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1 **The pitfalls of *in vivo* imaging techniques: evidence for cellular damage**
2 **caused by synchrotron X-ray computed micro-tomography**

3 F. Petruzzellis¹, C. Pagliarani^{2,5}, T. Savi^{1,3}, A. Losso⁴, S. Cavalletto⁵, G. Tromba⁶, C. Dullin^{6,7,8}, A. Bär⁴, A.
4 Ganthaler⁴, A. Miotto¹, S. Mayr⁴, M.A. Zwieniecki⁹, A. Nardini^{1*}, F. Secchi⁵

5
6 1. Dipartimento di Scienze della Vita, Università di Trieste, Via L. Giorgieri 10, 34127 Trieste, Italia

7 2. Institute for Sustainable Plant Protection, National Research Council, Strada delle Cacce 73, 10135
8 Torino, Italia

9 3. University of Natural Resources and Life Sciences, Division of Viticulture and Pomology,
10 Department of Crop Sciences, Konrad Lorenz Straße 24, A-3430 Tulln, Vienna, Austria

11 4. Department of Botany, University of Innsbruck, Sternwartestraße 15, 6020 Innsbruck, Austria

12 5. Dipartimento di Scienze Agrarie, Forestali e Alimentari, Università di Torino, Largo Paolo Braccini 2,
13 10095 Grugliasco (TO), Italia

14 6. Elettra-Sincrotrone Trieste, Area Science Park, 34149 Basovizza, Trieste, Italia

15 7. Institute for Diagnostic and Interventional Radiology, University Medical Center Göttingen, Robert-
16 Koch-Straße 40, 37075 Göttingen, Germany

17 8. Max-Planck-Institute for Experimental Medicine, Hermann-Rein-Straße 3, 37075 Göttingen,
18 Germany

19 9. Department of Plant Sciences, University of California Davis, One Shields Ave, Davis, CA 95616,
20 USA

21

22 * Corresponding author: nardini@units.it

23

24 **ABSTRACT**

25

26 • Synchrotron X-ray computed micro-tomography (microCT) has emerged as a promising non-
27 invasive technique for *in vivo* monitoring of xylem function, including embolism build-up under
28 drought and hydraulic recovery following re-irrigation. Yet, the possible harmful effects of ionizing
29 radiation on plant tissues have never been quantified.

30

31 • We specifically investigated the eventual damage suffered by stem living cells of three different
32 species exposed to repeated microCT scans. Stem samples exposed to one, two or three scans were
33 used to measure cell membrane and RNA integrity, and compared to controls never exposed to X-
34 rays.

35

36 • Samples exposed to microCT scans suffered serious alterations to cell membranes, as revealed by
37 marked increase in relative electrolyte leakage, and also underwent severe damage to RNA
38 integrity. The negative effects of X-rays were apparent in all species tested, but the magnitude of
39 damage and the minimum number of scans inducing negative effects were species-specific.

40

41 • Our data show that multiple microCT scans lead to disruption of fundamental cellular functions and
42 processes. Hence, microCT investigation of phenomena that depend on physiological activity of
43 living cells may produce erroneous results and lead to incorrect conclusions.

44

45 INTRODUCTION

46

47 In plants, long distance water transport relies on transmission of transpiration-induced negative
48 pressure (= tension) via the xylem conduits connecting root tips to leaf cells (Jensen *et al.*, 2016).
49 Such a fascinating mechanism has the important drawback to be metastable and vulnerable to liquid-
50 to-vapor transition, leading to the blockage of water transport (Zimmermann, 1983). Most
51 frequently, this happens when air is aspirated through inter-conduit pit membranes into water-filled
52 conduits experiencing critical tensions (Shen *et al.*, 2015; Zwieniecki & Secchi, 2015). Increased
53 frequency of drought and heat waves is accelerating plant mortality rates worldwide (Hember *et al.*,
54 2017), and hydraulic failure has emerged as the main cause (Anderegg *et al.*, 2011). A detailed
55 knowledge of species-specific vulnerability to xylem embolism (Maherali *et al.*, 2004), and of the
56 eventual capacity for hydraulic recovery (Mayr *et al.*, 2014; Secchi *et al.*, 2017; Klein *et al.*, 2018) is
57 crucial to improve projections of forest and crop resistance/resilience under future climate scenarios.

