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Luca Belleggia, Lucia Aquilanti, Ilario Ferrocino, Vesna Milanović, Cristiana Garofalo, Francesca Clementi, Luca Cocolin, Massimo Mozzon, Roberta Foligni, M. Naceur Haouet, Stefania Scuota, Marisa Framboas, Andrea Osimani

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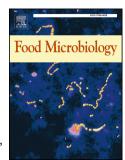
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1	Discovering microbiota and volatile compounds of surströmming, the traditional Swedish sour herring
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32 Abstract

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In this study, the microbiota of ready-to-eat surströmming from three Swedish producers were studied using a combined approach. The pH values of the samples ranged between 6.67±0.01 and 6.98±0.01, whereas their a_w values were between 0.911±0.001 and 0.940±0.001. The acetic acid concentration was between 0.289±0.009 g/100 g and 0.556±0.036 g/100 g. Very low concentrations of lactic acid were measured. Viable counting revealed the presence of mesophilic aerobes, mesophilic lactobacilli and lactococci as well as halophilic lactobacilli and lactococci, coagulasenegative staphylococci, halophilic aerobes and anaerobes. Negligible counts for Enterobacteriaceae, Pseudomonadaceae and total eumycetes were observed, whereas no sulfite-reducing anaerobes were detected. Listeria monocytogenes and Salmonella spp. were absent in all samples. Multiplex real-time PCR revealed the absence of the bont/A, bont/B, bont/E, bont/F, and 4gyrB (CP) genes, which encode botulinic toxins, in all the samples analyzed. Metagenomic sequencing revealed the presence of a core microbiota dominated by Halanaerobium praevalens, Alkalibacterium gilvum, Carnobacterium, Tetragenococcus halophilus, Clostridiisalibacter, and Porphyromonadaceae. Psychrobacter celer, Ruminococcaceae, Marinilactibacillus psychrotolerans, Streptococcus infantis and Salinivibrio costicola were detected as minority OTUs. GC-MS analysis of volatile components revealed the massive presence of trimethylamine and sulfur compounds. Moreover, 1,2,4-trithiolane, phenols, ketones, aldehydes, alcohols, esters and long chain aliphatic hydrocarbons were also detected. The data obtained allowed pro-technological bacteria, which are well-adapted to saline environments, to be discovered for the first time. Further analyses are needed to better clarify the extent of the contribution of either the microbiota or autolytic enzymes of the fish flesh in the aroma definition.

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- **Keywords:** fermented fish; 16S rRNA gene amplicon target sequencing; *Tetragenococcus halophilus*; *Carnobacterium*;
- 53 *Porphyromonadaceae*; trimethylamine.

1. Introduction

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The fermentation of fish flesh represents one of the most ancient methods to preserve or even enhance the quality of seafood (Speranza et al., 2015). Indeed, in some regions of the world, fishing can be strictly affected by seasons; hence, for some populations, the preservation of caught fish is pivotal. Fermented fish products are actually common in east and south-east Asia, as well as in Nordic European countries, where the fermentation of fish muscle is often associated with salting (Skåra et al., 2015; Speranza et al., 2015). In more detail, in the latter countries, principally represented by those included in the Scandinavian Peninsula and Iceland, salting of fish has been particularly difficult due to the scarcity of salt; hence, instead of full-salt preservation, low-salt fish preparations have been empirically developed since the Viking Era (Skåra et al., 2015). The production processes usually vary in accordance with the fish species, salt availability, environmental temperatures, storage techniques, raw material manipulation, and tradition. Admirable examples of traditional fermented and ripened fish products in the northern European countries are represented by hákarl, obtained from the Greenland shark (Osimani et al. 2019); rakfisk and gravlax, both of which are obtained from salmonid freshwater fish; surströmming; and other barrel-salted ripened herring and sprats (Skåra et al., 2015). In particular, the consumption of herring by Scandinavian and other populations on the Baltic and North Sea dates back to 5,000 years ago (Kurlansky, 2002). During the sixteenth century, on the Swedish coast of the Gulf of Bothnia, the art of herring preservation by local people gave birth to the so-called surströmming (Kurlansky, 2002). The name of this refined food preparation comes from the fusion of two nouns, "sur" (English meaning of sour or acid) and "strømming", which is the local name for the herring (Clupea harengus var. membras) caught in the northern regions of the Baltic Sea (Skåra et al., 2015). It is assumed that the preparation of surströmming was invented to overcome the lack of salt, which is commonly used for the preservation of herrings. In accordance with a royal ordinance, surströmming must be obtained from herring caught between April and May, whereas some authors have reported that herring can be caught until July before spawning (Alm, 1965; Kurlansky, 2002). The preparation of surströmming usually starts with a 1-2-day presalting step of the herring in saturated salt solution. The heads and entrails of the herrings are then removed, whereas the gonads (roe) and pyloric ceca are retained. Subsequently, the as-prepared herrings are left to ferment in sealed barrels, which can hold 200 pounds of fish, containing a weak brine (17% salt) from 3-4 to 10-12 weeks at 15-18 °C, depending on the traditional process applied (Kurlansky, 2002; Skåra et al., 2015). The barrels are sporadically rotated for a few days and then stored. The biological reactions that occur during such a process lead to the production of gas that escapes from the staves of the barrel. The herring and brine are then placed in cans, where fermentation can continue, until the formation of a bulge on the top and bottom of the can (Kurlansky, 2002; Skåra et al., 2015). Surströmming is characterized by a wine-colored fermented

