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Prolongation of survival of dogs with oral malignant melanoma treated by en bloc surgical resection and adjuvant CSPG4-antigen electrovaccination

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Abstract (150 words)

Reported post-surgery 1-year survival rate for oral canine malignant melanoma (cMM) is around 30%; novel treatments are needed as the role of adjuvant chemotherapy is unclear. This prospective study regards adjuvant electrovaccination with human-CSPG4-encoded plasmid in 23 dogs with resected II/III-staged CSPG4-positive oral cMM compared with 19 dogs with resected only II/III-staged CSPG4-positive oral cMM. Vaccination resulted in 6/12/18/24-month survival rate of respectively 95.6/73.9/47.8/30.4% (MST 684 days, range 78-1694, 8/23 dogs alive) and 6/12/18/24-month DFI rate of respectively 82.6/47.8/26.1/17.4% (DFI 477 days, range 50-1694). Non-vaccinated dogs showed 6/12/18/24-month survival rate of respectively 63.2/26.3/15.8/5.3% (MST 200 days, range 75-1507, 1/19 dogs alive) and 6/12/18/24-month DFI rate of respectively 52.6/26.3/10.5/5.3% (DFI 180 days, range 38-1250). Overall survival and DFI of vaccinated dogs was longer in those <20 Kg. In vaccinated and non-vaccinated dogs local recurrence rate was respectively 34.8% and 42% while lung metastatic rate was respectively 39% and 79%.
Introduction

Oral cancers account for 6-7% of all canine neoplasms whilst canine malignant melanoma (cMM) for 30-40% of all oral malignancies.¹⁻³ Over 10 year-old male dogs appear to be predisposed and small dogs seem to be more affected than large dogs.¹ CMM may be melanotic, partially melanotic and amelanotic; the latter may be misdiagnosed as undifferentiated sarcoma or as an epithelial cancer but it may be then recognized by immunohistochemistry (Melan A, PNL2).⁴⁻⁷ Oral cMM may develop everywhere in the mouth but mainly at the level of the gingiva, lips and cheek, tongue and tonsils may also be affected.⁵ At presentation oral cMM is often ulcerated, necrotic, odorous, and easily bleeding. Oral cMM are almost all malignant, with early local invasion (loss of teeth) and metastasis in up to 80% of cases.¹⁻³ Metastasis to ipsilateral and contralateral regional lymph nodes (LNs) is frequent at presentation (up to 74% of cases); diagnosis should not rely on palpation only, as size may be not predictive, and cytology and histology are necessary.⁸ Metastasis at distant sites (mainly lungs) is also frequent. Reported clinical prognosticators are age, tumor size and clinical stage, bone lysis and localization (that may also influence surgical resection).⁹⁻¹² Other prognosticators include mitotic index, percentage of atypical nuclei, Ki-67 value and PDGFR-α/-β co-expression.¹¹,¹³,¹⁴ It has been reported that also the degree of pigmentation may have some prognostic value.¹⁵ Treatment of oral cMM is delivered either with a curative or palliative intent. Local control of cMM relies mainly on surgery and/or radiotherapy; then, adjuvant chemotherapy has been used in an attempt to control the systemic spread.¹²,¹⁶⁻²⁹ Due to the often disappointing results obtained with standard chemotherapy and also to the high immunogenicity of malignant melanoma, immunotherapy is progressively becoming one of the most attractive adjunctive therapeutic tool, also in form of combined protocols.⁴⁴ Other treatments reported in the literature include the use of lupeol.⁴⁵⁻⁴⁷

The goal of this paper is to report both disease-free and overall survival times following adjuvant intramuscular evovaccination with a plasmid encoding for human (h) chondroitin sulfate proteoglycan-4 (CSPG4) in prospectively enrolled client-owned dogs with en bloc surgically resected stage II and III CSPG4-positive oral cMM. Results of vaccination were compared with those obtained in a second group of dogs with stage II and III CSPG4-positive oral cMM treated with surgery alone. In previous papers, the
authors have shown the expression of CSPG4 in about 57% of oral cMM\textsuperscript{48} and both safety and efficacy of the adjuvant anti-CSPG4 DNA vaccination in prolonging survival of treated dogs.\textsuperscript{40} CSPG4 is an early cell surface progression marker involved in tumor cell proliferation, migration, and invasion\textsuperscript{49} and has been inserted by the National Cancer Institute among the prioritized cancer antigens being worthy to be investigated in clinical trials.\textsuperscript{50} Since CSPG4 is a self-antigen with poor or none immunogenicity in autologous hosts, in this study we used a plasmid coding for the hCSPG4, that is characterized by 82\% homology and 88\% similarity in its amino-acid sequence when compared with its canine counterpart (cCSPG4), in order to break immune tolerance. The addition of electroporation to DNA vaccine delivery (electrovaccination) further increases the vaccine immunogenicity and its therapeutic efficacy, and prolongs the duration of the immune response.\textsuperscript{51-55}

**Material and Methods**

**Patient enrollment**

The study was conducted as a prospective bi-centric trial involving the Veterinary Teaching Hospital of the University of Turin and the Clinica Veterinaria Roma Sud of Rome. Dogs were treated according to both the Good Clinical Practice guidelines for animal clinical studies and the approval of the Ethical Committee of the University of Torino (Italy).

Pretreatment work-up included physical examination, blood count, serum biochemistry, and urinalysis. Fine needle aspiration and/or biopsy were used for the preoperative tumor diagnosis. Cytology was the initial preoperative procedure adopted to clinically stage the palpable regional LNs, even in case of not apparent pathologic enlargement. A more complete staging was achieved via the surgical removal of the regional LNs at the time of the primary tumor resection and their histologic evaluation. Completion of tumor staging also included a pre-operative total body CT-scan evaluation (including skull and neck); alternatively (owners’ decision), skull and three-view chest radiographs and abdominal ultrasound examination were obtained.