58 Techniques used to measure plant hydraulic conductance upon drought and recovery are
59 generally destructive (Cochard *et al.*, 2013). Stems, roots, petioles and even leaves are excised from
60 plants during or after drought stress, and connected to hydraulic systems to measure flow rates
61 across samples under known pressure differences (Sperry *et al.*, 1988). Alternatively, tissues can be
62 infiltrated with dyes to distinguish functioning *versus* embolized or otherwise non-conducting
63 conduits (Ewers & Fisher, 1989). Due to negative pressure in functional xylem conduits, samples'
64 excision might cause air entry in the xylem, producing artefactual embolism (Wheeler *et al.*, 2013).
65 The magnitude of this artefact may depend on xylem pressure at sampling time and on conduit
66 length (Beikircher & Mayr, 2016). Although several studies found no striking evidence for artefacts
67 associated with classical hydraulic techniques (Jacobsen & Pratt, 2012; Trifilò *et al.*, 2014; Fukuda *et al.*
68 *et al.*, 2015; Hacke *et al.*, 2015; Scoffoni & Sack, 2015; Venturas *et al.*, 2015; Ogasa *et al.*, 2016; Nardini
69 *et al.*, 2017; Nolf *et al.*, 2017), it is conceivable that estimates of xylem vulnerability to embolism and
70 restoration of xylem functionality (recovery) (Nardini *et al.*, 2018), are biased by destructive sampling
71 protocols.

72 These controversies have contributed to move forward the field of plant hydraulics (Jansen
73 *et al.*, 2015; Venturas *et al.*, 2017), and stimulated the use of non-destructive techniques for *in vivo*
74 monitoring of xylem function, like magnetic resonance imaging (Zwieniecki *et al.*, 2013), X-ray
75 computed micro-tomography (microCT; Brodersen *et al.*, 2010), and the optical method applied to
76 leaf venation (Brodrigg *et al.*, 2016). In particular, microCT has emerged as a very promising
77 technology, due to relatively ease of use, high spatial and temporal resolution, good contrast
78 between air-filled and water-filled spaces, and fast scan times (Dhondt *et al.*, 2010; Pajor *et al.*, 2013;
79 Cochard *et al.*, 2015). Due to its supposed non-invasive nature, microCT has been suggested to

80 represent a reference technique to determine xylem vulnerability to embolism (Cochard *et al.*, 2015),
81 and the eventual refilling of embolized conduits which supposedly relies on the activity of living
82 xylem parenchyma cells (Tyree *et al.*, 1999; Brodersen & McElrone, 2013; Secchi *et al.*, 2017; Nardini
83 *et al.*, 2018). While some studies demonstrated the occurrence of conduit refilling (Brodersen *et al.*,
84 2010; Brodersen *et al.*, 2018), others failed to detect hydraulic recovery following drought and re-
85 irrigation (Choat *et al.*, 2015; Knipfer *et al.*, 2015; Charrier *et al.*, 2016; Hochberg *et al.*, 2016).

86 These contrasting findings raise questions about possible factors affecting the reliability of
87 microCT observations (Pratt & Jacobsen, 2018). An obvious but often overlooked drawback of
88 microCT is the use of X-ray sources and the potential tissue damage caused by the ionizing radiation
89 (Han & Yu, 2009; Daly, 2012). Although this has been considered a minor issue because of short scan
90 times, some studies on animal organisms indicated irreversible cellular damage even by exposure to
91 very low X-ray doses (Rothkamm & Löbrich, 2003; Nguyen *et al.*, 2015). However, respective X-rays
92 effect on plant samples have never been investigated in details, although previous studies indicated
93 damage of plant tissues after microCT scans. As an example, Charrier *et al.* (2016) used vital staining
94 to assess the functional status of stem parenchyma cells after exposure to X-rays, showing that
95 several cells were damaged. Similarly, Savi *et al.* (2017) reported shrinkage and brownish scar
96 formation in sunflower stems exposed to X-rays. Hence, it is very important and urgent to test
97 eventual negative or otherwise undesired effects of X-rays on the observed samples, considering the
98 raising importance of microCT as a tool for studies on plant hydraulic functioning. Here, we discuss
99 the results from an experiment specifically designed to assess eventual damage to stem living cells
100 during repeated microCT scans.

101

102 **MATERIALS AND METHODS**

103

104 Plant material

105 Experiments were performed on three species: *Helianthus annuus*, *Coffea arabica* cv. Pacamara, and
106 *Populus tremula x alba*. *H. annuus* plants were six-week old, with a height of about 20 cm and a stem
107 diameter of 2-3 mm at root collar. *C. arabica* plants were part of a collection of coffee cultivars
108 hosted by Univ. Trieste. Experimental plants were three-year-old, with a height of 20-30 cm, and a
109 stem diameter of 3-4 mm. Plants of *P. tremula x alba* were three-months-old, approximately 50 cm
110 tall with a stem diameter of 3-4 mm.

