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(Article begins on next page)
A FACTOR OF INTEGER POLYNOMIALS WITH MINIMAL INTEGRALS

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Abstract. For each positive integer \( N \), let \( S_N \) be the set of all polynomials \( P(x) \in \mathbb{Z}[x] \) with degree less than \( N \) and minimal positive integral over \([0,1]\). These polynomials are related to the distribution of prime numbers since \( \int_0^1 P(x) dx = \exp(-\psi(N)) \), where \( \psi \) is the second Chebyshev function. We prove that for any positive integer \( N \) there exists \( P(x) \in S_N \) such that \( (x(1-x))^\lfloor N/3 \rfloor \) divides \( P(x) \) in \( \mathbb{Z}[x] \). In fact, we show that the exponent \( \lfloor N/3 \rfloor \) cannot be improved. This result is analogous to a previous of Aparicio concerning polynomials in \( \mathbb{Z}[x] \) with minimal positive \( L_\infty \) norm on \([0,1]\). Also, it is in some way a strengthening of a result of Bazzanella, who considered \( x^\lfloor N/2 \rfloor \) and \( (1-x)^\lfloor N/2 \rfloor \) instead of \( (x(1-x))^\lfloor N/3 \rfloor \).

1. Introduction

It is well-known that the celebrated Prime Number Theorem is equivalent to the assertion:

\[ \psi(x) \sim x, \text{ as } x \to +\infty. \]

Here \( \psi(x) \) is the second Chebyshev function, defined for \( x \geq 0 \) as

\[ \psi(x) := \sum_{p^m \leq x} \log p, \]

where the sum is extended over all the prime numbers \( p \) and all the positive integers \( m \) such that \( p^m \leq x \).

In 1936, Gelfond and Shnirelman proposed an elementary and clever method to obtain lower bounds for \( \psi(x) \) (see Gelfond’s comments in [5, pp. 285–288]). In 1982, the same method was rediscovered and developed by Nair [9, 10].

The main idea of the Gelfond–Shnirelman–Nair method is the following: Given a positive integer \( N \), let \( P_N(x) \) be a polynomial with integer coefficients and degree less than \( N \), say

\[ P_N(x) = \sum_{n=0}^{N-1} a_n x^n, \]

with \( a_0, \ldots, a_{N-1} \in \mathbb{Z} \). Now consider the integral of \( P_N(x) \) over \([0,1]\), that is

\[ I(P_N) := \int_0^1 P_N(x) dx = \sum_{n=0}^{N-1} a_n \frac{n+1}{n+1}. \]

Clearly, \( I(P_N) \) is a rational number whose denominator divides

\[ d_N := \text{lcm}\{1,2,\ldots,N\}, \]

hence \( d_N|I(P_N)| \) is an integer. In particular, if we suppose \( I(P_N) \neq 0 \), then \( d_N|I(P_N)| \geq 1 \). Now \( d_N = \exp(\psi(N)) \), so we get

\[ (1.1) \quad \psi(N) \geq \log \left( \frac{1}{|I(P_N)|} \right). \]

Finally, from the trivial upper bound

\[ |I(P_N)| = \left| \int_0^1 P_N(x) dx \right| \leq \int_0^1 |P_N(x)| dx \leq \max_{x \in [0,1]} |P_N(x)| =: \|P_N\|, \]

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we obtain
\[(1.2) \quad \psi(N) \geq \log\left(\frac{1}{\|P_N\|}\right).\]

At this point, if we choose \(P_N\) to have a sufficiently small norm \(\|P_N\|\), then a lower bound for \(\psi(x)\) follows from (1.2). For example, the choice
\[P_N(x) = (x(1 - x))^{2((N-1)/2)}\]
gives the lower bound
\[\psi(N) \geq \log 2 \cdot (N - 2) > 0.694 \cdot (N - 2) > 0.694 \cdot 0.694 \cdot (N - 2) > 0.

This motivates the study of the quantities
\[\ell_N := \min\{\|P\| : P(x) \in \mathbb{Z}[x], \deg(P) < N, \|P\| > 0\},\]
\[C_N := \frac{1}{N} \log\left(\frac{1}{\ell_N}\right),\]
and the set of polynomials
\[T_N := \{P(x) \in \mathbb{Z}[x] : \deg(P) < N, \|P\| = \ell_N\};\]
the so-called Integer Chebyshev Problem [4].

