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This is the author's manuscript

Original Citation:

Availability:

This version is available http://hdl.handle.net/2318/1726177 since 2022-02-01T16:19:09Z

Published version:

DOI:10.1016/j.vetmic.2017.07.001

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Detection of rat hepatitis E virus in wild Norway rats (*Rattus norvegicus*) and Black rats (*R. rattus*) from 11 European countries

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Keywords: *Hepeviridae*, hepatitis E virus, Genotype 3, Norway rat, Black rat, Europe

68 **ABSTRACT**

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Rat hepatitis E virus (ratHEV) is genetically only distantly related to hepeviruses
found in other mammalian reservoirs and in humans. It was initially detected in
Norway rats (*Rattus norvegicus*) from Germany, and subsequently in rats from
Vietnam, the USA, Indonesia, China, Denmark and France.

Here, we report on a molecular survey of Norway and Black rats from 12 European 74 countries for ratHEV and human pathogenic hepeviruses. RatHEV-specific real-time 75 and conventional RT-PCR investigations revealed the presence of ratHEV in 63 of 76 77 508 (12.4%) rats at the majority of sites in 11 of 12 countries. In contrast, a real-time RT-PCR specific for human pathogenic HEV genotypes 1-4 and a nested broad-78 spectrum (NBS) RT-PCR with subsequent sequence determination did not detect any 79 infections with these genotypes. Only in a single Norway rat from Belgium a rabbit 80 HEV-like genotype 3 sequence was detected. Phylogenetic analysis indicated a 81 clustering of all other novel Norway and Black rat-derived sequences with ratHEV 82 sequences from Europe, the USA and a Black rat-derived sequence from Indonesia 83 within the proposed ratHEV genotype 1. No difference in infection status was 84 detected related to age, sex, rat species or density of human settlements and 85 zoological gardens. 86

In conclusion, our investigation shows a broad geographical distribution of ratHEV in
Norway and Black rats from Europe and its presence in all settlement types
investigated.

91 **1. Introduction**

The family *Hepeviridae* comprises an increasing number of viruses in mammals, 92 birds and fish (Johne et al., 2014, Pérez-Gracia et al., 2015). Initially, hepatitis E virus 93 (HEV) was the only member of this virus family, which was divided into four 94 genotypes. The genotypes 1 and 2 are supposed to exclusively infect humans, 95 whereas genotypes 3 and 4 are zoonotic with wild boar, domestic pig and deer 96 representing animal reservoirs (Meng et al., 2013). In chicken, additional divergent 97 98 genotypes were discovered and designated as Avian HEV, which can be associated with the diseases Big Liver and Spleen Disease and Hepatitis-Splenomegaly 99 Syndrome (Handlinger and Williams 1988, Ritchie and Riddell 1991; Gerber et al., 100 2015). The International Committee on Taxonomy of Viruses (ICTV) currently 101 classifies the human pathogenic HEV genotypes 1-4 into species Orthohepevirus A, 102 avian HEV into Orthohepevirus B, batHEV into Orthohepevirus D and the carnivore 103 104 and ratHEV into Orthohepevirus C (http://ictvonline.org/virusTaxonomy.asp, accessed 07.04. 2017). 105

The hepevirus genome is a positive stranded RNA of approximately 6.7 to 7.3 106 107 kilobases (Meng et al., 2012). The genome contains the typical sequence elements of an eukaryotic mRNA with a cap structure at its 5'-end and a polyadenylation at its 108 109 3'-end (Tam et al., 1991). For all hepeviruses, three major open reading frames (ORF) were identified with almost the same organization, but differences in the 110 junction or overlapping region of ORF1 and ORF2/ORF3 (Johne et al., 2014). The 111 ORF1 of 4.6 to 5.2 kb is located at the 5'-end of the genome and codes for a 112 polyprotein comprising several nonstructural proteins including regions with similarity 113 to methyltransferases, papain-like proteases, helicases and RNA-dependent RNA 114

