

AperTO - Archivio Istituzionale Open Access dell'Università di Torino

**Geriatric assessment predicts survival and toxicities in elderly myeloma patients: An International Myeloma Working Group report**

**This is the author's manuscript**

*Original Citation:*

*Availability:*

This version is available <http://hdl.handle.net/2318/158809> since 2023-02-11T00:15:13Z

*Published version:*

DOI:10.1182/blood-2014-12-615187

*Terms of use:*

Open Access

Anyone can freely access the full text of works made available as "Open Access". Works made available under a Creative Commons license can be used according to the terms and conditions of said license. Use of all other works requires consent of the right holder (author or publisher) if not exempted from copyright protection by the applicable law.

(Article begins on next page)



# UNIVERSITÀ DEGLI STUDI DI TORINO

***This is an author version of the contribution published on:***

*Questa è la versione dell'autore dell'opera:*

*Blood. 2015 Mar 26;125(13):2068-74. doi: 10.1182/blood-2014-12-615187*

***The definitive version is available at:***

*La versione definitiva è disponibile alla URL:*

*[http://www.bloodjournal.org.offcampus.dam.unito.it/content/125/13/2068.long?ss  
o-checked=true](http://www.bloodjournal.org.offcampus.dam.unito.it/content/125/13/2068.long?ss-o-checked=true)*

## **Geriatric assessment predicts survival and toxicities in elderly myeloma: an International Myeloma Working Group report**

Antonio Palumbo, MD,<sup>1</sup> Sara Bringhen, MD,<sup>1</sup> Maria-Victoria Mateos, MD,<sup>2</sup> Alessandra Larocca, MD,<sup>1</sup> Thierry Facon, MD,<sup>3</sup> Shaji K. Kumar, MD,<sup>4</sup> Massimo Offidani, MD,<sup>5</sup> Philip McCarthy, MD,<sup>6</sup> Andrea Evangelista, PhD,<sup>7</sup> Sagar Lonial, MD,<sup>8</sup> Sonja Zweegman, MD,<sup>9</sup> Pellegrino Musto, MD,<sup>10</sup> Evangelos Terpos, MD,<sup>11</sup> Andrew Belch, MD,<sup>12</sup> Roman Hajek, MD,<sup>13</sup> Heinz Ludwig, MD,<sup>14</sup> A. Keith Stewart, MD,<sup>15</sup> Philippe Moreau, MD,<sup>16</sup> Kenneth Anderson, MD,<sup>17</sup> Hermann Einsele, MD,<sup>18</sup> Brian G.M. Durie, MD,<sup>19</sup> Meletios A. Dimopoulos, MD,<sup>11</sup> Ola Landgren, MD,<sup>20</sup> Jesus F. San Miguel, MD,<sup>21</sup> Paul Richardson, MD,<sup>22</sup> Pieter Sonneveld, MD,<sup>23</sup> S. Vincent Rajkumar, MD.<sup>4</sup> \*

1Myeloma Unit, Division of Hematology, University of Torino, Azienda Ospedaliero-Universitaria Città della Salute e della Scienza di Torino, Italy; 2 Servicio de Hematología, Hospital Universitario de Salamanca, CIC, IBMCC (USAL-CSIC), Salamanca, Spain; 3Department of Hematology, University Hospital, Lille, France; 4Division of Hematology, Mayo Clinic College of Medicine, Rochester, Minnesota, USA; 5Clinica di Ematologia, AOU Ospedali Riuniti di Ancona, Ancona, Italy; 6Department of Medicine, Roswell Park Cancer Institute, Buffalo, New York, USA; 7 Unit of Clinical Epidemiology, Azienda Ospedaliero-Universitaria Città della Salute e della Scienza di Torino, Torino, Italy; 8 Department of Hematology and Medical Oncology, Emory University, Atlanta, Georgia, USA; 9Departement of Hematology, VU University Medical Center, Amsterdam, The Netherlands; 10Scientific Direction, IRCCS, Referral Cancer Center of Basilicata, Rionero in Vulture (Pz), Italy; 11Department of Clinical Therapeutics, National and Kapodistrian University of Athens, School of Medicine, Athens, Greece; 12Department of Oncology, University of Alberta, Cross Cancer Institute, Alberta, Canada; 13Department of Haematooncology University Hospital Ostrava and Faculty of Medicine University of Ostrava, Ostrava, Czech Republic; 14Department of Oncology, Hematology and Palliative Care, Wilhelminenspital, Vienna, Austria; 15Division of

Hematology-Oncology, Mayo Clinic in Arizona, Scottsdale, Arizona, USA; 16University Hospital Hôtel-Dieu, Nantes, France; 17Dana-Farber Cancer Institute, Boston, Massachusetts, USA; 18Universität Wuerzburg, Medizinische Klinik & Poliklinik II, Department of Internal Medicine II, University Hospital, Wuerzburg, Germany; 19 Cedars-Sinai Comprehensive Cancer Center, Los Angeles, California, USA; 20National Cancer Institute, Bethesda, Maryland, USA; 21Clínica Universidad de Navarra, Centro de Investigaciones Médicas Aplicadas (CIMA), Pamplona, Spain; 22Dana-Farber Cancer Institute, Boston, Massachusetts, USA; 23Erasmus MC, Department of Hematology and HOVON Data Center, Rotterdam, Netherlands

**Correspondence to:**

Dr Antonio Palumbo, Azienda Ospedaliera Città della Salute e della Scienza di Torino,  
Dipartimento di Oncologia ed Ematologia, San Giovanni Battista, Via Genova, 3 - 10126 Torino,  
Italy

**Email: [appalumbo@yahoo.com](mailto:appalumbo@yahoo.com)**

Tel: +39 011 6334301; Fax: +39 011 6963737

**Running head: IMWG frailty score for newly diagnosed MM patients**

**Abstract: 190 words**

**Text: 3163 words**

**Reference number: 37**

## Keypoints

- Elderly patients with myeloma are heterogeneous and assessment strategies are needed to define the frailty profile
- The proposed frailty score aims to better assess patients and provide them with more suitable therapies

## ABSTRACT

We conducted a pooled analysis of 869 individual newly diagnosed elderly patient data from 3 prospective international trials. At diagnosis, a geriatric assessment had been performed to assess comorbidities, cognitive and physical status.

