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# Strigolactones: mediators of osmotic stress responses with a potential for agrochemical manipulation of crop resilience

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1	Strigolactones: mediators of osmotic stress responses with a potential for agrochemical
2	manipulation of crop resilience
3	Francesca Cardinale*, Paolo Korwin Krukowski, Andrea Schubert and Ivan Visentin
4	Dept. of Agriculture, Forestry and Food Science (DISAFA), Plant Stress Lab, Turin University, Largo
5	Paolo Braccini 2, Grugliasco (TO), Italy
6	
7	E-mail addresses:
8	<u>paolo.korwinkrukowsk@edu.unito.it</u> andrea.schubert@unito.it_ivan.visentin@unito.it_
9	
10	*Corresponding author
11	Prof. Francesca Cardinale
12	Dept. of Agriculture, Forestry and Food Science (DISAFA), Plant Stress Lab, Turin University,
13	Grugliasco (TO), Italy
14	Tel. +39-011 6708875
15	E-mail: <u>francesca.cardinale@unito.it</u>
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# 26 Highlight

- We review the role and regulation of strigolactones during osmotic stress, namely on organ-specific
  dynamics of synthesis and interaction with abscisic acid and on their potential for crop protection.
- 29

# 30 Abstract

31 After quickly touching upon general aspects of strigolactones biology and functions, including 32 structure, synthesis and perception, this review focuses on the role and regulation of the 33 strigolactone pathway during osmotic stress, in light of the most recent research developments. We 34 discuss available data on organ-specific dynamics of strigolactone synthesis and interaction with 35 abscisic acid in the acclimatization response, with emphasis on the ecophysiological implications of 36 the effects on the stomatal closure process. We highlight the importance to consider roots and 37 shoots separately as well as combined vs individual stress treatments; and to perform reciprocal 38 grafting experiments to work out organ contributions and long-distance signalling events and 39 components under more realistic conditions. Finally, we elaborate on the question of if and how 40 synthetic or natural strigolactones, alone or in combination with crop management strategies such 41 as grafting, hold potential to maximise crop resilience to abiotic stresses.

42	Key words
43	Abscisic acid, Drought, Hormone cross-talk, Osmotic stress, Resilience, Root-shoot
44	communication, Stomata closure, Strigolactones
45	
46	
47	Abbreviations
48	ABA: Abscisic Acid
49	ABCG: ABC Transporter G Family Protein
50	ABI: ABA Insensitive
51	AM: Arbuscular Mycorrhizal
52	CCD: Carotenoid-Cleavage Dioxygenase
53	D: Dwarf
54	DAD: Decreased Apical Dominance
55	HAB: Hypersensitive to ABA
56	HTD: High Tillering and Dwarf
57	IPA: Ideal Plant Architecture
58	KAI: Karrikin Insensitive
59	KL: KAI2 Ligand
60	LBO: Lateral Branching Oxidoreductase
61	LGS: Low Germination Stimulant
62	MAX: More Axillary Growth
63	N: Nitrogen
64	NCED: Nine-Cis-Epoxycarotenoid Dioxygenase
65	ORA: Octadecanoid-Responsive AP2/ERF-domain transcription factor
66	P: Phosphate
67	PDR: Pleiotropic Drug Resistance
68	PIN: PIN-formed
69	PPP: Plant Protection Product
70	RMS: Ramosus
71	SL: Strigolactone(s)
72	SLAC: Slow Anion Channel-Associated
73	SMAX: Suppressor of MAX2
74	SMXL: SMAX-Like
75	TPL: Topless
76	TPR: TPL-related

## 78 1. Introduction

79 The quest for Strigolactones (SL) as endogenous regulators of plant development started when 80 mutants affected in shoot development, displaying stunted and bushy phenotypes, were identified 81 in a number of model species: Oryza sativa, rice (d, dwarf, or htd, high tillering and dwarf mutants), 82 Petunia hybrida, petunia (dad, decreased apical dominance), Arabidopsis thaliana, Arabidopsis (max, 83 more axillary growth), Pisum sativum, pea (rms, ramosus) (Waters et al., 2017). These phenotypes 84 were quickly shown not to be due to mutations in any known developmental pathway, and to be 85 related to a novel kind of mobile signal molecules mainly but not exclusively produced in roots. 86 From there, these compounds would be transported to the shoot to inhibit branching, contrasting 87 cytokinin while reinforcing auxin activity on axillary buds. Such molecules were identified in 2008 as 88 SL (Gomez-Roldan et al., 2008; Umehara et al., 2008), a family of lactone derivatives of carotenoids, 89 produced in roots and exuded in soil, first detected in 1966 (Cook et al., 1966) and identified a few 90 years later (Cook et al., 1972). Besides their endogenous role in the control of shoot branching, SL 91 have several demonstrated functions in the rhizosphere, all favoured by the steep SL gradient 92 around the root, which makes the presence of SL in soil a reliable indicator of proximity to a living 93 plant root. Indeed, SL are rather labile molecules due to inherent instability of the enol-ether bond 94 between ring C and D (Figure 1), whose integrity is essential for bioactivity (§ 2.1) (Al-Babili and 95 Bouwmeester, 2015). Such exogenous signalling roles include stimulation of seed germination in 96 parasitic plants belonging to the genera *Striga* and *Orobanche* (some former species of which now 97 belong to the genus *Phelipanche*) – an obviously detrimental outcome for the producing plant. A 98 second, indirect positive effect on plant mineral nutrition was proven in 2005, when SL exuded in 99 soil were shown to trigger hyphal branching in arbuscular mycorrhizal (AM) fungi, thus increasing 100 the chances of contact between the symbionts (Akiyama *et al.*, 2005). More recently, stimulating 101 effects of SL on rhizobial swarming and on infection thread formation were also suggested to 102 favour nodulation in legumes (Lopez-Raez et al., 2017) (see (Lumba et al., 2017b) for a graphical 103 timeline of SL-related discoveries).

104 After the identification of the endogenous hormonal role of SL, further pervasive effects in the 105 producing plant were assigned to this molecular family, comprising at present about 20 described 106 molecular structures (Al-Babili and Bouwmeester, 2015). Reproduction (including flower and seed 107 setting in several species), senescence, and secondary growth are all seemingly promoted by SL to 108 various extents (especially based on the defects of SL-depleted or insensitive plants) (Brewer et al., 109 2013). Also, their involvement in abiotic stress responses was highlighted by the initial observation 110 of their inducibility by N and especially P deprivation; and later, by phenotypic comparison of 111 mutant plants under nutritional stress. These studies proved that part of the molecular and 112 morphological responses needed for acclimatization to a nutritionally poor environment are indeed

113 mediated by SL (Marzec *et al.*, 2013). More recently though, it has appeared that SL may also be 114 one of the endogenous molecular workings in acclimatization responses to water deprivation, 115 possibly the major environmental constraint to crop productivity. This fact, given also their strong 116 developmental effects, places SL in an optimal position to act as an integration hub between 117 environmental stimuli and endogenous cues, favouring proper resource allocation decisions by the 118 plant (Liu *et al.*, 2013).