Dogs without concurrent life-threatening diseases and with histologically confirmed oral stage II (2–4-cm diameter, negative LN) and III (>4-cm diameter and negative LN or any tumor size with positive
LN) surgically resected cMM, and with a minimum of 6 months follow-up on December 31, 2015 were included. Primary tumor *en bloc* resection (maxillectomy, mandibulectomy, lip/cheek excision followed by reconstruction, etc.) with the inclusion, when feasible, of at least 2 cm of macroscopically normal tissues around the tumor, and regional lymphadenectomy, were performed. Regional lymphadenectomy involved ipsilateral or bilateral mandibular nodes (single or multiple nodes) resection. For the excision margin evaluation, the cut surface was stained with a specific dye (TMD Tissue Marking Dye, Triangle Biomedical Sciences) just after surgery; the sample was then fixed in 10% formalin. The same pathologists (SI and LM) evaluated histologically all the samples and also checked for tumor or lack of tumor infiltration at the level of excision margins. Samples were also immunohistochemically tested for PNL2 (Santa Cruz Biotech, to confirm cMM diagnosis) and Ki67 expression (polyclonal Ki67 antibody A-047; DAKO; cut-off of 19.5%), mitotic index (< 4/10 hpf or ≥ 4/hpf), and nuclear atypia (% atypical nuclei in 200 cells counted, < or ≥30%). Immunohistochemical analysis of CSPG4 expression on cMM samples was performed as previously described. A total score ranging from 0 to 8 was assigned to each MM sample by adding the value that represented the proportion of CSPG4 positively stained tumor cells (score from 0 to 5) and the average staining intensity of CSPG4-positive tumor cells (score from 0 to 3). Only dogs bearing an oral cMM with a CSPG4 score ≥3/8 were considered as suitable for vaccination and included in the study. Dogs were entered in the vaccination arm based on the owners’ decision; a written consent was obtained from owners before starting the vaccination. Two groups of dogs were formed: Group A involving dogs with CSPG4-positive oral cMM treated with surgery plus adjuvant anti-CSPG4 DNA vaccination, and Group B involving dogs with CSPG4-positive oral cMM treated with surgery alone.

**Electrovaccination**

Vaccination started at the 3rd-4th postoperative week and was repeated after 2 weeks and then monthly; dogs surviving over two years were then vaccinated every 6 months. Dogs were electrovaccinated with a pcDNA3.1 plasmid coding for hCSPG4 generated as previously described. Briefly, under a short inhalation anesthesia, the hCSPG4 plasmid (500 μg in 200 μL of 0.03% NaCl solution) was injected in dogs into the muscles of the caudal thigh. Two minutes after plasmid injection,
nine electric pulses (1 high voltage, amplitude 450 V, length 50 ms, frequency 3 Hz; 1 second pause; eight low-voltage amplitude 110 V, length 20 ms, pause 300 ms) were applied to the injection site using the CLINIPORATOR (Igea, Carpi, Italy). Dogs recovered quickly from anesthesia and were standing up within 10-15 minutes from electrovaccination. They were monitored for acute, late local or systemic side effects. At each vaccination, clinical examinations, blood-work and three-view chest radiographs were performed. Sera were also collected, aliquoted, and cryopreserved at -80°C until used.

**CSPG4-specific antibody detection in vaccinated dog sera**

Sera collected before the first and after the fourth electrovaccination were analyzed for the presence of specific antibodies against hCSPG4. Sera collected at this time of treatment were used for the analysis as our previous results showed a detectable specific antibody response in all patients after the fourth electrovaccination. To specifically quantify in the serum of vaccinated dogs the antibody titer against the hCSPG4 protein an enzyme-linked immunosorbent (ELISA) assay was performed. 96-well plates (Costar®, Sigma-Aldrich) were coated in triplicate with 50 ng/well of hCSPG4 recombinant protein (R&D Systems) overnight at 4°C. Coated plates were then blocked with 10% Fetal Bovine Serum (FBS; Sigma-Aldrich) in phosphate-buffered saline (PBS; Invitrogen)-Tween (Sigma-Aldrich) 0.05% buffer for 2 h at 37°C. Plates were incubated with samples diluted 1:100 in 1% blocking buffer for 1 h at 37°C. Plates were washed 3 times with a PBS-Tween buffer. The horseradish peroxidase (HRP)-conjugated anti-dog IgG antibody (AbD Serotec; 1:10.000 dilution in blocking buffer) was incubated for 1 hour at 37°C. Plates were washed 6 times and chromogenic 3,30,5,50-tetramethylbenzidine substrate was added (TMB; Sigma-Aldrich). The reaction was stopped by the addition of 2N hydrochloric acid and optical density was measured at 450 nm using a microplate reader (680XR, BioRad).

**Immunoblot**

hCSPG4-positive SK-MEL-28 melanoma cells were purchased from the American Type Culture Collection and cultured in DMEM (Life Technologies) supplemented with 10% FBS. Cells were seeded at the concentration of 1.0 × 10^4 per well in a 96-well plate in DMEM medium without serum and incubated at 37°C for 24 hours. Cells were then incubated with control CSPG4-specific mAb (0.1 mg/mL,
of 149.53, 225.28, TP61.5, VF1-TP34, VF4-TP108, VF20-T87.41, VF20-VT20 mAb), with canine sera (dilution 1:20) before and after the fourth DNA vaccination or medium alone at 37°C for an additional 48 hours. Cells were lysed in lysis buffer (50 mmol/L Tris-HCl, 150 mmol/L NaCl, pH 7.4) containing 1% Triton X-100, and an EDTA-free protease inhibitor mix (Roche). Suspensions were extensively vortexed and incubated on ice for 15 minutes and centrifuged for 5 minutes at 13,000 rpm at 4°C. Supernatants were collected and stored at -80°C until used. Protein concentration was determined using an acid protein assay (Pierce Biotechnology). Total cell lysates were separated by sodium dodecyl sulfate–polyacrylamide (SDS-PAGE) gel electrophoresis and transferred to polyvinylidene fluoride membrane of 0.45-μm pore size (Millipore, Bedford, MA). After blocking the membranes with 5% nonfat dry milk with 5% BSA at 4°C ON, membranes were incubated ON at 4°C with CSPG4-specific mAb diluted 1:250 in Trisbuffered saline and Tween 20 (TTBS) 3% nonfat dry milk. After washing with TTBS, HRP goat anti-mouse (1:8000 dilution) was used as secondary antibody and bound antibodies were detected using ECL Plus Western Blotting Detection System (GE Healthcare, Buckinghamshire, UK).