111 All plants were maintained in a greenhouse at Univ. Trieste for 4 weeks before experiments
112 (end of September 2017), and regularly watered. Air temperature and relative humidity averaged
113 16.5 °C and 60%, respectively. Mean daily photosynthetic photon flux density (PPFD) was 150 μmol
114 $\text{m}^{-2} \text{s}^{-1}$ (maximum 400 $\mu\text{mol} \text{m}^{-2} \text{s}^{-1}$).

115

116 Experimental setup

117 Experiments were performed at the SYRMEP beamline, Elettra Sincrotrone Trieste
118 (www.elettra.trieste.it). Two silicon filters (0.5 mm each) were used to obtain an average X-ray
119 source energy of 25 keV, resulting in an entrance dose rate in water of 47 mGy s⁻¹. X-ray window was
120 4 mm in height with horizontal opening up to 120 mm. Initial experiments were performed on intact
121 plants of *H. annuus* and *P. tremula x alba* (n = 3) to test for eventual over-heating of stems as a
122 possible factor inducing damage during scans. A type T thermocouple connected to a datalogger
123 (1000 Series Squirrel, Eltek) was inserted in the stem at half height. The plant was placed on the
124 sample holder and the stem was aligned with the beam. The beam was turned off to allow
125 temperature equilibration for 5 min. Then, the stem was irradiated for 10 min at a position located 8
126 mm above the thermocouple insertion point, while temperature was continuously recorded. After a
127 5 min interval without beam, the stage was moved upward and the stem was irradiated 3 mm above
128 the thermocouple, for another 10 min. The procedure was repeated by directly irradiating the
129 thermocouple insertion point.

130 The cellular damage caused by microCT scans was assessed by measuring cell membrane
131 integrity estimated by relative electrolyte leakage (REL), and level of RNA degradation on irradiated
132 stem tissues. Stem segments with a length of 1 cm (n = 5) were obtained from the mid portion of
133 stems of well hydrated plants, and immediately wrapped in Parafilm® in groups of 5 (sampled from 5
134 different plants). This allowed to prevent desiccation during storage (see below) and to irradiate
135 more samples during each scan. For each species, 14 sample sets (each with 5 stem pieces) were
136 prepared (total of 70 stem samples per species).

137 Samples were subjected to microCT scans while horizontally oriented to assure that all cells
138 were exposed to X-rays during the 360° rotation (the position was checked via real-time
139 visualization). The exposure time was set at 100 ms, at an angular step of 2° s⁻¹ resulting in 3 min
140 scan. Samples were then used to measure REL (7 sets) and RNA quality (7 sets), according to
141 experimental design presented in Fig. 1. Exposed samples were tested after one, two, or three
142 consecutive scans at 90 minutes intervals (E1, E2, and E3). Controls (C0, C1, C2, C3) were never
143 exposed to irradiation. Time of exposure and beam energy level was similar to previously reported
144 experiments (e.g. Charrier *et al.*, 2016), although not all experiments are provided with energy level
145 parameter.

146 The integrity of cell membranes was estimated via REL measurements. C or E samples were
147 placed in 1.5 ml vials (1 segment per vial) with 1 ml of deionized water. In the case of *C. arabica* and
148 *P. tremula x alba*, segments were split longitudinally immediately before immersion to favor contact
149 between stem cells and the solution, as preliminary experiments showed that the bark delayed

150 solute diffusion. The tubes were shaken for 30 min at laboratory temperature. The initial electrical
151 conductivity (C_i) of the solution was measured (Twin Cond B-173, Horiba, Kyoto, Japan) using a 10 μ l
152 aliquot. Samples were then subjected to 3 freezing-thawing cycles (1 min in liquid nitrogen followed
153 by 30 min at laboratory temperature), shaken for 5 min, and the final electrical conductivity was
154 measured (C_f) on another 10 μ l aliquot. REL was finally calculated as $(C_i/C_f) \times 100$ (Savi *et al.*, 2016).

155 For RNA analysis, frozen stems for each species and treatment were pooled and ground in
156 sterile mortars using liquid nitrogen followed by tissue lysing (TissueLyser II, Qiagen). Total RNA was
157 extracted following Chang *et al.* (1993), and RNA quantity and quality were determined
158 spectrophotometrically by NanoDrop (Thermo Fisher Scientific). RNA integrity (expressed as RNA
159 integrity number, RIN; Schroeder *et al.*, 2006) was finally inspected using the RNA 6000 Nano kit and
160 the Agilent 2100 Bioanalyzer (Agilent Technologies), according to manufacturer's instructions. The
161 RNA Integrity Number (RIN) is a standard reference for RNA quality assessment, specifically
162 introduced in routine RNA quality control processes to avoid subjective interpretation of results. The
163 RIN values resulting at the end of each Bioanalyzer run provide a classification of total RNA quality,
164 based on a numbering system ranging from 1 (poor quality and high level of degradation) to 10 (high
165 quality and high integrity levels). As RNA degradation proceeds, there is a decrease in the 18S to 28S
166 ribosomal RNA band ratio and an increase in the background noise between the 18 and 28 ribosomal
167 peaks (Bioanalyzer user guide). In Fig. 4, the 18S ribosomal band is visible at 40-42 s time, while the
168 28S ribosomal band at 46 s time.