85	flesh that, as described by Kurlansky (2002) in a very suggestive way, "fizzes out, bubbling like fermented cider and
86	smelling like a blend of Parmesan cheese and the bilge water from an ancient fishing vessel". It is therefore undeniable
87	that the consumption of surströmming represents a real challenge even for the most daring palates.
88	It is already acknowledged that during the fermentation of herring, chemicals and microbiological activities strongly
89	influence the safety and sensory traits of surströmming. In greater detail, as reported by Skåra et al. (2015), autolytic
90	enzymes (e.g., calpains, cathepsins, proteasomes with caspase, etc.) that naturally occur in fish flesh and in the pyloric
91	ceca of the gut, combined with the organic acids (e.g., lactate, propionate, butyrate, and acetate) and hydrogen sulfide
92	that are produced by the metabolic activity of the microbiota, contribute to the definition of the main traits of the final
93	product. Moreover, the salt contained in the brine should prevent the growth of spoilage bacteria that can lead to the
94	rotting (protein decomposition) of herrings (Skåra et al., 2015). It is noteworthy that fish gut can contain pathogenic
95	bacteria, some of them able to grow at low temperature (e.g. Clostridium botulinum and Listeria monocytogenes) hence
96	where fermentation conditions of fish are not correct, pathogenic bacteria may potentially be present in the final
97	product, thus representing a health threat for the consumers (Köse, 2010; Waisundara et al., 2016).
98	Despite the long history of surströmming consumption, a lack of knowledge is available concerning the microbia
99	consortia involved in herring fermentation. Indeed, to the authors' best knowledge, only one study that dates back to the
100	year 2000 is available in the scientific literature (Kobayashi et al., 2000). Although the study of Kobayashi et al. (2000)
101	shed a first precious light on some of the viable microbial species occurring in surströmming, the complex microbial
102	consortia that are likely involved in the fermentation of such a delicacy remain unknown.
103	At present, a number of reliable microbiological techniques are available to aid in obtaining in-depth knowledge of the
104	microbial species occurring in fermented food matrices. In more detail, in addition to conventional techniques based or
105	the use of selective growth media, the study of microbial DNA or RNA prepared directly from the food matrix allows
106	the detection of both major and minor species. Among the most applied and sensitive molecular methods, next-
107	generation sequencing and real-time polymerase chain reaction (PCR) provide sound data for microbiological profiling
108	of foods.
109	Based on these concepts, a combined approach based on the use of selective growth media, 16S rRNA gene amplicor
110	target sequencing and real-time PCR was adopted to study the microbial species occurring in ready-to-eat surströmming
111	samples collected from three different Swedish producers. Moreover, a first characterization of the surströmming
112	volatilome was carried out via gas chromatography-mass spectrometry (GC-MS) analysis.