In particular, Aparicio [1] proved the following theorem about the structure of polynomials in \(T_N\).

**Theorem 1.1.** Given any sufficiently large positive integer \(N\), for all \(P \in T_N\) it holds
\[(x(1 - x))^{|\lambda_1|N}(2x - 1)^{|\lambda_2|N}(5x^2 - 5x + 1)^{|\lambda_3|N} | P(x)\]
in \(\mathbb{Z}[x]\), where
\[\lambda_1 \in [0.1456, 0.1495], \quad \lambda_2 \in [0.0166, 0.0187], \quad \lambda_3 \in [0.0037, 0.0053]\]
are some constants.

It is known that \(C_N\) converges to a limit \(C\), as \(N \to +\infty\) (see [8, Chapter 10]). Furthermore, Pritsker [11, Theorem 3.1] showed that
\[C \in [0.85991, 0.86441[,\]
and this is the best estimate of \(C\) known to date.

As a consequence of Pritsker’s result, the Gelfond–Shnirelman–Nair method cannot lead to a lower bound better than
\[\psi(x) \geq 0.86441 \cdot x,\]
which is quite far from what is expected by the Prime Number Theorem.

To deal with this problem, Bazzanella [2, 3] suggested to study the polynomials \(P_N\) such that \(|I(P_N)|\) is nonzero and minimal, or, without loss of generality, such that \(I(P_N)\) is positive and minimal.

We recall the following elementary lemma about the existence of solutions of some linear diophantine equations.

**Lemma 1.2.** Fix some integers \(c_1, \ldots, c_k\). Then the diophantine equation
\[\sum_{i=1}^{k} c_i x_i = 1\]
has a solution \(x_1, \ldots, x_k \in \mathbb{Z}\) if and only if \(\gcd\{c_1, \ldots, c_k\} = 1\). Moreover, if a solution exists, then there exist infinitely many solutions.

On the one hand, because of the above considerations, we known that if \(I(P_N) > 0\) then \(I(P_N) \geq 1/d_N\). On the other hand, \(I(P_N) = 1/d_N\) if and only if
\[\sum_{n=0}^{N-1} \frac{d_N}{n+1} \cdot a_n = 1,\]
and it is easy to see that each of the coefficients \(d_N/(n+1)\) is an integer and
\[\gcd\left\{\frac{d_N}{n+1} : n = 0, \ldots, N - 1\right\} = 1.\]
Hence, by Lemma 1.2, there exist infinitely many polynomials \(P_N\) such that \(I(P_N) = 1/d_N\), so that (1.1) holds with the equality.
This leads to define the following set of polynomials
\[ S_N := \{ P(x) \in \mathbb{Z}[x] : \deg(P) < N, I(P) = 1/d_N \}. \]

Bazzanella proved some results about the roots of the polynomials in \( S_N \). In particular, regarding the multiplicity of the roots \( x = 0 \) and \( x = 1 \), he gave the following theorem [2, Theorem 1], which is vaguely similar to Theorem 1.1.

**Theorem 1.3.** For each positive integer \( N \), there exists \( P(x) \in S_N \) such that
\[ x^{\lfloor N/2 \rfloor} \mid P(x) \]
in \( \mathbb{Z}[x] \). Moreover, the exponent \( \lfloor N/2 \rfloor \) cannot be improved, i.e., there exist infinitely many positive integers \( N \) such that
\[ x^{\lfloor N/2 \rfloor + 1} \mid P(x) \]
for all \( P(x) \in S_N \). The same results hold if the polynomial \( x^{\lfloor N/2 \rfloor} \) is replaced by \((1 - x)^{\lfloor N/2 \rfloor}\).

Actually, what Bazzanella proved is that the maximum nonnegative integer \( K(N) \) such that there exists a polynomial \( P(x) \in S_N \) divisible by \( x^{K(N)} \), respectively by \((1 - x)^{K(N)}\), is given by
\[ K(N) = \min \{ p^m - 1 : p \text{ prime}, m \geq 1, p^m > N/2 \}, \]
so that Theorem 1.3 follows quickly.