polymerases (Koonin et al., 1992). The capsid protein of 600-675 amino acid 115 residues is encoded by ORF2 and contains three domains with the carboxyterminal 116 domain being exposed on the surface of the virion (Yamashita et al., 2009). The 117 overlapping ORF3 codes for a small phosphoprotein of strongly varying length in 118 avian, mammalian and fish hepeviruses (Zafrullah et al., 1997; Holla et al., 2013; 119 Johne et al., 2014). This protein is essential for virus egress and found to be 120 associated with lipid membranes (Okamoto, 2013). Interestingly, ratHEV as well as 121 ferretHEV contains an additional putative open reading frame (ORF4), overlapping 122 ORF1 at its 5'-end, of still unknown function (Johne et al., 2010a, Raj et al., 2012). 123 Using a broad-spectrum RT-PCR assay, a novel, only distantly-related hepevirus was 124

identified in 2010 in Norway rats (*Rattus norvegicus*) from Hamburg, Germany

(Johne et al., 2010a; Johne et al., 2010b). This initial finding was confirmed by

127 detection of closely related sequences in Norway rats from other cities in Germany

128 (Johne et al., 2012). Detection of related sequences in rats from the USA, Vietnam,

129 Denmark, France, China and Indonesia suggests a host specificity of ratHEV for rats

of the genus *Rattus* and indicated its broad geographical distribution (Li et al., 2013b;

Li et al., 2013d; Mulyanto et al., 2013; Mulyanto et al., 2014; Purcell et al., 2011;

132 Widen et al., 2014; Wolf et al., 2013). The host specificity of this virus was also

demonstrated by infection experiments using laboratory rats and other mammals

134 (Cossaboom et al., 2012; Li et al., 2013c). However, recent studies in China

suggested a broader host range of the virus or frequent spillover infections of

bandicoot rats and even shrews (Guan et al., 2013; Li et al., 2013d). The genotypes

- 137 G1, G2 and G3 of ratHEV were previously defined on the basis of a complete
- 138 genome sequence comparison; a further comparison of 31 ORF 2-derived

sequences of 281-bp lengthrevealed two additional sequences of a non-designated
clade (ND), which clustered with G1 (Mulyanto et al., 2014). All G1 ratHEV
sequences in previous studies originated from *R. norvegicus* or *R. rattus*, whereas
ratHEV sequences of G3 originated exclusively from *R. rattus*. In contrast, genotype
G2 was detected in *R. rattus*, *R. tanezumi*, *R. rattoides losea* and the shrew *Suncus murinus* (Li et al., 2013b; Li et al., 2013d; Mulyanto et al., 2013).

The zoonotic potential of ratHEV is currently controversially discussed. Serological 145 146 studies in forestry workers showed a few seropositive individuals (Dremsek et al., 2012). In addition, febrile patients from China showed a stronger reactivity with 147 ratHEV antigen than with genotype 1 and 3 antigens (Shimizu et al., 2016). 148 Furthermore, ratHEV was shown to replicate in a human-derived cell line (Jirintai et 149 al., 2014; Li et al., 2015). In contrast, experimental infection of monkeys and 150 domestic pigs with ratHEV failed (Cossaboom et al., 2012; Purcell et al., 2011). 151 Reproducible experimental infections of nude rats and Wistar rats with ratHEV (Li et 152 al., 2013c; Purcell et al., 2011) and the availability of a recently developed reverse 153 genetics system for ratHEV (Li et al., 2015) led to the suggestion to use ratHEV-154 infected laboratory rats as an infection model for hepeviruses. On the other hand, 155 Norway rats were found to be infected with human pathogenic genotype 3 associated 156 157 strains, suggesting a potential role for zoonotic transmission (Lack et al., 2012; Kanai et al., 2012). 158

Here, we describe a molecular survey of Norway and Black rats from 12 European
countries for ratHEV and human pathogenic HEV genotypes, and evaluated
influences of sex, age, rat species and human settlement type on ratHEV prevalence.