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2. Materials and methods

116	2.1. Sampling
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118	Fifteen samples of ready-to-eat (canned) surströmming (Figure 1) were purchased during April 2019 from three
119	different producers located in Sweden. Samples were codified as follows: S1-S5 (expiration date 02.2020) obtained
120	from Producer 1, S6-S10 (expiration date 12.2019) obtained from Producer 2, and S11-S15 (expiration date 12.2019)
121	obtained from Producer 3. Each sample consisted of 400 g/can of whole unpasteurized fermented herring in brine stored
122	at 5°C. No further information on the samples was provided by the producers or from the can label. All the samples
123	were analyzed before their expiration date.
124	
125	2.2. Chemical-physical measurements
126	
127	pH values of surströmming samples were determined with a pH meter equipped with an HI2031 solid electrode (Hanna
128	Instruments, Padova, Italy).
129	Total titratable acidity (TTA) was determined using 10 g of the <i>surströmming</i> samples, which were homogenized in 90
130	mL of distilled water for 5 min at 260 rpm using a Stomacher 400 Circulator apparatus (VWR International PBI, Milan,
131	Italy). The results are expressed as the total volume (mL) of 0.1 N NaOH used to achieve a pH of 8.3.
132	The concentration of salt (sodium chloride) was assessed by gravimetric analysis in accordance with the method
133	suggested by the Italian Istituto Superiore di Sanità (ISTISAN, 96/34).
134	The water activity (a_w) was measured in accordance with the ISO 21807:2004 standard method using the Aqualab 4TE
135	apparatus (Meter Group, Pullman, USA).
136	The acetic acid and lactic acid concentrations were measured using the Acetic Acid (Acetate Kinase Manual Format)
137	test kit and D-/L-Lactic Acid (D-/L-Lactate) (Rapid) test kit, respectively, from Megazyme (Bray, Ireland).
138	For each sample, the measurements were performed in duplicate, and the results are expressed as the mean \pm standard
139	deviation.
140	
141	2.3. Microbiological analyses
142	
143	For the assessment of microbial viable counts, twenty-five grams of each surströmming sample were homogenized in
144	225 mL of sterile peptone water (peptone, 1 g/L) using a Stomacher 400 Circulator apparatus (VWR International PBI,
145	Milan, Italy) for 5 min at 260 rpm. The obtained homogenates (dilution 10 ⁻¹) were further diluted ten-fold and subjected
146	to viable counts of the following groups of microorganisms: i) total mesophilic aerobes on plate count agar (PCA) (cod.
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147	85941, VWR Chemicals, Milan, Italy) incubated at 30°C for 48 h; ii) total halophilic aerobes enumerated on PCA with
148	8% NaCl after incubation at 30°C for 7 days; iii) total halophilic anaerobes counted on PCA with 8% NaCl after
149	incubation in anaerobic jars using AnaeroGen 2.5 L Atmosphere Generation Systems (cod. AN0025, Thermo Scientific,
150	Massachusetts, USA) at 30°C for 7 days; iv) mesophilic lactic acid bacteria (LAB) on De Man, Rogosa and Sharpe
151	(MRS) agar (cod. 84607, VWR Chemicals) incubated at 30 °C for 48 h and M17 agar (cod. CM0785, Oxoid Limited,
152	Basingstoke, UK) incubated at 22 °C for 72 h for enumeration of presumptive lactobacilli and lactococci, respectively;
153	v) halophilic LAB on MRS and M17 agar with 8% NaCl incubated at 30 °C for 7 days and at 22 °C for 10 days for
154	enumeration of presumptive halophilic lactobacilli and lactococci, respectively; vi) Enterobacteriaceae counted on
155	Violet Red Bile Glucose Agar (VRBGA) (cod. 85845, VWR Chemicals) after incubation at 37°C for 24 h (Cardinali et
156	al., 2017); vii) Pseudomonadaceae enumerated on Pseudomonas Agar Base (PAB) (cod. CM0559, Oxoid Limited) with
157	cetrimide-fucidin-cephalosporin (CFC) selective supplement (cod. CM0559, Oxoid Limited) and incubated at 30 °C for
158	24-48 h; viii) staphylococci enumerated on Mannitol Salt Agar (MSA) (cod. LIOF610029, Liofilchem, Roseto degli
159	Abruzzi, Italy) (Chapman, 1945) and incubated at 37°C for 24-48 h; ix) total eumycetes enumerated on Yeast Extract
160	Peptone Dextrose Agar (YPD) (cod. J903, VWR Chemicals) (Ausubel et al., 1994) incubated at 25°C for 72 h; and x)
161	halophilic eumycetes counted on YPD agar added with 8% NaCl after incubation at 25°C for 72 h. MRS and M17 agar
162	were supplemented with cycloheximide (cod. 441892A, VWR Chemicals) (250 mg/L) to prevent eumycete growth,
163	while YPD agar was supplemented with chloramphenicol (cod. 0230-EU-100G, VWR Chemicals) (100 mg/L) to
164	prevent bacterial growth. For counting sulfite-reducing clostridia, homogenates were treated in a water bath at 80 °C for
165	10 min and cooled in iced water. Aliquots (0.1 mL) of each dilution were spread on Tryptone Sulfite Neomycin (TSN)
166	agar (cod. 610074, Liofilchem) and incubated at 37 °C for 24 h under anaerobic conditions using the AnaeroGen 2.5
167	System.
168	Finally, a miniVIDAS apparatus (bioMérieux, Marcy l'Etoile, France) was used to assess the presence/absence of L.
169	monocytogenes and Salmonella spp. through the enzyme-linked fluorescent assay (ELFA) method, in accordance with
170	the AFNOR BIO 12/11-03/04 and AFNOR BIO 12/16-09/05 standard methods, respectively (Haouet et al., 2017). L.
171	monocytogenes detection was carried out through pre enrichment in half Fraser broth (cod. 84721, VWR Chemicals)
172	with incubation for 24 to 26 hours at $30^{\circ}\text{C} \pm 1^{\circ}\text{C}$, followed by enrichment in Fraser broth (cod. VWRC84730.0001,
173	VWR Chemicals) with incubation for 24 hours at 37°C ± 1°C. <i>Salmonella</i> spp. detection was carried out through pre□
174	enrichment in Buffered Peptone Water (cod. 881314TA, VWR Chemicals) with incubation for 16 to 22 hours at 37°C ±
175	1°C, followed by enrichment in SX 2 broth (cod. 42121, bioMérieux) with incubation for 24 hours at 42 °C \pm 1°C.

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2.4. DNA extraction from surströmming samples

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The 1.5-mL aliquots of each sample homogenate (containing approximately 150 mg of the sample) (dilution 10⁻¹) prepared as described in paragraph 2.3 were centrifuged for 5 min at 16000 g, and the obtained cell pellets were stored at -20°C until use. The total microbial DNA was extracted from the cell pellets using an E.Z.N.A. soil DNA kit (Omega Bio-tek, Norcross, GA, USA) following the manufacturer's instructions. The quantity and purity of the extracted DNAs were checked using a Nanodrop ND 1000 (Thermo Fisher Scientific, Wilmington, DE, USA). DNA extracts were then subjected to 16S rRNA gene amplicon target sequencing (Osimani et al., 2019).

2.5. DNA library preparation and sequencing

Microbiota were studied by amplifying the V3 and V4 regions of 16S rRNA using primers and conditions previously described by Klindworth et al. (2013). Library preparation was performed according to the Illumina metagenomic procedure. Sequencing was performed using a MiSeq instrument (Illumina) with V3 chemistry and the generated 250-bp paired-end reads, following the producer's instructions.