An additive scoring system (range 0-5), based on age, comorbidities, cognitive and physical conditions, was developed to identify 3 groups: fit (score=0, 39%); intermediate-fitness (score=1, 31%), and frail (score $\geq$ 2, 30%). The 3-year overall survival was 84% in fit patients, 76% in intermediate-fitness patients (HR 1.61, 95%CI 1.02-2.56,  $p=0.042$ ) and 57% in frail patients (HR 3.57 CI 95% 2.37-5.39,  $p<0.001$ ). The cumulative incidence of grade  $\geq$ 3 non-hematologic adverse events at 12 months was 22.2% in fit, 26.4% in intermediate-fitness (HR 1.23, 95%CI 0.89-1.71;  $p=0.217$ ) and 34.0% (HR 1.74, 95%CI 1.28-2.38;  $p<0.001$ ) in frail patients. The cumulative incidence of treatment discontinuation at 12 months was 16.5% in fit, 20.8% in intermediate-fitness (HR 1.41, 95%CI 1.00-2.01,  $p=0.052$ ) and 31.2% (HR 2.21, 95%CI 1.57-3.09;  $p<0.001$ ) in frail patients.

Our frailty score predicts mortality and the risk of toxicity in elderly myeloma patients. The International Myeloma Working group proposes this score for the measurement of frailty in designing future clinical trials.

## INTRODUCTION

Multiple myeloma (MM) is a neoplastic disease which predominantly affects elderly patients,<sup>1</sup> with more than 60% of diagnoses and nearly 75% of deaths occurring in those over 65 years of age.<sup>2</sup> Although novel agents have substantially improved MM outcome,<sup>3-7</sup> patients over 70 years benefit less from new treatments,<sup>8</sup> probably due to an increased treatment-related toxicity and worse biology.<sup>3,5,9-12</sup> The well-known biologic and genetic prognostic factors, as well as age per se, are insufficient to explain this difference.<sup>13-16</sup> The elderly population is highly heterogeneous and assessment strategies are needed to define the frailty profile. Frail patients are underrepresented in clinical trials, and the role of new drugs in these patients is relatively unknown.<sup>17</sup> This prompted the European Medicines Agency to require post-marketing safety studies in the older population.<sup>18</sup> To date, the choice of MM treatment is primarily based on chronologic age and performance status.<sup>19</sup> However, among adults of the same age, physical and cognitive functions can be highly variable. In cancer patients, frailty and comprehensive geriatric assessment (CGA) are being incorporated to guide treatment decisions.<sup>20</sup> Frailty is a state of cumulative decline in many physiological systems, resulting in a diminished resistance to stressors, such as cancer and its treatment.<sup>21-23</sup> The CGA is a multidisciplinary, interdisciplinary patient evaluation with validated tools that can contribute to definition of the frailty profile.<sup>24</sup> In hematology, the CGA is not routinely performed because it is complex and time-consuming. The optimal tools for an appropriate geriatric assessment (GA) need to be established. Recently, three international guidelines have recommended the use of a GA to assess the patients' cognitive and functional status and comorbidities in the context of clinical trials.<sup>25-27</sup> To date, no study in MM has prospectively evaluated the predictive value of a GA, that may be more informative than age and performance status and it could better discriminate between fit and frail patients.

We assessed the predictive role of a baseline GA in 869 elderly newly diagnosed MM patients, to define a frailty score and assess its impact on clinical outcome and toxicity.

## **METHODS**

### **Patient population and study design**

The European Myeloma Network (EMN) and Gruppo Italiano Malattie Ematologiche dell'Adulto (GIMEMA) groups introduced a baseline GA in all trials for newly diagnosed MM patients, ineligible for autologous stem cell transplantation due to age or coexisting comorbidities. Three prospective multicenter trials (EMN01-NCT01093136; 26866138MMY2069-NCT01190787; IST-CAR-506-NCT01346787) were included in this analysis.<sup>28-30</sup> Besides Italy, Czech Republic enrolled patients in the EMN01 study, and the Netherlands participated in the 26866138MMY2069 and IST-CAR-506 studies. Briefly, patients in the EMN01 trial were randomized to lenalidomide with either dexamethasone (Rd) or with cyclophosphamide-prednisone (RCP) or with melphalan-prednisone (MPR). Patients enrolled in the 26866138MMY2069 trial received bortezomib with either prednisone (VP) or with cyclophosphamide-prednisone (VCP) or with melphalan-prednisone (VMP). Patients in the IST-CAR-506 trial received carfilzomib with cyclophosphamide-dexamethasone. Inclusion and exclusion criteria are reported in supplementary table S1. All patients provided written informed consent to participate in the studies, which had been approved by the institutional ethics committees. The studies were conducted in accordance with the Declaration of Helsinki and the principles of Good Clinical Practice.

The primary objective of this analysis was to identify a simple scoring system based on geriatric parameters to predict overall survival (OS). The secondary objectives included the impact evaluation of the frailty scoring system on treatment-related toxicity and progression-free survival (PFS).

### **Assessment**

The GA consisted of three tools: Katz's Activity of Daily Living (ADL), Lawton's Instrumental Activity of Daily Living (IADL) and Charlson comorbidity index (CCI) (Tables S2-S3). The ADL

and the IADL scales were adopted to assess self-care activities, tasks of household management and independence status (Supplementary table S2).<sup>31</sup> The CCI estimates the number and the severity of comorbidities (Supplementary table S3).<sup>32</sup> Performance status, Beta-2-microglobulin, Albumin, International Staging System (ISS)<sup>33</sup> and chromosomal abnormalities [t(4;14), t(11;14), t(14;16), del13, and del17p13] were assessed. OS was calculated from the time of treatment start until the date of death for any cause or the date the patient was last known to be alive. PFS was calculated from the time of treatment start until the date of progression, relapse, death for any cause, or the date the patient was last known to be in remission. Adverse events (AEs) were graded according to National Cancer Institute Common Terminology Criteria for Adverse Events, version 3.0 or 4.0. Cumulative incidence of grade  $\geq 3$  hematologic and non-hematologic events and drug discontinuation were calculated from the time of treatment start until the date of first toxicity or drug discontinuation due to cause other than progression or death, that were considered as competing events. For all the time-to-event endpoints, times of observation were censored on April 22<sup>nd</sup>, 2013.

