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Biology of Fungi and Their Bacterial Endosymbionts 1 2

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- Mycoavidus cysteinexigens: Rhizopus microsporus 35

36 Abstract

37 Heritable symbioses, in which endosymbiotic bacteria (EB) are transmitted vertically between host generations, are an important source of evolutionary novelties. A primary example of such 38 39 symbioses is the eukaryotic cell with its EB-derived organelles. Recent discoveries suggest that 40 endosymbiosis-related innovations can be also found in associations formed by early divergent 41 fungi in the phylum Mucoromycota with heritable EB from two classes, Betaproteobacteria and 42 Mollicutes. These symbioses exemplify novel types of host-symbiont interactions. Studies of 43 these partnerships fuel theoretical models describing mechanisms that stabilize heritable 44 symbioses, control the rate of molecular evolution, and enable the establishment of mutualisms. 45 Lastly, by altering host phenotypes and metabolism, these associations represent an important 46 instrument for probing the basic biology of the Mucoromycota hosts, which remain one of the 47 least explored filamentous fungi.

48

49 **1. Introduction**

50 Fungi are increasingly appreciated for their ability to form intimate associations with bacteria 51 (31, 89). Among them, the symbioses of early divergent fungi in the phylum Mucoromycota 52 with an array of heritable endosymbiotic bacteria (EB) from two classes, Betaproteobacteria and 53 Mollicutes, stand out as the most highly co-evolved and ancient. The clade of Mucoromycota 54 includes three subphyla, Mucoromycotina, Mortierellomycotina, and Glomeromycotina (115). 55 Most Mucoromycota engage in plant-related lifestyles of decomposers of plant debris, plant 56 mutualists, and plant pathogens (115). Interactions with animals are uncommon in this group of 57 fungi.

58 Partnerships with bacteria formed by Mucoromycota have diverse fitness outcomes, 59 involve transfer of various goods and services, and represent a range of degrees of co-evolution. 60 In this review, we will focus on four very distinct symbioses partnering arbuscular mycorrhizal 61 fungi (AMF, subphylum Glomeromycotina) with 'Candidatus Glomeribacter gigasporarum' 62 (CaGg, Betaproteobacteria, Fig 1) and 'Candidatus Moeniiplasma glomeromycotorum' (CaMg, 63 Mollicutes, Fig 2) as well as on associations of *Rhizopus microsporus* (*Rm*, subphylum 64 Mucoromycotina) with Burkholderia EB (Betaproteobacteria, Fig 1), and Mortierella elongata 65 (Me, subphylum Mortierellomycotina) with Mycoavidus cysteinexigens (Mc, Betaproteobacteria,

66 Fig 1). Despite their marked differences, these Mucoromycota-EB associations provide

67 important insights into the host-symbiont biology. Studies of these symbioses inform

68 evolutionary models describing the mechanisms that stabilize heritable symbioses, control the

69 rate of molecular evolution, and lead to the establishment of mutualisms. In addition, by altering

70 host phenotypes and metabolism, these partnerships are a valuable source of information about

the biology of Mucoromycota, which remain one of the least explored groups of filamentous

72 fungi.

Heritable symbioses in which EB are transmitted from one host generation to the next can range from antagonisms to mutualisms. Importantly, strictly vertically transmitted symbionts that lower host fitness are unlikely to persist in a host population (28, 60). Evolutionary stability of such antagonistic symbioses requires that, in addition to passaging from parents to offspring, symbionts engage in horizontal transmission between hosts (28, 60). Alternatively, harmful symbionts can be maintained stably if they deliver occasional benefits to the host, forming a conditional mutualism (40, 61, 62, 105).

80 Mutualisms are reciprocal exploitations that nonetheless provide net benefits to each 81 partner (42). This definition emphasizes an inherent vulnerability of mutualisms to instabilities 82 and breakdowns, which stem from conflicting interests of the interacting partners. Vertical 83 transmission is a powerful mechanism that stabilizes mutualisms over evolutionary time (1, 4, 84 18, 21, 27, 104, 130). This stabilizing role is related to the fact that heritability of symbionts 85 aligns partner reproductive interests and facilitates reciprocal selection. While coupling of 86 reproductive efforts maximizes fitness of the partners, it does not eliminate conflicts among the 87 symbionts. Such conflicts are a potential source of instabilities in heritable mutualisms. They 88 intensify when symbiont populations are genetically diverse due to symbiont mixing, which can 89 lead to the emergence of rivaling strategies for the utilization of host resources (30).

90 In established mutualisms, several tactics are possible to control symbiont mixing, 91 including uniparental inheritance of symbionts (13), transmission of only a fraction of parental 92 symbionts to each offspring (29), and separation of an intrahost symbiont population into a 93 reproductive germline and a non-reproductive somatic lineage (29). Host control over symbiont 94 mixing evolved independently multiple times in various symbiotic systems, including eukaryotic 95 cells and their organelles (13) as well as nutritional symbioses of insects that rely on EB for 96 essential metabolites, such amino acids and vitamins (67, 74). While beneficial to the host, long-97 term evolutionary consequences of suppressed symbiont mixing can be detrimental to the