2.6. Bioinformatics

After the sequencing reads were assembled, they were quality-filtered and processed using QIIME 1.9.0 software (Caporaso et al., 2010) and the pipeline described by Ferrocino et al. (2017). Centroid sequences of each cluster were manually check using the Blast tool to confirm the taxonomic assignment. QIIME was used to rarify the OTU table at the lowest number of sequences per sample and to build it (filtered at 0.2 % in at least 2 samples). The OTU table displays the higher taxonomy resolution reached when the taxonomy assignment was not able to reach the species level, genus or the family name was displayed. The statistical package Kruskal–Wallis was used to find differences (FDR < 0.05) in microbial taxa abundance profiles and in the alpha diversity index according to producers. As a measure of the association between microbial OTUs and volatilome variables, Spearman's rank correlation coefficient was obtained through the function psych and plotted using the corrplot package in R (FDR < 0.05). To explore the relationship between microbiota volatilome profiles, a principal component analysis (PCA) was carried out on the individual datasets, and the results were then integrated using coinertia analysis (CIA). CIA analysis was performed and is presented as a plot derived using the made4 package in the R environment.

The 16S sequences are available at the Sequence Read Archive of NCBI (accession number SRP217047).

209	2.6. Real-time PCR analyses for the detection of botulinic toxin genes
210	
211	C. botulinum was analyzed in accordance with the multiplex real-time PCR method of the Italian National Reference
212	Centre for botulism (http://old.iss.it/binary/cnrb/cont/CNRB31.010.pdf) for detection of the target genes bont/A, bont/B,
213	bont/E, bont/F, and 4gyrB (CP) that codify for botulinic toxins.
214	Briefly, 25 g of surströmming sample was blended in 225 mL Triptone Peptone Glucose Yeast extract (Microbiol
215	Diagnostici, Italia), incubated under anaerobiosis at 30°C for 96 h and then extracted with 6% Chelex-100 (Bio-Rad,
216	Milan, Italy). The amplification was carried out using the QuantiTect multiplex No Rox Kit (Qiagen) in a Stratagene
217	Mx3005P (Agilent Technologies) thermal cycler, and the primer and probe nucleotide sequences are listed in
218	Supplementary Table 1. Two different master mixes were used concurrently, with the following thermal profile: 1 cycle
219	at 95°C for 15 min, followed by 40 cycles at 94°C for 30 s and 56°C for 90 s.
220	Real-time PCR analyses were performed on a RotorGene Q thermal cycler (Qiagen, Hiden, Germany) exploiting
221	TaqMan chemistry. All target probes employed were dual-labeled with 5'-FAM and a 3'-nonfluorescent quencher (as
222	specified below). The oligonucleotides were purchased from Thermo Fisher Scientific (Milan, Italy) and from LCG
223	Biosearch Technologies (Petaluma, CA, USA). The reaction mixtures were all prepared in a final 25-µl reaction
224	volume. Molecular-grade H ₂ O was included in each analytical session as a negative control, as well as DNA from
225	reference strains as positive controls. Fluorescence was measured in the green channel for the target genes and in the
226	yellow channel for the internal amplification control.
227	
228	2.7. GC-MS analysis of volatile components
229	
230	Solid phase micro extraction (SPME) was used to collect volatiles, according to Savini et al. (2017). A Varian 3900 gas
231	chromatograph coupled to a Saturn 2100T ion trap mass detector (Varian Analytical Instruments, Walnut Creek, CA)
232	was used to separate and identify aroma components. The GC conditions were as follows: fused silica capillary column
233	ZB-5 (30 m L, 0.25 mm ID, 0.25 μm FT; Phenomenex, Torrance, CA); oven temperature increasing from 40 to 220 °C,
234	at a constant rate of 6 °C/min; carrier gas (He) flow 1.0 mL/min (constant flow mode); transfer line and ion trap
235	temperatures 220 °C.
236	Experiments of both electronic impact fragmentation (EI 70 eV) and chemical ionization (CI) (reagent gas: methanol)
237	were performed. Full-scan MS data were acquired in the mass range from 31-250 amu. Identification of
238	chromatographic peaks was accomplished by comparison to Kovats retention indices (RIs) and mass fragmentation
239	patterns of pure analytical standards; comparison to MS data published in the NIST/EPA/NIH Mass Spectral Library