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- 164 **2. Material and methods**
- 2.1. Rat collection, dissection and sample collection 165 The collection of Norway rats in Copenhagen and Berlin has been already described 166 previously (Sachsenroder et al., 2014; Wolf et al., 2013). Additional Norway rats were 167 collected in Germany, Denmark, Austria, Switzerland, Czech Republic, Belgium, 168 France, Slovenia and Greece; Black rats (R. rattus) were collected in Italy, Slovenia, 169 Greece and Spain (Fig. 1). 170 The dissection and collection of tissue and chest cavity fluid samples followed 171 standard protocols. For the evaluation of the influence of sex, age, reservoir species 172 and human settlement type on ratHEV prevalence, previously published results for 173 rats from Hamburg, Berlin, Stuttgart, Esslingen and Copenhagen (Johne et al., 2012; 174 Johne et al., 2010a; Johne et al., 2010b; Wolf et al., 2013) were also included. 175 176 2.2. RNA isolation, real-time and conventional RT-PCR and sequencing 177 178 After homogenizing rat liver tissue using a TissueLyser (Qiagen, Hilden, Germany), RNA was extracted with the RNeasy Mini Kit (Qiagen). A ratHEV-specific real-time 179 RT-PCR (Johne et al., 2012, RTD, see Fig. 2) and a real-time RT-PCR specific for 180 HEV genotypes 1-4 (Jothikumar et al., 2006) were performed as previously 181
 - published. The QuantiTect Probe RT-PCR Kit (Qiagen) was used in a 7500 Real
 - 183 Time PCR System (Applied Biosystems Life Technologies, Darmstadt, Germany) and

the data were evaluated using 7500 Software v2.0.1 (Applied Biosystems Life
Technologies, Darmstadt, Germany).

A one-step RT-PCR (designated SW-RT-PCR; see Fig. 2) was then performed using 186 a SuperScriptIII One-Step RT-PCR with PlatinumTag Kit (Invitrogen Life 187 Technologies, Carlsbad, CA, USA) in a C1000 Thermal Cycler (Bio-Rad 188 Laboratories, Munich, Germany). Reverse transcription was conducted at 42 °C for 189 50 min, followed by a denaturation step at 94 °C for 2 min. A total of 45 PCR cycles 190 191 each consisting of 30 s at 94 °C, 30 s at the primer-specific annealing temperature (Table 1), 1 min at 68 °C and a final incubation at 68 °C for 10 min were performed. 192 Additionally, a slightly modified nested broad-spectrum (NBS) RT-PCR was 193 performed to test the samples for all possible HEV strains, including ratHEV and 194 human pathogenic genotypes as described (Johne et al., 2010b; see Fig. 2). A first 195 RT-PCR was performed using a One-Step RT-PCR kit (Qiagen) with primers HEV-cs 196 and HEV-cas in a 2720 thermal cycler (Applied Biosystems). The thermal profile 197 comprised 42 °C for 60 min and 95 °C for 15 min, followed by 40 cycles of 94 °C for 198 30 s, 50 °C for 30 s and 74 °C for 45 s, with a final incubation at 74 °C for 5 min. An 199 200 aliquot of the RT-PCR product (5 µl) was used in a nested PCR with a GoTaq kit (Promega) and the primers HEV-csn and HEV-casn. The thermal profile consisted of 201 202 95 °C for 5 min and 35 cycles of 94 °C for 30 s, 50 °C for 30 s and 72 °C for 45 s, with a final incubation at 72 °C for 5 min. 203

To generate a longer sequence stretch, overlapping the SW-/NBS-RT-PCR products and including the 3'-end of ORF 1, the 5'-region of ORF 2 and a partial or complete ORF 3, selected samples were analyzed by a primer walking-based attempt using

two different primer pairs and following the protocols of the SW-RT-PCR (see Fig. 2
and Table 1; Primer-walking RT-PCR-I/II).