169

170 Statistical analysis

171 One-way parametric ANOVA analysis was run separately for each species to test differences between
172 REL values measured in C and E samples through "aov" function in "stats" package for R software (R
173 Development Core Team 2017). Data were log transformed to meet assumptions of normality and
174 homoscedasticity of variance. Post-hoc Tukey's Honestly Significant Differences comparisons were
175 run through "TukeyHSD" function in "stats" package for R.

176

177 **RESULTS**

178

179 Exposing stems of *H. annuus* or *P. tremula x alba* to X-rays did not did not result in biologically
180 significant changes in tissue temperature (Fig. 2). Stem temperature oscillated between 25 and 26 °C
181 with no beam, and no change was detected at a distance of 3 or 8 mm from the irradiated point even
182 under prolonged exposure (10 min). Temperature in stem section directly exposed to radiation rose
183 by about 1°C in both tested species.

184 Cell membrane integrity, quantified via REL measurements, was affected by X-ray exposure in
185 analyzed species (Fig. 3). In all plants, REL of C0 samples was about 25%, and this value did not
186 change significantly as a function of time from excision in C1, C2 and C3 samples (Fig. 3). In the case
187 of *H. annuus*, the first scan did not induce changes in REL, however these became apparent in E2 and
188 E3, when REL peaked to 70%, with some samples reaching values as high as 90%. In *P. tremula x alba*,
189 REL increased to 35% in E2, and remained similar in E3. In *C. arabica* an increase of REL to 45% (albeit
190 not significant due to large data variability) was already observed in E1 samples, reaching values >
191 50% in E3.

192 Total RNA quality was estimated by the RNA Integrity Number (RIN), as this is a reliable proxy
193 to compare the integrity of RNA in different samples. Analyses based on this metric confirmed the
194 results obtained by REL measurements, showing similar variability and resistance of species to
195 radiation (Fig. 4). In the case of *H. annuus*, the first scan did not affect RNA quality (E1, 7.5). However,
196 RNA degradation increased after the second exposure, and the RNA after the third exposure (E3) was
197 almost fully degraded (Fig. 4). In this species, the controls (C0-C3) showed no degradation of RNA
198 quality. There was no effect of time or X-ray exposures on RNA quality of samples collected from *P.*
199 *tremula x alba* stems, although E3 samples had slightly lower RNA quality (RIN 6.2; Fig. 4). After one
200 scan, RNA extracted from *C. arabica* was partially degraded (E1; RIN 4.1), in comparison to controls
201 (C1, RIN 7). However, both X-ray exposures and time from excision influenced the RNA quality in this
202 species (see C2-3 and E2-3; Fig. 4) confirming that *C. arabica* was sensitive to both manipulation and
203 X-rays.

204

205 DISCUSSION

206

207 X-ray microCT is emerging as an important new tool for the visualization and quantification of xylem
208 embolism (Cochard *et al.*, 2015). Based on its supposed non-invasive nature, microCT has also been
209 used to visualize eventual post-drought embolism recovery. In both cases, plants are generally
210 exposed to successive microCT scans to check embolism build-up during plant dehydration (Choat *et*
211 *al.*, 2016), or its reversal following re-watering (Charrier *et al.*, 2016).

212 Xylem conduits are frequently considered as inert pipelines, but long-distance water
213 transport relies on the activity of phloem and parenchyma, e.g. for the regulation of xylem sap ionic
214 content (Zwieniecki *et al.*, 2001; Nardini *et al.*, 2011), modulation of xylem sap surface tension (Losso
215 *et al.*, 2017), release of sugars and water during the refilling of embolized conduits (Secchi *et al.*,
216 2017), and production of conduit-filling exudates as a response to wounds (Jacobsen *et al.*, 2018).
217 Hence, any eventual damage to living cells can be suspected to alter xylem function, thus casting
218 doubts on the reliability of techniques inducing harmful effects on phloem or parenchyma. Our data

219 clearly show that microCT scans produce severe cellular damage, and call for renewed caution in the
220 interpretation of findings based on this technique (Pratt & Jacobsen, 2018). The X-ray energy level
221 and scan times in our experiment were similar to or even lower than those used in several recent
222 studies (e.g. Charrier *et al.*, 2016; Choat *et al.*, 2016; Knipfer *et al.*, 2017). Yet, the X-ray dose was
223 high enough to induce damage to both cell membranes and RNA.

