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# Extending Janzen's hypothesis to temperate regions: a test using subterranean ecosystems

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## **ABSTRACT** (max 350 words)

1. Janzen's hypothesis (1967; *American Naturalist*) predicts that tropical habitats with reduced thermal seasonality would select for species with narrow thermal tolerance, thereby limiting dispersal among sites of different elevations showing little overlap in temperature. These predictions have so far been tested by confronting tropical and temperate mountain communities, leaving unresolved the question of their generalization to **habitats with low thermal seasonality outside the tropics**.

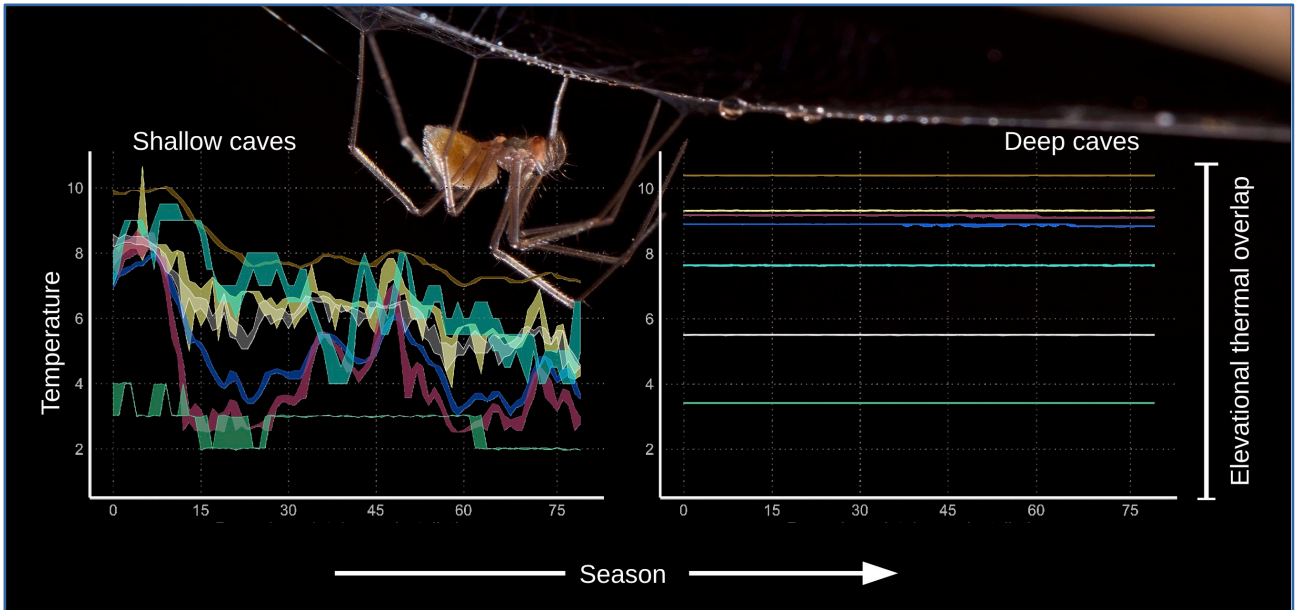
2. We provide the first extension of Janzen's hypothesis to temperate habitats, by testing for differences in thermal tolerance and elevational range among congeneric alpine spiders (Araneae: Linyphiidae: *Troglohyphantes*) occurring along a steep gradient of decreasing thermal seasonality with increasing cave depth. Using species from the same temperate region rather than from distinct biogeographic regions, avoids confounding the effects of short- and long-term climatic variability on thermal tolerance and elevational range extent.

3. Following Jansen's assumptions, we predicted that cave habitats with low thermal seasonality would select for narrow thermal tolerance. Also, specialized subterranean species would exhibit both narrower elevational range extents and smaller realized thermal niche breadths. Initially, we showed that thermal seasonality and the overlap in temperature across caves were considerably lower in deep than in shallow cave habitats. Then, we measured thermal tolerance and used morphological traits to quantify the degree of specialization to subterranean life of eleven spider species.

4. We found that thermal tolerance decreased with increasing subterranean specialization. Deep subterranean species reached their lethal temperature at 1 to 4 °C above their habitat temperature, whereas shallow subterranean species withstood a two-fold larger temperature increase. At last, we demonstrated that a species' elevational range extent and the variation of temperature encountered across its range decreased with increasing specialization to deep subterranean life.

5. Our integrative work, being grounded in organismal and habitat measures, represents the first generalization of Janzen's framework to **caves** and provides a conceptual framework to disentangle the effect of long-term climate variability on subterranean biodiversity patterns. Extending Janzen's thoughts to a broader range of ecosystems is key to understanding how the ecological specialization-dispersal tradeoff may constrain the response of species to climate change.

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### Plain text abstract

In order to predict the consequences of climate change on current biomes, scientists seek to answer a pivotal question: what drives the distribution of species across space and time? A seminal contribution in this realm is the 'Mountain Passes Hypothesis', proposed by Dan Janzen in 1967 to explain why biodiversity is generally higher in tropical mountains. Janzen's hypothesis, published under the evocative title "*Why Mountain Passes are Higher in the Tropics*", provides a mechanistic framework to link climate seasonality to the thermal specialization and dispersal capacity of species. While this hypothesis was specifically developed to explain why biodiversity is generally greater in tropical versus temperate mountains, theoretically it could be transferred to a large variety of habitats displaying reduced climatic seasonality, for example soils and deep sea waters. Yet, the ecological complexity of most of these ecosystems and the challenge posed by the geographic scale at which this hypothesis can be tested, have largely prevented ecologists from comprehensively testing the underlying assumptions of Janzen's hypothesis in non-tropical habitats.

In this work, we focused our attention on cave ecosystems, unique natural laboratories with largely constant temperature. By means of an integrative approach, we applied and validate Janzen's predictions to temperate caves. We demonstrate that in cave-dwelling spiders, thermal tolerance as derived from laboratory tests decreases with increasing degree of morphological specialization to deep and thermally-stable habitats. Then, we link thermal specialization to dispersal propensity, by proving that specialized spiders with narrower thermal tolerance have smaller distribution ranges across which they encounter a similarly shorter range of temperature.

At last, we showed that this ecological specialization of these spiders prevents their dispersal, a proposal which is entirely consistent with previous predictions of habitat change for these spiders estimated for future global warming scenarios. Our contribution provides the first extension of Janzen's hypothesis to caves but it could potentially be generalised to the larger variety of habitats displaying a similarly reduced thermal seasonality. Perhaps more importantly, it develops a conceptual approach to understand the effects of past and future climate changes on biodiversity patterns.