240	Version 2.0a, built July 1, 2002 (National Institute of Standards and Technology); and analysis of the CI mass spectra
241	using RI data reported in the published literature and listed in several authentic online databases
242	(<u>http://webbook.nist.gov</u> , <u>https://pubchem.ncbi.nlm.nih.gov</u> , <u>http://www.flavornet.org</u>). RIs were determined for SPME-
243	GC/MS by using a series of n-hydrocarbons (C6-C20) (Sigma-Aldrich, St. Louis, MO).
244	Experimental data (volatile component abundance) were subjected to analysis of variance (ANOVA), and the
245	significance of means was evaluated by Tukey-Kramer's Honest Significant Difference (HSD) test (P < 0.05).
246	Statistical analysis was performed using the software JMP® Version 10 (SAS Institute Inc., Cary, NC).
247	
248	2.8. Statistical analysis
249	
250	The Tukey-Kramer's Honest Significant Difference (HSD) test (level of significance 0.05) was used to evaluate
251	differences within samples by one-way analysis of variance (ANOVA). The software JMP Version 11.0.0 (SAS
252	Institute Inc., Cary, NC) was used to carry out all tests.
253	
254	3. Results
255	
256	3.1. Physicochemical measurements
257	
258	The results of the physicochemical analyses of ready-to-eat surströmming samples are reported in Table 1. pH values
259	ranged between 6.67 ± 0.01 (sample S6) and 6.98 ± 0.01 (sample S8), whereas a_w values were between 0.911 ± 0.001
260	(samples S9 and S10) and 0.940±0.001 (sample S2). The concentration of NaCl was between 8.88±0.21 g/100 g
261	(sample S12) and 6.49 ± 0.16 g/100 g (sample S5). TTA values measured in the analyzed surströmming samples ranged
262	between 7.4±0.42 mL of 0.1 N NaOH (sample S9) and 4.0±0.00 mL of 0.1 N NaOH (sample S14). The acetic acid
263	concentration was between 0.289±0.009 g/100 g (sample S1) and 0.556±0.036 g/100 g (sample S15). Finally, very low
264	concentrations of lactic acid were measured among the samples, with most of the values being <0.00002 g/100 g and
265	the maximum value being 0.041 ± 0.006 g/100 g (sample S15).
266	
267	3.2. Microbiological analyses

- 269 The results of viable counts for total mesophilic aerobes, presumptive mesophilic lactobacilli and lactococci,
- 270 Enterobacteriaceae, Pseudomonadaceae, coagulase-negative staphylococci, sulfite-reducing anaerobes and total
- eumycetes are reported in Table 2.
- Total mesophilic aerobes showed viable counts between 5.67±0.04 log cfu/g (sample S2) and 4.08±0.089 log cfu/g
- 273 (sample S14); means among producers were significantly higher for producers A and B, whereas producer C showed
- the lowest value.
- 275 Presumptive mesophilic lactobacilli counts were characterized by values that ranged between 4.57±0.18 log cfu/g
- (sample S3) and <1 log cfu/g (samples from S6 to S14). A significantly higher mean value was recorded for producer
- A, whereas producer B showed the lowest value.
- 278 Presumptive mesophilic lactococci showed counts between 4.80±0.20 log cfu/g (sample S2) and <1 log cfu/g (samples
- from S6 to S14). Producer A showed the highest mean value, whereas producer B showed the lowest value.
- Very low counts were observed for Enterobacteriaceae and Pseudomonadaceae, both presenting <1 log cfu/g for all
- samples.
- As for coagulase-negative staphylococci, counts between 5.77±0.07 log cfu/g (sample S5) and 2.60±0.43 cfu/g (sample
- S8) were detected. A higher mean value was reported for producer A, whereas producers B and C showed the lowest
- values.
- For all samples, sulfite-reducing anaerobe counts were <2 log cfu/g.
- Finally, excluding sample S4, which showed viable counts of 1.92±1.30 log cfu/g, total eumycetes were <1 log cfu/g in
- the remaining samples. The highest mean count was detected in samples from producer A.
- 288 Counts of total halophilic aerobes and anaerobes, presumptive halophilic lactobacilli and lactococci, and halophilic
- eumycetes are reported in Table 3.
- In more detail, total halophilic aerobes counts ranged between 6.74±0.18 log cfu/g (sample S8) and 4.99±0.06 log cfu/g
- (sample S1), with samples from producer B showing the highest mean value.
- Total halophilic anaerobes had values of 6.98±0.05 log cfu/g (sample S10) and 5.61±0.13 log cfu/g (S14), with samples
- from producer B showing the highest mean value.
- The counts of presumptive halophilic lactobacilli were between 7.06 ±0.10 log cfu/g (sample S15) and 5.85±0.19 log
- cfu/g (S13). Producer B showed the highest mean value, whereas both producers A and C showed the lowest values.
- Regarding presumptive halophilic lactococci, all samples from producer A and B showed values <1 log cfu/g. Viable
- counts from producer C showed values between 5.59±0.06 log cfu/g (sample S15) and 4.00±0.06 log cfu/g (sample
- 298 S13).
- No halophilic eumycetes were detected, with all the counts being <1 log cfu/g.