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120 The above-mentioned general aspects of SL biology and functions are covered in detail by other 121 reviews (Al-Babili and Bouwmeester, 2015; Lumba et al., 2017a; Lumba et al., 2017b; Makhzoum et 122 al., 2017). In this review, we provide a quick overview on structure, synthesis, transport, and 123 perception of SL, and we focus thereafter on the role and regulation of the SL pathway during 124 osmotic stress. We discuss available data on organ-specific dynamics of SL synthesis and 125 interaction with abscisic acid (ABA) in the response process, highlighting the importance to 126 consider roots and shoots separately as well as to compare combined vs individual stress 127 treatments, to simulate more realistic conditions; and to perform reciprocal grafting experiments to 128 work out organ contributions and long-distance signalling events and components. Finally, we 129 discuss if and how synthetic or natural SL, alone or in combination with crop management 130 strategies such as grafting, may contribute to maximise crop resilience to abiotic stress.

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#### 132 2. General structure, biosynthesis, transport and signal transduction of SL

# 133 *2.1 Structure*

134 The term SL was proposed in 1995 to indicate a group of terpenoid derivatives sharing a conserved 135 lactone ring and able to induce seed germination in Striga hermontica, a holoparasitic plant that, 136 together with other Orobanchaceae, imposes huge yield losses in several crops worldwide 137 (Fernandez-Aparicio et al., 2011). Most, though not all, SL analysed so far are characterized by a 4-138 ring structure, in which the AB and C rings are condensed in a tricyclic lactone, while ring D is a 139 butenolide bound to ring C by an enol ether bridge (Al-Babili and Bouwmeester, 2015; Lumba et al., 140 2017a) (Figure 1). Substitutions on ring A and stereochemistry of the B-C junction make up most of 141 the diversity within the family, with  $\beta$ - and  $\alpha$ -oriented C rings being typical of strigol- and 142 orobanchol-like compounds, respectively; while both subgroups share the R orientation of C-2' 143 (Figure 1). Structure-activity relationship studies on natural and synthetic variants of SL indicate 144 that the bioactiphore includes the C and D rings and the connecting enol-ether bridge (Lumba et al., 145 2017a), while the D ring alone is proposed to become part of the activated receptor complex (vide 146 infra, § 2.4). Racemic (rac) GR24, the most commonly used synthetic analogue of SL, is composed of 147 the equimolar mixture of the two enantiomers  $GR24^{5DS}$  (with the same stereochemistry as strigol) 148 and  $GR24^{ent-5DS}$  (with stereochemistry at 2'S not occurring in natural SL; Figure 1).

149 While it the structural diversity of naturally occurring SL has been described at least in part, its 150 biological and ecological meaning is largely unexplained yet. In plant species that interact with AM 151 fungi or parasitic plants, co-evolution with the guest, be it friend or foe, might justify the drive to 152 diversification of molecular signals. However, there is no proof that such diversity is only targeted to 153 rhizosphere partners. Indeed, the possibility that multiple endogenous SL within a single species 154 may induce different responses due to specificities in perception or localization has not been 155 addressed experimentally yet. Future studies will test whether different SL regulate different 156 processes within a single species, but high quantities of natural SL are hard to obtain, given that the 157 daily production rate is very low (in the picomoles/plant/day range) (Yoneyama et al., 2010).

#### 158 2.2 Biosynthesis

159 A combination of pharmacological and forward genetic strategies reconstructed a basic SL-160 biosynthetic module highly conserved across species, and composed of the plastid-localized, iron-161 binding carotenoid isomerase named D27 in rice; of carotenoid cleavage dioxygenase 7 (CCD7) 162 (Arabidopsis MAX3, rice D17/HTD1, pea RMS5, and petunia DAD3); and of CCD8 (Arabidopsis 163 MAX4, rice D10, pea RMS1, and petunia DAD1) (Al-Babili and Bouwmeester, 2015). These three 164 enzymes act sequentially to produce carlactone, a compound sharing with SL the number of C 165 atoms and the presence of a butenolide ring (Figure 2). It is actually debated whether carlactone 166 should be considered a true ("canonical") SL or not, given the lack of B and C rings; nonetheless, its 167 identification as a product of the concerted action of D27, CCD7 and CCD8 solved the core SL-168 synthesis pathway, providing the missing molecular link between linear carotenoids and tricyclic SL, 169 and pointing to CCD8 as an unusual CCD able to perform multiple operations on its substrate 170 (Bruno *et al.*, 2017).

171 The subsequent steps leading to the mature SL structures are less clearly defined, and might vary 172 substantially in different species. The cytochrome P450 MAX1 in Arabidopsis converts carlactone to 173 carlactonoic acid, which undergoes further methylation by an unknown methyltransferase (Abe et 174 al., 2014; Seto et al., 2014). The resulting methyl carlactonoate needs further oxygenation by an 175 oxidase such as LBO (Lateral Branching Oxidoreductase) to become bioactive (Brewer et al., 2016). 176 In rice instead, one of the four functional MAX1 orthologues (Os900) acts as a carlactone oxidase, 177 catalysing the formation of the condensed B and C rings to give 4-deoxyorobanchol. Os1400, 178 another MAX1 paralogue, can then form orobanchol from 4-deoxyorobanchol (Zhang et al., 2014). 179 In sorghum, functional loss of the putative sulfotransferase LOW GERMINATION STIMULANT1 180 (LGS1) converts the dominant SL in root exudates from 5-deoxystrigol to orobanchol, via an 181 unknown mechanism (Gobena *et al.*, 2017).

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182 Therefore, our current understanding of the SL biosynthetic pathway indicates that the natural 183 diversity of SL, which is obvious among species but may be also represented in the same plant by a 184 blend of different SL, originates mainly from the action of modifying enzymes downstream of the 185 core set formed by D27, CCD7, CCD8 and MAX1. These late-acting enzymes are proving hard to 186 identify, possibly because their expression patterns do not necessarily overlap if intermediates are 187 mobile (vide infra), and/or because the corresponding mutants have weak phenotypes, and/or 188 because enzyme redundancy masks their molecular, physiological or morphological defects totally 189 or in part (Al-Babili and Bouwmeester, 2015).

190 In spite of the analytical difficulties due to the very low concentrations, evidence collected so far 191 indicates that SL synthesis is highest in roots, especially tips and vasculature (Al-Babili and 192 Bouwmeester, 2015). Grafting experiments and tracking of SL and of the SL analogue GR24 showed 193 that SL (or their precursors) move from the root to the shoot (Domagalska and Leyser, 2011; Kohlen 194 et al., 2011; Sasse et al., 2015; Xie et al., 2015). However, SL may also be synthesized in stem nodes 195 as well as along the shoot vasculature (Lopez-Obando et al., 2015). Local synthesis aboveground is 196 sufficient for SL-dependent shoot phenotypes, as shown by grafting experiments (Foo et al., 2001; 197 Sorefan et al., 2003; Visentin et al., 2016). SL synthesis in shoots, possibly in leaves, was also 198 proposed to be important for the regulation of guard cell sensitivity to ABA and for proper response 199 to water deprivation (Visentin et al., 2016) (see § 3). However, conclusive proof - beyond SL-200 biosynthetic gene activation - that leaf tissues are, or not, a true SL source is still missing. Such 201 proof will likely not come until markers (transcriptional or FRET-based for example, as for ABA) 202 (Jones, 2016) are described, that could be used to localize SL synthesis/activity at or close to the 203 single-cell level; and/or until methods are developed to reliably quantify individual SL in small tissue 204 portions or individual cell types such as axillary buds or stomata.