## INTRODUCTION

Understanding the role of climate in determining species geographical distribution is a pivotal research topic in biogeography, macroecology and climate change biology (Deutsch et al., 2008; Mittelbach et al., 2007; Muñoz & Bodensteiner, 2019; Shah et al., 2017; Sunday, Bates, & Dulvy, 2011). Janzen's mountain passes hypothesis (Janzen, 1967) is a core contribution to understand how climatic variability influences a species thermal tolerance and, through this, its dispersal and elevational range. This hypothesis was originally developed to explain biodiversity patterns in tropical mountains. Janzen began by assuming that the degree to which a topographic barrier limited dispersal depends on the temperature gradient across that barrier. Then, he assumed that a greater seasonal stability of temperature, like in tropical mountains, would select for species with narrow thermal tolerance over time. By linking together these two assumptions, he predicted that tropical species would have greater difficulty than temperate species to disperse across mountain passes, because they would be more likely to encounter unsuitable climates. Consequently, Janzen concluded that mountain passes were figuratively "higher" in the tropics.

Janzen's hypothesis is compelling because it brings together the habitat features, the physiology, and the dispersal capacity of species, thereby providing a conceptual foundation for achieving a mechanistic understanding of diversity patterns across space and time (Ghalambor, Huey, Martin, Tewksbury, & Wang, 2006; Sheldon, Huey, Kaspari, & Sanders, 2018). Yet, the challenge of comparing thermal tolerance, range size and dispersal ability of geographically-distant animal communities occurring in tropical and temperate mountain systems emphasizes the difficulty of rigorously testing this framework. Indeed, a comprehensive test of Janzen's hypothesis—i.e. a research tackling simultaneously all of its empirical assumptions—was proposed only after five decades

from its original formulation (Polato et al., 2018; Smith, 2018). By studying invertebrate assemblages in tropical versus temperate mountain rivers, Polato et al. (2018) recently demonstrated that the mountain passes hypothesis might hold true for riverine communities. Building upon this milestone result, it should be theoretically possible to generalize Janzen's hypothesis more broadly, by testing whether it can be used to explain biodiversity patterns in non-tropical ecosystems with reduced climatic seasonality.

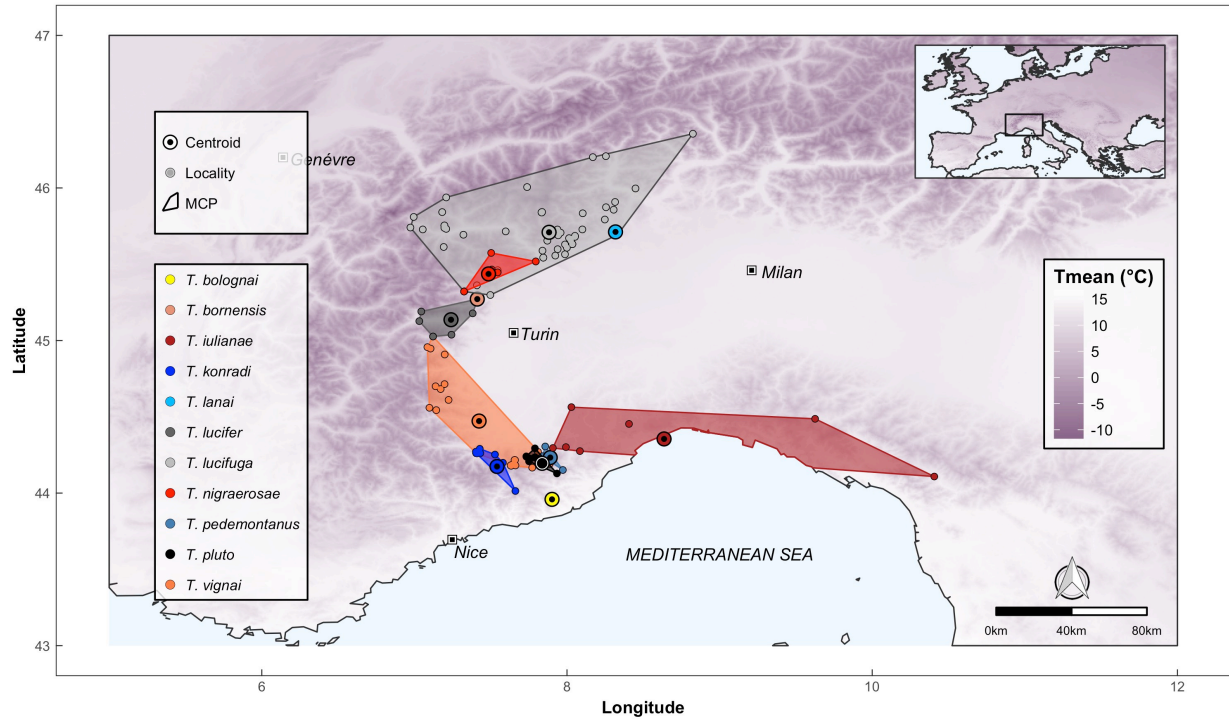
Caves represent unique experimental arenas for testing the assumptions of Janzen's hypothesis. Deep terrestrial subterranean habitats—deep caves and the maze of fissures and voids inaccessible to humans—are among the terrestrial habitats with the greatest climatic stability (Badino, 2010). In temperate caves, there are steep gradients in thermal seasonality from the outer (annual temperature variations comparable to the outside; Pipan, López, Oromí, Polak, & Culver, 2011) to the inner sectors (annual variations in the order of few tenths of degrees; Badino, 2010; Cigna, 2002). This provides a unique opportunity to test for relations among habitat specialization, thermal tolerance and dispersal capacity in animal communities at a very local, rather than a continental, scale. Indeed, comparing geographically-distant communities such as temperate and tropical communities bears the risk of confounding the influence of short- and long-term climate variability on specialization and dispersal (Jansson & Dynesius 2002; Stevens 1989). This confounding factor has long been debated when it comes to explaining the mechanisms causing an increase in species range size at higher latitudes (Baselga, Gomez-Rodriguez, & Lobo, 2012; Morueta-Holme et al., 2013; Rohde, 1996; Veter et al., 2013), i.e. the Rapoport's rule as described by Stevens (1989, 1992).