Despite the similarity between Theorems 1.1 and 1.3, note that the statement of Theorem 1.1 holds “for all \( P(x) \in T_N \)”, while Theorem 1.3 only says that “there exists \( P(x) \in S_N \)”. However, this distinction is unavoidable, indeed: On the one hand, \( T_N \) is a finite set, even conjectured to be a singleton for any sufficiently large \( N \) [4, Sec. 5, Q2]. On the other hand, \( S_N \) is an infinite set and if \( P(x) \in S_N \) then \((dn + 1)P(x) - 1 \in S_N \), hence the elements of \( S_N \) have no common nontrivial factor in \( \mathbb{Z}[x] \).

The purpose of this paper is to move another step further in the direction of a stronger analog of Theorem 1.1 for the set of polynomials \( S_N \). For we prove the following theorem.

**Theorem 1.4.** For each positive integer \( N \), there exist infinitely many \( P(x) \in S_N \) such that
\[ (x(1 - x))^{\lfloor N/3 \rfloor} \mid P(x) \]
in \( \mathbb{Z}[x] \). Moreover, the exponent \( \lfloor N/3 \rfloor \) cannot be improved, i.e., there exist infinitely many positive integers \( N \) such that
\[ (x(1 - x))^{\lfloor N/3 \rfloor + 1} \mid P(x), \]
for all \( P(x) \in S_N \).

We leave the following informal question to the interested readers:

**Question.** Let \( \{ Q_N(x) \}_{N \geq 1} \) be a sequence of “explicit” integer polynomials such that for each positive integer \( N \) it holds \( Q_N(x) \mid P(x) \) in \( \mathbb{Z}[x] \), for some \( P(x) \in S_N \). In light of Theorems 1.3 and 1.4, three examples of such sequences are given by \( \{ x^{\lfloor N/2 \rfloor} \}_{N \geq 1} \), \( \{ (1 - x)^{\lfloor N/2 \rfloor} \}_{N \geq 1} \), and \( \{ (x(1 - x))^{\lfloor N/3 \rfloor} \}_{N \geq 1} \).

How big can be
\[ \delta := \lim_{N \to +\infty} \inf \frac{\deg(Q_N)}{N} \]
Can \( \delta \) be arbitrary close to 1, or even equal to 1?

Note that the sequences of Theorem 1.3 give \( \delta = 1/2 \), while the sequence of Theorem 1.4 gives \( \delta = 2/3 \).

2. **Preliminaries**

In this section, we collect a number of preliminary results needed to prove Theorem 1.4. The first is a classic theorem of Kummer [7] concerning the \( p \)-adic valuation of binomial coefficients.

**Theorem 2.1.** For all integers \( u, v \geq 0 \) and any prime number \( p \), the \( p \)-adic valuation of the binomial coefficient \( \binom{u + v}{u} \) is equal to the number of carries that occur when \( u \) and \( v \) are added in the base \( p \).

Now we can prove the following lemma.
Lemma 2.2. For any positive integer \(N\), and for all integers \(u, v \geq 0\) with \(u + v < N\), we have that
\[
\frac{d_N}{(u + v + 1)^{\left(\frac{u+v}{u}\right)}}
\]
is an integer.

Proof. We have to prove that for any prime number \(p \leq N\) the \(p\)-adic valuation of the denominator of (2.1) does not exceed \(\nu_p(d_N) = \lfloor \log_p N \rfloor\). Write \(u + v + 1\) in base \(p\), that is
\[
u_p(u + v + 1) = \sum_{i = i_0}^s d_i p^i,
\]
where \(i_0 := \nu_p(u + v + 1)\) and \(d_{i_0}, \ldots, d_s \in \{0, \ldots, p - 1\}\), with \(d_{i_0}, d_s > 0\). Hence, the expansion of \(u + v\) in base \(p\) is
\[
u_p\left((u + v + 1)\left(\frac{u + v}{v}\right)\right) = i_0 + i_1 \leq s \leq \lfloor \log_p N \rfloor,
\]
where the last inequality holds since \(u + v + 1 \leq N\).

We recall the value of a well-known integral (see, e.g., [6, Sec. 11.1.7.1, Eq. 2]).

Lemma 2.3. For all integers \(u, v \geq 0\), it holds
\[
\int_0^1 x^u (1 - x)^v dx = \frac{1}{(u + v + 1)^{\left(\frac{u+v}{u}\right)}}.
\]

We conclude this section with a lemma that will be fundamental in the proof of Theorem 1.4.