# 205 2.3 Transport

206 The ABCG protein Pleiotropic Drug Resistance1 (PDR1) of Petunia hybrida is the only bona fide SL 207 transporter characterized thus far (Figure 2). The defective mycorrhizal phenotype of pdr1 mutants 208 (Kretzschmar et al., 2012) compared to the faster mycorrhization in plants over-expressing the 209 PDR1 protein (Liu et al., 2017), and the pattern of PDR1 localization (Sasse et al., 2015) strongly 210 suggest that SL transport is important for SL effects on mycorrhiza establishment. On the other 211 hand, SL transport contributes to inhibition of lateral bud outgrowth and to resource allocation in 212 responses to environmental constraints, both at the root and shoot levels. This is suggested by 1) 213 the activity profile of the PhPDR1 promoter (besides root cortex also in elongating root hairs, leaf 214 petioles and at the base of lateral axils) (Liu et al., 2017); 2) the bushy shoots of pdr1 mutants 215 (Kretzschmar et al., 2012); 3) the fact that petunia plants over-expressing PDR1 show increased 216 lateral root formation and extended root hair elongation. There are also indications that mature

217 leaves may transport SL towards the stem and subtended axillary bud to join root-produced, 218 upstream-flowing SL (Liu et al., 2017). This route seems to be relevant for leaf senescence 219 regulation, which is partly SL-dependent (Ueda and Kusaba, 2015) and is increased in PDR1-220 overexpressing plants (Liu et al., 2017). It is thus becoming increasingly clear that the SL source/sink 221 map may be more complicated than initially postulated (*i.e.* following a main root-to-shoot 222 concentration gradient), due to a new leaf-to-stem SL transport route that is important to regulate 223 SL levels in leaves and stems (Liu et al., 2017). Indeed, the possibility that systemic and local 224 transport establish SL gradients both throughout the plant and/or between adjoining tissues is 225 certainly worth exploring. It is possible that local peaks of synthesis and distribution and the 226 resulting local gradient(s), rather than absolute hormone concentrations, are important 227 determinants of the physiological output of SL, as demonstrated for other phytohormones such as 228 auxin (Krupinski and Jonsson, 2010). It is worth noticing also that the expression profile of D14 (the 229 gene encoding the SL receptor, see § 2.4) is poorly overlapping with that of the core biosynthetic 230 enzymes in Arabidopsis (Chevalier *et al.*, 2014); and that the D14 protein itself was recently proven 231 to act as an intercellular signal molecule, travelling in the phloem to fine-tune and specify the 232 location of SL perception (Kameoka et al., 2016). Of course, the fact that both the SL signal and the 233 receptor are mobile complicates the interpretation of mutant phenotypes, and even more, the 234 deciphering of local vs systemic SL functions.

## 235 2.4 Perception and transduction

236 A remarkable amount of information has been gathered on the perception and early signal 237 transduction mechanisms in the SL pathway (Figure 2). The SL receptor proteins in vascular plants 238 are called D14-type receptors after the first characterized member of the clade, D14 in rice (Arite et 239 al., 2009). These proteins are members of the  $\alpha/\beta$  hydrolase-fold superfamily, and cleave the SL 240 molecule generating a tricyclic ABC and a D-ring molety (Hamiaux et al., 2012). At this point the D 241 ring, or a derivative thereof, is proposed to be trapped and covalently bound within the catalytic 2.42 pocket (de Saint Germain et al., 2016; Yao et al., 2016). Even though available crystallographic data 243 are not resolving nor decisive enough in this respect (Lombardi et al., 2017), the hydrolysed SL 244 molecule should dock more favourably than the intact one in the active pocket (Gaiji et al., 2012). 245 This peculiarity would explain the very low catalytic turnover of D14-type receptors (de Saint 246 Germain et al., 2016; Hamiaux et al., 2012; Nakamura et al., 2013) and suggests that hydrolytic 247 activity is needed for signal transduction events and/or to de-sensitize the cell in subsequent SL 248 perception events, by lowering the number of available receptor pockets. As D14 itself is actively 249 degraded after physical interaction with SL (Chevalier et al., 2014; Hu et al., 2017), SL perception 250 indeed entails destruction both at the metabolite (Smith and Waters, 2012) and at the receptor 251 evel.

252 Pervasive changes in the 3-D structure of D14 are triggered by the interaction with protein partners 253 (Nakamura et al., 2013; Zhao et al., 2013), prominently the F-box protein MAX2 (Bythell-Douglas et 254 al., 2017). F-box proteins are a leitmotiv in phytohormone biology: as promiscuous adaptors 255 recruiting protein targets for ubiguitination and degradation by the proteasome, they suit perfectly 256 the function of specifically and quickly relieving constitutive response repression (Santner and 257 Estelle, 2010). The direct targets of MAX2 certainly include members of the SUPPRESSOR OF 258 MAX2 1 (SMAX1) and D53 protein families (Jiang et al., 2013; Zhou et al., 2013) (Figure 2). Genetic 259 and biochemical data support for these proteins a repressive role of MAX2 functions, though at 260 different developmental stages and in dependence of distinct receptor/ligand pairs (Waters et al., 261 2012). Further work in Arabidopsis points to the combined action of SMAX1-LIKE (SMXL) 262 paralogues no. 6, 7 and 8 in branching promotion, *i.e.* as D53 orthologues (Soundappan *et al.*, 2015). 263 These proteins may act through interaction with TOPLESS (TPL)/TOPLESS-RELATED (TPR) 264 proteins, analogously to what observed in the auxin and jasmonate pathway. However, non-TPR-265 dependent action mode(s) should not be excluded (Lumba et al., 2017b; Waters et al., 2017). Indeed 266 recently, IDEAL PLANT ARCHITECTURE1 (IPA1) has been shown to be one of the long-sought 267 transcription factors repressed by D53 in rice (Song *et al.*, 2017).

268 Much interesting research has been done on the molecular evolution of SL perception, both in the 269 producing and in the parasitic plant (Lumba et al., 2017b). D14-type SL receptors seem to have 270 generated by gradual neo-functionalization of KARRIKIN INSENSITIVE2 (KAI2) paralogues in higher 271 plants (Bythell-Douglas et al., 2017). KAI2, a close homologue of D14-type proteins, functions as a 272 receptor for karrikins (smoke-derived compounds that stimulate seed germination and share some 273 structural features with SL) (Smith and Li, 2014; Waters et al., 2017). The primary function of KAI2 274 may be in the recognition of an uncharacterized, endogenous SL-like signal named KL (for KAI2-275 Ligand), and in the transduction of the KL signal by interaction with MAX2 (Conn and Nelson, 2016) 276 (Figure 2). The D14 and KAI2-mediated pathways therefore converge on MAX2, a crucial issue for 277 researchers trying to disentangle the effects of SL and KL.