### **Statistical Analysis**

Patients were analyzed on an intention-to-treat basis. OS and PFS were estimated using the Kaplan-Meier method, while cumulative incidence of grade  $\geq 3$  toxicity and treatment discontinuation were estimated accounting for competing events using the method of Gooley et al.<sup>34</sup> The frailty score was built combining age, ADL and IADL scales and CCI, evaluating their prognostic role on OS. To define a categorisation of these variables, to simplify the score calculation and inspect the potential non-linear relationship with OS, the variables were previously evaluated in a Cox Model using restricted cubic splines transformation. The prognostic role of age, ADL, IADL and CCI was evaluated in a Cox Model including also ISS, unfavorable chromosome profile (defined as t(4;14) or t(14;16) or del17p13), performance status and type of treatment. Variables included in the final model were identified through a backward selection based on the Akaike Information Criterion



(AIC), choosing the final with the lowest AIC. The discrimination ability of the model was evaluated calculating the Harrell's C statistic. Group differences according to the final classification were investigated using the Cox proportional hazard model for OS and PFS, accounting for ISS, chromosome abnormalities, type of treatment and type of regimens, whereas the cumulative incidences of discontinuation and toxicities were calculated using the Fine & Gray model. Finally, as explorative analysis, the CHAID (CHi-squared Automatic Interaction Detector) method was used as an iterative decision tree to determine patient classification based on ISS and frailty score, according to the linear prediction of Cox Model adjusted for chromosome abnormalities and type of treatment.

## **RESULTS**

### **Cohort characteristics**

The three trials included 869 newly diagnosed MM patients. The median age was 74 years and 46% of patients were older than 75 years (Table 1). One-hundred nineteen patients (14%) had an ADL score  $\leq 4$ , 156 (18%) an IADL score  $\leq 5$  and 144 (17%) a CCI  $\geq 2$ . The most frequent co-morbidities are diabetes without organ damage (13.2%), mild renal failure (7.4%), cardio-pulmonary disease (10.4%) and peripheral vascular disease (5.8%). The most frequent parameters that were abnormal in ADL are those linked to the independence in bathing (19.6%), transferring (13.7%) and dressing (12.1%). Similarly, the most frequent parameters that were abnormal in IADL are those related to mode of transportation (38.0%), housekeeping (37.3%), shopping (33.9%) and laundry (31%).

### **Identification of prognostic variables and frailty score development**

For all geriatric components, no strong evidence of linear association was found and their impact on OS was explored using the recorded categorical variables (figure S1). Advanced age, functional decline on ADL and IADL, and the presence of comorbidities showed a trend toward a progressive

worsening of OS (figure S1A). Their impact on OS was investigated in a multivariate Cox regression model (figure S1B). A reduced OS was observed in patients aged 75-80 years (HR 1.35) and was more pronounced in those >80 years (HR 2.68), in those with ADL  $\leq 4$  (HR 1.58) and IADL  $\leq 5$  (HR 1.81) and in patients with CCI  $\geq 2$  (HR 1.58). No difference was found with respect to reference category for ADL 5, IADL 6-7 and CCI 1 ( $p > 0.500$ ). The final stratification of variables was defined according to the following cut-off: ADL ( $>4, \leq 4$ ), IADL ( $>5, \leq 5$ ) and CCI ( $<2, \geq 2$ ). After the backward selection, performance status was removed from the final model (with ECOG PS, AIC=1750.62; without ECOG PS, AIC=1748.92). In a multivariate analysis, adjusted for ISS, chromosome abnormalities and type of therapy, a higher risk of death was observed for patients aged 75-80 years (HR 1.13; 95% CI 0.76-2.40) and >80 years (HR 2.4; 95% CI 1.56-3.71), for those with an ADL score  $\leq 4$  (HR 1.67; 95% CI 1.08-2.56), an IADL  $\leq 5$  (HR 1.43; 95% CI 0.96-2.14) and a CCI  $\geq 2$  (HR 1.37; 95% CI 0.92-2.05) (Table 2). An additive frailty score based on the integer part of HRs (HR=1-2, score=1; HR>2.00, score=2) was then calculated. By combining the risk scores (range 0-5) for these variables, patients were stratified into three distinctive risk groups for OS: fit (score=0); intermediate-fitness (score=1), and frail (score $\geq 2$ ). Table 3 indicates the proportion of patients in each risk group, their OS and their treatment discontinuation and toxicities requiring dose modifications. Among the 260 frail patients, 123 (47%) were older than 80 years and only 50 (19%) were categorized as frail only for age.

### **Prognostic characteristics of the frailty score**

The median follow-up was 18 months (IQR, 11-28 months). By applying the proposed frailty score, the 3-year OS was 84% in fit, 76% in intermediate-fitness (HR 1.61, 95%CI 1.02-2.56,  $p=0.042$ ) and 57% in frail patients (HR 3.57, 95%CI 2.37-5.39,  $p < 0.001$ ) (Figure 1A). In the multivariate analysis, frailty profiles were associated with a shorter OS, regardless of staging and treatment administered (Table 4). One-hundred forty-three (16%) out of the 869 patients died, 34 (10%) in the fit, 39 (14%) in the intermediate-fitness and 70 (27%) in the frail group. The causes of death were:

disease progression [18 (5%) in fit, 22 (8%) in intermediate-fitness and 35 (13%) in frail patients] and toxicity [11 (3%), 10 (4%) and 21 (8%), respectively]. The higher risk of death for disease-progression, especially in frail patients, was related with the lower dose-intensity as a consequence of the higher rate of drug discontinuation and/or dose reduction (Table S4). The most frequent reasons of toxicity-related death were cardiac events [3 (1%) in fit, 2 (1%) in intermediate-fitness, 11 (4%) in frail patients] and infections [2 (1%), 2 (1%) and 8 (3%), respectively]. The prognostic impact of the frailty profile on OS was similar in different subgroups defined by ISS, chromosomal abnormalities, type of treatment (lenalidomide-based and proteasome inhibitor-based therapies) and type of regimens (doublet and triplet regimens) (Figure S2).