Statistical analysis

Non-normally distributed data are reported as median and range. Other variables are expressed as percentages. All quantitative evaluations were carried out using the Student t test. The Kaplan–Meier method was used to estimate disease-free and survival times. A Wilcoxon rank-sum test was used to compare values of the cCSPG4 expression between groups in disease outcome. Differences in survival distribution were analyzed using the log-rank test. Statistical significance was set at P < 0.05. All analyses were conducted in R.57

Results

Patient characteristics

Forty-two dogs bearing an oral cMM with a CSPG4 score > 3/8 and without any evidence of metastasis beyond the first lymphatic station (Stage II and III), were prospectively included in the study. The decision to proceed with the adjuvant vaccination was based on the owner’s consent. Among the
included dogs, 29 were males (69%, 18 intact and 11 castrated) and 13 females (31%, 12 spayed and 1 intact). There were 18 mixed breed dogs, 3 Golden retriever, 3 Doberman pinscher, 3 Dachshund, 3 Cocker spaniel, 2 Beagle, 2 German shepherd, and 1 of each German bloodhound, dog de Bordeaux, West Highland white terrier, pinscher, dwarf schnauzer, Yorkshire, schi-tzu, and Pekingese. Mean and median age of the entire population was 11.1 and 12 years, respectively (range 4-16). Weight ranged between 2.3 and 43 kg; there were 2 dogs < 5 kg (4.8%), 9 dogs between 5-10 kg (21.4%), 12 dogs between 10-15 kg (28.6%), 8 dogs between 20-30 kg (19%), and 11 > 30 kg (26.2%).

Twenty-three dogs were included in the surgery plus vaccination group (Group A), while 19 dogs received surgery alone (Group B). In Group A there were 16 males (9 castrated and 7 intact) and 7 females (5 spayed and 2 intact) while in Group B there were 13 males (11 intact and 2 castrated) and 6 spayed females. Mean and median age of the 23 vaccinated dogs was 11.3 and 12 years, respectively (range 6-14); mean and median age of the 19 non-vaccinated dogs was 11 and 12 years, respectively (range 4-16). Mean and median weight of the 23 vaccinated dogs was 18 and 13 Kg, respectively (range 2.3-35); mean and median age of the 19 non-vaccinated dogs was 23.1 and 29 Kg, respectively (range 7-43). No statistical differences regarding age or weight distribution in the two groups were observed.

Diagnostic imaging for clinical staging was provided by radiographic evaluation in 4 dogs of Group A and 7 of Group B, and total body TC in 19 of Group A and 12 of Group B.

In both Groups A and B either an ipsilateral or bilateral regional (mandibular) lymphadenectomy was performed. In Group A surgery consisted of: 10 segmental/horizontal and 1 bilateral rostral mandibulectomies, 3 maxillectomies, 7 en bloc excisions of lip and/or cheek followed by plastic reconstruction, 1 bilateral tonsillectomy, and 1 en bloc cMM tongue excision. In Group B surgery consisted of: 7 segmental/horizontal and 5 (4 bilateral, 1 unilateral) rostral mandibulectomies, 2 maxillectomies and 5 en bloc excisions of lip and/or cheek followed by plastic reconstruction. A summary of the surgical resections performed is listed in Table 1. Histological evaluation of removed regional LNs allowed defining the final clinical staging of each cMM included in the study, reported in Table 2. Local bone invasion (determined by radiographs and/or CT) was evident preoperatively in 9 cases of Group A and 7 of Group B (Table 2). Histology of the excision margin identified 4 incomplete removals in Group A and 4 in Group B (Table 2). All of the incomplete resections were at the level of the
soft tissue and not bone. Clean margins exceeded 2mm in all the samples.

The CSPG4 immunohistochemistry score for cMM of Group A or Group B is summarized in Table 3. No significant difference in distribution was found between the two groups.

Ki67 immunohistochemistry results are summarized in Table 4. It was not available in 3 cases of Group A and in two of Group B. In Group A it was <19.5% in 1 case and >19.5% in 19 cases (mean 34%, median 30%, range 21%-74%). In Group B it was <19.5% in 3 cases and >19.5% in 14 cases (mean 25.4%, median 24.6%, range 20%-50%).

Results regarding mitotic index are summarized in Table 4. It was not available in 3 cases of Group A and in 1 of Group B. In 20 cMM of Group A it was >4/10hpf (range 9-40, mean 25.7, median 24). In cMM of Group B, it was <4/10hpf (=2) in 1 case while in the remaining 17 cases it was >4/10hpf (range 7-92, mean 29.8, median 25).

Results regarding nuclear atypia are summarized in Table 4. It was not available in 3 cases of Group A and in 1 of Group B. In Group A it was <30% in 5 cases and >30 in 15 cases; in Group B, it was <30% in 7 cMM and >30% in 11 cases.