278

# 279 3. Organ-specific dynamics of SL synthesis and cross-talk with ABA under single and combined 280 abiotic stress

# 281 3.1 Do SL contribute to shoot acclimatization under osmotic stress?

Given their inducibility by nutrient deprivation, contribution to nutritional root symbioses, and ability to shape plant morphology, SL were quickly proposed as a molecular interface between phenotypic plasticity and a changing and often challenging environment (Liu *et al.*, 2013). Indeed, SL contribute to root and shoot morphological and physiological responses to nutrient (N and especially P) scarcity in soil. This concept was later tested also for other abiotic stresses. SL- deficient or insensitive *Arabidopsis thaliana*, *Lotus japonicus* and *Solanum lycopersicum* are hypersensitive to osmotic stress and respond less to endogenous and exogenous ABA, which strongly suggests that SL synthesis and perception are important for acclimatization (Ha *et al.*, 2014; Li *et al.*, 2017; Liu *et al.*, 2015; Lv *et al.*, 2017; Visentin *et al.*, 2016). In these experiments, survival and physiological performances of SL-related mutants were severely affected when either progressively dehydrated (Ha *et al.*, 2014; Li *et al.*, 2017; Visentin *et al.*, 2016) or exposed to PEG at the root level (Liu *et al.*, 2015).

294 It must be noted here that one controversial study in Arabidopsis (Bu et al., 2014) reports that 295 signalling (max2) but not biosynthetic (max1, max3 and max4) mutants are hypersensitive to stress. 296 This led these authors to absolve SL as culprit for the *max2* phenotype, in favour of other pathways 297 in which MAX<sub>2</sub> would be involved. There are several apparent contrasting points between this 298 dataset and that of Ha et al. (2014), which call for careful reassessment of ABA-related phenotypes 299 especially at the early developmental stages for Arabidopsis SL mutants. The observed 300 discrepancies may derive from differences in the experimental design (see Table S1 for a detailed 301 comparison), and from the difficulty of pinpointing subtle phenotypes, in particular in SL-302 biosynthetic mutants. This, in turn, might be due to leaking of the biosynthetic mutants, with 303 residual SL being produced at a sufficient level to confound results. Another possibility is that MAX2 304 might take part in additional pathways also contributing to drought resilience, making the max2 305 phenotype more severe than that of biosynthetic mutants: in this context, one rather obvious 306 possibility is that KL, the thus far unidentified endogenous KAI2 ligand, may contribute to the 307 observed phenotype (Li et al., 2017), and do so to variable extents in different species. Given our 308 current understanding of signalling for SL-related molecules, one way to sort this point out would 309 be to test the effects of the pure GR24 enantiomers, to assess if the reported KAl2-dependent activity of the 2'S enantiomer (GR24<sup>ent-5DS</sup>) in Arabidopsis might possibly extend to other species 310 311 and conditions (Scaffidi et al., 2014; Waters et al., 2017), and how this would relate to drought 312 resilience. On this point, it must be noted that the stress-relieving effect of rac-GR24 treatment in 313 Ha et al. (2014) is consistent with a positive role of SL in stomatal closure as in Visentin et al. (2016) 314 and Lv et al. (2017), but all three these works cannot exclude a contribution by  $GR_{24}^{ent-5DS}$ . 315 Additionally, d14 and kai2 mutants should be included in the panel of analysed lines - if available for 316 the species under study. In two very recent articles this was done for Arabidopsis, supporting a role 317 both for SL and KL in drought responses, including stomatal closure (Li et al., 2017; Lv et al., 2017). 318 So, both KAI2- and D14-dependent signalling pathways seem to contribute additively to 319 acclimatization, given the drought-sensitive phenotype of single and double kai2/d14 mutants (Li et 320 al., 2017). These data confirm that most likely, the relatively stronger drought-related phenotype in 321 SL-depleted vs max2 mutants is due to the two pathways converging onto MAX2 - the D14- and

KAI2-dependent ones- being both involved. The time is ripe now to work out in detail the individual
 contributions of the two pathways; the identification of KL would represent, in this sense among
 many others, a major leap forward.

325 Notwithstanding these *caveats* and still open questions, the fact that guard cells in SL-depleted 326 plants are hypersensitive to stress and hyposensitive to ABA was confirmed in three different 327 eudicot species by independent groups with a combination of different eco-physiological 328 approaches, including the analyses of SL-depleted plants and now, also of the signaling mutant d14 329 (Ha et al., 2014; Li et al., 2017; Liu et al., 2015; Lv et al., 2017; Visentin et al., 2016). Therefore, SL 330 contribution to proper guard cell functioning and acclimatization responses to water deprivation is 331 supported enough to be included among the effects of SL as phytohormones. Expression data for 332 SL-biosynthetic genes upon treatments such as drought, salinity and osmotic stress (Ha et al., 2014; 333 Lv et al., 2017; Visentin et al., 2016), as well as transcript enrichment for D14 and MAX2 in the 334 stomatal cell lineage (Lv et al., 2017) are also consistent with this picture (see § 3.3).

# 335 3.2 Current understanding of SL mechanism of action in osmotic stress responses: cross-talk 336 between the SL and ABA pathways

## 337 3.2.1 At the biosynthesis level

338 When it comes to the aetiology of such physiological effect, a modulation of free ABA 339 concentration seems not to be blamed in general terms, since free ABA content in Arabidopsis 340 leaves is comparable in WT and max2 mutants (Bu et al., 2014), even though stomata are 341 consistently more open in the latter genotype (Bu et al., 2014; Ha et al., 2014). Whole-leaf analyses 342 of course do not rule out that the modulation of ABA biosynthesis, catabolism, and transport could 343 lead to transient and/or very localized accumulation of ABA in a specific tissue, ultimately 344 contributing to the observed phenotypes. Invariant free ABA was observed also in WT vs CCD7-345 silenced Lotus plants under no stress, or individual osmotic or nutritional stress (P deprivation); 346 however when both stresses were applied together, lower free ABA was recorded in leaves of SL-347 depleted plants (Liu et al., 2015). The situation in tomato is yet slightly different: quantification in 348 well-watered plants showed slightly more (Visentin et al., 2016) or less (Torres-Vera et al., 2013) 349 concentrated free ABA in leaves of SL-depleted plants than WT, likely depending on whether values 350 were expressed per fresh or dry tissue weight unit, respectively. These slight fluctuations are indeed 351 reasonably explained by the fact that SL-depleted and replete leaves have different relative water 352 content already in the absence of stress (Visentin et al., 2016). In tomato suffering moderate and 353 severe drought though, free ABA was significantly less concentrated in CCD7-silenced plants than in 354 WT; these values were obtained per fresh weight unit and could not be underestimated in SL-355 depleted plants, which are more dehydrated than corresponding WT controls. Less concentrated ABA may of course contribute to the poor fitness of this line under water deprivation conditions(Visentin *et al.*, 2016).