By applying the proposed frailty score, the 3-year PFS was 48% in fit, 41% in intermediate-fitness (HR 1.18, 95%CI 0.91-1.53 p 0.211) and 33% in frail patients (HR 1.68, 95%CI 1.31-2.15 p<0.001) (Figure 1B). These data were confirmed in a Cox model (Table 4)

Grade  $\geq 3$  hematologic AEs were documented in 130 (38%) fit, 94 (35%) intermediate-fitness and 79 (30%) frail patients. The cumulative incidences of grade  $\geq 3$  hematologic AEs were 24.1% in fit, 29.3% in intermediate-fitness and 23.2% in frail patients at 4 months, and 38.4% in fit, 35.1% in intermediate-fitness and 32.2% in frail patients at 12 months (Figure 1C). The risk of grade  $\geq 3$  hematologic AE was not significantly higher in intermediate-fitness (HR 0.97, 95% CI 0.74-1.28, p=0.831) and in frail patients (HR 0.94, 95% CI 0.71-1.26, p=0.698) compared with fit ones (table 4).

Grade  $\geq 3$  non-hematologic AEs were reported in 62 (18%) fit, 60 (22%) intermediate-fitness and 77 (30%) frail patients. The cumulative incidences of non-hematologic grade  $\geq 3$  AEs were 16.6% in fit, 16.7% in intermediate-fitness and 26.5% in frail patients at 4 months, and 22.2% in fit, 26.4% in intermediate-fitness and 34.0% in frail patients at 12 months (Figure 1D, table 3). The risk of grade  $\geq 3$  non-hematologic AE was slightly increased in intermediate-fitness (HR 1.13, 95%CI 0.81-1.58;

p 0.462) and significantly increased in frail patients (HR 1.57, 95%CI 1.12-2.19; p=0.008) compared with fit ones (table 4).

Drug discontinuation for any cause, excluding progression and death, was reported in 58 (17%) fit, 58 (22%) intermediate-fitness and 66 (25%) frail patients. The cumulative incidence of treatment discontinuation was 7.4% in fit, 11.9% in intermediate-fitness and 19.2% in frail patients at 4 months, and 16.5% in fit, 20.8% in intermediate-fitness and 31.2% in frail patients at 12 months (Figure 1E, table 3). The risk of drug discontinuation was significantly higher in intermediate-fitness (HR 1.41, 95%CI 1.00-2.01, p=0.052) and in frail patients (HR 2.21, 95%CI 1.57-3.09; p<0.001) compared with fit ones (table 4).

### **Integration of the frailty score into the International Staging System**

Combining the frailty score with the ISS stage, 6 groups were identified: 128 (14.7%) fit patients with ISS stage I, 212 (24.4%) fit patients with ISS stage II or III, 177 (20.4%) intermediate-fitness patients with ISS stage I or II, 92 (10.6%) intermediate-fitness patients with ISS stage III, 161 (18.5%) frail patients with ISS stage I or II and 99 (11.4%) frail patients with ISS stage III (Figure S3). Survival curves for these 6 categories are shown in Figure S4: 11% were considered very high risk patients, with a 3-year OS of 55%; 19% were high risk patients, with a 3-year OS of 61%; 55% were considered intermediate risk patients, with a 3-year OS of 75-77%; and 15% were low risk patients, with a 3-year OS of 94%.

## **DISCUSSION**

This analysis showed that a frailty score that combines age, functional status and comorbidities can predict survival and toxicity, and is useful to determine the feasibility of a treatment regimen. The frailty profile was associated with an increased risk of death, progression, non-hematologic AEs and treatment discontinuation, regardless of ISS stage, chromosome abnormalities and type of treatment.

The global population is rapidly aging, the number of people >80 years is expected to quadruple between 2000 and 2050.<sup>35</sup> The standard approved treatments for newly diagnosed elderly MM include nine 6-week cycles of VMP with twice-weekly bortezomib and twelve 6-week cycles of MPT with 200 mg/day thalidomide. No changes in dose and schedule are approved according to age or performance status. Unfortunately, these standard schedules induced a high rate of grade 3-4 non-hematologic AEs (91% with VMP and 75% with MPT),<sup>3,9</sup> with survival benefit inferior in patients >75 years. Most clinical trials include fit patients, while the majority of frail patients are excluded. In these studies, approximately 10% of patients are >75 years of age. In contrast, approximately 40% of patients who receive treatment for malignancies are frail.<sup>18</sup>

In a community-based population randomized phase 3b study comparing VMP with bortezomib-thalidomide-dexamethasone and bortezomib-dexamethasone,<sup>36</sup> no difference was detected between doublet and triplet regimens. Similarly, continuous treatment with oral Rd significantly improved outcome and reduced the toxicities compared with the standard MPT.<sup>6</sup> These data indirectly suggest that, when frail patients are adequately represented in clinical trials, doublet regimens can be as effective as triplet combinations with a better safety profile.