**Specific humoral response induced by hCSPG4 electrovaccination in cMM vaccinated dogs**

The specific immune response induced by the xenogeneic hCSPG4 DNA vaccine was measured in sera by using a recombinant hCSPG4 ELISA assay. As in the previous study we have shown the presence of specific anti-hCSPG4 antibodies in all cMM dogs after the fourth hCSPG4-DNA vaccination, the specific humoral response was measured from sera before and after 4 immunizations. The level of antibody response, assessed spectrophotometrically to reflect the specific antibody binding to the hCSPG4 recombinant protein, was significantly higher in the post-vaccination sera than the pre-immune sera (Figure 1A), confirming the ability of xenogeneic hCSPG4 vaccination to induce specific antibodies in CSPG4-positive cMM dogs. The vaccine-induced antibody titer was not correlated to the cMM CSPG4 positivity in vaccinated dogs (not shown); however, even if not statistically significant, a trend was evident in relation to body weight (BW) of dogs, being higher in dogs with BW < 20 Kg when compared with those with BW > 20 Kg (Figure 1B).

Authors then investigated the effect of vaccination-induced specific antibodies on antigen expression
in a hCSPG4-positive melanoma cell line (SK-MEL28). In vitro incubation of SK-MEL28 cells with canine sera after the fourth vaccination showed a differential decrease in the level of CSPG4 expression as compared to sera before immunization (Figure 1C). Incubation of SK-MEL28 cells with the medium alone or with CSPG4-specific mAb were used as controls for CSPG4 expression and modulation (Figure 1C). Interpreting these results as a whole, they indicate the direct effect in vitro of specific antibodies detected in sera of vaccinated dogs in the modulation of CSPG4 expression in a CSPG4-positive human melanoma cell line. As CSPG4 is involved in the regulation of several pathways concerning growth, adhesion, and migration of tumor cells, these results suggest one of the non immunological mechanisms by which specific antibodies can affect CSPG4 function in the biology of melanoma cells, hampering tumor progression. As we previously showed the ability of vaccine-induced antibodies to bind the syngenic CSPG4 canine protein expressed on OLGA cells, a canine CSPG4-positive melanoma cell line, it can be speculated that the anti-cCSPG4 antibodies may carry out a similar action also in vivo.

**Clinical response to hCSPG4 electrovaccination**

The median survival time (MST) and disease-free interval (DFI) results of Group A and Group B dogs are summarized in Table 5.

In the CSPG4-positive vaccinated dogs (Group A) the 6-, 12-, 18- and 24-month survival rates are 95.6%, 73.9%, 47.8% and 30.4%, respectively. The 6-, 12-, 18- and 24-month DFI rates are 82.6%, 47.8%, 26.1% and 17.4%, respectively. At the end of the observation period (December 31, 2015), 8 dogs of the Group A (35%) were still alive (mean 1064 days, median 1028 days, range 493-1694 days) and 15 (65%) were dead, 11 because of the cMM (mean 416 days, median 385 days, range 78-684 days) and 4 for unrelated causes (1 dog was submitted to euthanasia for very serious orthopedic/neurological problems at day 278 even though disease-free; 2 castrated male dogs developed a prostatic carcinoma and were submitted to euthanasia at day 447 and 1299, respectively; a fourth dog was euthanized at day 277 for a perianal adenocarcinoma with metastatic sublumbar lymphadenopathy).

In the CSPG4-positive non-vaccinated dogs (Group B), the 6-, 12-, 18- and 24-month survival rates were 63.2%, 26.3%, 15.8% and 5.3%, respectively. The 6-, 12-, 18- and 24-month DFI rates were 52.6%, 26.3%, 10.5% and 5.3% (1 dog, still disease-free, was lost to follow-up after 694 days),
respectively. At the end of the observation period, 18 dogs of the Group B (94.7%) were dead. Sixteen died because of cMM (mean 295.1 days, median 184 days, range 75-1507 days), 2 for tumor-unrelated causes (1 for an idiopathic larynx paralysis at day 370, surgery refused by the owner, the second one for an idiopathic megaesophagus and *ab ingestis* pneumonia at day 367; both dogs were disease-free); the remaining dog of Group B was lost to follow after 694 days.

A summary of local recurrence (LR) and lung metastasis (LM) in the two groups is presented in Table 6. Local recurrence developed in 8 dogs of Group A (34.8%; mean 299.6 days, median 203.5 days, range 128-639 days). A second marginal or *en bloc* surgery was performed in 6 dogs; 5 of these ultimately died for their cMM at day 333, 374, 458, 574 and 684, respectively (4 of these with systemic metastasis); the sixth dog was operated at day 179 and it was alive at day 1400. A further dog had two excisions (one *en bloc* and one marginal) and it was alive at day 875; finally, the last dog had 5 marginal excisions, 2 cycles of palliative radiotherapy (October 2014: 2 fractions/week x 5 fractions, 6Gy each fraction; May 2015: 2 fractions/week x 5 fractions, 4Gy each fraction). In the latter dog metronomic chemotherapy was also started since June 2015 (cyclophosphamide, 15mg/m² bid and thalidomide, 6 mg/kg bid) and it was still alive at day 1040, without any evidence of systemic metastasis. In Group B, 8 dogs developed a local recurrence (42%; mean 318.3 days, median 180 days, range 38-1250 days), for 7 of which it was associated with distant metastatic disease (euthanasia or death at day 75, 174, 183, 220, 224, 232 and 621 days) while a further dog had a recurrence at day 1250, it was operated and then irradiated but experienced a second local recurrence after about 200 days and was euthanized at day 1507. After the initial preoperative staging (8 metastatic LNs in Group A and 10 in Group B), distant metastasis, which was the cause of the death, developed in 9 dogs of Group A (39%; mean 267.2 days, median 196 days, range 50-639 days) and 15 of Group B (79%; mean 164 days, median 137 days, range 38-445 days).

Kaplan–Meier curves for survival times and DFI were analyzed (Figure 2A and B). The MST in Group A is 684 days (range, 78-1694), in Group B 220 days (range, 75-1507) (ratio A/B=3.109). Group A exhibited a significantly longer MST than group B (P = 0.0005; Figure 2A). The median DFI in Group A is 477 days (range, 50-1694), in Group B 180 days (range, 38-1250) (ratio A/B=2.650). The Group A DFI was significantly longer than group B (P = 0.0174; Figure 2B).