300	Furthermore, no samples revealed the presence of L. monocytogenes or Salmonella spp. in 25 g of product.
301	Finally, multiplex real-time PCR revealed the absence of the target genes bont/A, bont/B, bont/E, bont/F, and 4gyrB
302	(CP), encoding botulinic toxins, in all the samples analyzed.
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304	3.3. 16S rRNA gene amplicon target sequencing
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306	The total number of paired sequences obtained from surströmming samples reached 1,475,590 raw reads. After quality
307	filtering, a total of 623,326 reads were used, with an average value of 20,777 \pm 8812 reads/sample, and a mean sequence
308	length of 465 bp. The alpha diversity index showed satisfactory coverage for all samples (> 95%). Alpha diversity
309	showed a different level of complexity based on the producers. The highest level of complexity was observed in
310	samples from producer B, followed by samples from producers A and C. The latter showed a low level of complexity
311	and smaller number of observed species (FDR < 0.05), as shown in Figure 2.
312	Taking into the account the composition of the microbiota at the highest taxonomic level (Figure 3), we could observe a
313	core microbiota composed of Alkalibacterium gilvum (approximately 8, 4 and 24 % of the relative abundance in
314	samples from producers B, A, and C, respectively), Carnobacterium (16, 24 and 18 %), Tetragenococcus halophilus (9,
315	63 and 16 %), Halanaerobium praevalens (8, 1 and 9 %), Clostridiisalibacter (2, 0.15 and 15 %) and
316	Porphyromonadaceae (48, 0.09 and 19 %). It should also be noted that several minor OTUs were observed in the three
317	producers that varied significantly across the producers (Figure 4, FDR<0.05). Producer A was characterized by
318	Porphyromonadaceae, Psychrobacter celer and Ruminococcaceae, samples from producer B showed the presence of
319	Alkalibacterium gilvum, Clostridiisalibacter, Marinilactibacillus psychrotolerans and Streptococcus infantis, whereas
320	producer C was defined by Salinivibrio costicola and Tetragenococcus halophilus.
321	
322	3.4. GC-MS analysis of volatile components
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324	Thirty-five substances were consistently or tentatively identified in sample aromas (Table 4). Trimethylamine (TMA)
325	and sulfur compounds (mainly dimethyl, methyl ethyl, and bis[1-(methylthio)ethyl] disulfides, and dimethyl trisulfide)
326	dominated the volatilome profile of samples from producers A and B and were abundantly represented in the samples
327	from producer C. The 1,2,4-trithiolane was detected only in samples from producer C. Phenols and ketones were the
328	most represented compound in samples from producer C, whereas aldehydes were more represented in samples from
329	producer A. Alcohols (2-methyl-2-butanol, 1-penten-3-ol, 3-methyl-1-butanol, 1-pentanol, 2-penten-1-ol, 1-octen-3-ol)

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330	were more represented in samples from producer C than from producers A and B. Esters (ethyl acetate, ethyl butyrate,
331	ethyl 2-methylbutyrate, propyl butyrate, butyl butyrate) were more abundant in samples from producer B, whereas
332	neither volatile fatty acids (from C1 to C5) nor volatile alcohols (ethanol, propanol, 2-propanol) were detected. Finally,
333	some long-chain aliphatic hydrocarbons (dodecane, tridecane, heptadecane) were detected in all analyzed samples.
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335	3.5. Correlations between microbiota and volatilome profiles
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337	Plotting the correlation between the OTUs and VOCs (Figure 5, FDR<0.05), it was observed that Alkalibacterium
338	gilvum, Marinilactibacillus psychrotolerans and Psychrobacter celer showed a positive correlation with
339	1,3-ditert-butylbenzene, 2-methyl-2-butanol and dodecane, while <i>Porphyromonadaceae</i> correlated with
340	2-heptanone, 3-methyl-1-butanol, ethyl 2-methylbutyrate and heptanal. Coinertia analysis was carried out, combining
341	the PCA of the microbiota (OTUs) and VOCs, to evaluate differences among the three producers (Fig. 6). The analysis
342	revealed a significant relationship between the microbiota and VOCs (RV coefficient = 0.55 ; Monte Carlo P = 0.02).
343	The first horizontal component accounted for 55.33% of the variance, and a second vertical component accounted for
344	another 31.71%. We observed a clear separation of the samples according to the producers (Fig. 6).
345	
346	4. Discussion
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348	As reported by Skåra et al. (2015), the annual production of surströmming is not negligible, being approximately 600
349	tons. Notwithstanding, the physical-chemical and microbiological characteristics of this fermented fish have mostly
350	been unexplored. To the authors' knowledge, only one study on the microbiota of surströmming is actually available in
351	the scientific literature (Kobayashi et al., 2000), together with one dealing with the detection of chemical contaminants
352	(organoarsenic compounds) in the same product (Richter et al., 2012).
353	In more detail, the study by Kobayashi et al. (2000) focused on the identification of strictly anaerobic halophiles
354	isolated from only two cans of surströmming. Although limited, the study of Kobayashi et al. (2000) provided a first
355	interesting glimpse of the bacterial species involved in the microbiological activities that lead to the production of such
356	a peculiar Swedish food.
357	The surströmming samples analyzed in the present study were all characterized by pH values ranging between 6.67 and
358	6.98, hence close to neutrality; the recorded values were in accordance with those reported by Kobayashi et al. (2000) in

the same food matrix. NaCl values measured in the present study were slightly lower than those previously reported by

Kobayashi et al. (2000), who reported values from 9-9.5 %. It is noteworthy that salt interacts with myofibrillar