98 symbionts and the symbiosis as a whole. Symbiont population subdivisions, transmission 99 bottlenecks, and clonality reduce the effective size of a symbiont population and magnify the 100 impact of genetic drift relative to natural selection (90). As a consequence, symbiont populations 101 become vulnerable to accumulation of slightly deleterious (88) and eventual extinction (78). In 102 heritable EB, this process is associated with genomic decay and reduction of the genome size (7, 103 67, 74, 84). Such degenerative genome evolution has been observed empirically in free-living 104 bacteria evolving under conditions of a small effective population size (84), and inferred from 105 molecular evolution patterns in multiple heritable EB that provision insects with essential 106 metabolites (7, 67, 74). Another important consequence of degenerative evolution in heritable 107 EB is acceleration of the molecular evolution rate compared to free-living relatives (73, 87). 108 Remarkably, most of the Mucoromycota-EB symbioses are ancient (15, 72, 121, 124). 109 Two are mutualisms (AMF-CaGg and Rm-Burkholderia), one is an antagonism (Me-Mc), and 110 one remains unresolved in terms of partner fitness outcomes (AMF-CaMg). As a consequence, 111 Mucoromycota-EB associations exemplify diverse mechanisms that control evolutionary 112 stability and longevity in symbioses with vertically transmitted EB. Moreover, with the 113 exception of Burkholderia EB, symbionts of Mucoromycota appear to evolve faster than their 114 free-living relatives (20, 81), and thus offer insights into how molecular rate acceleration is 115 achieved in EB with different lifestyles. In addition, these symbioses allow for exploring 116 theoretical predictions that specify conditions necessary for mutualisms to arise. Many such 117 predictions have not been tested rigorously because very few heritable partnerships outside 118 Mucoromycota are amenable to experimental manipulation.

In this review, we summarize key features of Mucoromycota-EB partnerships, use molecular evolution patterns apparent in these symbioses to speculate about uncertainties surrounding some of their aspects, describe how studies of the Mucoromycota-EB associations inform and validate theoretical models of symbiosis evolution, and detail how they can be used to generate specific insights into the facets of host biology that historically have been recalcitrant to investigation. In the process, we highlight future research directions.

125

126 **2. Host-symbiont biology and symbiosis stability**

127 2.1. AMF-CaGg mutualism

128 *Ca*Gg is a betaproteobacterium (**Fig 1**) and a mutualist of AMF from the family Gigasporaceae 129 (9, 64, 72). AMF are obligate biotrophs that colonize roots of most terrestrial plants and 130 facilitate plant uptake of mineral nutrients from the soil (114) in exchange for photosynthesis-131 derived monosaccharides (41) and fatty acids (17, 44, 48, 63) coming from the plant. The 132 association that AMF form with plants, arbuscular mycorrhiza, dates back to the Early Devonian, 133 400 MYA (97), and is one of the oldest mutualisms on the planet. AMF are increasingly 134 recognized in agronomy as sustainable biofertilizers of the future (127).

135 *Ca*Gg is vertically transmitted through AMF generations (10) and shows variable 136 distribution across host populations, with some AMF individuals harboring the EB and some being CaGg-free (12, 72). This pattern suggests that CaGg is a nonessential partner of AMF. 137 138 Serial sub-culturing of AMF can lead to elimination of CaGg under laboratory conditions (64). 139 For AMF, phenotypic consequences of CaGg loss include reduced elongation and branching of 140 pre-symbiotic hyphae that emerge from spores in the presence of plant roots (64) (Fig 3). At the 141 subcellular level, the absence of CaGg from pre-symbiotic hosts is accompanied by a decline in 142 the volume of lipid droplets present in fungal cells (64). Without CaGg, spore fatty acids 143 become less abundant, with particular depletion of palmitic acid (106). Pre-symbiotic AMF are 144 unable to synthesize palmitate (123) because they lack genes encoding the fatty acid synthase 145 enzyme complex (118, 129). Consequently, the efficiency of how spore energy reserves are 146 utilized is important for the AMF ability to associate with a plant host. In fungi cured of CaGg, reductions in lipid droplet volume and fatty acid abundance are accompanied by elevated 147 148 expression of genes and proteins involved in beta-oxidation of fatty acids and the pentose 149 phosphate pathway, suggesting a shift towards pathways that provide reducing power (126). In 150 contrast, pre-symbiotic fungi harboring CaGg acquire their reducing power due to elevated 151 mitochondrial oxidative phosphorylation and ATP biosynthesis (107, 126). These increases are 152 associated with respiration rates 50% higher than in the cured fungi (126). Overall, CaGg 153 appears to interact with AMF energy metabolism in ways that mobilize ATP and fuel pre-154 symbiotic growth. Interestingly, similar effects are caused by strigolactones, plant hormones that 155 AMF perceive and respond to by enhancing hyphal branching, proliferation of mitochondria and 156 increasing respiration (8, 54). Remarkably, the strigolactone treatment also induces a 157 proliferation of CaGg cells (3), which suggests that the fungal mitochondrion might be the

primary target of both *Ca*Gg and plant strigolactones. However, the proximate mechanism of
how *Ca*Gg regulates pre-symbiotic activities of AMF remains elusive.

160 As we discussed earlier, in heritable EB that provision insects with essential metabolites, 161 genes in all functional categories are vulnerable to accumulation of slightly deleterious mutations 162 and decay (7, 67, 74). However, the symbiont genes responsible for essential services to the 163 host, such as those needed for the biosynthesis of amino acids (112) or vitamins (2), maintain 164 their functionality due to host-level selection (19). These observations suggest that clues 165 concerning CaGg factors that interact with AMF metabolism might be gleaned from the CaGg166 genomic data. With sizes ranging from 1.34 Mb to 2.36 Mb (36, 71), the genomes of CaGg are 167 substantially streamlined compared to their free-living Burkholderia relatives (131). However, 168 there are reasons to suspect that the mechanisms of genome contraction in CaGg are different 169 from those that govern degenerative genome reduction in heritable EB of insects. In particular, CaGg rate of mutation accumulation of 2.03×10^{-9} substitutions per site per year (71) is 170 comparable to that of free-living bacteria, and much lower than 2.2×10^{-7} substitutions per site 171 172 per year estimated in *Buchnera aphidicola*, *Ba* (76). *Ba* is an essential mutualist that provisions 173 phloem-feeding aphids with amino acids missing from their sugar-rich diet, and a model for 174 understanding degenerative genome evolution in heritable EB (77, 112, 117, 128). Importantly, 175 unlike heritable essential mutualists of insects, CaGg shows evidence of rare recombination and 176 host switching/horizontal transmission (71, 72). This pattern is consistent with a relatively large effective size of the CaGg population estimated at 1.44×10^8 (71) and larger than 1.0×10^7 in Ba 177 178 (34). Accordingly, forces of natural selection are expected to operate in the CaGg population, 179 and in fact, CaGg appears to be as effective at purging slightly deleterious mutations as free-180 living bacteria (71). As a consequence, only the genes encoding biosynthesis of costly 181 metabolites available to CaGg from the host are expected to be lost from CaGg genomes. 182 Consistent with this prediction, CaGg appears to rely on host-derived arginine as its energy 183 source (36). Conversely, EB retains the capacity for the energetically expensive and complex 184 biosynthesis of vitamin B_{12} (36), which is a cofactor essential to some bacteria and humans but 185 has no apparent role in the metabolism of fungi (99, 120). Consequently, the vitamin B_{12} 186 biosynthetic pathway must be preserved by CaGg for its own benefit. These patterns suggest 187 that identifying genomic clues to how CaGg reprograms the energy metabolism of its fungal host 188 may not be as simple as in heritable EB with degenerate genomes.