224 Samples exposed to microCT scans showed significant increases in REL, indicating serious
225 alterations to cell membranes. This is not surprising, as several studies have shown that X-rays
226 produce irreversible damage to membrane lipid bilayers due to phase transformation and lamellar
227 stacking (Köteles, 1982; Cheng & Caffrey, 1996; Cherezov *et al.*, 2002), with consequent effects on
228 membrane permeability (Cao *et al.*, 2015). Cherezov *et al.* (2002) reported that membrane damage is
229 not associated to temperature effects during sample irradiation at synchrotron light sources, as also
230 confirmed by the lack of over-heating recorded in our samples, but it rather depends on generation
231 of free radicals. Most importantly, Cherezov *et al.* (2002) evidenced that the damage was
232 independent on the source energy in a 9-17 keV range, suggesting that the risk of membrane damage
233 is intrinsic to the technique and cannot be reduced by modifying X-ray energy level without losing
234 image quality and resolution.

235 In addition to disruption of cell membranes, our data indicate that microCT scans negatively
236 affect RNA quality. This is also not unexpected, as ionizing radiation is known to induce significant
237 alterations on nucleic acids, often resulting in DNA double strand breaks (Rothkamm & Löbrich, 2003;
238 Han & Yu, 2009) and ROS-mediated DNA/RNA disruption (van Huystee *et al.*, 1968; Tominaga *et al.*,
239 2004), finally leading to severe RNA and/or protein damage (Daly, 2012). Our data from plants are in
240 line with findings obtained on animal cell models, and suggest that, as a consequence of RNA
241 damage, protein synthesis can be impaired in stem parenchyma cells after microCT scans.

242 Both membrane damage and RNA degradation were observed in three studied species, but
243 the susceptibility to X-rays damage was species-specific. *C. arabica* was damaged by a single scan,
244 while two-three scans were necessary to produce significant effects in other two species. It is
245 possible that not all microCT experiments performed on different species and reported in the
246 literature are affected to the same extent by harmful radiation effects, potentially explaining the
247 observed range of hydraulic recovery in different species (Choat *et al.*, 2015; Knipfer *et al.*, 2015;
248 Charrier *et al.*, 2016; Brodersen *et al.*, 2018). Our findings call for a careful reassessment of previous
249 conclusions, based on dedicated experiments to evaluate the susceptibility of individual species to
250 the specific experimental conditions adopted.

251 Our data show that multiple microCT scans lead to disruption of fundamental cellular
252 functions and processes. Hence, microCT investigation of phenomena that depend on physiological
253 activity of living cells may produce erroneous results and lead to incorrect conclusions. This probably

254 applies to conduit refilling, which has been suggested to occur via secretion of sugars into embolized
255 conduits by phloem and vessel-associated parenchyma cells to generate the osmotic forces
256 necessary to counterbalance eventual residual tension in still functioning elements (Secchi &
257 Zwieniecki, 2012). Such a mechanism requires the activation of genes encoding key proteins involved
258 in carbohydrate metabolism pathways and membrane transport of inorganic ions, sugar molecules,
259 and water (Secchi *et al.*, 2011; Perrone *et al.*, 2012; Chitarra *et al.*, 2014; Secchi *et al.*, 2016). Thus,
260 failure in detecting embolism reversal in microCT experiments, when involving repeated scans of the
261 same plant subjected to drought stress and then re-irrigated (Choat *et al.*, 2015; Knipfer *et al.*, 2015;
262 Charrier *et al.*, 2016; Knipfer *et al.*, 2017), might arise from X-ray induced damage to living cells. We
263 strongly suggest that such evidence should be re-evaluated in the light of our findings, and also
264 recommend that xylem vulnerability or recovery curves generated by microCT should be based on
265 sets of different plants, so that a single plant at any given water status or physiological stage is
266 scanned and observed only once if the species is susceptible to applied radiation levels. Although this
267 approach might raise doubts on the advantages of microCT as an alternative or complementary
268 technique with respect to classical hydraulic measurements, it would still yield important information
269 or confirmation on the functional status of conduits with no risk of bias due to cutting procedures.