Here, we provide the first explicit test of Janzen's hypothesis in subterranean habitats, by measuring the thermal tolerance of the widest sample of congeneric subterranean organisms to date, and relating thermal tolerance to the degree of

subterranean specialization and to the extent of the elevation range. We used spiders of the genus *Troglohyphantes* (Araneae: Linyphiidae) as experimental model organisms (Fig. 1). *Troglohyphantes* spiders show different levels of subterranean habitat specialization, thus representing multiple replicates of the ecological transition along a gradient from shallow and thermally variable to deep and thermally stable subterranean habitats (Isaia et al., 2017; Mammola et al., 2018a). We tested eleven congeneric species distributed in the restricted biogeographic area of the Western Alps (Isaia & Pantini, 2010; Isaia et al., 2017; Mammola et al., 2018b), hence evaluating differences in thermal tolerance and dispersal among species sharing a common biogeographic history but occurring in habitats of contrasted thermal seasonality.

We initially explored the upper thermal tolerance of these species via thermal test experiments in a climatic chamber. Subsequently, we estimated the subterranean specialization of each species using a quantitative approach based on morphological traits (Mammola et al., 2018a), and related this specialization to their thermal tolerance. We predicted that increasing levels of subterranean specialization would result in narrower ranges of thermal tolerance, because the more specialized subterranean species colonize the deeper, seasonally stable cave habitats. Then, we related thermal tolerance to the elevational range extent as well as to the variation in temperature across that range (hereafter 'realized thermal niche breadth'). We predicted that more specialized subterranean species would exhibit both narrower elevational ranges and smaller realized thermal niche breadths. Corroborating the two predictions would provide an extension of Janzen's hypothesis to habitats with reduced thermal seasonality other than to tropical mountains (Polato et al., 2018), by linking a subterranean species' morphological and thermal specialization to its dispersal potential across elevation gradients.





**Figure 1.** Distribution of *Troglodyphantes* spiders in the Western Italian Alps. For each species, localities and centroid of the distribution are reported. For visual presentation, the Minimum Convex Polygon (MCP) encompassing all localities of each species is drawn. Shades of gray in the background represent mean annual temperature, as derived from Bioclim 2 (Fick & Hijmans, 2017).

## **MATERIALS & METHODS**

### **Thermal overlap for deep and shallow cave habitats**

To test whether thermal gradients are less overlapping for spiders inhabiting deep cave sectors, we used best available thermal records in the Western Italian Alps to compare the overlap in temperature at shallow and deep cave sectors. We used data collected between 2012 and 2014. Temperature measurements were taken every 3 h using Hygrochron™ dataloggers (accuracy:  $\pm 0.5^{\circ}\text{C}$ ) placed both at the cave entrance (1–10 m from the surface) and deeper inside the cave (>100 m or at the deepest point when caves were less than 100 m in length). Data were taken in multiple caves across our study region, covering an elevational range from 400 to over 2,000 m a.s.l. (full details in Mammola et al., 2018b). Following Scheffers et al. (2017), we plotted the range of thermal regimes and determined daily overlap values between the superficial and deep subterranean temperature regimes across caves opening at different elevations.

### **Sampling protocol**

We collected live specimens of eleven species of *Troglohyphantes* from subterranean sites (caves, mines, subterranean military bunkers; hereinafter 'caves') across the Western Italian Alps (Table 1). With the exception of a very rare species inhabiting interstitial subterranean habitats of difficult access (*T. giachinoi* Isaia & Mammola), we sampled all known *Troglohyphantes* species occurring in this region. Samplings were conducted from early fall to late winter, which in our experience (Isaia & Mammola, Pers. Obs.) corresponds to the period where *Troglohyphantes* spiders are generally mostly available in caves. Due to the reduced detectability and generally low density of individuals, for a few species it was necessary to sample multiple caves to obtain a sufficient number of specimens (from 11 to 36) for the analysis (Table 1). In each cave, we collected spiders by

hand and placed them in individual Eppendorf Tubes® of 5ml. We stored all vials in a cool-bag and transported it the same day to the laboratory at the University of Torino (Italy), where we conducted the experiments. In the laboratory, we placed specimens in a IPP 30 Peltier Memmert climatic chamber, each specimen in individual Petri dish, and acclimated them for one day at the temperature of the cave. Air humidity was kept at 100% during the acclimation and during the experiments, by placing two Petri dishes filled with water inside the climatic chamber. During acclimation, **small insects** collected on the cave walls during sampling were offered *ad libitum* to each specimen tested. **Owing to the high resistance to starvation of subterranean spiders (Mammola & Isaia, 2017), specimens were not fed throughout the experiments.**

For each sampled cave, we derived the internal mean annual temperature from continuous temperature measurements taken between 2012 and 2013 (Mammola et al., 2018b), or unpublished temperature records taken via the same methodology (Grotte della Maissa). For two caves lacking field-collected temperature records (indicated with an asterisk in Table 1), we downloaded annual temperature series from the same period from the nearest thermo-hygro-pluviometric weather station. After correcting the data with the standard environmental lapse rate, i.e. 0.57°C/100m (Rubel, Brugger, Haslinger, & Auer, 2017), we calculated the mean annual temperature and used this value as a direct *proxy* of the cave temperature (Badino, 2010; Eme et al., 2014, Mammola et al., 2018b; Sánchez-Fernández et al., 2018).

We conducted experiments to determine the upper lethal temperature with the climatic chamber, starting from the mean annual cave temperature, with an increasing slow temperature rate of 1°C/day. We evaluated the individual lethal temperature (LT) as **the total paralysis, that is the temperature at which the individual was immobile and no appendage movements were visible (Calosi, Bilton, Spicer, & Atfield, 2008; Le Lann et al.,**

2011; Rizzo, Sánchez-Fernández, Fresneda, Cieslak, & Ribera, 2015). We observed that after the heating process, the spiders never recovered after being removed from the climatic chamber, a typical pattern when the temperature at which heat stupor occurs is close to LT (Wu & Wright 2015). We expressed the  $LT_{50}$  as the measure of temperature at which 50% individuals experienced total paralysis. The experiment continued until all the specimens had stopped moving ( $LT_{100}$ ). For each species tested, we kept approximately 10% of the specimens as controls in stable climatic conditions, to exclude the confounding effect of causes of mortality other than temperature alteration (e.g., starvation, stress). No mortality was recorded in controls during the experiments.

After the experiments we sexed each specimen (male, female or juvenile), confirmed species identity under a stereomicroscope, and acquired morphological measures to quantify the degree of subterranean specialization.