189 CaGg is transmitted uniparentally, along clonal lineages of its AMF hosts. AMF show 190 no direct evidence of sexual mating and rely on large multinucleate spores for asexual 191 proliferation. Intrahost populations of CaGg are genetically uniform (72). Such genetic 192 homogeneity could be attributed to a rate of mutation accumulation in CaGg that is comparable 193 to that of free-living bacteria (71). This low mutation rate (71) and a relatively large effective 194 population size in CaGg (71) are also likely to be responsible for the extraordinary evolutionary 195 longevity of the AMF-CaGg symbiosis, which dates back to the Early Devonian (72).

196 What remains uncertain are the forces that allow CaGg to maintain a relatively large 197 population size. It is possible that the ultimate cause is related to the nature of CaGg association 198 with AMF. CaGg services are not essential to AMF, or, in other words, AMF are only 199 facultatively reliant on CaGg (64, 72). Such reliance suggests that fitness benefits of carrying 200 EB vary depending on specific conditions, with certain environments favoring EB presence and 201 others selecting against it (101, 102). A variable selective landscape is expected to support 202 retention of genetic competence for horizontal transmission and recombination (85), which are 203 present in CaGg (71, 72). However, the specific environmental factors responsible for AMF 204 facultative rather than obligate dependence on CaGg are unknown. It could be speculated that 205 these factors are related to conditions affecting pre-symbiotic activities of obligately biotrophic 206 AMF, such as the number of spore germination attempts and the extent of hyphal proliferation. 207

208 2.2. Rm-Burkholderia mutualism

209 *Rm*, like most other Mucoromycotina, is a saprotroph that also can act as an opportunistic

210 pathogen of plants and humans (93, 108). While multiple Burkholderia EB species have been

211 found in different isolates of this fungus, such as Burkholderia rhizoxinica, Br (51, 68, 95, 96,

212 113, 125), Burkholderia endofungorum (94) and Burkholderia sp. (55, 70) (Fig 1), no

213 Burkholderia EB have been found in other Mucoromycotina (111). Moreover, even within Rm

- 214 some strains do not harbor these EB (55, 93).
- 215 The *Rm-Burkholderia* mutualism has become a model for understanding fungal-bacterial 216 symbioses because it can be manipulated experimentally, hosts can be cured of symbionts, and 217 partners separated and reassembled back into a functional symbiosis (51, 55, 68, 70, 95). This 218 versatility is related to the genomic makeup of *Burkholderia* EB. The 3.75 Mb genome of *Br* 219 (52) supports functional capabilities important for *Burkholderia* EB persistence outside the host

220 cellular environment and host recolonization as well as endosymbiotic lifestyle and vertical 221 transmission (51, 68, 95). Recolonization of the fungal mycelium is possible due to the activity 222 of Burkholderia secretion systems. These systems include the Type II Secretion System, which 223 translocates fungal cell wall-degrading enzymes chitinase and chitosinase (68) as well as the 224 Type III Secretion System (51), which delivers effectors for host manipulation directly into the 225 host cytoplasm (22). The establishment of symbiosis is associated with alterations of the Rm 226 lipid metabolism (55). Host lipids are also important for the maintenance of the symbiosis, as 227 they likely provide substrates for *Burkholderia* energy metabolism (52, 53) (Fig 3).

228 Nearly 10% of the Br genome is comprised of secondary metabolite gene clusters (52, 229 53). Secondary metabolites are low molecular weight compounds with potent physiological and 230 antimicrobial activities often deployed in interspecific interactions (47). In the Rm-Burkholderia 231 symbiosis, an antimitotic polyketide rhizoxin is synthesized cooperatively by both partners (95, 232 108). In contrast to essential metabolites provisioned by EB to insect hosts (6, 75), rhizoxin is 233 not essential to Rm survival. However, it allows Rm to engage in pathogenesis of plants (108). 234 Such reliance of *Rm* on its EB for secondary metabolites is an important and lifestyle altering 235 evolutionary innovation, as Mucoromycota, including Rm, contain only a limited repertoire of 236 secondary metabolite gene clusters (55, 70, 124).

237 For vertical transmission, *Burkholderia* exploits asexual sporangiospores and sexual 238 zygospores of Rm, exerting different degrees of control over formation of these two types of 239 propagules (70, 96) (Fig 3). EB transmission via asexual sporangiospores allows for co-240 dispersal of partner lineages. However, the extreme bottleneck size, varying from one to four 241 Burkholderia cells per Rm sporangiospore (70, 96), suggests that additional mechanisms must be 242 in place to prevent rapid genomic degeneration of EB genomes. Like other Mucoromycotina, in 243 addition to asexual proliferation via sporangiospores, the Rm hosts can mate and form sexual 244 zygospores (70). Consequently, it would not be unexpected for the zygospores to provide an 245 arena for mixing of symbionts associated with host parental lineages. While this hypothesis 246 remains to be tested, such mixing would be important for the retention by *Burkholderia* EB of 247 molecular evolution patterns resembling those of free-living Burkholderia rather than those of 248 heritable EB of insects, such as 'Candidatus Tremblaya princeps', a closely related nutritional 249 mutualist of mealybugs (20) (Fig 1).