Although this analysis is based on patients enrolled in clinical trials, the less strict inclusion/exclusion criteria allowed 30% of frail patients to be treated. In our analysis, the 3-year OS rate was 84% in fit, 76% in intermediate-fitness and 57% in frail patients. The OS for fit patients compares favorably with the standard treatments;<sup>3,4</sup> similarly, the survival of frail patients is comparable to that of the community-based population previously reported.<sup>36</sup> A significantly higher cumulative incidence of non-hematologic toxicities and drug discontinuation was reported in frail compared with fit patients, and severe non hematologic AEs and drug discontinuation induced a shorter survival.<sup>12</sup> Unexpectedly, the performance status did not affect OS, whereas the frailty status increased the risk of death by approximately 3 fold, thus confirming the need for a more sophisticated evaluation of elderly patients before starting therapy. Our findings suggest that the cut-off age of 80 years instead of 75 years should be used for the definition of frail conditions.

Indeed, the risk of death is only slightly increased in patients 75-80 years of age, while it is 2.4 times higher in patients >80 years. Besides age, the most common reasons for an increase in frailty were losing independence in self-care activities, household management and transferring/transportation.

By combining the frailty score with the established ISS, the 3-year OS rate was 55% in the frail-ISS 3 group, and 94% in the fit-ISS 1 group. The combination of these two independent parameters significantly improved the prognostic value of the single ones, therefore this is an important strategy in the future for predicting outcome.

Chronologic age, performance status, and physician's clinical judgment are not sufficient to characterize the frail population. The GA is a more sensitive predictor of clinical outcomes, and the proposed score may be adopted as a valid new standard to evaluate patients' frailty. It could be used in everyday clinical practice as well as in the context of research to ensure an adequate representation of elderly patients and to allow more precise cross-trial comparisons. Although evidence-based GA-tailored treatments are still lacking, fit patients could receive full-dose, triplet therapies or even more intensive approach including stem cell transplant. Intermediate-fitness patients may benefit from doublet treatments or less intense triplets.<sup>37</sup> Frail patients could benefit from a gentler, reduced-dose doublet approach or even a palliative/supportive treatment, since the benefits of low toxicity on survival should be considered carefully, especially in the very frail. Future trials comparing full-dose therapy and adjusted schedules in elderly patients will support these recommendations and validate our approach.

The GA is a time and manpower-consuming procedure. To overcome this limitation, an ICT application for computers may significantly reduce the time required to perform the GA to only 5-7 minutes. Of note, the time invested in this procedure should be balanced against the advantage of reducing the subsequent risk of severe AEs by approximately one-third.

The strength of this analysis lies in the large, broadly representative and fairly homogenous set of data provided by 72 European institutions. The applicability of the frailty score in a multicenter

setting is a prerequisite for its use in the clinical practice. Furthermore, the GA was prospectively obtained prior to initiation of chemotherapy and reflects the patient's baseline health rather than the toxicities induced by the therapy.

The major limitation of this study is the absence of an independent validation cohort of patients, since the GA is not routinely performed in an external cohort of patients, and our sample size is inadequate for an internal validation. The presence of patients exclusively enrolled in experimental trials may be another limitation, yet this allows more homogeneous treatment, thus avoiding the bias of different treatments. Furthermore, although population-based data also include the most frail patients and consequently may give the opportunity to investigate the role of frailty in the population, such databases typically lack the level of detail captured in clinical trials, limiting the possibility to conduct a risk factor analysis.

In summary, this study supports the systematic, prospective use of a GA as important additional tool in the clinical evaluation. Our findings point out some relevant issues of patients' functional and health status that have a prognostic importance similar to that of myeloma-related risk factors, such as ISS and chromosomal abnormalities. Prospective studies to validate our findings as well as a unique score reflecting both the reserve capacity of patients and established disease-specific risk factors are needed to provide comprehensive algorithms for therapeutic decision-making.

**Author Contributions:** All the IMWG authors (M.-V.T., TF, SKK, PMcCarthy, SL, SZ, ET, AB, RH, HL, AKS, PMoreau, KA, HE, BGMD, MAD, OL, JFSM, PR, PS, SVR) in collaboration with Italian authors (AP, SB, AL, MO, AE, PMusto) had full access to all of the data in the study, designed the analyses, interpreted the data, made comments and suggestions to improve the manuscript, approved the final manuscript and take responsibility for the integrity of the data and the accuracy of the data analysis. AP, SB, and AL supervised the study. AP, SB, AL, MO, SZ, PMusto, RH, and PS provided study material and data. SB and AL collected and assembled the data. AE performed the statistical analysis. AP, SB and AL wrote the first draft of the manuscript.

**Conflicts of interest disclosure:** AP has received honoraria from Amgen, Array BioPharma, Bristol-Myers Squibb, Genmab A/S, Celgene, Janssen-Cilag, Millennium Pharmaceuticals Inc, Onyx Pharmaceuticals, Sanofi Aventis, consultancy fees from Amgen, Bristol-Myers Squibb, Genmab A/S, Celgene, Janssen-Cilag, Millennium Pharmaceuticals Inc., Onyx Pharmaceuticals. SB has received honoraria from Celgene, Janssen-Cilag and Novartis, consultancy fees from Onyx, and served on the advisory committee of Merck Sharp & Dohme. MVM has received honoraria from Celgene and Janssen-Cilag, and served on the speakers bureau of Celgene, Millennium, Ortho-Biotech. AL has received honoraria from Celgene and Janssen-Cilag. TF has received honoraria from Celgene and Janssen. SKK has received institutional clinical trial funding from Celgene, Novartis, Onyx, Millenium, Cephalon, Merck, Abbot. MO has received honoraria from Celgene and Janssen. PMcCarthy has served on the advisory board and received honoraria from Celgene, Millenium, Janssen. SL is a consultant for Millennium, Celgene, Novartis, BMS, Onyx, and Janssen. SZ has served on the advisory board and received a research grant from Celgene, Janssen-Cilag, Millennium. PMusto has received research funds from Celgene and honoraria from Celgene, Janssen, Sanofi, Novartis. ET has received honoraria from Novartis, Amgen, Celgene, Onyx, and advisory fees from Amgen; Steering Committee of Amgen, Janssen-Cilag, and educational grants from Amgen, Janssen-Cilag, Celgene. RH has received consultancy fees from Celgene, Janssen, Merck. AKS has received consultancy fees and honoraria from Celgene, Array Pharmaceuticals, Millennium Pharmaceuticals and Novartis. PMoreau has served on the advisory board of Janssen, Millennium, Onyx, Celgene, and received honoraria from Janssen, Celgene, Mundipharma. KA has served on the advisory board of Millennium, Gilead, Sanofi Aventis, Onyx. HE has received consultancy fees and honoraria from Celgene, Janssen-Cilag. BGMD has received honoraria from Celgene. MAD has received honoraria from Celgene, Ortho-Biotech and Onyx. JFSM has received consultancy fees and honoraria from Janssen-Cilag, Millennium Pharmaceuticals, Celgene, Onyx Pharmaceuticals, Novartis. PR is a member of advisory board for Celgene Corporation. PS has



received research support from Celgene, Janssen, Onyx, Millenium. The other authors have no conflicts of interest.