### **Morphological measures**

For estimating the degree of subterranean specialization of each species tested, we measured three morphological traits, namely leg elongation (sum of leg I articles divided by body size), eye regression (sum of eye diameter divided by length of the ocular area) and profile reduction (cephalothorax height divided by cephalothorax width). The reliability of these measures to represent specialization to deep subterranean habitats in *Troglohyphantes* species is provided in Mammola et al. (2018a). To standardize measurements and obtain comparable estimations, we considered only adult females in the estimation, thereby avoiding problems related to sexual size dimorphism. We acquired measures in millimeters (mm) from digital pictures made with a Leica EC3 digital camera installed on a Leica M80 stereoscopic microscope (up to 60x magnification), and with the Leica LAS EZ 3.0 software (Leica Microsystems, Switzerland).

## Elevational range extent and realized thermal niche breadth

We assembled a database of occurrence localities of each Western alpine *Troglohyphantes* species, using recent literature geo-referenced records (Isaia et al., 2011 2017; Mammola, Isaia, & Arnedo, 2015; Mammola et al., 2018a, 2018b) (Fig. 1). We overlaid elevation and mean annual temperature rasters at 30 arcsec resolution (1970–2000; Fick & Hijmans, 2017) to the occurrence records of each species. We calculated the elevational range as the difference between maximum and minimum elevations and the realized thermal niche breadth as the difference between maximum and minimum temperatures experienced by each species across its localities.

## Statistical analyses

All statistical analyses were conducted using R software ([www.r-project.org](http://www.r-project.org)). We used the internal mean annual temperature of the caves as the baseline to calculate the delta with the species lethal temperatures ( $\Delta T$ , a measure of thermal tolerance expressed as the difference between the LT and the mean temperature of the natural habitat of each specimen). We tested for differences in  $\Delta T$ s between sexes (males, females or juveniles) with ANOVA, **via the formula:**

$$\Delta T \sim \text{sex} * \text{species}$$

For the four species collected in multiple caves (*T. konradi*, *T. lucifer*, *T. lucifuga*, *T. vignai*), **we also used ANOVA to test for differences in  $\Delta T$ s across populations.** We constructed standard survival curves with binomial Generalized Linear Models (GLM), whereby we modeled the percentage survival rate of each species as a function of  $\Delta T$  (response variable).

We performed a cluster analysis for grouping *Troglohyphantes* species into homogeneous classes of subterranean specialization, using the morphological traits measured on adult females. We standardized each variable by subtracting mean and dividing by standard deviation. We estimated clusters via Euclidean distances and the Ward2's hierarchical clustering method (Murtagh & Legendre, 2014), with the R package 'fastcluster' (Müllner, 2013). We relied on the Gap statistic for determining the optimal number of clusters  $k$  (Tibshirani, Walther, & Hastie, 2001), allowing for a maximum  $k$  equal to the number of species tested and performing 500 bootstraps.

To verify if the shape of the survival curves varied in response to the degree of subterranean specialization of each species, we modeled the survival rate of all individuals tested as a function of  $\Delta T$  in interaction with the class of subterranean specialization, with a binomial Generalized Linear Mixed Model (GLMM) in the R package 'lme4' (Bates, Mächler, Bolker, & Walker, 2015). The mixed part of the model was designed to account for data dependence, using species identity as random factor. The structure of the model in R notation was:

Survival rate  $\sim \Delta T * \text{Class of subterranean specialization} + \text{random factor (Species)}$

We tested the relationships between  $\Delta T$  and both the elevational range and the realized thermal niche breadth of each species with Phylogenetic Generalized Least Squares (PGLS) models, hence accounting for phylogenetic non-independence among species. We introduced the latest *Troglohyphantes* phylogeny (Mammola et al., 2018a) into the PGLSs via a Pagel correlation structure, with the R package 'nlme' (Pinheiro et al., 2017). Prior to this analysis,  $\Delta T$  was log-transformed to account for one outlying observation (*T. lucifer*). We tested for differences in elevation range extent and realized thermal niche

breadth between species belonging to different classes of subterranean specialization with ANOVA.

**Table 1.** Overview of the species of *Troglohyphantes* tested and summary statistics. Cave [cadastre code] (Coordinates) = sampling locality, cadastre code in square brackets ("Art." denotes artificial sites) and approximate geographic coordinates in WGS84 decimal degrees; CaveT= mean annual temperature of the sampling locality; Spec.= subterranean specialization according to the cluster analysis; n= number of specimens tested (controls are included in the count); LT<sub>50/100</sub>= temperature at which 50% or 100% individuals experienced total paralysis;  $\Delta T_{50/100}$ = temperature at which 50% or 100% individuals experienced total paralysis relative to the mean annual cave temperature at which the specimens were sampled.