Lemma 2.4. Let \(N\) and \(m\) be integers such that \(N \geq 1\) and \(0 \leq m \leq (N - 1)/2\). The following statements are equivalent:

(i) There exist infinitely many \(P(x) \in S_N\) such that \((x(1 - x))^m \mid P(x)\) in \(\mathbb{Z}[x]\).

(ii) For each prime number \(p \leq N\), there exists an integer \(h_p\) such that \(h_p \in [m, N - m - 1]\) and
\[
\nu_p\left((h_p + m + 1)\left(\frac{h_p + m}{m}\right)\right) = \lfloor \log_p N \rfloor.
\]

Proof. Let \(P(x) \in \mathbb{Z}[x]\) be such that \(\deg(P) < N\) and
\[(x(1 - x))^m \mid P(x)\]
in \(\mathbb{Z}[x]\). Hence,
\[
P(x) = (x(1 - x))^m \sum_{h = m}^{N - m - 1} b_h x^{h - m},
\]
for some \(b_m, \ldots, b_{N - m - 1} \in \mathbb{Z}\). Then, by Lemma 2.3, it follows that
\[
I(P) = \sum_{h = m}^{N - m - 1} b_h \int_0^1 x^h (1 - x)^m dx = \sum_{h = m}^{N - m - 1} \frac{b_h}{(h + m + 1)^{\left(\frac{h+m}{m}\right)}}.
\]
Now we have \(P(x) \in S_N\) if and only if \(I(P) = 1/d_N\), i.e., if and only if
\[
\sum_{h = m}^{N - m - 1} \frac{d_N}{(h + m + 1)^{\left(\frac{h+m}{m}\right)}} \cdot b_h = 1.
\]
Therefore, thanks to Lemma 2.2 and Lemma 1.2, we get infinitely many \(P(x) \in S_N\) if and only if
\[
\gcd\left\{\frac{d_N}{(h + m + 1)^{\left(\frac{h+m}{m}\right)}} : h = m, \ldots, N - m - 1\right\} = 1.
\]
At this point, recalling that \( \nu_p(d_N) = \lfloor \log_p N \rfloor \) for each prime number \( p \), the equivalence of (i) and (ii) follows easily.

\[\square\]

3. Proof of Theorem 1.4

We are ready to prove Theorem 1.4. Put \( m := \lfloor N/3 \rfloor \), \( s := \lfloor \log_p N \rfloor \), and pick a prime number \( p \leq N \). In light of Lemma 2.4, in order to prove the first part of Theorem 1.4 we have to show the existence of an integer \( h_p \in [m, N - m - 1] \) such that

\[\nu_p(h_p + m + 1) = s.\]

Let us write \( N = \ell p^s + r \), for some \( \ell \in \{1, \ldots, p-1\} \) and \( r \in \{0, \ldots, p^s - 1\} \). We split the proof in three cases:

**Case** \( \ell \geq 2 \). It is enough to take \( h_p := \ell p^s - m - 1 \). In fact, on the one hand, it is straightforward that (3.1) holds. On the other hand, since \( \ell \geq 2 \), we have

\[h_p = \ell p^s - m - 1 \geq \frac{2}{3}(\ell + 1)p^s - m - 1 > \frac{2}{3}N - m - 1 \geq m - 1,
\]

while clearly \( h_p \leq N - m - 1 \), hence \( h_p \in [m, N - m - 1] \), as desired.

**Case** \( m < p^{s-1} \). It holds

\[\frac{p^s}{3} \leq \frac{N}{3} < m + 1 \leq p^{s-1},\]

hence \( p = 2 \). Now it is enough to take \( h_2 := 2^s - m - 1 \). In fact, on the one hand, it is again straightforward that (3.1) holds. On the other hand, since \( m < 2^{s-1} \), we have

\[h_2 = 2^s - m - 1 \geq 2^s - 2^{s-1} - 1 = 2^{s-1} - 1 \geq m,
\]

while obviously \( h_2 \leq N - m - 1 \), hence \( h_2 \in [m, N - m - 1] \), as desired.

**Case** \( \ell = 1 \) and \( m \geq p^{s-1} \). This case requires more effort. We have

\[p^{s-1} \leq m \leq \frac{N}{3} = \frac{p^s + r}{3} < \frac{2p^s}{3} < p^s,
\]

hence the expansion of \( m \) in base \( p \) is

\[m = \sum_{i=0}^{s-1} d_ip^i,
\]

for some \( d_0, \ldots, d_{s-1} \in \{0, \ldots, p-1\} \), with \( d_{s-1} > 0 \).