209

RT-PCR products were purified using a MiniElute PCR Purification Kit (Qiagen) or a 210 NucleoSpin Gel and PCR Clean-up Kit (Machery-Nagel, Düren, Germany), separated 211 by agarose gel electrophoresis and visualized by ethidium bromide staining. 212 213 For sequencing, the purified RT-PCR product was amplified by PCR using the same primers and the following temperature profile: 96 °C for 1 min, followed by 30 cycles 214 of 96 °C for 15 s, 50 °C for 15 s and 60 °C for 90 s. Amplicons were purified using a 215 216 Sigma Spin Post-Reaction Clean-up Column Kit (Sigma-Aldrich, Hamburg, Germany) and sequenced on an ABI 3100 Avant DNA-Sequencer (Applied-Biosystems, 217 Darmstadt, Germany). Sequences were assembled and aligned using BioEdit 7.2.0 218 (Hall, 1999) and MEGA 7 (Kumar et al., 2016), respectively. The novel HEV 219 sequences were deposited at GenBank (for accession numbers see Fig. 3A). 220 221 Phylogenetic analysis 222 2.3. The General Time Reversible + discrete Gamma distribution (GTR+G) model was the 223 best suited substitution model determined by MEGA 7 for both regions spanning 224 nucleotides (nt) 4,105-4,387 (numbering based on strain R63, acc. no. GU345042) 225 and nucleotides 4,105-5,226. The phylogenetic analyses were performed by 226 Bayesian algorithms via MrBayes v.3.2.2 and CIPRES online portal (Ronquist et al., 227 2012) and by Maximum likelihood algorithm performed via MEGA7 (Kumar et al., 228 2016). 229

230

231 2.4. Evaluation of demographic, rat species and human settlement type 232 influence

The statistical evaluation of demographic, rat species and human settlement type 233 influences on individual ratHEV infection status was performed similarly to the 234 previously described methodology for other infectious agents on a sub-sample 235 (Heuser et al., 2016). Briefly, generalized linear modelling (GLM) with a binomial 236 error distribution was applied using individual infection status as the response 237 variable, with sex and age classes (<200 g (juvenile) and >200 g (adult) (Webster et 238 al., 1995)) as demographic predictors as well as the association of ratHEV with a 239 particular Rattus species (R. norvegicus vs. R. rattus) and human settlement type, 240 based on human population density (urban (>1,500 inhabitants/km²), small town 241 (300-1,500 inhabitants/km²), rural (<300 inhabitants/km²)) (database: Geostat, 2012). 242 Rats collected in zoological gardens were put in a separate category. Model selection 243 was performed using the *drop1* function. Goodness of fit of all performed regression 244 models was assessed using the Le Cessie-van Houwelingen test statistic 245 246 implemented in the *rms*-package. All analyses were performed in R (R Core Team, 2015). 247

248

249 **3. Results**

3.1. Collection of rats and initial real-time RT-PCR screening of rats

From 2005 to 2016 a total of 508 rats were collected in 12 European countries (Fig.

1). This sample contained 420 Norway rats from trapping sites in Germany (23 sites,

156 rats), Denmark (1 site, 11 rats), Austria (1 site, 43 rats), Switzerland (3 sites, 29 253 rats), Czech Republic (3 sites, 58 rats), Belgium (2 sites, 60 rats), France (1 site, 28 254 rats), Slovenia (1 site, 1 animal) and Greece (3 sites, 16 rats) and 88 Black rats from 255 trapping sites in Italy (1 site, 17 rats), Slovenia (1 site, 17 rats), Greece (2 sites, 4 256 rats) and Spain (1 site, 50 rats). Initially, liver-derived RNA preparations of a Norway 257 rat sample subset were tested in parallel by real-time RT-PCR assays either targeting 258 ratHEV or HEV genotypes 1 to 4. The ratHEV-specific real-time RT-PCR (RTD) 259 resulted in the detection of 5 out of 145 (3.4%) samples from Germany (Table 2). 260 Norway rat samples from Hungary, Denmark, Switzerland and France were also 261 positive for ratHEV-RNA by ratHEV-specific real-time RT-PCR with a detection range 262 263 of 5.5% (1/18) to 18.1% (2/11; see Table 2). The Ct values of positive samples ranged between 20 and 34. In the real-time RT-PCR targeting the human pathogenic 264 genotypes 1-4 none of the Norway rat samples showed a Ct value <35, used as cut-265 off (Table 2). 266