Species	Cave [cadastre code] (Coordinates)	CaveT	Spec.	n	LT mean $\pm$ sd (range)	LT <sub>50</sub>	LT <sub>100</sub>	$\Delta T$ mean $\pm$ sd (range)	$\Delta T_{50}$	$\Delta T_{100}$
<i>T. konradi</i> Brignoli	Grotte della Maissa [Pi 1214, 1215, 1219] (44.26 N, 7.40 E)	8.5	High	32	10.69 $\pm$ 1.7 6 (8–15)	11	15	1.92 $\pm$ 1.23 (1–5)	1	5
	Sotterranei di Vernante [Art.] (44.25 N, 7.52 E)	9*								
<i>T. lanai</i> Isaia & Pantini	Grotta delle Arenarie [Pi 2509] (45.71 N, 8.31 E)	9.2	High	14	10.02 $\pm$ 0.7 5 (9.2–11.2)	11	12	1.82 $\pm$ 0.75 (1–3)	2	3
<i>T. pedemontanus</i> Gozo	Grotta di Bossea [Pi 108] (44.24 N, 7.84 E)	8.9	High	15	11.88 $\pm$ 0.9 6 (10.5–13.5)	13	14	3.38 $\pm$ 0.96 (2–5)	4	5
<i>T. bornensis</i> Isaia & Pantini	Grotte di Pugnetto [Pi 1501–1504] (45.27 N, 7.14 E)	8.7	Inter m.	11	9.44 $\pm$ 0.73 (9–11)	9	11	0.94 $\pm$ 0.73 (0.5–2.5)	1	3
<i>T. iulianae</i> Brignoli	Grotta del Baraccone [Li 309] (44.27 N, 8.08 E)	10*	Inter m.	24	11.76 $\pm$ 0.8 9 (11–14)	12	14	1.76 $\pm$ 0.89 (1–4)	2	4
<i>T. nigraerosae</i> Brignoli	Buca del Ghiaccio della Cavallaria [Pi 1609] (45.51 N, 7.79 E)	6.6	Inter m.	22	7.89 $\pm$ 2.40 (5–13)	8	13	2.42 $\pm$ 1.54 (0.5–5.5)	2	6
<i>T. vignai</i> Brignoli	Tana del Diavolo [Pi 1591] (45.02 N, 7.12 E)	6.3	Inter m.	36	13.11 $\pm$ 2.3 5 (10.5–17.5)	13	18	5.18 $\pm$ 2.42 (2–10)	4	10
	Tornini mine [Art.] (44.90 N, 7.19 E)	7.6								
<i>T. lucifer</i> Isaia <i>et al.</i>	Grotta del Ghiaccio di Bosconero [Pi 1580] (45.19 N, 7.04 E)	4	Low	31	21.18 $\pm$ 7.0 4 (11–30)	19	30	16.70 $\pm$ 8.8 9 (2–27)	19	27
	Tana del Diavolo [Pi 1591] (45.02 N, 7.12 E)	6.7								
<i>T. lucifuga</i> (Simon)	Grotte di Pugnetto [Pi 1501–1504] (45.27 N, 7.14 E)	8.7	Low	21	12.43 $\pm$ 4.1 1 (6–19)	11	19	7.68 $\pm$ 3.18 (2–15)	7	15
	Buca del Ghiaccio della Cavallaria [Pi 1609] (45.51 N, 7.79 E)	6.6								
<i>T. pluto</i> Di Caporiacco	Borna del Servais B [Art.] (45.51 N, 7.79 E)	6.7	Low	18	12.50 $\pm$ 2.2 9 (9.5–17.5)	13	18	3.50 $\pm$ 2.29 (0.5–8.5)	4	9
	Grotta del Caudano [Pi 121–122] (44.29 N, 7.79 E)	9								

\* Internal mean annual temperature estimated from the closest weather station



## RESULTS

### Thermal overlap for deep and shallow cave habitats

Variation in ambient temperature was extremely low in deep sectors of caves, with virtually no detectable daily variations and seasonal variations in the order of one tenth of degree. We observed no overlap in thermal regimes between deep caves habitats at different elevations (Fig. 2B). By contrast, thermal regimes were more variable in the superficial cave sectors and there was a substantial overlap between thermal regimes among these habitats at different elevations (Fig. 2A).

### Experimental test on thermal tolerance

A total of 247 specimens belonging to 11 species were collected in 13 caves across the Western Italian Alps. The species *T. bolognai* was excluded from the analysis, because high mortality of individuals occurred during the acclimation phase before the beginning of the test. All remaining species were successfully tested in the climatic chamber (Table 1). Narrow thermal tolerance was observed for most *Troglohyphantes* (Fig. 3A), with the majority of species reaching their  $LT_{50}$  at  $\Delta T$  ranging from 1 to 4 °C (Table 1). *T. bornensis* and *T. konradi* proved to be the least tolerant species, reaching its  $LT_{50}$  at  $\Delta T$  of 1 °C, and its  $LT_{100}$  at  $\Delta T$  ranging from 3 and 5 °C, respectively. Conversely, *T. lucifer* was the most tolerant species, reaching its  $LT_{50}$  at  $\Delta T$  19 °C and its  $LT_{100}$  at 27 °C.

For all species tested,  $\Delta T$  did not significantly varied depending on the sex of the specimens (ANOVA:  $F_{2,180} = 0.50$ ,  $p = 0.61$ ). In the case of three species (*T. lucifuga*, *T. vignai*, *T. konradi*) for which we tested two populations, no differences in  $\Delta T$  between populations were detected (ANOVA: all  $p > 0.05$ ). In the case of *T. lucifer*, most individuals were collected in one out of the three caves (Grotta del Ghiaccio di Bosconero), preventing

to fit a robust ANOVA due to a significant imbalance in the levels of the factor.

### **Subterranean habitat specialization**

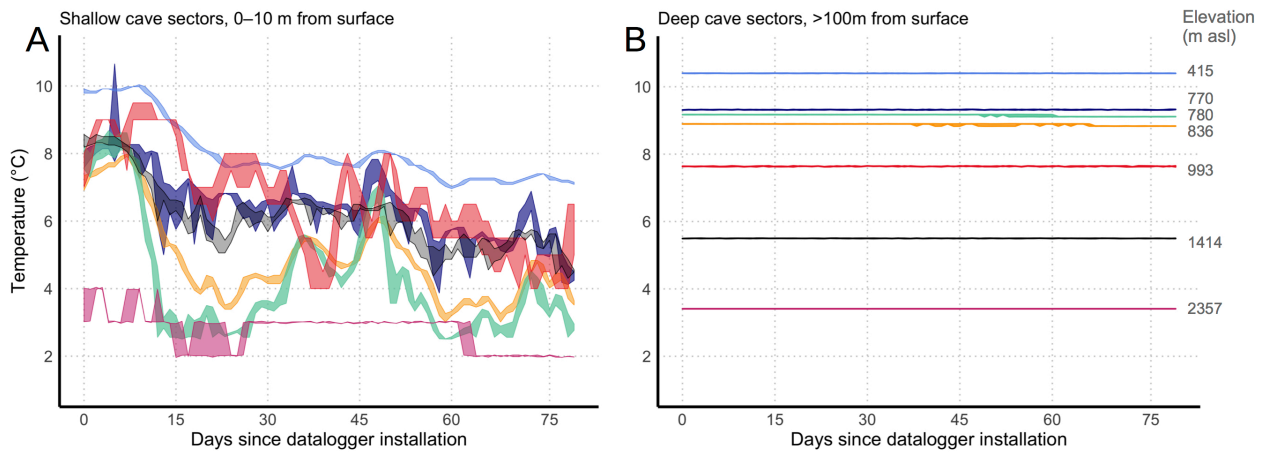
Using morphological traits and a Ward2 hierarchical clustering method, we assigned each species to a distinct class of subterranean specialization. The Gap statistic revealed an optimal  $k$  of 3, corresponding to three discrete classes of species with similar levels of morphological subterranean specialization (Fig. 4). The first cluster included all individuals belonging to *T. lucifer*, *T. lucifuga* and *T. pluto*, namely species with minor morphological specialization to subterranean life (eyes normally developed and abdominal pattern present) and often found in the vicinity of the cave entrance or in shallow subterranean habitats (“Low” class of subterranean specialization in Fig. 4). The second cluster comprised species with a moderate degree of morphological specialization (“Intermediate” class in Fig. 4), and included most individuals of the species *T. bornensis*, *T. iulianae*, *T. nigraerosae* and *T. vignai*. The third cluster comprised highly specialized species (“High” class in Fig. 4), namely *T. konradi*, *T. lanai* and *T. pedemontanus*, with pronounced eye and profile reduction, appendage elongation and absence of abdominal pigmentation. Clusters were mostly homogeneous, with the exception of six misplaced individuals highlighted in Fig. 4.