358 SL influence on ABA concentration under stress is far less documented at the root level. While no 359 data exist for Arabidopsis, the profile of free ABA concentrations in roots of SL-depleted tomato 360 and Lotus roughly reflects what happens in shoots (Liu et al., 2015; Visentin et al., 2016). 361 Additionally, roots of WT Lotus pre-treated with rac-GR24 are unable to increase free ABA 362 concentration in response to subsequent PEG-induced osmotic stress. This observation suggests 363 that - at least in Lotus - there might also be some root-specific negative effect of SL on ABA 364 synthesis under drought (Liu et al., 2015); and/or that once again, the non-natural enantiomer in the 365 rac-GR24 used for treatment might be responsible for the effect. A very similar situation is observed 366 in seeds of parasitic plants, in which GR24 is thought to stimulate germination also by accelerating 367 ABA degradation via the ABA-8' hydroxylase PrCYP707A1 (Lechat et al., 2012). Analogously, SL may 368 relieve secondary dormancy, *i.e.* thermoinhibition of Arabidopsis seed germination, by lowering 369 ABA concentration (Toh et al., 2012). These examples highlight once again how, depending on the 370 examined organ and conditions, the SL and ABA pathways might be wired differently. It might be 371 worth mentioning here that free ABA concentrations are higher in kaiz mutants of Arabidopsis than 372 in the WT, both in the absence and presence of drought. This effect is likely due to compromised 373 activity of ABA-8'-hydroxylase enzymes (such as AtCYP707A3), given the lower transcript levels in 374 the kaiz background (Li et al., 2017). Therefore, also the endogenous KAI2 ligand might interfere 375 with ABA levels so once again, care should be taken in separating the effects of the two.

376 A positive influence of SL on ABA synthesis in shoots is therefore documented, especially but not 377 limited to shoots under drought, although there seem to be species-specific differences in 378 amplitude. The overall prevailing trend in leaves is for lower ABA concentration in SL-depleted 379 plants; indeed, transcripts of some ABA biosynthetic genes are less concentrated in leaf tissues of 380 Arabidopsis max2 than WT under drought (Ha et al., 2014). Additionally, Nine-Cis-Epoxycarotenoid 381 Dioxygenase3 (NCED3), Cytochrome P450 707A3, ABCG22, ABA Insensitive1 (ABI1), and 382 Hypersensitive to ABA1 (HAB1) are all less transcribed in response to drought when MAX2 is 383 mutated (Bu et al., 2014). This picture is unsupportive of the initial hypothesis that SL and ABA 384 might be influencing each other's levels by merely competing for the same precursor substrate (i.e. 385 carotenoids). It is still not known whether excess SL, obtained for example by treatment with GR24, 386 modulates free ABA content in shoot tissues. On the other hand, the reverse effect - i.e. of 387 genetically reduced ABA content on endogenous SL concentration - was explored in tomato, 388 leading to the conclusion that the overall trend was for a positive correlation between ABA levels 389 and SL synthesis in the roots; correlations were not explored in the shoot, in which both the SL-390 biosynthetic gene transcripts and final metabolites are undetectable under normal conditions

391 (López-Ráez et al., 2010). However, ABA treatment induces MAX3 and MAX4 transcript 392 accumulation in Arabidopsis leaves (Ha et al., 2014). One potential candidate regulator of both ABA 393 and SL levels in Arabidopsis is ORA47 (Octadecanoid-Responsive AP2/ERF-domain transcription 394 factor47) (Chen et al., 2016), a transcriptional regulator involved in the cross-talk and integration of 395 several phytohormones, prominently of jasmonic acid and ABA. Its chromatin occupancy profile 396 includes, among others, the promoters of biosynthetic and signalling genes in the ABA pathway, 397 and of MAX3 and MAX4. Occupancy is higher-than-background only under normal but not drought 398 conditions in leaves (Chen et al., 2016), when transcripts of these genes accumulate (see § 3.3). This 399 suggests that beyond the most characterized role at the cross-road of ABA and jasmonic acid, 400 ORA47 may act as a transcriptional repressor and integration hub for the SL and ABA pathways as 401 well. This hypothesis is worth investigating and if indeed demonstrated, may define ORA47 as the 402 first molecular link in the SL-ABA crosstalk, namely under drought.

# 403 3.2.2. At the ABA-sensitivity level

404 Beyond the above observations, which suggest that the influence of ABA and SL on their mutual 405 concentrations may be more or less intimate in different species and organs, a combination of eco-406 physiological measurements (including leaf temperature, stomatal conductance and water 407 potential) all pointed to increased stomatal conductance as a primary reason for higher sensitivity 408 to water deprivation in SL-biosynthetic or signalling mutants. Lower guard cell sensitivity to 409 endogenous and exogenous ABA is identified as another contributing factor to this phenotype. 410 Indeed, SL-depleted and insensitive plants have higher-than-WT stomatal aperture and 411 conductance in the absence and presence of stress, and slower closure in response to exogenous 412 ABA treatment (Ha et al., 2014; Li et al., 2017; Liu et al., 2015; Lv et al., 2017; Visentin et al., 2016).

413 As expected for positive regulators of acclimatization responses, ABA, drought and/or osmotic 414 stress enhance transcript accumulation for SL biosynthetic genes in leaves (Ha et al., 2014; Lv et al., 415 2017; Visentin et al., 2016). However, and unexpectedly perhaps, SL-related gene expression and 416 metabolite levels drop in the roots of non-mycorrhizal Lotus (Liu et al., 2015), lettuce and tomato 417 (Ruiz-Lozano et al., 2016; Visentin et al., 2016) undergoing drought. It must be noted that in Lotus, 418 the drought-induced SL repression is independent of nutrient availability, *i.e.* if osmotic stress and P 419 scarcity are applied together, the drought response profile will prevail, and SL synthesis will be 420 inhibited (Liu et al., 2015). These results indicate that the dynamics of SL synthesis are different in 421 different organs, which reinforces the need to separate above- and below-ground organs when 422 addressing issues related to systemic signalling under stress; and that the outcome of combined 423 stresses might not be easily predictable based on single-stress effects. These observations might 424 also explain why roots of SL-depleted and insensitive Arabidopsis plants grow comparably to the 425 WT, in the presence of high mannitol and NaCl (Ha et al., 2014). In fact, if osmotic stress represses

SL synthesis in Arabidopsis roots (which is still to be demonstrated) as it does in lettuce, Lotus and
tomato, any genetic defect in SL metabolism or signalling will be less likely to cause a detectable
root-related phenotype under these conditions.