Let \( i_1 \) be the least nonnegative integer not exceeding \( s \) such that

\[d_i \geq \frac{p - 1}{2}, \quad \forall i \in \mathbb{Z}, \quad i_1 \leq i < s.
\]

Moreover, let \( i_2 \) be the greatest integer such that \( i_1 \leq i_2 \leq s \) and

\[d_i = \frac{p - 1}{2}, \quad \forall i \in \mathbb{Z}, \quad i_1 \leq i < i_2.
\]

Note that, by the definitions of \( i_1 \) and \( i_2 \), we have

\[d_i > \frac{p - 1}{2}, \quad \forall i \in \mathbb{Z}, \quad i_2 \leq i < s.
\]

Clearly, it holds

\[m = \sum_{i_2 \leq i < s} d_ip^i + \sum_{i_1 \leq i < i_2} \frac{p - 1}{2}p^i + \sum_{0 \leq i < i_1} d_ip^i.
\]

Define now

\[h_p := \sum_{i_2 \leq i < s} d_ip^i + \sum_{i_1 \leq i < i_2} \frac{p - 1}{2}p^i + \sum_{0 \leq i < i_1} (p - d_i - 1)p^i.
\]

Note that (3.5) is actually the expansion of \( h_p \) in base \( p \), that is, all the coefficients of the powers \( p^i \) belong to the set of digits \( \{0, \ldots, p-1\} \). At this point, looking at (3.4) and (3.5), and taking into account (3.3), it follows easily that in the sum of \( h_p \) and \( m \) in base \( p \) there occur exactly \( s - i_2 \) carries. Therefore, by Theorem 2.1 we have

\[\nu_p\left(\left\lfloor \frac{h_p + m}{m} \right\rfloor\right) = s - i_2.
\]
Furthermore, from (3.4) and (3.5) we get
\[ h_p + m + 1 = 2 \sum_{i_2 \leq i < s} d_ip^i + \sum_{0 \leq i < i_2} (p-1)p^i + 1 = 2 \sum_{i_2 \leq i < s} d_ip^i + p^{i_2}, \]
hence
\[ (3.7) \quad \nu_p(h_p + m + 1) = i_2. \]
Therefore, putting together (3.6) and (3.7) we obtain (3.1).

It remains only to prove that \( h_p \in [m, N - m - 1] \). If \( i_2 = s \), then from (3.7) it follows that
\[ h_p + m + 1 = 0 + p^s \leq N, \]
hence \( h_p \leq N - m - 1 \). If \( i_2 < s \), then from (3.2) it follows \( d_{i_2} \geq (p-1)/2 \), hence \( d_{i_2} \geq 1 \) and from (3.7) and (3.4) we obtain
\[ h_p + m + 1 \leq 2 \sum_{i_2 \leq i < s} d_ip^i + d_{i_2}p^{i_2} \leq 2m + m = 3m \leq N, \]
so that again \( h_p \leq N - m - 1 \). If \( i_1 = 0 \), then by (3.4) and (3.5) we have immediately that \( h_p = m \). If \( i_1 > 0 \), then by the definition of \( i_1 \), we have \( d_{i_1-1} < (p-1)/2 \), i.e., \( d_{i_1-1} < p - d_{i_1-1} - 1 \), thus looking at the expansions (3.4) and (3.5) we get that \( h_p > m \). Hence, in conclusion we have \( h_p \in [m, N - m - 1] \), as desired.

Regarding the second part of Theorem 1.4, take \( N := 3q \), where \( q > 3 \) is a prime number. Put \( m := \lfloor N/3 \rfloor + 1 = q + 1 \), and let \( h \in [m, N - m - 1] \) be an integer. On the one hand, it is straightforward that \( q \nmid h + m + 1 \). On the other, it is also easy to see that in the sum of \( h \) and \( m \) in base \( q \) there is no carry, hence, by Theorem 2.1, we have that \( q \nmid \binom{h+m}{m} \). Therefore,
\[ \nu_q \left( \binom{h+m}{m} \right) = 0 < 1 = \lfloor \log_q N \rfloor, \]
so that, thanks to Lemma 2.4, we have \((x(1-x))^m \nmid P(x)\) in \( \mathbb{Z}[x] \), for all \( P(x) \in S_N \). This completes the proof.

References


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