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proteins, thus affecting the water-holding capacity (Laub-Ekgreen et al., 2019). In salted foods, salt is transferred by the concentration gradient, whereas water is transferred by osmotic pressure between the muscle and the salting medium; furthermore, in salted fish, fat and skin can influence the results of the salting process (Laub-Ekgreen et al., 2019). The a_w detected in the analyzed surströmming samples was between 0.911 and 0.940. Regarding pH and a_w values, surströmming was found to be a ready-to-eat food that is potentially able to support the growth of L. monocytogenes. Indeed, as reported by Regulation (EC) 2073/2005, and amended by Regulation (EC) 1441/2007, on microbiological criteria for foodstuffs, products that are unable to support the growth of L. monocytogenes are those with a pH \leq 4.4 or $a_w \le 0.92$, or with a pH ≤ 5.0 and $a_w \le 0.94$. It is noteworthy that all the analyzed samples showed pH values above the limits established by the above-mentioned regulatory agencies, and 3 out of 15 samples showed a_w values > 0.92. Notwithstanding, all the samples revealed the absence of L. monocytogenes in 25 g of product. Further studies are needed to better clarify whether the final pH values detected in surströmming were constantly maintained throughout fermentation or had been influenced by a microbial or enzymatic deacidifying activity during production. The values of acetic and lactic acids were almost in accordance with those reported by Kobayashi et al. (2000) for surströmming. Interestingly, the samples analyzed in the present study and those analyzed by Kobayashi et al. (2000) revealed higher amounts of acetic acid with respect to lactic acid. Despite a scarcity of knowledge about the microbiota involved in the production of surströmming, it is acknowledged that the preparation of this Swedish sour herring is carried out without the use of starter cultures. Hence, the autochthonous microorganisms present in surströmming are likely of environmental or animal origin (e.g., fish gut or skin). The high counts of total mesophilic aerobes highlight intense microbial activity during the shelf life of the product. Such microbial groups encompass a large proportion of either pro-technological, spoilage or pathogenic bacteria. The presence of an active microbial fraction was also verified by the swollen cans, which were deformed due to internal gas formation. Moreover, the total halophilic aerobe counts were in accordance with the values reported by Gassem et al. (2019) for salted fermented mullet fish (Hout-Kasef), for which halophilic bacteria counts were between 3.26 and 5.14 log cfu/g, with an average value of 4.32 log cfu/g. Lower counts for halophilic bacteria were detected by Wawire et al. (2019) in salted pressed spotted sardine (Amblygaster sirm), with values between 2.0 and 2.8 log cfu/g. Among lactic acid bacteria, halophilic lactobacilli and lactococci were prevalent with respect to those cultivated on growth media without NaCl supplementation. Lactic acid bacteria constitute a large group of microorganisms that produce lactic acid as the major metabolite of carbohydrate fermentation (Françoise, 2010). Their metabolic activities lead to the production of a wide range of fermented products of either vegetable or animal origin, representing one of the most important groups of pro-technological microorganisms. Marine lactic acid bacteria are known to colonize

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extreme environmental niches, such as sea water. Lactic acid bacteria are normally included in the gut microbiota of fish, and their occurrence is influenced by water salinity or environmental stress (Ringo and Storm, 1994). In further detail, lactobacilli have already been detected in Atlantic salmon, pollock, Arctic char, cod and rainbow trout; moreover, the presence of Leuconostoc, Lactococcus, Vagococcus, Streptococcus, and Weissella has also been reported (Françoise, 2010). Among halophilic lactic acid bacteria, the genera Staphylococcus and Tetragenococcus usually dominate during the production of fermented seafood (Taira et al., 2007), thus contributing to lowering the pH and reducing the risk of putrefaction; however, a component of the flesh degradation is also performed by endogenous fish enzymes (Françoise, 2010). Enterobacteriaceae encompass either spoilage or pathogenic bacteria; the low viable counts generally recorded in surströmming for this bacterial family, as well as the absence of Salmonella spp., confirm the establishment of unsuitable environmental conditions for their survival in salted fermented fish, as previously reported by other authors (Alfonzo et al. 2018; Gassem, 2019; Wawire et al., 2019). Regarding Pseudomonadaceae, it is known that members of the genus Pseudomonas can be naturally present in the fish environment, being the causative agent of fish infection or spoilage of processed fish (Kačániová et al., 2017). In the analyzed samples, low Pseudomonadaceae counts were consistently recorded. Notwithstanding, it is known that some Pseudomonas species have strong lipolytic activity that could have interacted with the fat of canned herrings, thus contributing to the flavor definition of surströmming. Moreover, Osimani et al. (2019) have recently suggested that Pseudomonas species can have a role in the reduction of content of TMA in fish flesh. Further research is needed to better understand the dynamics of such bacterial families during the fermentation of surströmming. Data regarding coagulase-negative staphylococci collected in the present study are in accordance with the results of Gassem (2019), which reported counts between 2.71 and 3.85 log cfu/g, with an average value of 3.23 log cfu/g. In the study of Gassem (2019), among the detected species, Staphylococcus epidermidis, Staphylococcus hominis, Staphylococcus xylosus, and Staphylococcus saprophyticus were those dominating the fermentation process. It is noteworthy that, in the analyzed surströmming samples, the presence of Staphylococcus epidermidis was also confirmed by metagenomic sequencing, although they presented a minority OTU. As reported by Zeng et al. (2017), protease and lipase activities of coagulase-negative staphylococci produce various flavor compounds, which can be responsible for the texture and flavor development in salted fermented fish. Counts of halophilic anaerobes were in accordance with the data published by Kobayashi et al. (2000), who reported counts between 6.30 and 6.70 log cfu/g, thus confirming the strong association of such a microbial group with the salty and anaerobic environmental conditions established inside the *surströmming* cans.