# 429 3.3 Local and systemic effects of SL and SL-like molecules on stomatal conductance: a 430 parsimonious, preliminary model

431 The inhibition of SL synthesis and possibly transport in dicot roots under osmotic stress is unlikely 432 to be due to mere metabolic suffering; in fact, gene transcript and metabolite concentrations are 433 quickly reduced, when local water potential has not dropped yet as a consequence of low water 434 availability (Liu et al., 2015; Visentin et al., 2016). Rather, a local consequence of this drop may be 435 the de-repression of ABA synthesis, as mentioned in § 3.2.1. This possibility however is so far 436 suggested only by a pharmacological approach in Lotus, and awaits confirmation in other species and by using the SL enantiomer GR24<sup>5DS</sup> before it can be generalized to any extent. Whatever the 437 438 local effect, SL and/or SL precursors travel shootward (Akiyama et al., 2010; Domagalska and 439 Leyser, 2011; Kohlen et al., 2011; Sasse et al., 2015). Therefore, the possibility that a drastically 440 diminished flow of SL or SL-like molecules from the roots may carry precise information to the 441 shoots, could not be excluded. A reductionist approach (mimicking in the absence of stress the SL 442 gradient observed under drought) was taken to disentangle the inherent complexity of the 443 hypothesized interactions in situ. SL-replete (WT) tomato scions grafted to SL-depleted rootstocks 444 displayed more concentrated transcript of SL-biosynthetic genes, and higher sensitivity to 445 endogenous and exogenous ABA not only compared to shoots of SL-depleted plants, but also to 446 WT scions grafted onto WT rootstocks (Visentin et al., 2016). The fact that root-produced SL 447 negatively feed back on the SL biosynthetic pathway in above-ground organs had been already 448 proposed in other species, based on similarly hetero-grafted plants (Johnson et al., 2006). Although 449 SL remain stably under the analytical detection threshold in these leaf tissues, as they do under 450 drought (Visentin et al., 2016) and osmotic/salt stress (Lv et al., 2017); and in lack of detailed 451 structural and biosynthetic information on other possibly concurring molecules, the most 452 parsimonious hypothesis at present is that stomata in such hetero-grafted plants display a ABA-453 hypersensitive phenotype because synthesis of SL or SL-like molecules is enhanced in leaves (as 454 supported by gene expression data). Notably, rac-GR24 is sufficient to increase the speed of 455 stomatal closure in response to exogenous ABA in tomato (Visentin et al., 2016), and to trigger 456 stomata closure in the absence of exogenous ABA in Arabidopsis (Lv et al., 2017) just as it improves 457 survival rate under drought both in WT and SL-depleted, but not SL-insensitive max2 Arabidopsis 458 (Ha et al., 2014). Additionally, as MAX2 and D14 transcripts are more concentrated in the stomatal 459 lineage than in other leaf tissues, SL perception may be specifically enhanced in guard cells (Lv et 460 al., 2017). In this context, low SL in roots may well be a component of the systemic drought stress

461 signal in tomato (Visentin et al., 2016), in which (just as in Arabidopsis) ABA does not cover a long-462 distance signalling function of drought stress (Christmann et al., 2007; Holbrook et al., 2002). Based 463 on the above data, obtained in herbaceous dicots, a mode of action in osmotic stress responses for 464 SL and/or SL-like molecules such as SL intermediates, or KL can be proposed (Figure 3). Such model 465 places a drop in SL synthesis at the root level above the dynamic concentration adjustment of SL 466 (and/or, of SL-like molecules) throughout the plant. As a direct or indirect (*i.e.* mediated by a second 467 messenger) consequence of such drop, synthesis of SL and/or SL-like molecules would be induced 468 in shoots, namely in leaves, to the immediate and positive purpose of making stomatal closure 469 more efficient. How this effect is achieved, and through which mediators, is not yet understood. As 470 an obvious path to beat, the possibility that the ABA transport, perception and/or signalling 471 machinery is primed by SL or SL-like molecules should be explored, with emphasis on the post-472 transcriptional levels of regulation. However at least in Arabidopsis, all ABA signalling components 473 investigated were found not to be required for the effect of *rac*-GR24 on stomatal closure, which 474 was instead dependent on MAX2, D14, SLOW ANION CHANNEL-ASSOCIATED1 (SLAC1) and an 475 ABA-independent  $H_2O_2/NO$  burst at the guard cell level (Lv *et al.*, 2017) (Figure 3). These results 476 unveil an interesting, completely novel link between SL or SL-like molecules and SLAC1 activity, 477 and open a new avenue of investigation in SL biology. However, they cannot explain why stomata 478 of SL-related mutants in Lotus, tomato and Arabidopsis are hyposensitive to exogenous ABA in 479 feeding experiments (Ha et al., 2014; Liu et al., 2015; Lv et al., 2017; Visentin et al., 2016). A possible 480 reconciliation key for these apparent discrepancies is that given the low background of stomata 481 reactivity they cause, mutations compromising endogenous SL synthesis or perception are able to 482 unveil a contribution of SL-dependent priming of ABA signalling/transport to stomata during ABA 483 feeding experiments. During rac-GR24 feeding experiments instead, the effects of ABA-484 independent, direct SLAC1 stimulation by exogenous SL may be strong enough to mask milder 485 ABA-dependent ones. In other words, while the effect of ABA on stomatal closure is at least 486 partially dependent on endogenous SL, rac-GR24 effects on the same feature are largely ABA 487 independent. Clearly, this signalling module is not the only ABA-independent response to SL or SL-488 like molecules: max2 and kai2 Arabidopsis mutants were reported to dismantle their photosynthetic 489 machinery more slowly, and switch on anthocyanin synthesis less efficiently than the WT, in an 490 ABA-independent way (Ha et al., 2014; Li et al., 2017) – two features that, once again, may worsen 491 performances under stress. It must be noted here that *rac*-GR24-triggered flavonoid synthesis was 492 shown to be dependent both on D14 and KAI2 in Arabidopsis roots (Walton et al., 2016).

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## 494 **4.** Perspectives on abiotic stress relief and practical applications of SL in agriculture

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495 Modern agriculture requests continue, more and more specific interventions during the growth 496 season in order to manage a wide range of biotic and abiotic challenges; and thus, innovative crop 497 protection solutions must be continuously developed. In the last years, traditional breeding has 498 been associated with the use of a new generation of agrochemical compounds. These give 499 satisfying results in protection against biotic stresses such as bacterial or fungal diseases, and weed 500 plant infestation. On the other hand, the same solutions cannot warrant adequate results against 501 abiotic stresses such as water or nutrient deficiency. Generally, plants acclimate to adverse 502 conditions by exploiting signal molecules that in turn, will modulate several genetic and metabolic 503 pathways. Many among these signal molecules are already present as phytoregulators or 504 biofertilisers in the catalogue of agrochemical companies, with a prominent role played by 505 phytohormones (gibberellins to stimulate seed germination and fruit ripening, auxins to promote 506 flower and fruit development etc.). SL as well could raise a similar interest by the agro-technical 507 market thanks to their already characterized activity both as signal molecules in the rhizosphere 508 and as endogenous hormones (Makhzoum et al., 2017; Screpanti et al., 2016a). The potential for 509 application in the control of parasitic weeds has been the first to be investigated, both because of 510 the huge market impact of these pathogens, and of the early discovery of SL as potent seed 511 germination stimulants for Striga, Phelipanche and Orobanche seeds (Screpanti et al., 2016b; 512 Yoneyama et al., 2010). Seed banks of parasitic species in these genera infest not only Asia and 513 Africa but also the Mediterranean and Black Sea regions (Zwanenburg et al., 2016), causing huge 514 yield losses in commercial crops by hampering host growth and life-cycle completion through 515 subtraction of water and nutrients from the phloem in colonized roots (Parker, 2009). The proposed 516 SL-based control strategy is named "suicidal germination": SL are delivered to the parasitic seed-517 infested soils in the absence of a host crop, in order to lead germinated seeds to death. The strategy 518 is covered in detail elsewhere (Fernandez-Aparicio et al., 2011; Zwanenburg et al., 2016). Similarly, 519 as soon as SL were associated to the stimulation of hyphal branching in AM fungi, their soil 520 application in combination with other compounds such as elicitors of defence responses or 521 fungicides was promptly patented (Dahmen et al., 2011; Suty-Heinze and Vors, 2008, 2009) as a 522 mitigation strategy against combined stresses. Simplifying, marginal soils could be amended with 523 exogenous SL and AM fungi (and/or Rhizobia where appropriate, given the effects on swarming 524 discovered later), in order to increase the chances of successful host colonization and thus, of 525 improving plant mineral nutrition. Analogously, plastic remodelling of root/shoot morphology and 526 modulation of developmental progression (namely, of the juvenile to reproductive phase transition) 527 are very interesting endogenous effects in a perspective of crop management practices, and could 528 be possibly also achieved by targeted delivery to the site of action, in order to reduce the amount of 529 active principle required. The latter strategy would of course be sustainable only in high-profitability