### **Relationship between thermal tolerance, specialization and elevational range**

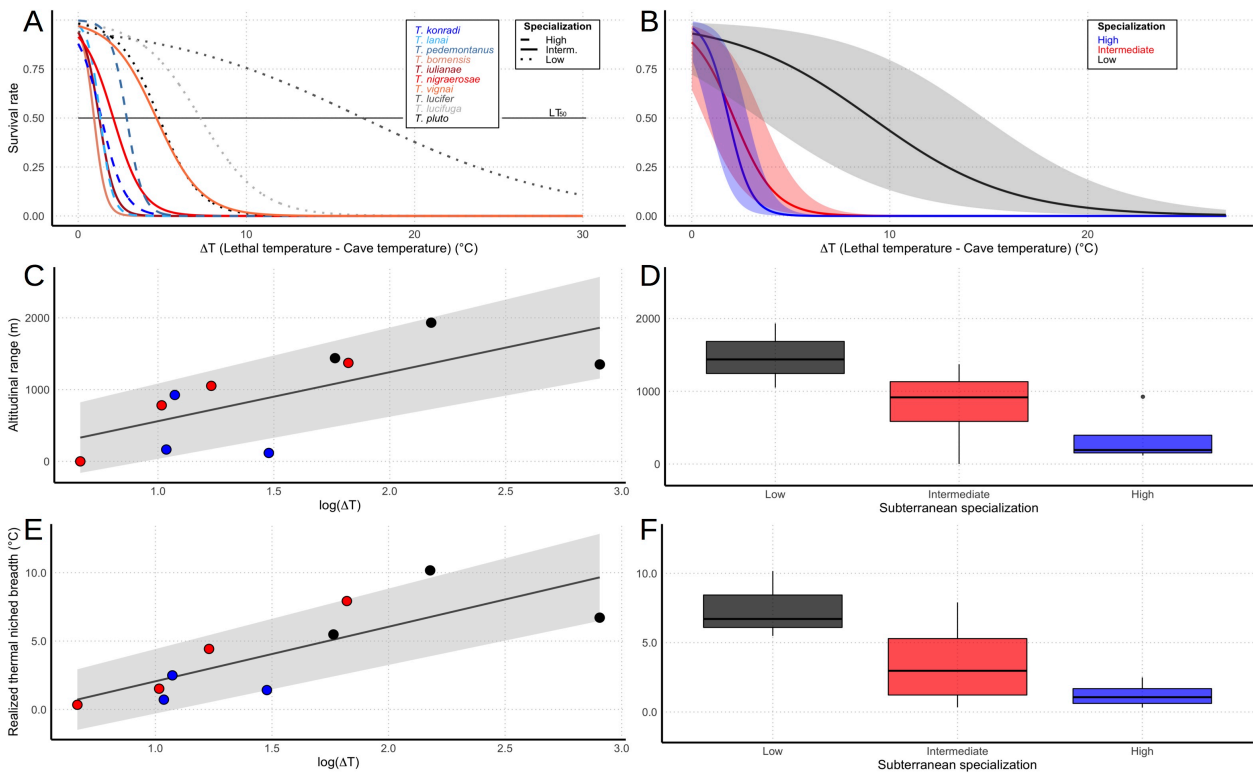
GLMM revealed a significant interaction between  $\Delta T$  and the class of specialization, indicating that the shape of the survival curve varied according to the degree of subterranean habitat specialization (Fig. 3B). The high and intermediate class curves were significantly different from the low one (GLMM: High: estimated  $\beta \pm SE = -1.43 \pm 0.19$ ,  $p$ -

value < 0.01; Intermediate: estimated  $\beta \pm \text{SE} = -0.68 \pm 0.07$ ,  $p < 0.01$ ), indicating a steep decline in survival rate at increasing  $\Delta T$ . Conversely, the survival curve for low specialized *Troglohyphantes* declined more smoothly (Low: estimated  $\beta \pm \text{SE} = 2.60 \pm 0.84$ ).

We found a significant positive relation between a species' elevational range and thermal tolerance ( $\Delta T$ ), with increasing elevational range extents at increasing values of  $\Delta T$  (Fig. 3C; PGLS: estimated  $\beta \pm \text{SE} = 930.06 \pm 184.66$ ,  $p < 0.01$ ) and no phylogenetic signal in the residuals (Pagel  $\lambda = -0.71$ ). The extent of a species' elevational range decreased significantly with increasing specialization to deep subterranean life (Fig. 3D; ANOVA:  $F_{2,8} = 5.47$ ,  $p < 0.05$ ). We recovered the same significant trend with respect to the realized thermal niche breadth, with increasing variation in temperature among localities of a species' range at increasing values of  $\Delta T$  (Fig. 3E; PGLS: estimated  $\beta \pm \text{SE} = 4.02 \pm 0.92$ ,  $p < 0.01$ ; Pagel  $\lambda = -0.31$ ). Furthermore, realized thermal niche breadth decreased significantly with increasing specialization to deep subterranean life (Fig. 3F;  $F_{2,8} = 4.61$ ,  $p < 0.05$ ).



**Figure 2.** Compared to shallow cave habitats (**A**), deep cave habitats show extremely reduced thermal seasonality and no overlap in thermal regimes across elevational gradient (**B**). Seasonal experimental temperature records were taken across caves at different elevations in the Western Italian Alps, using Hygrochron™ dataloggers installed at the cave entrance (1–10 m from surface) and deeper inside the cave (>100 m from surface). **Daily temperature range (max–min) is shown.** Same color corresponds to same cave.