270 MicroCT observations are increasingly used to observe xylem embolism build-up during plant
271 dehydration. It is assumed that embolism spreads by aspiration of air through the pores of inter-
272 conduit remnants of primary cell walls and middle lamella (pit membranes). Thus, the validity of
273 observations of embolism spread is seemingly not challenged by our findings, unless X-rays disrupt
274 and alter the structure and porosity of pit membranes, leading to erroneous estimates of embolism
275 vulnerability. Also, damage to living cells might result in wound responses leading to rapid filling of
276 xylem conduits with gels (Crews *et al.*, 2003; Soukup & Votrubová, 2005; Marañón-Jiménez *et al.*,
277 2017; Che-Husin *et al.*, 2018; Jacobsen *et al.*, 2018). Such an effect would cause conduits to appear
278 filled with a liquid phase even though non-conducting (Pratt & Jacobsen, 2018), leading to
279 overestimate plant resistance to xylem embolism. The occurrence and relevance of these effects
280 should be evaluated by future studies.

281

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287

288 **AUTHOR CONTRIBUTIONS**

289 A.N., F.S., M.Z., and S.M. planned and designed the research. All Authors contributed to perform
 290 experiments and analyze data. F.P., A.N. and F.S. wrote the manuscript, with contribution and
 291 revision by all Authors.

292

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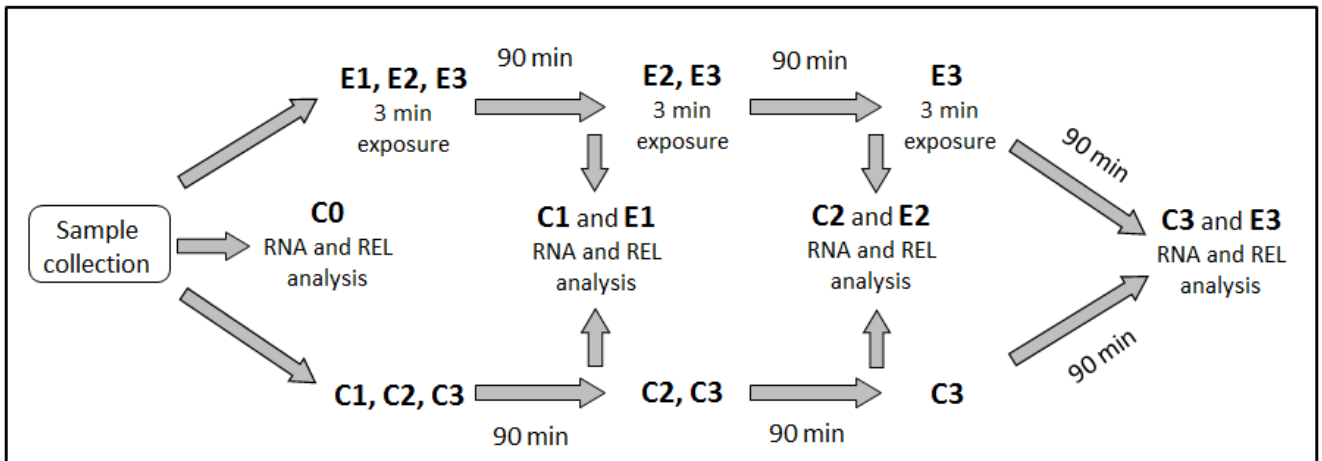


Fig. 1: Experiment time line. C0: control samples i) immediately used for REL measurements or ii) frozen in liquid nitrogen and kept at -80°C until RNA analysis. C1: samples excised from stems and stored at laboratory temperature until segments from group E1 were ready, then processed for REL or RNA analyses (see above). Samples C1, C2 and C3 were prepared to check eventual time-related trends in cellular damage not associated to X-rays exposure. E1: samples exposed to a single 3 min microCT scan (see below), maintained for 90 min at laboratory temperature to allow eventual damage build-up, then processed for REL or RNA analyses. C2: samples excised from stems and stored at laboratory temperature until samples from group E2 were ready, then processed for REL or RNA measurements. E2: samples exposed to two successive microCT scans (90 min between each exposure and after the last one), then processed for REL or RNA measurements. C3: samples excised from stems and stored at laboratory temperature until samples from group E3 were ready, then processed for REL or RNA measurements. E3: samples exposed to three successive microCT scans (90 min between each exposure and after the last one), then processed for REL or RNA measurements.