**Acknowledgements:** We thank all the patients who participated in the source studies, the nurses Verbale Michela and Puccio Loredana, the data managers Antonella Fiorillo, Jessica Mastrovito and Marta Santoro, and the editorial assistant Giorgio Schirripa from Torino.

The study was promoted by Fondazione Neoplasie Sangue Onlus, but no funding was received for this study. The promoter had no role in the design and conduct of the study; interpretation of the data; review and approval of the manuscript.

**\*Additional collaborators.**

**In addition to the authors, the following investigators have contributed to this study:** Luana Boccadifuoco, MS, Fondazione Neoplasie Sangue Onlus, Torino, Italy; Mario Boccadoro, MD, University of Torino, Torino, Italy; Michele Cavo, MD, Seràgnoli Institute of Hematology, Bologna, Italy; Giovannino Ciccone, MD, Azienda Ospedaliero-Universitaria Città della Salute e della Scienza di Torino, Torino, Italy; Javier de la Rubia, MD, Valencia, Spain; Herman Einsele, MD, Department of Internal Medicine II, University Hospital Würzburg, Würzburg, Germany; Vania Hungria, MD, Clinica San Germano, Sao Paulo, Brazil; Artur Jurczynszyn, MD, University Hospital Cracow, Cracow, Poland; Sigurdur Kristinsson, MD, Karolinska University Hospital, Solna, Karolinska Institutet, Stockholm, Sweden; Robert Kyle, MD, Division of Hematology, Mayo Clinic, Rochester, Minnesota, USA; Anna Marina Liberati, MD, Università degli Studi di Perugia, S.C. Oncoematologia con autotrapianto A.O. Santa Maria Terni, Italy; Giampaolo Merlini, MD, Foundation IRCCS Policlinico San Matteo and Department of Molecular Medicine, University of Pavia, Pavia, Italy; Roberto Mina, MD, University of Torino, Torino, Italy; Gareth Morgan, MD, Royal Marsden Hospital, London, England; Ruben Niesvizky, MD, Weill Cornell Medical College,

New York, New York, USA; Robert Orłowski, MD, MD Anderson Cancer Center, Houston, Texas, USA; Maria Teresa Petrucci, MD, Sapienza University of Rome, Rome, Italy; Orhan Sezer, MD, Memorial Sisli Hastanesi, Istanbul Turkey; Kazuyuki Shimizu, MD, Nagoya City Midori General Hospital, Nagoya, Japan; Andrew Spencer, MD, The Alfred Hospital, Melbourne, Australia; Plesner Torben, MD, Vejle Hospital, Denmark; Jan Westin, MD, Sahlgrenska University Hospital, Sweden.

## REFERENCES

1. Palumbo A, Anderson K. Multiple myeloma. *N Engl J Med*. 2011;364(11):1046-1060.
2. Altekruse SF, Kosary CL, Krapcho M, et al. (eds): SEER Cancer Statistics Review, 1975-2007, [http://seer.cancer.gov/csr/1975\\_2007/](http://seer.cancer.gov/csr/1975_2007/)
3. San Miguel JF, Schlag R, Khuageva NK, et al. Bortezomib plus melphalan and prednisone for initial treatment of multiple myeloma. *N Engl J Med*. 2008;359(9):906-917.
4. Fayers PM, Palumbo A, Hulin C, et al. Thalidomide for previously untreated elderly patients with multiple myeloma: meta-analysis of 1685 individual patient data from 6 randomized clinical trials. *Blood*. 2011;118(5):1239-1247.
5. Palumbo A, Hajek R, Delforge M, et al. Continuous lenalidomide treatment for newly diagnosed multiple myeloma. *N Engl J Med*. 2012;366(19):1759-1769.
6. Benboubker L, Dimopoulos MA, Dispenzieri A, et al. Lenalidomide and dexamethasone in transplant-ineligible patients with myeloma. *N Engl J Med*. 2014;371(10):906-917.
7. Kumar SK, Rajkumar SV, Dispenzieri A, et al. Improved survival in multiple myeloma and the impact of novel therapies. *Blood*. 2008;111(5):2516-2520, 2008.
8. Verlest S, Karim-Kos H, Blommenstein H, et al. Are we making progress? Survival in plasma cell malignancies in the era of novel treatments. A population based study of 17790 patients in the Netherlands [Abstract]. *Haematologica*. 2012;97:242, Abstract 0592.
9. Palumbo A, Waage A, Hulin C, et al. Safety of thalidomide in newly diagnosed elderly myeloma patients: a meta-analysis of data from individual patients in six randomized trials. *Haematologica*. 2013;98(1):87-94..
10. San Miguel JF, Schlag R, Khuageva NK, et al. Persistent overall survival benefit and no increased risk of second malignancies with bortezomib-melphalan-prednisone versus melphalan-prednisone in patients with previously untreated multiple myeloma. *J Clin Oncol*. 2013;31(4):448-455.