No statistically significant correlation was found between the clinical outcome of vaccinated patients
and the excision margin status, percentages of Ki67 positivity, mitotic index, and nuclear atypia scores.

Nevertheless, taking into account the BW of dogs and selecting 20 kg as a threshold, a differential trend in Group A was clearly evident, being the survival of dogs with BW <20 kg longer than that of those with BW >20 kg (Figure 3A). Albeit this is not completely surprising as smaller dogs tend to live longer than larger ones, however, survival of Group A dogs with BW <20 kg was significantly longer than both the entire population of Group B dogs (Figure 3B) and dogs of Group B with BW <20 kg (Figure 3C). On the contrary, the survival of Group A dogs with BW >20 Kg was not significantly different from Group B population, neither considered as a whole (Figure 3D) nor considering only dogs >20 kg (Figure 3E).

The same scenario is evident considering the DFI (Figure 4A-E), being the DFI of Group A dogs with BW <20 kg significantly longer than both the entire population of Group B dogs (Figure 4B) and dogs of Group B with BW <20 kg (Figure 4C).

While the CSPG4 positivity of cMM in dogs of Group B is not correlated with the overall survival (not shown), it has a significant impact on survival of dogs of Group A. Indeed, even if not statistically significant, vaccinated dogs affected by a cMM with CSPG4 score ≥5 displayed a longer survival as compared to vaccinated dogs with CSPG4-positive cMM with score <5 (Figure 5A). Moreover, Group A dogs with a cMM CSPG4 score ≥5 exhibited a significantly longer MST when compared with both the entire Group B population (Figure 5B) or only with the non-vaccinated dogs affected by a cMM with CSPG4 score ≥5 (Figure 5C). This is not the case of Group A vaccinated dogs with a cMM with CSPG4 score <5, as survival is not significantly longer when compared with the entire population of non-vaccinated (Group B) dogs (Figure 5D) or when considering only Group B dogs with a cMM with CSPG4 score <5 (Figure 5E). The same situation is evident considering the DFI and CSPG4 expression (Figure 6A-E), being the DFI of Group A dogs with CSPG4 score ≥5 significantly longer when compared with both the entire Group B population (Figure 6B) or only with non-vaccinated dogs affected with a cMM of CSPG4 score ≥5 (Figure 6C).
Discussion

Dogs with untreated oral cMM usually survive a few months. Surgery, when feasible, is important for the local control of oral cMM, especially for stage II and III tumors. After surgery alone, a median survival time up to 352 days and a 1-year survival rate around 30% have been reported. An alternative to surgery is radiotherapy, alone (also for palliation) or adjuvantly, especially in case of incomplete margins; hypofractionated radiation protocols are also used. Local control after radiation may be as high as 83-100% in up to 70% of oral cMM within some weeks and it seems better when cMM are rostral, small sized and without bone invasion, and when radiation is used in an adjuvant setting. However, local recurrence may occur, even after a complete response has been reached; in the not responding cMM, progression of the disease usually occurs. The reported 1- and 2-year survival rate after radiotherapy is 36-48% and 21%, respectively, with a median survival time ranging from 211 to 363 days.

Despite the local control of oral cMM provided by surgery and/or radiotherapy, distant metastasis represents the cause of death in up to 65-80% of dogs. No statistical increase of survival times was shown when dogs received also an adjuvant treatment. Chemotherapy for oral cMM is often used as an adjunctive treatment to control distant metastasis. Drugs more frequently utilized are carboplatin, cisplatin (also in conjunction with piroxicam), and melphalan. Platinum salts have also been used as radiosensitizers; besides, cisplatin has been used intralesionally. In a more recent paper, metronomic chemotherapy has also been utilized.

As the role of adjuvant chemotherapy in prolonging survival of dogs bearing an oral cMM and controlling/delaying of the distant metastatic spread is uncertain, many studies have been addressed to alternative adjuvant treatments, such as new drugs and immunotherapy, also in form of combined protocols.

Several immunotherapeutic approaches have been attempted, finally leading, in USA, to the approval of a xenogeneic DNA vaccine against tyrosinase (ONCEPT, Merial), whose efficacy has been shown in cMM patients when compared with historical controls. As ONCEPT efficacy has not been confirmed in two retrospective studies, further studies should be warranted. In our previous paper, we showed both the safety and anti-tumor efficacy of CSPG4-immunotargeting in a group of dogs with surgically.
resected stage II–III CSPG4-positive oral MM. As the Authors of the present study never used ONCEPT as it has not been approved by the European Medicines Agency, no prospective comparison between the two vaccines was attempted.

In the present study the Authors report the data of a larger cohort of oral CSPG4-positive cMM patients treated by surgery plus adjuvant electrovaccination with human-CSPG4-encoded plasmid (Group A) or treated by surgery alone (Group B), including both new enrolled dogs (coming also from a second center of vaccination) and those already considered in the previous study but updated in their follow-up. The distribution of age, sex, score of CSPG4 expression, percentage of Ki67 positivity, mitotic index, nuclear atypia, and clinical stage within the two groups was uniform. A third group of dogs previously considered in Riccardo et al., i.e. those bearing a CSPG4-negative oral cMM, was not considered here due to the fact that it displayed an intermediate behavior and could not benefit anyway from the anti CSPG4-immunotargeting. In Riccardo et al. we showed that MST of vaccinated dogs was significantly longer when compared with the overall non-vaccinated canine population (both CSPG4-positive and negative); DFI of vaccinated dogs was significantly longer than CSPG4-positive non-vaccinated dogs but not CSPG4-negative non-vaccinated dogs or the entire non-vaccinated canine population; finally, both MST and DFI of CSPG4-positive non-vaccinated dogs showed no significant statistical difference in comparison with CSPG4-negative non-vaccinated dogs.