267

268 3.2. Conventional SW-RT-PCR and NBS-RT-PCR analysis

A conventional RT-PCR approach using ORF1-specific SW-RT-PCR (nt positions 269 4,105-4,387, prototype strain R63, accession number GU345042, see Fig. 2) and 270 NBS RT-PCR (nt positions 4,000-4,423, see Fig. 2) resulted in the detection of HEV-271 specific RNA in 17 of 156 (10.8%) samples from Germany (Table 2). The prevalence 272 for samples from the sites in the other ten countries reached from 4% (2/50) to 27.2% 273 3/11; Table 2). The prevalences in Norway rats and Black rats were 10%-27.2% 274 (2/20 and 3/11) and 4%-5.8% (2/50 and 1/17), respectively. None of the single 275 Norway rat and 17 Black rats from Slovenia was HEV-RNA positive (Table 2). 276

Using a primer-walking based approach for thirteen samples from nine sites in
Germany, France, Spain, Belgium, Austria and Denmark, a 1,122/1,125-base pair
(bp) long region including parts of ORF1, ORF2 and partial or entire ORF3 (see Fig.
2) was RT-PCR amplified and sequenced (see Table 4). The different lengths of the
sequences B1 and B4 from France were caused by a triplet indel, i.e.,
insertion/deletion of three nucleotides (data not shown).

283

284 3.3. Sequence comparison and phylogenetic analysis

Phylogenetic analysis of the 280 bp fusion-product of the SW-/NBS-RT-PCR assays 285 286 showed that almost all novel sequences, independently whether from Norway or Black rats, clustered together with ratHEV sequences, species Orthohepevirus C1, 287 well separated from sequences of species Orthohepevirus C2 (Figs. 3A and 3B). In 288 one Norway rat sample from Belgium (KS/16/825) a sequence with 88.8% sequence 289 similarity to genotype 3 HEV sequences was found (see below); in no other sample 290 human pathogenic genotype-related sequences were found. This HEV genotype 3 -291 like sequence from the single Norway rat sample from Belgium clustered in the 292 phylogenetic tree with three rabbit HEV strains from China and a human rabbit HEV 293 sequence from France within species Orthohepevirus A (Fig. 3C); attempts to 294 generate a longer sequence failed. The phylogenetic analysis of the concatenated 295 1,122/1,125 bp product of the coding sequences revealed clustering of all novel 296 sequences within the ratHEV genotype G1 defined by Mulyanto et al. (2014), in sister 297 clade relationship with ratHEV genotypes G2 and G3 (Fig. 3D). Genotype G1 298 contains the prototype sequence R63 from a Norway rat from Hamburg, Norway rat-299 derived sequences from different European countries and the USA, Black rat-derived 300

sequences from Spain and Italy and one sequence originating from a Black ratcollected in Solo, Indonesia (Figs. 3B and D).

A novel sequence from rats in Berlin, detected in five animals, clustered with a 303 previously determined sequence from Berlin and two novel sequences from rats in 304 305 Esslingen, with one found in four animals, clustered with a sequence detected previously in Stuttgart, a site close to Esslingen (Johne et al., 2012; see Fig. 3B, and 306 legend to Fig. 3). Similarly, two sequences from Warburg formed a well-separated 307 308 subclade and all sequences from Czech Republic were highly related (Fig. 3B). Most novel ratHEV sequences from Vienna formed a well-supported cluster but one 309 sequence (KS12-1338) was highly divergent. Both sequences from Spain are closely 310 related, independently if the 280 bp or 1,222 bp products were analyzed (Figs. 3B 311 and D). Interestingly, ratHEV sequences from three trapping sites close to Lyon (B 312 313 and E/A) formed two well-separated subclades and sequences from Zurich belonged also to two subclades (Fig. 3B). Sequences from Norway rats from Belgium were 314 found at highly divergent positions within the tree (Fig. 3B). 315

Comparison of ORF1-derived sequences from the fusion product of SW-/NBS-RT-316 317 PCR from the same site resulted in an intra-cluster sequence similarity of 79.6% to 100% for the nucleotide and 86.8% to 100% for the corresponding amino acid 318 319 sequences (Table 3). When analyzing the nucleotide sequence similarity within partial ORF1 or the overlapping ORF1/ORF2/ORF3 regions between different sites, 320 the values reached similar levels of 81.0% to 96.1% and 87.2% to 91.5%, 321 respectively (Supplementary Table and Table 4). The corresponding aa sequence 322 similarities of ORF1-encoded protein and concatenated ORF1- and ORF2-encoded 323

proteins ranged between 93.4% and 100% and 95.9% and 98.6%, respectively
(Supplementary Table and Table 4).