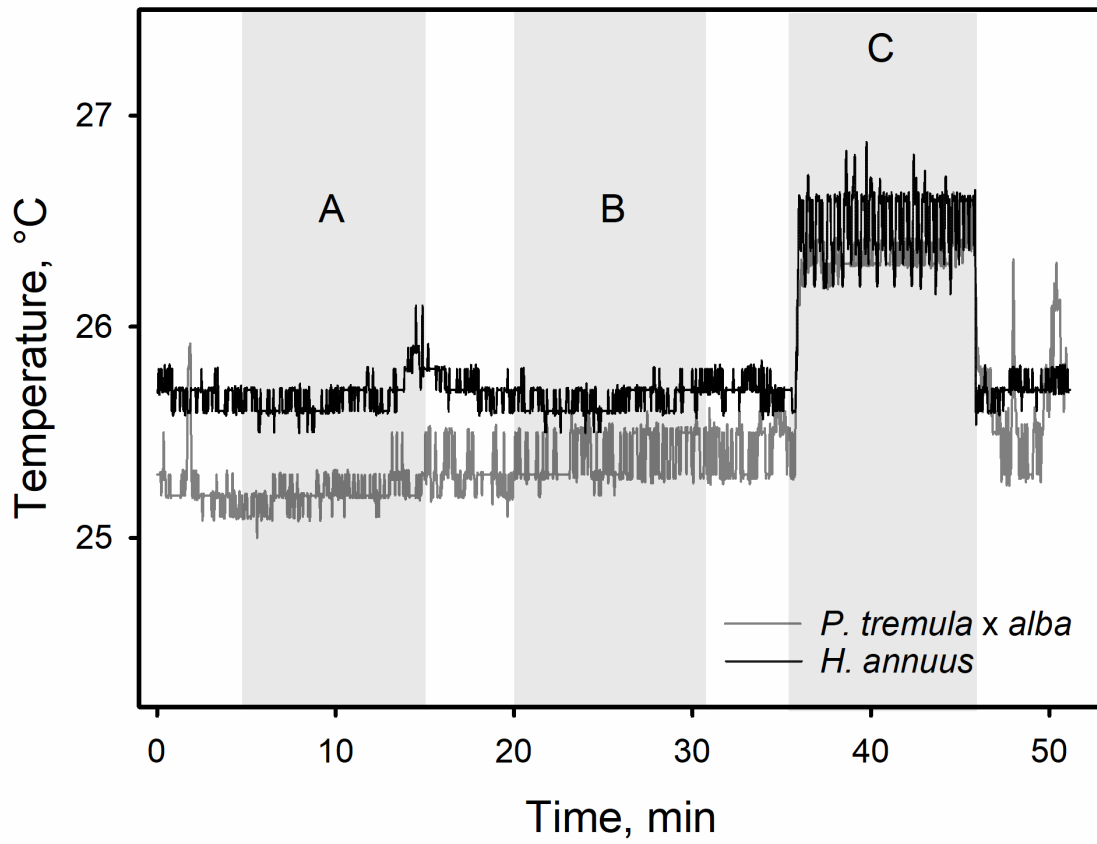


Fig. 2: Temperature changes over time in irradiated stems of *P. tremula x alba* (grey line) and *H. annuus* (black line) at 8 mm (A), 3 mm (B) and at the same position (C) of irradiation point.