250

251 **2.3. Me-Mc symbiosis**

252 Mc is a betaproteobacterium (Fig 1) auxotrophic for cysteine, which is provisioned by its Me 253 host (86). Like other Mortierellomycotina, Me can be isolated from the soil and roots of trees 254 (16, 124). Importantly, not all strains of Me harbor Mc (124). The Mc genome of 2.6 Mb 255 represents an intermediate level of contraction compared to the genomes of its close relatives 256 CaGg and Burkholderia EB of Rm (33, 124). Elimination of Mc from the Me hyphae results in 257 improved mycelial growth (59, 124) (Fig 3). Changes in the colony morphology are 258 accompanied by accumulation of fatty acids that otherwise fuel Mc energy metabolism (124). 259 Collectively, the phenotypic effects of Mc elimination suggest that it is a parasite of Me. 260 Interestingly, the *Me-Mc* symbiosis is believed to have originated 350 MYA (124), which 261 raises questions concerning the exact nature of this association and factors that control its 262 evolutionary stability. As mentioned before, it is unlikely for strictly vertically inherited 263 parasites to persist in a host population (28, 60) unless they engage in horizontal transmission 264 (28, 60), or in a conditional mutualism (40, 61, 62, 105). As the population structure of Mc is 265 unknown, it is not clear whether this heritable EB undergoes horizontal transmission. However, 266 as Me is a heterothallic fungus in which sexual reproduction requires two compatible mates (35), 267 host mating interactions could facilitate horizontal transmission of Mc. It is also possible that Mc 268 offers some conditional services to Me. For example, it could protect its host against more 269 virulent horizontally transmitted parasites (61, 62). Alternatively, costs and benefits of the Mc270 infection may vary spatially and temporally, and be related to the biosynthesis of secondary 271 metabolites (40, 105). Mucoromycota genomes, as we mentioned earlier, contain only a limited 272 repertoire of secondary metabolite gene clusters (55, 70, 124). In contrast, the Mc genome 273 harbors several of them, including one cluster encoding an insecticidal toxin, which potentially 274 could be expressed under specific environmental conditions to aid the fungal host (33, 124).

275 Such secondary metabolite complementation would resemble provision of rhizoxin by

276 Burkholderia EB to Rm (95, 108). As long as metabolic benefits provisioned by Mc occasionally

277 outweigh its cost to *Me*, the symbiosis could be evolutionarily stable (40, 105).

278

279 2.4. AMF-CaMg symbiosis

Like *Ca*Gg, *Ca*Mg is a heritable EB of AMF (79). In fact, both *Ca*Gg and *Ca*Mg can coexist in a single AMF host (26, 121). *Ca*Mg is an uncultivable mollicute in the *Mycoplasma pneumoniae*

282 group of the family Mycoplasmataceae (79, 80) (Fig 2). Even though the CaMg host range 283 extends to all major lineages of Glomeromycotina (79, 83, 121) as well as to other 284 Mucoromycota, including Endogone (25), not all host populations harbor this EB. The role of 285 *Ca*Mg in the biology of AMF is unknown. The *Ca*Mg genomes are highly reduced in size, 286 ranging from 0.66 to 1.23 Mb (80, 122). Consequently, CaMg is metabolically dependent on the 287 host, with the major source of energy remaining undiscovered (80, 122). Presence of the genes 288 encoding host-interactive proteins as well as genes acquired horizontally from fungi, including 289 Glomeromycotina and Mortierellomycotina (80, 122), suggests that CaMg is able to manipulate 290 its host biology.

291 While the metabolic capacity of the CaMg genomes does not offer obvious clues as to 292 whether it is a mutualist or antagonist, inferences can be made from the genome architecture (80, 293 81) and the population structure of CaMg (121). In contrast to heritable EB that act as 294 mutualists, CaMg displays uncommon genome plasticity (80, 81), remarkably high levels of 295 intrahost genetic diversity (83, 121), and population-level recombination (81, 121). These 296 patterns could be interpreted as an indication of an antagonistic arms race with the host (80, 81, 297 121). Genome plasticity in CaMg could be also viewed as a countermeasure to genomic 298 degeneration experienced by CaMg (81). CaMg, while being heritable in AMF, is derived from 299 horizontally transmitted animal-infecting mycoplasmas (80). Like its mycoplasma ancestors, 300 *Ca*Mg is missing DNA repair mechanisms, a deficiency that contributes to rapid accumulation of 301 mutations, resulting in one of fastest rates of evolution among bacteria (81). As recombination 302 and mobile genetic element (MGE) activity underlying *Ca*Mg genomic plasticity are common in 303 other mycoplasmas, CaMg must have retained these mechanisms after the host switch to fungi 304 and the transition from horizontal to vertical transmission (81). Importantly, the two 305 explanations of CaMg genomic plasticity, as an adaptation that facilitates exploitation of AMF 306 versus a countermeasure to genomic degeneration, are not mutually exclusive. Conversely, it 307 cannot be dismissed that, with genomic plasticity representing a vestige of its mycoplasma 308 ancestry, CaMg is a conventional mutualist providing yet unknown benefits to AMF. It is also 309 possible that it is a conditional mutualist that aids the host only under specific conditions (40, 61, 310 62, 105).

The age of the AMF-*Ca*Mg symbiosis likely pre-dates the diversification of the
 Mucoromycota (121), attesting to considerable evolutionary stability of this heritable association.

313 Such stability could be attributed to an apparent balance between the forces contributing to 314 genomic degeneration versus plasticity experienced by CaMg (81). In particular, reconstructing 315 the patterns of accumulation of slightly deleterious mutations during CaMg evolution revealed a 316 significant acceleration of this process after ancestral CaMg had switched from horizontal to 317 vertical transmission (81). In contrast, the evolution rates along terminal phylogenetic branches 318 leading to present day CaMg (Fig 2) do not appear to be elevated, which suggests that, over 319 time, *Ca*Mg has refined the mechanisms responsible for purging of slightly deleterious mutations 320 (81).