326

327 3.4. Association of ratHEV infections with age, sex, rat species and human
 328 settlement density

For a total of 668 rats, including those of this study (n=508) and those investigated 329 previously (n= 160; Johne et al., 2012; Johne et al., 2010a; Wolf et al., 2013), no 330 association with age, sex or the *Rattus* species and the individual ratHEV infection 331 status could be detected (Table 5). In addition, ratHEV was detected in Norway rats 332 333 from all four settlement types investigated. Human population density did not seem to have an effect on ratHEV occurrence, as prevalences in small towns and rural sites 334 did not differ significantly from high density urban areas. The prevalence in zoological 335 gardens was lower compared to urban areas, though not formally significant (Table 336 5). For all models goodness of fit analysis did not provide any evidence of a lack of 337 338 fit.

339

4. Discussion

In this study, we investigated Norway and Black rat samples from 12 European
countries for the presence of ratHEV and other hepeviruses using ratHEV-specific
real-time RT-PCR (Johne et al., 2010a) and human HEV genotype 1-4-specific realtime RT-PCR (Jothikumar et al., 2006) as well as conventional RT-PCR assays (SWRT-PCR (Wolf et al., 2013) and NBS RT-PCR (Johne et al., 2010b)). Using these
four methods, almost exclusively ratHEV was detected in Norway and Black rats from

11 of 12 countries. This finding is in line with the previously demonstrated inability in 347 experimentally infecting Norway rats with human pathogenic genotypes ((Li et al., 348 2013a; Li et al., 2013c; Purcell et al., 2011) and results from earlier field studies in 349 Norway rats (Johne et al., 2012; Johne et al., 2010a). Similar to previous studies 350 reporting the human pathogenic HEV genotype 3 in Norway rats (Lack et al., 2012; 351 Kanai et al., 2012), in one Norway rat from Belgium a short rabbit HEV-like genotype 352 3 sequence was detected. This might be explained by a spillover infection of this 353 strain from a rabbit reservoir. Rabbits and rats may share their habitats in this region 354 of Belgium, either in wildlife habitats or when wild (pest) rats search for food close to 355 private rabbit husbandry. 356

This study demonstrates the occurrence of ratHEV not only in Norway rats, as previously reported for Germany, France and Denmark, but for the first time in Europe also in Black rats, namely from Italy and Spain. This finding is in line with studies in Asia, where ratHEV has been demonstrated in different *Rattus* species and in Bandicoot rats (Guan et al., 2013, Li et al., 2013d).

In addition, in our study ratHEV was not only detected in rats from urban areas, but 362 363 also in rats from small towns and rural areas. The detection of ratHEV in rural areas complements our previous finding of a local absence of ratHEV in a rural area close 364 365 to Ahlen (Johne et al., 2012), which may suggest site-specific differences and a heterogeneous distribution of ratHEV not primarily driven by human settlement. In 366 addition, ratHEV was identified in pest rats from zoological gardens raising questions 367 on the potential transmission of this virus to zoo animals. In fact, serological 368 investigations have detected HEV-specific antibodies in captive macagues and HEV-369 RNA in different mammalian and avian species in a wildlife rescue center in China 370

(Korzaia et al., 2007; Zhang et al., 2008). The recently developed in-house ELISA
technology based on ratHEV- and HEV genotype 3-derived recombinant capsid
protein derivatives (Dremsek et al., 2012; Johne et al., 2012) may be used in the
future for differentiation of antibodies raised against these viruses in zoo animals.

