 1$ GeV) and photons from $\pi^0$ decays for the low energy region ($E_\gamma < 1$ GeV). Using fully reconstructed $e^+e^- \rightarrow \mu^+\mu^-\gamma$ events, we find that the photon detection efficiency for data and MC samples are consistent within 1% for $E_\gamma > 1$ GeV. For photon energies $E_\gamma < 1$ GeV, we measure the ratio of the branching fractions for $\tau \rightarrow \pi\nu$ and $\tau \rightarrow \rho\nu$ decays. The resulting uncertainty on the $\pi^0$ reconstruction efficiency is found to be below 3%. Taking into account the 1.1% uncertainty on the branching fractions, the resulting energy-averaged

<table>
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<th>$\tau \rightarrow e\nu\bar{\nu}$</th>
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<tr>
<td>Track reconstruction</td>
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<tr>
<td>Total</td>
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**TABLE I.** Signal efficiencies $\epsilon$ (%); expected fractional background contribution $f_{\text{bkg}} = N_{\text{bkg}}/(N_{\text{sig}} + N_{\text{bkg}})$, where $N_{\text{sig}}$ is the number of signal events and $N_{\text{bkg}}$ is the number of background events; and number of observed events ($N_{\text{obs}}$) for the two decay modes after applying all selection criteria. All quoted uncertainties are statistical.

<table>
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<tr>
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<th>$\tau \rightarrow \mu\nu\bar{\nu}$</th>
<th>$\tau \rightarrow e\nu\bar{\nu}$</th>
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<tr>
<td>$\epsilon$</td>
<td>$0.480 \pm 0.010$</td>
<td>$0.105 \pm 0.003$</td>
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<tr>
<td>$f_{\text{bkg}}$</td>
<td>$0.102 \pm 0.002$</td>
<td>$0.156 \pm 0.003$</td>
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<tr>
<td>$N_{\text{obs}}$</td>
<td>$15688 \pm 125$</td>
<td>$18149 \pm 135$</td>
</tr>
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**TABLE II.** Summary of systematic contributions (%) to the branching fraction from the different uncertainty sources for the two signal channels. The total systematic uncertainties are obtained summing in quadrature the various systematic uncertainties for each decay channel.

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FIG. 3 (color online). Selection of the $\tau \rightarrow e\nu\bar{\nu}$ sample: (a) distance between lepton and photon candidates on the inner EMC wall, (b) cosine of the angle between momenta of the lepton and photon candidates in the CM frame, (c) invariant mass of the lepton photon pair, and (d) photon candidate energy in the CM frame for radiative $\tau$ decay into an electron after applying all selection criteria except the one on the plotted quantities. The selection criteria on the plotted quantities are highlighted by the vertical lines; we retain the regions indicated by the horizontal arrows.
where the major background contribution is not peaking in the two signal channels. In both cases we find the yields to be in agreement within statistical uncertainties, and we conclude that this contribution is negligible.

All other sources of uncertainty, including current knowledge of the τ branching fractions [13], total number of τ pairs, limited MC statistics, dependence on selection criteria, and track momentum resolution are found to be smaller than 1.0%.

In conclusion, we have made a measurement of the branching fractions of the radiative leptonic τ decays \( \tau \rightarrow e\gamma\nu\bar{\nu} \) and \( \tau \rightarrow \mu\gamma\nu\bar{\nu} \), for a minimum photon energy of 10 MeV in the τ rest frame, using the full data set of \( e^+e^- \) collisions collected by BABAR at the center-of-mass energy of the \( \Upsilon(4S) \) resonance. We find \( B(\tau \rightarrow e\gamma\nu\bar{\nu}) = (3.69 \pm 0.03 \pm 0.10) \times 10^{-3} \) and \( B(\tau \rightarrow \mu\gamma\nu\bar{\nu}) = (1.847 \pm 0.015 \pm 0.052) \times 10^{-2} \), where the first error is statistical and the second is systematic. These results are more precise by a factor of 3 compared to previous experimental measurements. Our results are in agreement with the Standard Model values at tree level, \( B(\tau \rightarrow e\gamma\nu\bar{\nu}) = 3.67 \times 10^{-3} \) and \( B(\tau \rightarrow \mu\gamma\nu\bar{\nu}) = 1.84 \times 10^{-2} \) [3], and with current experimental bounds.

We are grateful for the extraordinary contributions of our PEP-II colleagues in achieving the excellent luminosity and machine conditions that have made this work possible. The success of this project also relies critically on the expertise and dedication of the computing organizations that support BABAR. The collaborating institutions wish to thank SLAC for its support and the kind hospitality extended to them. This work is supported by the US Department of Energy and National Science Foundation, the Natural Sciences and Engineering Research Council (Canada), the Commissariat à l’Énergie Atomique and Institut National de Physique Nucléaire et de Physique des Particules (France), the Bundesministerium für Bildung und Forschung and Deutsche Forschungsgemeinschaft (Germany), the Istituto Nazionale di Fisica Nucleare (Italy), the Foundation for Fundamental Research on Matter (Netherlands), the Research Council of Norway, the Ministry of Education and Science of the Russian Federation, Ministerio de Economía y Competitividad (Spain), the Science and Technology Facilities Council (United Kingdom), and the Binational Science Foundation (US–Israel). Individuals have received support from the Marie-Curie IEF program (European Union) and the A. P. Sloan Foundation (USA).