321

322 **2.5.** Why are heritable EB common in Mucoromycota?

323 Fungal-bacterial symbioses are not unique to the phylum Mucoromycota (89). However, the 324 associations formed with EB by these early divergent fungi are distinct due to a high degree of 325 co-evolution between the partners. It has been proposed that the propensity of Mucoromycota to 326 host EB is related to the aseptate nature of their hyphae, which allow free migration of EB across 327 the host mycelium (26). Another tantalizing explanation is related to the recent discovery that, 328 unlike Dikarya, early divergent fungi share with bacteria the use of 6-methyladenine (m6A) 329 DNA modification (69). 6mA is by far the most common type of DNA modifications in 330 bacteria, important for bacterial cell defense relying on restriction-modification systems (14). In 331 contrast to prokaryotes, the role of 6mA in eukaryotes has not been understood until recently (32, 332 39, 65, 66, 69, 133, 135). Recent studies revealed that 6mA is not only present in eukaryotes, 333 but plays an important role in gene expression (39, 49, 133, 135). Remarkably, the genomes of 334 early-divergent fungi contain up to 3% of 6mA, a level substantially higher than that in other 335 eukaryotes (69). Moreover, 6mA modifications appear to concentrate at the transcriptional starts 336 of expressed genes, a pattern consistent with gene activation (69). Consequently, it is attractive 337 to speculate that the shared use of 6mA DNA modification is a condition predisposing 338 Mucoromycota to bacterial manipulation, a hypothesis that remains to be tested. 339

340 3. Exploring evolutionary models

341 3.1. Molecular evolution rate acceleration

342 The rate of molecular evolution is expected to be higher in a population of a small effective size

343 that rapidly accumulates slightly deleterious mutations due to genetic drift compared to a

344 population of a larger size where such mutations are eliminated by natural selection (87). 345 Importantly, molecular evolution rate acceleration relative to free-living taxa is one of the 346 hallmarks of heritable EB (75), including CaGg (20). However, as we discussed earlier, with its 347 low mutation rate and a relatively large effective population size (71), CaGg appears to defy 348 predictions concerning the causes that underlie evolution rate acceleration. In fact, modeling of 349 the rates of evolution under various parameters of mutation and recombination suggested that the 350 evolution rate acceleration in *Ca*Gg is a consequence of the long-term maintenance of a largely 351 clonal population coupled with infrequent recombination (71).

352 Even though Mc is evolving significantly slower than CaGg, its evolution rate is 353 accelerated relative to free-living *Burkholderia* and *Burkholderia* EB of *Rm* (Fig 1, Table 1). 354 The genome of Mc contains multiple genes involved in DNA repair, including polA, dnaQ, mutS, 355 and *mutL* (33), which encode DNA polymerase I with proofreading activity, ε subunit of DNA 356 polymerase III with $3' \rightarrow 5'$ DNA-directed proofreading exonuclease activity, the MMRS 357 mismatch repair protein that recognizes and binds mismatched nucleotides, and MMRS 358 mismatch repair protein with endonuclease activity, respectively. While retention of these DNA 359 repair mechanisms suggests that the evolution rate acceleration in Mc is not caused by an 360 increased supply of mutations, the specific cause has yet to be found.

361 Unlike CaGg and Mc, Burkholderia EB of Rm evolve at a rate comparable to that of their 362 free-living relatives (20), which is somewhat surprising in a heritable EB. In the absence of 363 specific data, two hypotheses can be formulated that explain such a low evolutionary rate. First, 364 the *Rm-Burkholderia* mutualism is still at an early stage of co-evolution between the partners, 365 before the population of *Burkholderia* EB had a chance to decline in effective size and start 366 accumulating slightly deleterious mutations that disable DNA repair mechanisms. Alternatively, 367 the Rm-Burkholderia symbiosis is already ancient. Yet the genomes of EB are arrested at the 368 present state of evolution due to the nature of the symbiosis in which EB control host 369 reproductive biology, are free to mix, and thereby retain a large effective population size that 370 allows for symbiont-level selection. A moderate size of the Br genome and its retention of DNA 371 repair genes *polA*, *dnaQ*, *mutS*, and *mutL* (52) support both hypotheses. Accordingly, additional 372 work is needed to explain the low rate of molecular evolution in *Burkholderia* EB. 373 CaMg evolves at a rate that exceeds the rates observed in rapidly evolving animal-374 associated mycoplasmas and is one of fastest among bacteria (81). As we indicated earlier, the

- 375 genomes of CaMg are missing genes responsible for DNA repair, which contributes to a rampant 376 accumulation of mutations (80). This mutational decay is countered by genome plasticity (80, 377 82). In turn, a dynamic equilibrium between the forces that drive the ongoing genome decay and 378 its restoration contributes to evolutionary antiquity of the AMF-CaMg symbiosis (81). The same 379 forces are also likely responsible for the ultra-rapid evolution in CaMg. Importantly, this 380 mechanism is distinct from the one governing the rapid evolution of heritable EB with 381 populations of a small effective size (73, 87). It also differs from the mechanism operating in 382 *Ca*Gg in which molecular evolution rate acceleration can be attributed to rare recombination 383 events in a predominantly clonal population with a relatively large effective size (71).
- 384