crops, and needs careful evaluation of goals and formulations on a case-by-case basis; for example,
mere spraying with exogenous SL is known, at least in certain model plants, not to inhibit shoot
branching (Gomez-Roldan *et al.*, 2008; Umehara *et al.*, 2008).

533 Unfortunately, a key limit for the use of these potential biofertilisers in plant protection is the 534 chemical instability of natural SL in aqueous solution, which especially at alkaline pH, rather rapidly 535 hydrolyse by producing an ABC-formyl lactone and 5-hydroxybutenolide (Akiyama et al., 2010). In 536 addition to this restriction, also the mass production of natural SL is at present technically and 537 economically challenging. In fact, about 20 different natural SL have been isolated and 538 characterized so far, but their concentration in plant-derived samples such as root exudates is very 539 low (Al-Babili and Bouwmeester, 2015). Complete chemical synthesis has been achieved, but 540 besides the low yield, it is labour- and time-consuming (Brooks et al., 1985; Shoji et al., 2009). 541 Therefore, the task of obtaining large quantities of natural SL from plants or through organic 542 synthesis is still daunting and/or not economically viable for the agrochemical market – certainly so 543 for commodity crops, on which mark-ups are generally low. For these reasons, synthetic molecules 544 with a simpler chemical structure than natural SL, yet showing comparable bioactivity to the 545 natural compounds were developed (Prandi and Cardinale, 2014). "Synthetic SL" can be classified 546 into two main categories: analogues, whose structure is very similar to natural SL though easier to 547 synthesize in vitro; and mimics, whose structure is much simpler. Both will retain all or a subset of 548 SL-like bioactivity features. With regard to the latter point, it must be noted that guite some effort 549 has been devoted by organic chemists, biochemists and modellers to design molecular structures 550 retaining SL-like bioactivity towards only a subset of target organisms or organs, if applicable 551 (Prandi and Cardinale, 2014). For example, the mimic molecule named 4-BD (4-Br debranone) is not 552 active as germination stimulant of parasitic seeds; thus, a 4-BD-based weed-avoidance strategy can 553 be envisaged, that couples SL-deficient plants (to prevent seed-bank stimulation by natural SL 554 exudation in the rhizosphere) and 4-BD (to compensate for possible unwanted phenotypic effects 555 of SL deficiency in the producing plant, without contributing to weed infestation) (Fukui et al., 556 2013). A similar strategy was also proposed based on other analogues that retain their bioactivity on 557 plant morphology, but induce very little germination of parasitic weeds (Boyer et al., 2014).

More recently, as described in § 3, treatment with exogenous *rac*-GR24 was shown to increase stomata reactivity in tomato and Arabidopsis (Lv *et al.*, 2017; Visentin *et al.*, 2016) and performances under drought in SL-depleted and WT, while not in SL-insensitive Arabidopsis (Ha *et al.*, 2014). Notwithstanding the *caveats* on the use of racemic mixtures in proof-of-concept experiments (see § 3.1), and taking into account that the non-natural enantiomer in the racemic mixture likely contributes to the effect through KAI2, this ability of synthetic molecules to confer drought resistance by foliar nebulization opens interesting scenarios. Synthetic SL derivatives were 565 indeed proven to relieve drought of maize under field conditions, and patented in this respect 566 (Davidson et al., 2015; Lumbroso and De Mesmaeker, 2017); foliar application would bypass most 567 instability issues for molecules delivered in soil. This highlights how available SL analogues/mimics 568 and karrikins could serve as a blueprint for the development of future agrochemicals aimed at 569 controlling plant water use and improving yield under water stress conditions, just like ABA agonists 570 (Helander et al., 2016). While it is clear indeed that ABA is a central regulator of plant water use, the 571 fact that rac-GR24 acts mostly ABA-independently on stomatal closure might allow for efficient 572 control of water losses, without stimulating the full array of ABA responses (Ha et al., 2014; Lv et al., 573 2017). On the other hand, different stresses may be associated to non-overlapping SL profiles in 574 different organs (see for example, osmotic stress and P deprivation); therefore, what outcome 575 combined stress might have in terms of metabolite profile, must be determined experimentally. 576 Only after such data are available might the effect of treatment with exogenous SL be foreseen. For 577 example, if SL are delivered to leaves of dicot plants under combined osmotic and nutritional stress 578 (by both of which SL may be induced in leaves), it is likely that the effects on stress resilience will be 579 positive; not necessarily so if treatments were targeted to the roots (in which, during combined 580 stress, the SL decrease triggered by osmotic stress will override the increase induced by P 581 deprivation) (see § 3). Additionally, since SL in soil may stimulate parasitic seed germination, foliar 582 application may be safer than soil delivery if the risk of weed infestation is not zero in any given 583 field. Wet testing is needed in this sense, but still missing for any realistic stress combinations.

584 It must be noted as well that a potentially exploitable effect on stomatal conductance could be 585 obtained in WT shoots of tomato plants grafted onto SL-depleted rootstocks (Visentin et al., 2016). 586 This result, besides providing mechanistic insights in SL-dependent root-to-shoot communication, 587 opens the possibility to develop efficient drought resistance strategies for graftable plants, in which 588 SL dynamics under drought mirror what happens in tomato. The use of SL-depleted (possibly non-589 transgenic) rootstocks for SL-replete scions leads to higher water use efficiency and better 590 performances under stress thanks to the demonstrated increase of ABA sensitivity in such scions 591 compared to WT shoots grafted onto WT roots (Visentin et al., 2016); and this, without using any 592 natural or synthetic chemical endowed with SL-like activity. Additionally, the possibility cannot be 593 excluded that natural variants exist among tomato accessions and wild relatives, which are more 594 resilient than cultivated genotypes because they exploit more efficiently the SL- (or SL-like) related 595 toolbox. In this sense, collections could be screened looking for genotypes displaying the most 596 effective root/shoot activation profile of the SL or SL-like pathways, under normal and stress 597 conditions. It must be noted in this regard that rootstocks in which SL production is knocked down 598 (yet not completely out) may also induce less germination in seed banks of parasitic weeds, and yet produce enough SL to allow for regular colonization by AM fungi (see for example (Vogel *et al.*,
2010), identifying a balance point between contrasting ecological needs.