11. Schaapveld M, Visser O, Siesling S, et al. Improved survival among younger but not among older patients with Multiple Myeloma in the Netherlands, a population-based study since 1989. *Eur J Cancer*. 2010;46(1):160-169.
12. Bringhen S, Mateos MV, Zweegman S, et al. Age and organ damage correlate with poor survival in myeloma patients: meta-analysis of 1435 individual patient data from 4 randomized trials. *Haematologica*. 2013;98(6):980-987.
13. Chng WJ, Dispenzieri A, Chim CS, et al. IMWG consensus on risk stratification in multiple myeloma. *Leukemia*. 2014;28(2):269-277.
14. Kumar SK, Dispenzieri A, Gertz MA, et al. Continued Improvement in Survival in Multiple Myeloma and the Impact of Novel Agents [Abstract]. *Blood*. 2012;120. Abstract 3972.
15. Siegel DS, Desikan KR, Mehta J, et al. Age is not a prognostic variable with autotransplants for multiple myeloma. *Blood*. 1999;93(1):51-54.
16. Lenhoff S, Hjorth M, Westin J, et al. Impact of age on survival after intensive therapy for multiple myeloma: a population-based study by the Nordic Myeloma Study Group. *Br J Haematol*. 2006;133(4):389-396.
17. Hutchins LF1, Unger JM, Crowley JJ, et al. Underrepresentation of patients 65 years of age or older in cancer-treatment trials. *N Engl J Med*. 1999;341(27):2061-2067.
18. Cerreta F, Eichler HG, Rasi G. Drug policy for an aging population--the European Medicines Agency's geriatric medicines strategy. *N Engl J Med*. 367(21):1972-1974.
19. Palumbo A, Rajkumar SV, San Miguel JF, et al. International Myeloma Working Group consensus statement for the management, treatment, and supportive care of patients with myeloma not eligible for standard autologous stem-cell transplantation. *J Clin Oncol*. 2014;32(6):587-600.
20. Hamaker ME, Jonker JM, de Rooij SE, et al. Frailty screening methods for predicting outcome of a comprehensive geriatric assessment in elderly patients with cancer: a systematic review. *Lancet Oncol*. 2012;13(10):e437-444.

21. Clegg A, Young J, Iliffe S, et al. Frailty in elderly people. *Lancet*. 2013;381(9868):752-762, 2013.
22. Fried LP, Tangen CM, Walston J, et al. Frailty in older adults: evidence for a phenotype. *J Gerontol A Biol Sci Med Sci*. 2001; 56(3):M146-156.
23. Slaets JP: Vulnerability in the elderly: frailty. *Med Clin North Am*. 2006;90(4):593-601.
24. Ellis G, Whitehead MA, O'Neill D, et al. Comprehensive geriatric assessment for older adults admitted to hospital. *Cochrane Database Syst Rev*. 2011;CD006211.
25. Hurria A, Browner IS, Cohen HJ, et al. Senior adult oncology. *J Natl Compr Canc Netw*. 2012;10(2):162-209.
26. Extermann M, Aapro M, Bernabei R, et al. Use of comprehensive geriatric assessment in older cancer patients: Recommendations from the task force on CGA of the International Society of Geriatric Oncology (SIOG). *Crit Rev Oncol Hematol*. 2005;55(3):241-252.
27. Pallis AG, Ring A, Fortpied C, et al. EORTC workshop on clinical trial methodology in older individuals with a diagnosis of solid tumors. *Ann Oncol*. 2011;22(8):1922-1926.
28. Palumbo A, Magarotto V, Bringhen S, et al. A Randomized Phase 3 Trial Of Melphalan-Lenalidomide-Prednisone (MPR) Or Cyclophosphamide-Prednisone-Lenalidomide (CPR) Vs Lenalidomide Plus Dexamethsone (Rd) In Elderly Newly Diagnosed Multiple Myeloma Patients [Abstract]. *Blood*. 2013;122:21. Abstract 536.
29. Larocca A, Cavallo F, Magarotto V, et al. Reduced Dose-Intensity Subcutaneous Bortezomib Plus Prednisone (VP) Or Plus Cyclophosphamide (VCP) Or Plus Melphalan (VMP) For Newly Diagnosed Multiple Myeloma Patients Older Than 75 Years Of Age [Abstract]. *Blood*. 2013;122:21. Abstract 539.
30. Bringhen S, Cerrato C, Petrucci MT, et al. Carfilzomib, cyclophosphamide, and dexamethasone in patients with newly diagnosed multiple myeloma: a multicenter, phase 2 study. *Blood*. 2014;124(1):63-69.

31. Lawton MP. Scales to measure competence in everyday activities. *Psychopharmacol Bull.* 1988;24(4):609-614.
32. Charlson ME, Pompei P, Ales KL, et al. A new method of classifying prognostic comorbidity in longitudinal studies: development and validation. *J Chronic Dis.* 1987;40(5):373-383.
33. Greipp PR, San Miguel J, Durie BG, et al. International staging system for multiple myeloma. *J Clin Oncol.* 2005;23(15):3412-3420.
34. Gooley TA, Leisenring W, Crowley J, et al. Estimation of failure probabilities in the presence of competing risks: new representations of old estimators. *Stat Med.* 1999;18(6):695–706.
35. WHO, Good health adds life to years. Global brief for World Health Day 2012. [http://www.who.int/ageing/publications/whd2012\\_global\\_brief/en/](http://www.who.int/ageing/publications/whd2012_global_brief/en/)
36. Niesvizky R, Flinn I, Rifkin RM, et al. Efficacy and Safety Of Three Bortezomib-Based Induction and Maintenance Regimens In Previously Untreated, Transplant-Ineligible Multiple Myeloma (MM) Patients (Pts): Final Results From The Randomized, Phase 3b, US Community-Based UPFRONT Study (NCT00507416) [Abstract]. *Blood.* 2013;122:21. Abstract 1966.
37. Richardson PG, Weller E, Lonial S, et al. Lenalidomide, bortezomib, and dexamethasone combination therapy in patients with newly diagnosed multiple myeloma. *Blood.* 2010;116(5):679-686.