The results obtained here confirm both the safety and immunogenicity of the electrovaccination with the hCSPG4 plasmid in dogs with CSPG4-positive oral cMM. The significant increase of the anti-hCSPG4 antibody titer in the post-vaccination sera as compared to pre-vaccination sera of Group A dogs relates favorably with the significant prolongation of both survival times and DFI as compared to dogs of Group B, receiving surgery alone, but no direct correlation between the antibody titer and the survival was found. Regarding this, it should be emphasized that endpoints for a clinical trial involving an immunotherapeutic approach (targeted treatment) are more challenging and different from those involving the use of a specific cytotoxic drug; in the latter case, in fact, procedures to evaluate its efficacy are usually more direct and easier to be applied. Nevertheless, it is Authors’ hypothesis that the humoral anti-CSPG4 immunity has a direct beneficial effect on the clinical course of canine oral cMM. The vaccine-induced antibody titer in dogs with BW <20 Kg is higher than that observed in dogs with BW
>20 Kg and, interestingly, vaccinated dogs with BW <20 Kg are those with survival and DFI significantly
longer than the population of non-vaccinated dogs (Group B). This is not the case when we compare both
the survival and DFI of vaccinated dogs with BW >20 Kg and the population of non-vaccinated dogs
(Group B). These data suggest the importance of the level of the antibody titer induced by the vaccine and
the potential fundamental role of the humoral response in prolonging both the survival time and DFI of
vaccinated dogs; on the other hand, these results raise the question of scaling up doses in dogs with a high
BW. Besides, also the CSPG4-positivity score of the cMM may have had an impact on the outcome. We
have shown that anti-CSPG4 antibodies induced by the vaccine may act directly on the CSPG4
expression on MM cells, down regulating the protein and, consequently, likely affecting the several
cancer-related pathways regulated by CSPG4. Despite the fact that the degree of CSPG4 expression did
not correlate with the survival of non-vaccinated dogs,\textsuperscript{62} vaccinated dogs with a cMM with a CSPG4-
positivity score higher than 5 survived longer than vaccinated dogs affected by an oral cMM with a
CSPG4-positivity score lower than 5. It is likely that in the latter dogs a greater prevalence of CSPG4-
negative tumor clones are present, being able to escape the anti-CSPG4 immunity induced by the vaccine
and, ultimately allowing the progression of the disease. Collectively, these results provide not only a
mechanistic explanation for the therapeutic effect of anti-CSPG4 antibodies in the treatment of cMM, but
also corroborate the role of CSPG4 in the biology of cMM cells.

Clinical stage is a reported prognosticator and, apart from systemic metastasis which makes
prognosis worse, the impact of regional lymphatic metastasis at presentation on survival is uncertain.
One limitation here may have been that only the mandibular nodes were removed at surgery and
histologically examined, with no attempt to identify other potential and/or alternative regional lymphatic
stations (for example the retropharyngeal lymph nodes);\textsuperscript{12, 65, 66} for this important issue, further studies are
warranted. Also the decision that the surgeons involved in this study adopted, i.e. not to remove
systematically the mandibular nodes bilaterally, may have influenced the final clinical stage (N parameter
of the TNM system). In all the cases in which a regional lymphatic spread is demonstrated, the addition of
an adjuvant treatment should be advantageous.\textsuperscript{27}

Another important issue here is that in many published series of cases dealing with oral cMM, and
also in this series, there are dogs that experience a long survival but it is not clear whether this reflects the
efficacy of the treatment (despite the fact that a similar treatment was usually utilized also in dogs surviving for a shorter period of time) or a less aggressive tumoral behavior.\textsuperscript{11} It is the Authors’ opinion that these less malignant oral cMM may not be recognized clinically, being possible to identify them only by the evaluation of some already known prognostic factors such as Ki67, mitotic index and nuclear atypia.\textsuperscript{3, 11} Therefore, the evaluation of these prognosticators should always be included in all the studies dealing with the results of the different therapeutic approaches applied for oral cMM, in order to better interpret the final outcome. Therefore, according to a recent paper published by this group, also the PDGFR-\textalpha/-\textbeta co-expression in oral cMM should be evaluated and correlated with survival;\textsuperscript{14} so far, this parameter has not been evaluated yet in the cMM of the vaccinated dogs included in this paper and further study are warranted.

Finally, it has been reported that the risk of local recurrence after surgery seems correlated to the size of the primary tumor.\textsuperscript{27} Local recurrence seems more likely after en bloc excision performed at the level of the upper jaw in comparison with the lower jaw (22\% vs 48\%).\textsuperscript{67, 68} Also the development of a local recurrence should represent a negative prognostic factor for survival but its real impact is not clear. In this series of cases a local recurrence developed mainly in the vaccinated dogs but it did not influence the continuation of vaccination when the recurrent tumors were properly treated (surgery +/- radiotherapy) provided that systemic metastasis were still under control, likely thanks to the vaccine; this was not the case of the non-vaccinated dogs in which the cause of the death was mainly due to systemic metastasis regardless the development of a local recurrence. Moreover, in this series of cases, in the group of vaccinated dogs (Group A), 3 patients died because of a second tumor (2 prostatic adenocarcinomas and , 1 perianal adenocarcinoma with submandibular metastases), while in Group B, all dead dogs succumbed because of the cMM. It can be speculated that the prolongation of the survival in Group A dogs induced by the vaccine may have resulted in an increased risk of developing a second malignant tumor of a different histotype.