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602 Thus, the many features of SL bioactivity make them potentially interesting for agronomic 603 applications against abiotic stress: soil treatment to improve beneficial symbiosis with AM fungi 604 and Rhizobium, foliar nebulization and grafting contrasting genotypes for SL production to increase 605 drought resistance seem to be the most promising strategies at present. On the other hand, the 606 road to market uptake for any SL-based product is inevitably long: chemical instability in water 607 solution, difficulties in the isolation of such low-concentration natural metabolites, the economic 608 burden of productive scale-up and registration of synthetic molecules are the biggest challenges to 609 tackle. Nonetheless, if enrichment strategies and protocols can be optimized to allow for the 610 development of a natural SL-enriched biostimulant, a decrease of the industrial costs (due in 611 particular to the registration and certification load) could be achieved. A biostimulant can be 612 defined as a (mix of) substance(s) and/or microorganisms that, when applied to plants or the 613 rhizosphere, stimulates natural processes to enhance/benefit crop yield and quality, also by 614 enhancing resilience to and recovery from abiotic stress, drought included (Van Oosten et al., 2017). 615 The positive influence of biostimulants is dependent on plant species, cultivars, climatic conditions, 616 dose, origin and time of application, but their use is fully compatible with both conventional and 617 organic agriculture. New, SL-enriched biostimulant formulations could be ideally developed and 618 tested for proof-of-concept, to the long-term goal of integrating them into the set of most effective 619 crop management practices and tools that prevent and mitigate the effect of abiotic stress. In 620 Europe, biostimulants can be currently placed on the market either under the national regulations 621 on fertilisers, or under the European pesticides law, which combines both supranational and 622 national provisions for introducing plant protection products (PPPs) on the market (EC regulation 623 No 1107/2009). However, a Fertiliser Proposal covering biostimulants as "fertilising products" (i.e. 624 distinct from fertilisers sensu strictu, but also from PPPs) is currently under discussion by the EC; its 625 goal is to amend the 2009 Regulation on PPPs, to explicitly exclude biostimulants. This currently 626 leaves biostimulants in a regulatory limbo, which is thought to be over shortly. Were biostimulants 627 to be registered for commercialization under less demanding regulations than PPPs, natural SL-628 enriched versions might become as or more attractive than synthetic SL for certain applications.

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## 630 5. Main open questions and conclusions

Many open questions of course persist, both at the basic understanding level and on the feasibility
of practical applications of fundamental knowledge. Namely, main avenues of research will have to
give further details in the molecular underpinnings of SL effects on stomatal closure, explaining the

634 reasons for the ABA-dependent share of guard cell activity impairment in SL mutants. The fact that 635 SL accumulate in stressed vs unstressed leaves is still awaiting to be conclusively proven or 636 disproven; it is indeed possible that SL synthesis in droughted leaves is highly localized (for 637 example, in guard cells; and anyway enough to escape detection in whole-leaf analyses), and/or 638 that different metabolites than the known ones, such as KL, are co-responsible for the observed 639 phenotypes. To this goal, readouts of SL activity are needed, but yet to be developed, which are 640 both sensitive, quantitative and at high spatial resolution (ideally, at the single-cell level); and 641 knowledge on the elusive KL is to be acquired. Finally, the actual mitigation effects of SL-based 642 management strategies on abiotic stress consequences in realistic field (open or protected) 643 situations must be explored soon by the academic community, if we are to fully exploit the 644 theoretical potential of SL in modern agriculture.

645

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Fig.1. Prototypal structures of natural SL and analogues. (A) General four-ring structure (ABCD) of SL, and relative C-atom numbering. (B) The racemic solution of GR24, the most commonly used synthetic analogue of SL, is composed of the equimolar mixture of the two enantiomers  $GR24^{sDS}$  (with the same stereochemistry as strigol) and  $GR24^{ent-5DS}$ . (C) Molecular structures of strigol and orobanchol, two naturally occurring SL characterized by  $\beta$ - and  $\alpha$ -orientations of the C ring, respectively. They are representatives of the two main molecular types of natural SL; both share the *R* configuration at the C-2' of ring D.

**Fig. 2. Main synthesis and perception avenues of SL.** Left-hand panel: SL biosynthesis starts in plastids where three enzymes, D27, CCD7 and CCD8, act sequentially on carotenoids to produce carlactone, a precursor of SL. Carlactone is then transferred to the cytosol, where it is further processed in order to produce SL. SL and carlactone are then perceived in the same cell where they were produced (not shown) and/or transferred to other cells; while the first are probably transferred via the PDR1 protein, the transporter for carlactone is not identified yet (dotted arrow). It is also not known if some steps of the SL biosynthetic pathway are shared by other SL-like molecules. Right-hand panel: SL (or, other carlactone derivatives) activate MAX2-dependent signal transduction after physical binding with the receptor D14. Through this pathway, SL modulate transcription by destabilizing members of the SMXL family of transcriptional corepressors; induce stomatal closure by influencing the activity of the ion channel SLAC1; and influence auxin distribution by promoting the removal of PIN-FORMED (PIN) transporters. MAX2 is also a component of the KAI2-triggered transduction cascade. The ligands to this receptor are thought to be an endogenous, putative SL-like signal molecule (KL) and karrikins (which are also suspected to activate a MAX2-independent signalling pathway; dotted arrow).

**Fig. 3. Model for SL action in root-shoot communication and local signalling under drought.** The main connections between SL (or SL-like signal molecules such as SL precursors, or KL) and ABA in roots and shoots under drought stress are highlighted. SL/SL-like molecules may have a negative effect on osmotic stress-induced ABA levels in roots, as indicated by *rac*-GR24 treatment in *Lotus japonicus*. This suggests that a drop in SL/SL-like synthesis in this organ under osmotic stress may be required (but not sufficient) to let ABA levels rise [1]. The shootward flow of SL/SL-like molecules represses by an unknown mechanism the transcription of SL/SL-like biosynthetic genes in shoots, especially under normal conditions when more SL are produced in the roots and likely translocated to the shoot [2] than under stress (*vide infra*). SL/SL-like synthesis is inhibited in roots under osmotic/drought stress and, as a positive consequence for acclimatization, shootward SL/SL-like flow is decreased [3]. The transcription of SL/SL-like biosynthetic genes is thus de-repressed in

shoots, likely increasing the metabolite levels [4] (dotted inhibition arrow indicates lower repression than in [2]). Shoot-produced SL/SL-like molecules may induce SLAC1-dependent stomatal closure directly, by triggering the production of  $H_2O_2$  and NO in guard cells [5]; moreover, they could also impact stomatal closure more indirectly, by positively regulating ABA sensitivity in guard cells [6]. It is not known whether osmotic/drought stress can increase SL/SL-like biosynthetic genes transcription in shoots independently of SL-related signals from the roots [?]. Adapted from: Visentin *et al.* (2016) based on data by Liu *et al.* (2015); Li *et al.* (2017); Lv *et al.* (2017); Visentin *et al.* (2016).

## Supplementary Information

**Table S1**. Comparative table of main results in Ha *et al.* (2014) and Bu *et al.* (2014). "*Lower*", "*higher*" and "*equal*" are intended in comparison with the WT genotype; *n.a.*, not assessed.