385 **3.2. Mutualism origins**

386 **3.2.1.** Antagonism-to-mutualism transition in heritable symbioses. In the *Rm-Burkholderia* 387 symbiosis, elimination of EB from the host mycelium abolishes asexual proliferation of the 388 fungus (96) and affects its ability to mate, either impeding sex completely or reducing the rate of 389 zygospore formation (70) (Fig 3). These two patterns suggest that symbionts interact with host 390 reproduction and, by doing so, they control their own transmission (70). According to one of the 391 theoretical models describing conditions required for mutualism establishment, the symbiont's 392 ability to achieve control of its own transmission is the key prerequisite for the antagonism-to-393 mutualism transition in heritable symbioses (134). While the evolutionary history of the Rm-394 Burkholderia mutualism is uncertain, present-day antagonistic interactions between naturally 395 EB-free (non-host) *Rm* and *Burkholderia* isolated from the host suggest that it originated as an 396 antagonism (55). The symbiont's control over own transmission is expected to facilitate 397 reciprocal selection between the partners, leading to utilization of symbiont services by the host 398 (134). In the Rm-Burkholderia symbiosis, these services include EB-mediated synthesis of 399 rhizoxin, which, as we discussed earlier, enables pathogenesis of plants by Rm (95, 108). 400 Overall, the *Rm-Burkholderia* mutualism supports the evolutionary model suggesting that a 401 heritable mutualism could evolve from an antagonism (134). 402

3.2.2. Host addiction to an antagonistic symbiont. Another theoretical model describing the
antagonism-to-mutualism transition, which gained support from the patterns displayed by the *Rm*and *Burkholderia* partners, is the addiction model (1). According to this model, a host

406 antagonized by a parasitic symbiont will develop mechanisms that counterbalance parasite's 407 negative effects. These mechanisms may make the host addicted to the symbiont's continued 408 presence (92). In the *Rm-Burkholderia* symbiosis, the non-hosts exhibiting growth inhibition 409 when confronted by EB isolated from host fungi represent a pre-addiction stage of the fungus 410 (55). Mutualism establishment between the cured host and *Burkholderia* EB as well as bacterial 411 presence inside the host hyphae in the established symbiosis are associated with elevated 412 expression of fungal genes involved in lipid metabolism (55, 70). Activities of these enzymes 413 result in accumulation of triacylglycerol (TAG) and phosphatidylethanolamine (PE) at a ratio of 414 about 1:1 (55) (Fig 3). Perturbation of this ratio in favor of TAG over PE shifts the Rm-415 Burkholderia interaction into antagonism, suggesting that the accumulation of TAG and PE at a 416 specific ratio is part of the fungal addiction syndrome to EB.

417 In addition to EB impact on host lipid metabolism, *Rm* is addicted to *Burkholderia* for 418 reproduction (70). Bacteria hijacked a component of the host's reproductive machinery by 419 gaining control over the expression of ras2-1 (70), a gene encoding a G-protein involved in 420 asexual and sexual reproduction in other fungi (45, 46, 58). The exact mechanism of bacterial 421 control over *ras2-1* expression and the evolutionary trajectory that lead to it are unknown. 422 However, a tantalizing clue comes from observations made in yeast Saccharomyces cerevisiae in 423 which hyper-activation of Ras signaling induces programmed cell death (38). Accordingly, it is 424 attractive to speculate that in the ancestrally antagonistic relationship between Rm and 425 Burkholderia (55), establishing control over ras2-1 expression by EB was an important 426 component of co-evolution between the partners, leading to adaptive changes in host regulation 427 of its Ras2-1 signaling (70).

428

429 **4.** Fungal-bacterial symbioses: a window into the fungal biology

The phylum Mucoromycota is one of the least understood lineages of filamentous fungi because
its representatives have been remarkably recalcitrant to genetic analysis and manipulation.
However, recent studies of fungal-bacterial symbioses involving Mucoromycota suggest that

- 152 However, recent studies of fungal bucterial symptoses involving traceronitycola suggest that
- 433 novel insights into various aspects of the Mucoromycota biology can be gleaned from a
- 434 systematic dissection of these associations.
- 435

436 **4.1. Lipid metabolism of Mucoromycota**

Most Mucoromycota are oleaginous fungi that accumulate lipids to at least 20% of their biomass 437 438 (119). In all Mucoromycota symbioses that can be manipulated experimentally (AMF-CaGg, 439 Me-Mc, Rm-Burkholderia), symbiont elimination results in alterations of host lipid metabolism 440 (55, 59, 106, 107, 124, 126) (Fig 3). While the significance of these perturbations is different in 441 each of the systems, they all speak to the central role of lipid metabolism in host-EB interactions 442 involving Mucoromycota. Importantly, the examination of host responses to EB contributed to a 443 refined understanding of lipid metabolic pathways in Mucoromycota (55, 59). It also revealed 444 that some of the Mucoromycota lipid metabolic enzymes affected by EB are unique to the early 445 divergent fungi and not found in Dikarya (55).

446

447 **4.2. Reproductive biology of Mucoromycotina**

448 Reproductive dependence of *Rm* on *Burkholderia* EB established this symbiosis as a model for 449 understanding how asexual and sexual reproduction is regulated in Mucoromycotina (70, 96). 450 Several important insights have been already generated in this system. These findings include a 451 discovery that only one of the multiple paralogs of Ras2, a small GTPase central to the 452 reproductive development of other fungi, plays a role during both mating and asexual 453 proliferation of Mucoromycotina (70). In addition, a negative impact of cyclic AMP on 454 Mucoromycotina mating has been confirmed in this system (70). Lastly, candidate receptors of 455 mating pheromones unique to Mucoromycotina have been identified (70). Unlike Dikarya, 456 Mucoromycotina rely on trisporic acids and their precursors for communication between sexual 457 partners (132). While the biosynthesis of these molecules is fairly well understood (132), 458 mechanisms of their perception have been elusive.