375 The phylogenetic analysis of the novel ratHEV sequences showed for almost all a high similarity to ratHEV genotype 1 defined recently (Mulyanto et al., 2014), 376 independently whether the sequences originated from Norway or Black rats. In line 377 378 with a previous investigation (Purdy and Sue, 2017), the resolution of the phylogenetic analysis using the short-sized ORF1 region was lower than the 379 resolution for the larger segment of ORF1/ORF2/ORF3. The observed phylogenetic 380 clustering of many sequences from the same or neighbouring sites may indicate the 381 persistence of ratHEV strains within the local populations. The separate clustering of 382 sequences from the same geographical origin might be caused by an incursion (and 383 perhaps establishment) of additional, highly divergent ratHEV strains by invading 384 rats. In line with this assumption, sequences from the USA (strain LA-8350) and 385 386 Indonesia (strain SOLO-006SF) cluster also within genotype 1 of ratHEV (Figs. 3B and D). 387

The previous finding of the majority of rats being only HEV RNA or anti-ratHEV antibody positive suggested non-persistent infections in individual rats (Johne et al., 2012). In line with this assumption, we did not find here a significantly higher RNA prevalence in adult rats compared to juvenile animals. These findings of nonpersistent infections of rats are also in line with results of experimental infection studies in Norway rats (Purcell et al., 2011). At this time we cannot exclude agedependent differences in susceptibility and mortality of rats for ratHEV infection,

possibly associated with co-infections with other pathogens or genetic orenvironmental factors.

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398 **5.** Conclusion

The detection of ratHEV in Norway and Black rats from 11 European countries 399 indicates a broad geographical distribution of ratHEV suggesting an (almost) 400 401 continent-wide occurrence and no specific association with human population density. Phylogenetic investigations indicated clustering of all European ratHEV 402 sequences within ratHEV genotype 1. Well-separated subclades of sequences from 403 404 the same or neighbouring sites might indicate the incursion of novel ratHEV strains into local Norway rat populations with a parallel persistence of a local ratHEV strain. 405 This necessitates future studies on the population structure and potential invasion of 406 individuals into existing rat populations and their association with ratHEV incursion. In 407 addition, the finding of ratHEV infections in zoological gardens may allow future 408 409 studies on the zoonotic potential of ratHEV based on the investigation of putative natural ratHEV transmission to non-human primates. Finally, the finding of a rabbit 410 HEV-like sequence in a single Norway rat necessitates further studies, especially in 411 412 habitats with sympatric occurrence of rabbits or pigs and rats, to evaluate potential spillover infections of human pathogenic genotype(s) and their potential public health 413 impact. 414

415

416 **Conflict of Interest**

The authors declare that they have no competing interests.

418

419 Authors' contributions

Designed the study: RGU, RJ, GH. Performed the experiments: SB, RR, EH, MS,
PD, MZ, SW. Analyzed the data: SB, RR, CI, RJ, GH, RGU. Contributed materials:
MP, DB, GM, ACH, JL, HA, JF, SG, KB, FRF, JP, NK, JT, CD, SZ. Wrote the
manuscript: RR, SB, CI, GH, RJ, RGU. All authors read and approved the
manuscript.

425

426 Acknowledgments

427 The authors are very grateful to Dörte Kaufmann and Günter Strebelow for excellent technical assistance, Patrick Wysocki for generation of Figure 1 and Hanan Sheikh 428 Ali, Kathrin Baumann, Angele Breithaupt, Thomas Büchner, Stephan Drewes, Ulrike 429 Duve, Julie Elkins, Bärbel Hammerschmidt, Dörte Kaufmann, Robert Klopfleisch, 430 Nastasja Kratzmann, Christian Kretzschmar, Denny Maaz, Grit Möwert, Josephin 431 Schröder, Ulrike M. Rosenfeld, Josephine Schlosser, Sabrina Schmidt, Ramona 432 Spließ, Annemarie Steiner, André Schütte, Kerstin Tauscher, Franziska Thomas, 433 Konrad Wanka and Ute Wessels for support of the dissections. The authors kindly 434 acknowledge the collection of rats by Marianne Jäger (Vienna) and support of the rat 435 trapping by Zoltán Kiss (Báblona Bio Ltd. Budapest, Hungary), Ezio Ferroglio 436 (Università degli Studi di Torino Dipartimento di Scienze Veterinarie Largo Paolo 437 Braccini, Grugliasco, Italy) and Diego Bünter (Anticimex, Zurich, Switzerland). 438 Additional rats were kindly provided by Anne Balkema-Buschmann, Dan Balkema, 439 Lothar Stitz, Lieselotte Minke (Friedrich-Loeffler-Institut, Greifswald-Insel Riems and 440

Wusterhausen, Germany), Marion Saathoff (LAVES, Wardenburg, Germany),
Richard Kruczewski (Dortmund, Germany), Tomi Trilar (Slovenian Museum of
Natural History, Slovenia), Diana Žele (Veterinary Faculty, University of Ljubljana,
Slovenia) and the Flanders Environment Agency (VMM, Belgium). The investigations
in the laboratory of Rainer G. Ulrich were supported by the German Center for
Infection Research (DZIF).