In conclusion, the results presented here are encouraging and confirm the usefulness of the anti-CSPG4 adjuvant vaccination in in dogs with oral cMM. However, they should be still considered cautiously as the number of dogs included is still low.
Acknowledgements

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References


### Table 1. Surgical MM resection in canine patients enrolled in the trial

<table>
<thead>
<tr>
<th>Type of surgery</th>
<th>Overall population (n=42)</th>
<th>Group A (n=23)</th>
<th>Group B (n=19)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mandibulectomy</td>
<td>23/42 (54.77)(^a)</td>
<td>11/23 (47.83)(^a)</td>
<td>12/19 (63.16)(^a)</td>
</tr>
<tr>
<td>Segmental/horizontal</td>
<td></td>
<td>17</td>
<td>10</td>
</tr>
<tr>
<td>Rostral</td>
<td>6</td>
<td>1</td>
<td>5</td>
</tr>
<tr>
<td>Maxillectomy</td>
<td>5/42 (11.90)</td>
<td>3/23 (13.04)</td>
<td>2/19 (10.53)</td>
</tr>
<tr>
<td>Tonsillectomy</td>
<td>1/42 (2.38)</td>
<td>1/23 (4.35)</td>
<td>0/19 (0.00)</td>
</tr>
<tr>
<td>En bloc excision</td>
<td>13/42 (30.95)</td>
<td>8/23 (34.78)</td>
<td>5/19 (26.31)</td>
</tr>
<tr>
<td>Lip/cheek</td>
<td>12</td>
<td>7</td>
<td>5</td>
</tr>
<tr>
<td>Tongue</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
</tbody>
</table>

\(^a\)% in brackets
Table 2. Clinical stage and excision margin status of oral cMMs enrolled in the trial

<table>
<thead>
<tr>
<th></th>
<th>Stage II</th>
<th>Stage III</th>
<th>Metastatic LNs</th>
<th>Local bone Invasion</th>
<th>Excision Margins</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Complete</td>
</tr>
<tr>
<td>Overall population</td>
<td>15 (35.71)&lt;sup&gt;a&lt;/sup&gt;</td>
<td>27 (64.29)&lt;sup&gt;a&lt;/sup&gt;</td>
<td>18 (42.86)&lt;sup&gt;a&lt;/sup&gt;</td>
<td>16 (38.09)&lt;sup&gt;a&lt;/sup&gt;</td>
<td>34 (80.95)&lt;sup&gt;a&lt;/sup&gt;</td>
</tr>
<tr>
<td>(n=42)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Group A (n=23)</td>
<td>9 (39.13)</td>
<td>14 (60.87)</td>
<td>8 (34.78)</td>
<td>9 (39.13)</td>
<td>19 (82.61)</td>
</tr>
<tr>
<td>Group B (n=19)</td>
<td>6 (31.58)</td>
<td>13 (68.42)</td>
<td>10 (52.63)</td>
<td>7 (36.84)</td>
<td>15 (78.95)</td>
</tr>
</tbody>
</table>

<sup>a</sup>% in brackets
Table 3. Immunohistochemical CSPG4 score of MM from dogs included in the study

<table>
<thead>
<tr>
<th>CSPG4 score</th>
<th>Overall population (n=42)</th>
<th>Group A (n=23)</th>
<th>Group B (n=19)</th>
</tr>
</thead>
<tbody>
<tr>
<td>3/8</td>
<td>6 (14.29)*</td>
<td>3 (13.04)*</td>
<td>3 (15.79)*</td>
</tr>
<tr>
<td>4/8</td>
<td>8 (19.05)</td>
<td>5 (21.74)</td>
<td>3 (15.79)</td>
</tr>
<tr>
<td>5/8</td>
<td>8 (19.05)</td>
<td>5 (21.74)</td>
<td>3 (15.79)</td>
</tr>
<tr>
<td>6/8</td>
<td>3 (7.14)</td>
<td>2 (8.70)</td>
<td>1 (5.26)</td>
</tr>
<tr>
<td>7/8</td>
<td>14 (33.33)</td>
<td>6 (26.09)</td>
<td>8 (42.11)</td>
</tr>
<tr>
<td>8/8</td>
<td>3 (7.14)</td>
<td>2 (8.69)</td>
<td>1 (5.26)</td>
</tr>
</tbody>
</table>

*% in brackets
Table 4. Histological and immunohistochemical characterization of the cMMs included in the study

<table>
<thead>
<tr>
<th></th>
<th>Treshold</th>
<th>Overall population</th>
<th>Group A</th>
<th>Group B</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Ki67</strong></td>
<td>&lt; 19.5</td>
<td>4/37 (10.81)(^a)</td>
<td>1/20 (5.00)(^b)</td>
<td>3/17 (17.65)(^b)</td>
</tr>
<tr>
<td></td>
<td>≥ 19.5</td>
<td>33/37 (89.19)</td>
<td>19/20 (95.00)</td>
<td>14/17 (82.35)</td>
</tr>
<tr>
<td><strong>Mitotic Index</strong></td>
<td>&lt; 4/10 hpf</td>
<td>1/38 (2.63)(^b)</td>
<td>0/20 (0.00)(^b)</td>
<td>1/18 (5.56)(^b)</td>
</tr>
<tr>
<td>(MI)</td>
<td>≥ 4/10 hpf</td>
<td>37/38 (97.37)</td>
<td>20/20 (100.00)</td>
<td>17/18 (94.44)</td>
</tr>
<tr>
<td><strong>Nuclear Atypia</strong></td>
<td>&lt; 30%</td>
<td>12/38 (31.58)</td>
<td>5/20 (25.00)</td>
<td>7/18 (38.89)</td>
</tr>
<tr>
<td>(PLEOM)</td>
<td>≥ 30%</td>
<td>26/38 (68.42)</td>
<td>15/20 (75.00)</td>
<td>11/18 (61.11)</td>
</tr>
</tbody>
</table>

\(^a\) number of patients for which the data were available
\(^b\) % in brackets
<table>
<thead>
<tr>
<th>Groups</th>
<th>MST (days)</th>
<th>DFI (days)</th>
<th>MST (months)</th>
<th>DFI (months)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group A</td>
<td>684</td>
<td>477</td>
<td>6</td>
<td>82.6%</td>
</tr>
<tr>
<td></td>
<td>(458–∞)</td>
<td>(207–∞)</td>
<td>12</td>
<td>47.8%</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>18</td>
<td>26.1%</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>24</td>
<td>17.4%</td>
</tr>
<tr>
<td>Group B</td>
<td>220</td>
<td>180</td>
<td>6</td>
<td>52.6%</td>
</tr>
<tr>
<td></td>
<td>(174–∞)</td>
<td>(131–∞)</td>
<td>12</td>
<td>26.3%</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>18</td>
<td>10.5%</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>24</td>
<td>5.3%</td>
</tr>
</tbody>
</table>