459

460 **4.3. Reproductive biology of AMF**

461 Glomeromycotina are one of oldest and most common symbionts of plants (114). Despite their

462 close phylogenetic relationship with Mucoromycotina and Mortierellomycotina (115), they

- 463 display several phenotypic features that superficially set them apart from these other
- 464 Mucoromycota. First, unlike other predominantly saprotrophic Mucoromycota,
- 465 Glomeromycotina are obligate biotrophs. They have lost the fatty acid synthase, which is the
- 466 key enzyme complex responsible for the biosynthesis of fatty acids (118, 129). As a
- 467 consequence, AMF rely on their plant hosts for energy metabolism substrates. Second, although

468 cryptic recombination appears to occur in AMF (23, 24, 98), there is no direct evidence that 469 these fungi engage in a sexual process in which the union of gametangia leads to the formation 470 of zygospores typical for Mucoromycotina and Mortierellomycotina. Third, AMF do not form 471 asexual sporangiospores that are used for dispersal by most other Mucoromycota, with the 472 exception of *Endogone*. Instead, they generate large multinucleate resting spores that 473 phenotypically resemble azygospores formed by many Mucoromycotina under several specific 474 conditions (5, 37, 109, 110).

475 The apparent loss of sexual mating and sporangiospore-mediated dispersal in 476 Glomeromycotina may be attributed to selective pressures exerted by their obligate mutualism 477 with plants. In particular, genetic recombination is expected to be disfavored in mutualistic 478 microbes because new recombinant genotypes are less likely to be co-adapted to common host 479 genotypes (56, 57, 103). However, once recombination is lost, accumulation of slightly 480 deleterious mutations becomes a threat to evolutionary longevity of an asexual population (78). 481 Under such circumstances, asexual propagation becomes a key modulator of the population load 482 of deleterious mutations. Specifically, multinucleate propagules, such as those formed by AMF, 483 are more effective in purging of slightly deleterious mutations compared to uninuclear 484 propagules, like sporangiospores (43, 91, 100). Consequently, they are expected to be favored. 485 Theoretical considerations suggest that the reproductive biology of extant 486 Glomeromycotina could be solely a product of their interactions with plants. However, given the 487 role of Burkholderia EB in the reproductive biology of Rm (70, 96) and the propensity of AMF 488 for hosting diverse EB (11, 79), it is tempting to speculate that the loss of mating and 489 sporangiospore formation might have been facilitated by interactions of ancestral

490 Glomeromycotina with EB capable of modulating host reproductive biology.

491

492 **4.4. Innate immunity in Mucoromycotina**

493 The utility of the *Rm-Burkholderia* symbiosis as a model for fungal-bacterial interactions is

494 enhanced by the existence of non-host strains of *Rm* that do not harbor EB and interact

495 antagonistically with EB isolated from the host (55). Specifically, co-cultivation of cured *Rm*

496 with its own *Burkholderia* EB or *Burkholderia* isolated from other *Rm* hosts re-establishes a

- 497 functional symbiosis whereby bacteria populate fungal hyphae and spores (55, 70). In contrast,
- 498 non-host *Rm* strains do not become colonized by EB isolated from host *Rm* strains (55). A

499 similar absence of colonization was observed in other non-host Mucoromycotina such as

- 500 Rhizopus oryzae and Mucor circinelloides during co-cultivation with EB of Rm (55). Moreover,
- 501 the non-host fungi are antagonized by these bacteria and change their growth pattern by reducing
- 502 hyphal extension around bacterial colonies (55). These observations indicate that *Burkholderia*
- 503 isolated from *Rm* offers an excellent probe for exploring innate immunity of Mucoromycotina,
- 504 which, as we mentioned earlier, possess a limited repertoire of secondary metabolites that could
- 505 be deployed as a defense against bacterial invasions.
- 506

507 **5. Conclusions**

508 Heritable symbioses formed with bacteria by the members of the phylum Mucoromycota stand 509 out among other fungal-bacterial relationships. Despite their ecological and metabolic diversity, 510 these associations are all highly co-evolved and most are ancient. They have been a source of 511 important insights into the mechanisms that stabilize heritable symbioses, control the rate of 512 molecular evolution, and enable the establishment of mutualisms. They revealed novel aspects 513 of host-microbe biology and provided a unique framework for exploring genetically intractable 514 Mucoromycota. These advances establish heritable symbioses between Mucoromycota and EB 515 as convenient and versatile research targets. Importantly, it is highly likely that many 516 Mucoromycota-EB associations with unique biological properties will soon be discovered. 517 Consequently, we expect that the current explosion of studies conducted on fungal-bacterial 518 symbioses is a good prognostic for the future expansion of this research area.

519

520 Summary Points

- The associations of Mucoromycota with EB exemplify novel host-microbe interactions and
 mechanisms that stabilize heritable symbioses over long evolutionary periods.
- Some EB of Mucoromycota display molecular evolution rate acceleration relative to free living bacteria that cannot be attributed to accumulation of slightly deleterious mutations in a
 population of a small effective size.
- 526 3. Studies of the Mucoromycota-EB symbioses allow for testing predictions of theoretical
 527 models describing the origins of mutualisms.
- 528 4. Examination of the Mucoromycota-EB symbioses provides insights into the biology of529 genetically intractable fungal hosts.

530	5.	Novel Mucoromycota-EB symbioses are expected to be discovered.

531

532 Future Issues

- 533 1. What is the proximate mechanism that allows CaGg for manipulation of pre-symbiotic
- 534 AMF?
- 535 2. What is the evolutionary age of the *Rm-Burkholderia* symbiosis?
- 536 3. Is the *Me-Mc* symbiosis a conditional mutualism?
- 537 4. What is the nature of the AMF-*Ca*Mg symbiosis?
- 538 5. Is the shared use of m6A DNA modification predisposing Mucoromycota to harboring EB?
- 539

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888

889 **Terms and Definitions**

AMF: arbuscular mycorrhizal fungi, soil fungi that colonize roots of most terrestrial plants and
 facilitate plant uptake of mineral nutrients from the soil in exchange for photosynthesis-