448 Figure legends

449 Fig. 1. Geographical map representing the rat collection sites in Denmark (1,

450 Copenhagen), Germany (2, Hamburg; 3, Elmenhorst; 4, Stahlbrode; 5, Osnabrück; 6,

451 Wolbrechtshausen; 7, Magdeburg; 8, Kampehl; 9, Berlin; 10, Neschwitz; 11,

Königshain; 12, Görlitz; 13, Niederoderwitz; 14, Zittau; 15, Aachen; 16, Köln; 17, Oer-

453 Erkenschwick; 18, Münster; 19, Ahlen; 20, Warburg; 21, Heidelberg; 22, Stuttgart; 23,

454 Esslingen; 24, Möggingen), Switzerland (25, Gränichen, 26, Dübendorf, 27, Zurich),

455 Austria (28, Vienna), Hungary (29, Budapest), France (30, five sites close to Lyon),

456 Belgium (31 Dender, 32 Ijzer), Italy (33 Pianosa Island), Slovenia (34 close to

Ljubljana), Spain (35 Cadiz), Czech Republic (36 Prague, 37 Brno, 38 Northern

458 Moravia), Greece (39 Thessaloniki, 40 Kilkis, 41 Chalkidiki). All or some of the rats

459 from sites 1, 2, 9, 19, 22 and 23 were investigated for ratHEV previously (Johne et

al., 2010, 2012; Wolf et al., 2013; indicated by empty or half-filled circles,

respectively) and were included here for analysis of demographic, reservoir and

462 human settlement type association of ratHEV infections (see Heuser et al., 2016).

463

Fig. 2. Genome organization of rat HEV, prototype strain R63 (accession number
GU345042), and location of primer binding sites for real-time (RTD) and conventional
screening SW-/NBS-RT-PCR and primer-walking RT-PCRs as well as the
corresponding amplification products.

468

Fig. 3. Consensus phylogenetic trees based on Bayesian and Maximum-Likelihood
analyses of a part of ORF 1 with all species within genus *Orthohepevirus* (A) and a

zoom-in for species Orthohepevirus C1 and Orthohepevirus C2 (B) and

472 Orthohepevirus A (C), and the concatenated region of ORF1 and partial ORF2/ORF3
473 overlapping region (D).

474 Consensus phylogenetic trees based on Bayesian analyses were done with

475 8,000,000 or 6,000,000 generations and a burn-in of 25%, and Maximum-Likelihood

- analysis with 1,000 bootstraps and 50% cut-off, of a part of ORF 1 (nt positions
- 477 4,105-4,387, counting according prototype strain R63, accession number GU345042)

(A-C) and the concatenated region of ORF1 (4,105-4,921) and partial ORF2/ORF3

overlapping region (nt positions 4,949-5,226) (D) of ratHEV. Posterior

- 480 probability/bootstrap values of >50 are given at the supported nodes.
- The ratHEV genotypes G1, G2 and G3 were defined previously (Mulyanto et al.,

482 2014); the two sequences of clade ND (not designated) were found in this previous

study, based on a partial region of ORF2, to be clustering with G1.

- 484 Novel sequences are given in bold and labeled by a star. Identical sequences were
- omitted from the analysis and only different sequence types are presented (Berlin

486 KS11/573 = KS11/576, /578, /580, /587, Esslingen Mu10/1564= Mu10/1567, /1568,

- 487 /1571, Warburg Mu10/697= Mu10/698, Zurich KS12/1361=KS12/1363, Czech
- 488 Republic KS14/73 = KS14/75, /76, /99, and KS14/70 = KS14/80, /98).

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