**Table 1. Baseline patient characteristics**

	No. of patients (N=869)	% of patients	Median (IQR)
<b>Age (years)</b>			74 (70-78)
≤65	16	2	
65-74	451	52	
≥75	402	46	
≥80	161	19	
<b>Creatinine (mg/dl)</b>			0.98 (0.80-1.22)
<2	802	92	
≥2	37	5	
Missing	30	3	
<b>ECOG Performance status</b>			
0	258	30	
1	398	46	
2	166	19	
3	14	2	
<b>International Staging System</b>			
I	239	28	
II	361	42	
III	269	31	
<b>Chromosome abnormalities</b>			
t(4;14)	80	9	
t(14;16)	22	3	
del17p13	105	12	
Missing	147	17	
Unfavorable profile	329	38	
<b>ADL</b>			6 (5-6)
>4	750	86	
≤4	119	14	
<b>IADL</b>			8 (6-8)
>5	713	82	
≤5	156	18	
<b>Charlson Comorbidity Index</b>			0 (0-1)
≤1	725	83	
≥2	144	17	
<b>Therapy</b>			
Lenalidomide-containing regimens	659	76	
Proteasome inhibitors-containing regimens	210	24	

Abbreviations : IQR, interquartile range; ECOG, Eastern Cooperative Oncology Group; ADL, Activity of Daily Living; IADL, Instrumental Activity of Daily Living. Unfavorable profile defined as t(4;14) or t(14;16) or del17p13.

**Table 2. The final Cox regression model\***

	<b>HR (95% CI)</b>	<b>p-value</b>	<b>Score</b>
<b>Age</b>			
≤ 75 years	1	-	0
76-80 years	1.13 (0.76-1.69)	0.549	1
> 80 years	2.40 (1.56-3.71)	<0.001	2
<b>ADL</b>			
>4	1	-	0
≤4	1.67 (1.08-2.56)	0.020	1
<b>IADL</b>			
>5	1	-	0
≤5	1.43 (0.96-2.14)	0.078	1
<b>Charlson Comorbidity Index</b>			
≤1	1	-	0
≥2	1.37 (0.92-2.05)	0.125	1
<b>International Staging System</b>			
I	1	-	-
II	2.37 (1.38-4.09)	0.002	-
III	3.21 (1.85-5.58)	<0.001	-
<b>Chromosome abnormalities</b>			
Favorable	1	-	-
Unfavorable	1.79 (1.23-2.60)	0.002	-
Missing	1.13 (0.69-1.83)	0.036	-
<b>Therapy</b>			
Proteasome inhibitors	1	-	-
Lenalidomide	0.74 (0.50-1.11)	0.142	-

Harrell's C index=0.7069 AIC=1748.918.

\*Hazard ratios and relative risks are for overall survival in patients with the factors as compared with those without the factors. The model was adjusted for International Staging System, chromosome abnormalities and therapy.

Abbreviations: HR, hazard ratio; CI, confidence interval; ADL, Activity of Daily Living; IADL, Instrumental Activity of Daily Living. Unfavorable profile defined as t(4;14) or t(14;16) or del17p13.



**Table 3. Additive total score and related rate of overall survival and progression-free survival at 3 years.**

Additive total score	Patient Status	Number of patients (%)	Overall Survival	Progression-free Survival	Treatment discontinuation	Grade 3-4 non-hematologic AEs
			% (95% CI)	% (95% CI)	Cumulative incidence at 12 months - %	Cumulative incidence at 12 months - %
0	Fit	340 (39)	84 (78-89)	48 (41-56)	16	22
1	Intermediate-fitness	269 (31)	76 (67-82)	41 (32-49)	21	26
≥ 2	Frail	260 (30)	57 (45-68)	33 (25-41)	31	34

In univariate Cox model the Harrell's C index=0.6608 and the AIC= 1766.077. In multivariate Cox model the Harrell's C index=0.7092 and the AIC=1743.353. Abbreviations: 95% CI, 95 percent confidence interval; AEs, adverse events

**Table 4: Univariate and multivariate analysis of the impact of the frailty profile of patients on overall survival, progression-free survival, discontinuation rate and incidence of grade 3 or higher toxicity.**

	Overall survival		Progression-free survival		Discontinuation		Grade $\geq 3$ hematologic toxicity		Grade $\geq 3$ non-hematologic toxicity	
	HR (95% CI)	P-value	HR (95% CI)	p-value	HR (95% CI)	P-value	HR (95% CI)	p-value	HR (95% CI)	p-value
<b>Crude:</b>										
Fit	1	-	1	-	1	-	1	-	1	-
Intermediate-fitness	1.61 (1.02-2.56)	0.042	1.18 (0.91-1.53)	0.211	1.48 (1.05-2.10)	0.026	0.97 (0.74-1.27)	0.808	1.23 (0.89-1.71)	0.217
Frail	3.57 (2.37-5.39)	<0.001	1.68 (1.31-2.15)	<0.001	2.27 (1.64-3.14)	<0.001	0.83 (0.62-1.09)	0.181	1.74 (1.28-2.38)	<0.001
<b>Adjusted*:</b>										
Fit	1	-	1	-	1	-	1	-	1	-
Intermediate-fitness	1.37 (0.86-2.18)	0.181	1.08 (0.83-1.40)	0.583	1.41 (1.00-2.01)	0.052	0.97 (0.74-1.28)	0.831	1.13 (0.81-1.58)	0.462
Frail	2.88 (1.88-4.40)	<0.001	1.48 (1.15-1.92)	0.003	2.21 (1.57-3.09)	<0.001	0.94 (0.71-1.26)	0.698	1.57 (1.12-2.19)	0.008

\*adjusted for International Staging System, chromosome abnormalities and therapy. Abbreviations: HR, hazard ratio; 95% CI, 95 percent confidence interval.

**FIGURES LEGEND:**

Figure 1: Long-term outcomes. Overall survival (A); progression-free survival (B) and cumulative incidence of haematological adverse events (C), non-haematological adverse events (D) and discontinuation (E) in the intention-to-treat population