\(^a\) (LCL95%–UCL95%) Lower–Upper Control Limits
Table 6. Percentage of local recurrence (LR) and lung metastasis (LM) for each group, calculated up to December 31, 2015

<table>
<thead>
<tr>
<th>Groups</th>
<th>LR</th>
<th>LM</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group A (n=23)</td>
<td>34.80%</td>
<td>39.00%</td>
</tr>
<tr>
<td>Group B (n=19)</td>
<td>42.00%</td>
<td>79.00%</td>
</tr>
</tbody>
</table>
**Figure 1.** Vaccination-induced anti-hCSPG4 humoral response in sera of cMM dogs. (A) Detection of hCSPG4 antibodies in sera collected before (white bar) and after the fourth DNA vaccination (black bar) by ELISA. Results are expressed as the mean OD at 450 nm ± SD values of all vaccinated dogs. ***,** p<0.0001, Student’s t-test. (B) Evaluation of hCSPG4 antibody response in sera collected from vaccinated dogs in relation to BW. Results are shown as fold change values expressing the ratio between post-vaccination OD/pre-vaccination OD values measured by ELISA. (C) Assessment of the effect of vaccine-induced anti-hCSPG4 antibodies on hCSPG4 expression. hCSPG4-positive SK-MEL28 cells were incubated with medium alone, CSPG4-specific mAb or canine sera before and after the fourth immunization at 37°C for 48 hours. Representative immunoblot analysis of CSPG4 modulation induced by the sera of 2 vaccinated dogs (#5 and #3) and the corresponding percentage of CSPG4 reduction compared to the medium is shown. Actin was used as loading control.

**Figure 2.** Kaplan–Meier curves comparing survival and DFI in the two groups. (A) Survival (in days) of CSPG4-positive MM, vaccinated dogs (Group A, black line) and of CSPG4-positive MM, non-vaccinated dogs (Group B, gray dotted line; ** log-rank test p=0.0005). (B) DFI (in days) of CSPG4-positive MM, vaccinated dogs (Group A, black line) and of CSPG4-positive MM, non-vaccinated dogs (Group B, gray dotted line; * log-rank test p= 0.0174).

**Figure 3.** Kaplan–Meier curves comparing survival (in days) in relation to BW of dogs. (A) Survival of CSPG4-positive cMM, vaccinated dogs (Group A) with BW<20 Kg (black line) and with BW>20 Kg (black dotted line). (B,C) Survival of CSPG4-positive cMM, vaccinated dogs (Group A) with BW<20 Kg (black line) in comparison with survival of (B) the entire population of non-vaccinated dogs (Group B, grey dotted line; ** log-rank test p= 0.0015) or of (C) non-vaccinated dogs with BW<20 Kg (Group B, grey line; *** log-rank test p=0.0002). (D,E) Survival of CSPG4-positive cMM vaccinated dogs (Group A) with BW>20 Kg (black dotted line) in comparison with survival of (D) the entire population of non-vaccinated dogs (Group B, grey dotted line) or of (E) non-vaccinated dogs with BW>20 Kg (Group B, grey line).
Figure 4. Kaplan–Meier curves comparing DFI (in days) in relation to BW of dogs. (A) DFI of CSPG4-positive cMM vaccinated dogs (Group A) with BW<20 Kg (black line) and with BW>20 Kg (black dotted line). (B,C) DFI of CSPG4-positive cMM vaccinated dogs (Group A) with BW<20 Kg (black line) in comparison with DFI of (B) the entire population of non-vaccinated dogs (Group B, grey dotted line; * log-rank test p= 0.0252) or of (C) non-vaccinated dogs with BW<20 Kg (Group B, grey line; ** log-rank test p=0.0070). (D,E) DFI of CSPG4-positive cMM vaccinated dogs (Group A) with BW>20 Kg (black dotted line) in comparison with DFI of (D) the entire population of non-vaccinated dogs (Group B, grey dotted line) or of (E) non-vaccinated dogs with BW>20 Kg (Group B, grey line).

Figure 5. Kaplan–Meier curves comparing survival (in days) of dogs in relation to CSPG4-positivity score of cMM. (A) Survival of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score ≥5 (black line) or <5 (black dotted line). (B,C) Survival of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score ≥5 (black line) in comparison with the survival of (B) the entire population of non-vaccinated dogs (Group B, grey dotted line; *** log-rank test p= 0.0004) or of (C) non-vaccinated dogs bearing a cMM with CSPG4-positivity score ≥5 (Group B, grey line; *** log-rank test p= 0.0006). (D,E) Survival of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score <5 (black dotted line) in comparison with the survival of (D) the entire population of non-vaccinated dogs (Group B, grey dotted line) or of (E) non-vaccinated dogs bearing a cMM with CSPG4-positivity score <5 (Group B, grey line).

Figure 6. Kaplan–Meier curves comparing DFI (in days) of dogs in relation to cMM CSPG4-positivity score. (A) DFI of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score ≥5 (black line) or <5 (black dotted line). (B,C) DFI of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score ≥5 (black line) in comparison with DFI of (B) the entire population of non-vaccinated dogs (Group B, grey dotted line; * log-rank test p= 0.0167) or of (C) non-vaccinated dogs bearing a cMM with CSPG4-positivity score ≥5 (Group B, grey line; * log-rank test p= 0.0249). (D,E) DFI of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score <5 (black dotted line) in comparison with DFI of (D) the entire population of non-vaccinated dogs (Group B, grey dotted line) or of (E) non-vaccinated dogs bearing a cMM with CSPG4-positivity score <5 (Group B, grey line).