892 derived metabolites

- 893 Burkholderia EB: a heritable endosymbiotic bacterium of Rhizopus microsporus
- 894 *Ca*Gg: '*Candidatus* Glomeribacter gigasporarum', a heritable endosymbiotic bacterium of
- 895 arbuscular mycorrhizal fungi
- *Ca*Mg: '*Candidatus* Moeniiplasma glomeromycotorum', a heritable endosymbiotic bacterium of
 arbuscular mycorrhizal fungi
- 898 **EB**: endosymbiotic bacteria
- Effective population size: a parameter that determines the rate of change in the composition of a
 population caused by generic drift
- Genetic drift: the process of evolutionary change involving the random sampling of genes from
 the parental generation to produce the offspring generation
- 903 Mc: Mycoavidus cysteinexigens, a heritable endosymbiotic bacterium of Mortierella elongata
- 904 Me: Mortierella elongata, a soil fungus in the subphylum Mortierellomycotina
- 905 *Rm: Rhizopus microsporus*, a soil fungus in the subphylum Mucoromycotina
- 906 Horizontal transmission: passage of symbionts between hosts of the same generation
- 907 **Mutualism**: a type of symbiosis in which reciprocal exploitation provides net benefits to each
- 908 partner
- 909 Symbiosis: the living together of dissimilar organisms
- 910 Vertical transmission: passage of symbionts from one host generation to the next
- 911 **Zygospore**: a resting spore formed by fusion of gametangia during sexual reproduction of
- 912 Mucoromycota
- 913

914 **Reference Annotations**

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946	This p	paper placed AMF into the phylum Mucoromycota and inspired our speculations	
947	about	the impact of EB on the reproductive biology of AMF.	
948			
949	Relat	ed Resources	

- 950 Charlesworth B. 2009. Effective population size and patterns of molecular evolution and
- 951 variation. *Nature Reviews Genetics* 10: 195-205
- 952
- 953

- 954 Table 1. The rate of evolution in *Mc* differs from the evolution rates in other EB and free-living 955 relatives^{*a*}.
- 956

Ingroup (GenBank accession no.)	Outgroup (GenBank accession no.)	Relative rate statistic ^{<i>a</i>}
Mycoavidus cysteinexigens FMR23-6	Burkholderia phytofirmans PsJN	22.88****
(NZ_DF850521)	(NC_010681)	
'Ca. Glomeribacter gigasporarum'		
BEG34 (NZ_CAFB0000000)		
Mycoavidus cysteinexigens FMR23-6	Burkholderia phytofirmans PsJN	17.95****
(NZ_DF850521)	(NC_010681)	
'Ca. Glomeribacter gigasporarum'		
IN211 (PRJNA276133)		
Mycoavidus cysteinexigens FMR23-6	Burkholderia phytofirmans PsJN	506.65****
(NZ_DF850521)	(NC_010681)	
Burkholderia rhizoxinica HK1454		
(NC_014722)		
Mycoavidus cysteinexigens FMR23-6	Ralstonia pickettii 12J (NC_010682)	773.73****
(NZ_DF850521)		
Burkholderia phytofirmans PsJN		
(NC_010681)		
Mycoavidus cysteinexigens FMR23-6	Ralstonia pickettii 12J (NC_010682)	864.33****
(NZ_DF850521)		
Burkholderia glumae BGR1		
(NC_012724)		

^aResults were obtained using Tajima's 1D relative rate test (116) implemented in MEGA7 (50) 957

and conducted on DNA sequences at 27 loci listed in Fig 1. 958

^{*b*}The 1D relative rate statistic distribution is the same as the distribution of χ^2 . 959

****, significant at $P \le 0.0001$. 960

961 **Figure legends**

- 962 **Figure 1.** Evolutionary history of *CaGg*, *Mc* and *Burkholderia* EB reconstructed using
- nucleotide sequences at 16S rRNA, 23S rRNA, and 25 protein-coding loci (nusA, pyrG, rplA,
- 964 rplB, rplC, rplD, rplE, rplF, rplK, rplL, rplM, rplN, rplP, rplS, rplT, rpmA, rpoB, rpsB, rpsC,
- 965 *rpsE, rpsI, rpsJ, rpsK, rpsM,* and *rpsS*). Bayesian posterior probabilities over 0.80 are shown
- above branches. Branches with maximum likelihood bootstrap support over 70% are thickened.
- 967 Sequences of EB are in bold: *Ca*Gg of *Gigaspora margarita* BEG34, *Ca*Gg of *Gigaspora*
- 968 margarita JA201A-16, CaGg of Racocetra castanea BEG1, CaGg of Cetraspora pellucida
- 969 IN211, Mycoavidus cysteinexigens of Mortierella elongata FMR23-6, Burkholderia rhizoxinica
- 970 of *Rhizopus microsporus*, 'Ca. Tremblaya princeps' of citrus mealybug *Planococcus citri*.
- 971 Figure modified from (71).
- 972

Figure 2. Phylogenetic placement of '*Ca*. Moeniiplasma glomeromycotorum' based on amino
acid sequences at 19 protein-coding loci (*dnaG*, *infC*, *nusA*, *rplA*, *rplB*, *rplC*, *rplE*, *rplF*, *rplM*, *rplN*, *rplP*, *rplT*, *rpmA*, *rpsB*, *rpsC*, *rpsE*, *rpsJ*, *rpsS* and *smpB*). Bayesian posterior probabilities
over 0.90 are indicated above branches. Branches with maximum-likelihood bootstrap support
over 70 % are thickened. Sequences of *Ca*Mg are in bold: *Ca*Mg of *Dentiscutata heterogama*FL654, *Ca*Mg of *Rhizophagus clarus* NB112A, *Ca*Mg of *Racocetra verrucosa* VA103A. Figure
modified from (79).

980

981 Figure 3. Cartoon representation of phenotypic effects that EB have on their Mucoromycota

- 982 hosts. CaGg improves germ tube extension and branching during pre-symbiotic growth of AMF
- 983 (left). Burkholderia EB interacts with Rm asexual sporulation and mating (center); images
- 984 modified from (70). *Mc* reduces colony expansion in *Me* (right). Red ovals represent EB; fungal
- 985 structures, including AMF spores and germ tubes, *Rm* zygospores and sporangia with
- 986 sporangiospores, and *Me* mycelia, are not drawn to scale. FA, fatty acids.

987 Figure 1



989 Figure 2



Figure 3