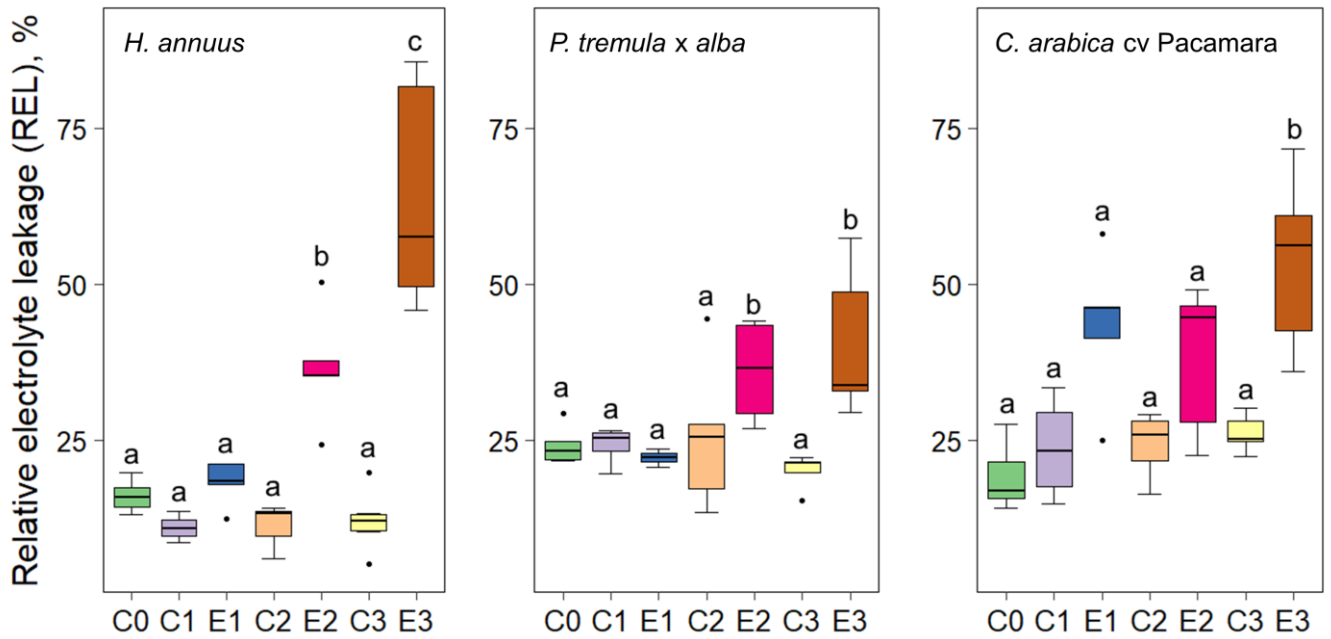


Fig. 3: Median values, 25th and 75th percentiles of relative electrolyte leakage (REL) in control and exposed sample groups in *H. annuus*, *P. tremula x alba* and in *C. arabica* cv. Pacamara. Different letters indicate statistical significant differences among groups ($p < 0.05$).

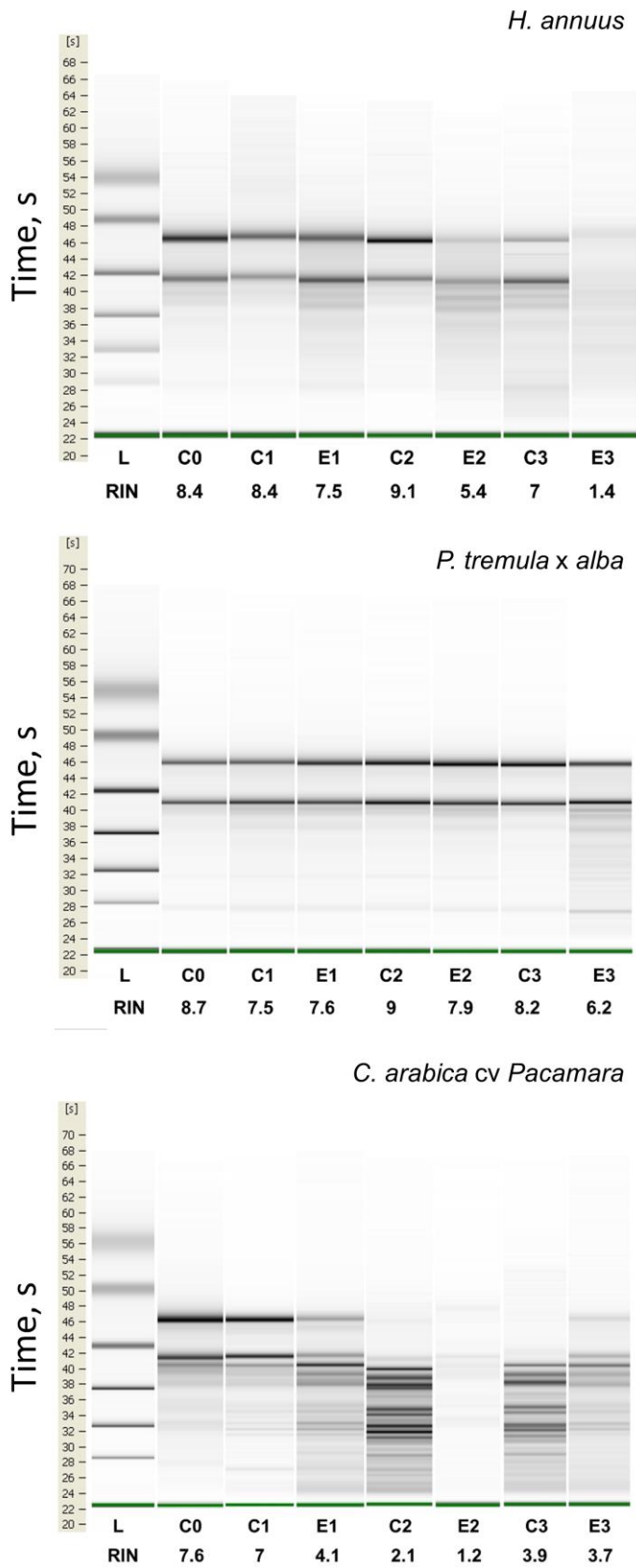


Fig. 4: Evaluation of RNA integrity by Bioanalyzer assay. For each RNA sample, extracted from control (C) or exposed plant stems (E), the related RIN (RNA integrity number) is provided below each lane of the gel. L = ladder.