**Figure 3.** Thermal tolerance ( $\Delta T$ ) of Western alpine *Troglodyphantes* species according to the class of subterranean specialization, and relations with elevational range extent and realized thermal niche breadth. **A)** Survival rate as a function of  $\Delta T$ . Survival curves represent best fits to the data, according to GLMs. **B)** Predicted relation between the survival rate of *Troglodyphantes* species and  $\Delta T$ , in interaction with the class of morphological adaptation to the subterranean conditions, as derived from GLMM. **C)** Relation between elevational range extent and  $\Delta T$ , according to PGLS. **D)** Differences in the extent of elevational range among the three classes of morphological specialization to subterranean life. **E)** Relation between the thermal breadth experienced by species across their elevational range and  $\Delta T$ , according to PGLS. **F)** Differences in realized thermal breadth among classes of morphological specialization to subterranean life. Color coding in **C–F** refers to the legend in the inset **B**.



## DISCUSSION

### **Extending Janzen's hypothesis to temperate subterranean ecosystems**

Five decades after its publication, Janzen's (1967) milestone contribution has been listed among the 100 most influential papers in ecology (Courchamp & Bradshaw, 2018). The mountain passes hypothesis is seminal not only in providing a mechanistic explanation for biodiversity patterns in tropical versus temperate mountain systems (Ghalambor et al., 2006; Sheldon et al., 2018), but also because it can potentially be transferred to a large variety of habitats displaying steep gradients of thermal seasonality, including for example soils (Bahrndorff, Loeschcke, Pertoldi, Beier, & Holmstrup, 2009; Raschmanová, Miklisová, Kováč, & Šustr, 2015), polar waters (Peck, Webb, & Bailey, 2004; Pörtner, Peck, & Somero, 2007), and deep sea (Rex et al., 1993). Yet, the ecological complexity of most ecosystems and the challenge posed by the geographic scale at which this hypothesis can be tested, have largely prevented ecologists from comprehensively testing the underlying assumptions of Janzen's hypothesis and transferring it to non-tropical settings (Gill et al., 2016; McCain, 2009; Polato et al., 2018; Scheffers et al., 2017). Due to their ecological simplicity and environmental stability, subterranean habitats emerge as an ideal model system in which to explore eco-evolutionary questions in general (Mammola, 2018; Sánchez-Fernández et al., 2018) and hypotheses pertaining to thermal niche breadth in particular (Eme et al., 2014; Mermillod-Blondin et al., 2013; Novak et al., 2014; Raschmanová et al., 2018; Rizzo, et al., 2015).

Our extension of Janzen's mountain passes hypothesis to temperate subterranean ecosystems is based on the integration of multiple lines of evidence and is grounded in organismal and habitat measures. Rather than inferring thermal tolerance breadth from species distributions (see Cardillo, Dinnage, & McAlister, 2019), we quantified thermal traits via experimental tests on the widest simultaneous set of congeneric subterranean

organisms to date (Fig. 3A). We also used annual temperature data series measured at the habitat level, that allowed us to characterize the steep gradients of thermal variability in caves (Fig. 2). An additional novelty of this study was to use a quantitative method supported by statistical inference to estimate the level of subterranean specialization of the different species (Fig. 4), and to incorporate this information in the evaluation of the thermal tolerance (Fig. 3B).

### **Thermal tolerance and subterranean specialization**

By integrating morphological measures and physiological experiments, we were first able to demonstrate that an increased specialization to subterranean habitats was accompanied by a concomitant reduction in thermal tolerance. Overall, intermediate and highly subterranean specialized *Troglohyphantes* species reached  $LT_{50}$  already between 1 and 4 °C above their natural ambient temperature, and  $LT_{100}$  between 3 and 10 °C. Within the intermediate class of specialization, *T. vignai* was the only species to exhibit a greater thermal tolerance. In particular, the species behaved similarly to *T. pluto*, which clustered in the low specialized class (Fig. 4). Conversely, the low specialized species *T. lucifuga* and *T. lucifer*, showed the wide thermal tolerance, reaching  $LT_{50}$  at 7 and 19 °C above their natural ambient temperature, respectively, and  $LT_{100}$  at 15 and 27 °C. In other words, species that are morphologically specialized for life in shallow subterranean habitats proved to be able to withstand a two-fold larger temperature increase than species morphologically specialized for life in deep subterranean habitats. This result is consistent with the fact that the seasonal variability of shallow subterranean habitats is much greater than that of deep subterranean habitats (Pipan et al., 2011).

PGLS analyses revealed a weak phylogenetic signal in our dataset, implying that two closely related *Troglohyphantes* species are not necessarily more physiologically



similar than two *Troglohyphantes* species selected at random from the phylogenetic tree. This result probably owed much to our study's specificity which consisted in using a regional scale for testing Janzen's hypothesis. Using species from temperate and tropical regions, species within these two regions are more closely related to each other and they display similar physiological traits; hence the strong phylogenetic signal typically observed in a number of studies (Gutiérrez-Pesquera et al., 2016). Conversely, when using multiple congeneric species from the same region but specialized to different habitats—in our case, deep and shallow subterranean habitats—there is a greater chance that some species from the most climatically-variable habitat evolved species adapted to the more climatically-stable one. This yields sister species exhibiting contrasted thermal tolerance, hence the weak phylogenetic signal observed in our study.

### **Subterranean specialization and elevational range extent**

Having adapted to narrow thermal conditions (Fig. 2B), specialized subterranean organisms would have more difficulty in crossing topographic barriers than less specialized species, because they would be more likely to encounter temperatures outside their thermal tolerance (Ghalambor et al., 2006). In the alpine context in which *Troglohyphantes* species are found, subterranean dispersal over elevational gradients would be unlikely, in account for the significant variation in temperature and reduced overlap in thermal regime between deep cave habitats at different elevations (Fig. 2B; Rubel et al., 2017). The small-range size of subterranean species has long been solely attributed to habitat fragmentation (e.g. Christman & Culver, 2001; Moldovan, Meleg, & Perşoiu, 2012), without considering the potential influence of thermal specialization on dispersal propensity (Eme et al., 2014). Yet, this study is the first to establish a relationship between the extent of a subterranean species' range across the elevation gradient and its thermal tolerance.

Habitat fragmentation and thermal specialization most likely act synergistically to constrain dispersal. Habitat fragmentation restricts maladaptive gene flow between populations, thereby facilitating thermal specialization, which itself creates physiological barriers to dispersal.

A potentially important caveat of the present study is the use of range size as a surrogate for dispersal capacity (Lester, Ruttenberg, Gaines, & Kinlan, 2007). Yet, there are several lines of evidence which suggest that dispersal within the genus *Troglohyphantes* indeed depends on the degree of subterranean specialization. In *T. vignai*, the only specialized species of *Troglohyphantes* for which a population genetic study was carried out, mitochondrial and nuclear genetic data at the population/species interface indicated very limited gene flow, with many populations being strongly isolated from each other (Mammola et al., 2015). Furthermore, widely distributed species like *T. lucifuga*, but also other low subterranean specialized *Troglohyphantes* species distributed in central and eastern Alps, are typically found both in caves and more superficial habitats, including deep leaf litter strata, voids in rocky debris, and other moist and shaded retreats (Isaia & Pantini, 2010; Isaia et al., 2017; Mammola et al., 2018a, 2018b). This ecological plasticity would enhance dispersal across shallow habitats and would explain their larger distribution ranges (Mammola et al., 2015). Conversely, specialized and short-ranged species of *Troglohyphantes* are almost exclusively collected in caves, empirically suggesting a reduced capacity to disperse through non-subterranean habitats (Isaia & Pantini, 2010; Isaia et al., 2017).

Our extension of Janzen's hypothesis to subterranean habitat also provides a potential mechanism for explaining the high rate of local endemism and increased species packing that are typically observed in disparate subterranean communities (e.g. Hedin, 2015; Njunjić et al., 2018; Ribera et al., 2010; Wessel et al., 2013). It is acknowledged that

strong topographic heterogeneity could both inflate speciation rate by increasing specialization and imposing barriers to dispersal, and decrease the extinction rate by promoting local survival during climate shifts (Jetz & Rahbek, 2002; Ohlemüller et al., 2008; Eme et al., 2014). In the case of our model organisms, the specialization to a habitat with limited seasonal variability may have contributed to promote reproductive isolation, leading to high adaptive radiation in the genus and to a high proportion of small-range endemics, even co-occurring within limited geographic extents (Isaia et al., 2017; Mammola et al., 2018a). In the Western Alps as much as six closely related species are known to coexist in the district of Alpi Liguri, i.e. just about 3,000 km<sup>2</sup> (Fig. 1). Yet, the occurrence of non-overlapping thermal regimes among deep subterranean habitats at different elevations and the very narrow thermal tolerance of specialized subterranean species question the idea that sharp elevational climatic gradients may favor local survival through short distance dispersal during climate shifts.

### **Climatic controls on subterranean biodiversity patterns**

Our findings contribute to reconcile contrasted views about the relative importance of two distinct scales of climate variability in shaping patterns of subterranean biodiversity (Zagmajster et al., 2014), a topic that has been recently discussed also in surface ecosystems (Scheffers & Williams, 2018; Scheffers et al., 2017). Using correlative methods, Zagmajster et al. (2014) and Eme et al. (2018) showed that long-term temperature variability accounted for a substantial variation in range size of groundwater crustaceans across Europe. Yet, the lack of latitudinal variation in temperature seasonality in subterranean habitats does not necessarily imply that the observed pattern of increasing range at higher latitudes is primarily driven by climatic variability acting at much longer time-scales than the seasonal scale. If, as pointed out by the results of this study, the

absence of thermal seasonality drives subterranean species towards narrow thermal specialization and low dispersal capacity, then these species are also less likely to survive major climate change and to recolonize vacant areas once climate becomes favorable again. The two temporal scales of climate variability might have acted in concert to shape the current distribution of *Troglohyphantes* species in the Western Alps. On the one hand, all specialized subterranean *Troglohyphantes* species occur in areas that were free of ice during the Pleistocene (Mammola et al., 2018b: fig. 2), which is consistent with a scenario of restricted dispersal following the retreat of Pleistocene glaciers. On the other hand, the distribution range of low specialized species, especially *T. lucifuga*, stretches in areas that were entirely covered by the primary ice shield during the Pleistocene, which could be explained by the species having colonized the area in recent time, after the glaciers retreated (Mammola et al., 2018b).

Our study has also important implications for determining the vulnerability of subterranean species to anthropogenic climate change. Climate change is expected to affect deep subterranean habitats (Mammola et al., 2019; Taylor et al., 2013), especially by increasing ambient temperature and reducing moisture content, **although with a certain delay due to the thermal inertia of the rock (Mammola et al., 2019)**. To date, the few available studies attempting to predict the future response of subterranean species to altered climatic conditions were based on correlative species distribution models (SDM) projected onto future climate change scenarios. However, such predictions may be unreliable if species display greater thermal tolerance than those reflected by their current geographic ranges (Sánchez-Fernández et al., 2016). Results of the present study suggest that the ecological specialization-dispersal tradeoff prevents specialized subterranean species from exploiting regions with uncertain climates, a proposal which is entirely consistent with previous SDM forecasts of habitat quality for *Troglohyphantes* spp.

both in the Pleistocene and under future climate change scenarios (Mammola et al., 2018b). By considering the results of this study in the light of these future habitat change projections, we can predict that most specialized subterranean species will unlikely be able to overcome climatic alterations by means of dispersal. However, we observed variability in the individual response to increasing temperature among the tested populations, with some individuals being able to withstand greater temperature increases in respect to the population average (Table 1). On a positive note, this variability suggests that more tolerant individuals may possibly undergo selection of key physiological traits in a climate change scenario, resulting in phenomena of local adaptation and *in situ* persistence.

Concerns over the fate of biodiversity in a changing climate have revived biodiversity research into Janzen's thoughts (Sheldon et al., 2018). By extending Janzen's hypothesis to climatically-stable habitats other than tropical mountains, we pave the way for integrating the ecological specialization/dispersal tradeoff into understanding the differential responses of species to climate instability across a broad range of ecosystems. Ultimately, our contribution shows that the "move or adapt" dilemma in response to climate change (Nogués-Bravo et al., 2018) may in part already be set by the specialization/dispersal trade-off. Therefore, we encourage scientists to take into consideration physiological barriers to dispersal into their modelling fitting exercises, when predicting the species response to climate change.

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**Author contributions statement:**

SM, MI and EP conceived the idea and designed methodology;

FM provided the hypothesis framework and eco-evolutionary arguments;

PV provided ecophysiological arguments;

SM and MI performed fieldwork;

SM and EP analyzed the data;

SM led the writing of the manuscript;

All authors contributed critically to the drafts and gave final approval for publication.

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**[note that the link will become available upon acceptance]**

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