Counts of total eumycetes were lower than the values reported by Gassem (2019) for the same microbial group, which,
in salted-fermented mullet fish, showed an average value of 1.33 log cfu/g. Eumycete counts in surströmming were also
lower than those reported by Wawire et al. (2019) in salted pressed spotted sardine, where total fungal counts showed a
maximum value of 3.60 log cfu/g. Yeasts of marine origin have been previously isolated from seawater, seaweeds,
marine fish and mammals, as well as seabirds (Zaky et al., 2014); hence, further research is needed to better elucidate
their eventual contribution during herring fermentation.
Interestingly, no sulfite-reducing anaerobes were detected. Anaerobic sulfite-reducing bacteria are generally considered
to be indicators of clostridial contamination. Notwithstanding, as reported by Prevost et al. (2013), such a bacterial
group is not supported by any taxonomical consideration; hence, their significance should probably be reconsidered.
Indeed, many other bacterial genera can present a sulfite-reducing phenotype, including Aeromonas, Citrobacter,
Enterobacter, Enterococcus, Escherichia, Hafnia, Klebsiella, Tissierella, and Veillonella, (Prevost et al., 2013).
Regarding clostridia, the absence of the target genes bont/A, bont/B, bont/E, bont/F, and 4gyrB (CP), encoding botulinic
toxins, in all the analyzed samples revealed no risks associated with the presence of C. botulinum strains in the analyzed
surströmming samples. C. botulinum is the causative agent of foodborne intoxication caused by the consumption of
preformed toxins, which are responsible for a severe neuroparalytic disease called botulism. The pathogen is a spore-
forming, obligately anaerobic, mesophilic bacterium that is able to grow at temperatures between 12 °C and 37 °C.
Moreover, psychrotrophic strains are also able grow at 3.0 °C (Carter and Peck, 2015). As reviewed by Carter and Peck
(2015), genes that encode neurotoxins are situated together in one of two conserved neurotoxin clusters. Under
anaerobic conditions, spore germination may occur, followed by bacterial multiplication, thus releasing toxins
(Thwaites, 2017). C. botulinum spores are extremely heat resistant; hence, to assure the safety of low-acid canned food,
which are particularly at risk, the thermal process is usually aimed at reducing the spore concentration (Ramaswamy et
al., 2013). As reported by Gauthier (2015), C. botulinum can occur naturally in the gut of both marine and freshwater
fish. Such a human pathogen can produce up to seven recognized toxin types (A-G), among which type E is mostly
involved in human foodborne diseases related to fish consumption (Gauthier, 2015). As reported by Françoise (2010),
the growth of C . botulinum type E can be inhibited by the combination of NaCl (3.5%), low temperature (< 5 °C) and
low pH (< 4.5). Recent cases of foodborne botulisms from seafood were caused by the consumption of raw "muktuk"
(skin and blubber from beluga whale, stored in sealed plastic bags) and rakfisk, a commercial vacuum-packed, hot-
smoked whitefish (Carter and Peck, 2015). It is noteworthy that smoked fish produced in Arctic and northern temperate
regions has also been associated with cases of human botulism (Gauthier, 2015). Hence, monitoring of seafood
regarding the presence of C. botulinum must be constantly conducted to protect the health of consumers, especially
when canned foods not subjected to strong heating treatment are considered.

453 The application of 16S rRNA gene amplicon target sequencing to DNA directly extracted from the surströmming 454 samples allowed major and minor taxa to be detected, thus permitting the depiction of the first in-depth overview of the 455 complex, previously undiscovered, microbiota occurring in such an intriguing traditional food. It is noteworthy that the 456 amplification of the V3-V4 region, applied in the present study, has already been suggested by Klindworth et al. (2013) 457 for the study of marine bacteria. Moreover, the V3-V4 region shows the best overall coverage for different applications 458 and, being widely used by the scientific community, its study allows comparisons among available datasets to be 459 performed (Klindworth et al., 2013). 460 Regarding the surströmming under study, Alkalibacterium gilvum was found in all samples. This bacterial species is a slightly halophilic, highly halotolerant and alkaliphilic lactic acid bacterial species that was first isolated from soft and 461 462 semi-hard cheeses (Ishikawa et al., 2013). Such microorganisms can grow at NaCl concentrations between 0-1% and 463 15-17.5 % (w/v), with an optimum between 2.0% and 5.0%; moreover, the optimal pH for growth is between 8.5 and 464 9.5 (Ishikawa et al., 2013). The abovementioned physiological features likely explain the presence of Alkalibacterium 465 gilvum in the analyzed samples that showed pH and NaCl values in accordance with those optimal for such bacterial 466 species. Indeed, Alkalibacterium includes species that are highly adapted to brine, with members of this genus detected 467 in green olive brine containing 10-11% (w/v) NaCl (Lucena-Padrós and Ruiz-Barba, 2019). The major fermentation 468 product of Alkalibacterium gilvum, from D-glucose, is lactic acid; moreover, formic acid, acetic acid and ethanol with a 469 molar ratio of approximately 2:1:1 can also be produced, without gas formation (Ishikawa et al., 2013). Interestingly the 470 presence of Alkalibacterium gilvum has also been reported by Schornsteiner et al. (2014) in cheese rind, with a 471 hypothesized antilisterial activity (Roth et al., 2010). Although this latter feature should be further investigated, such 472 activity could likely contribute to assure the safety of surströmming, which, due to its aw and pH values, can support the 473 growth of L. monocytogenes. It is noteworthy that the genus Alkalibacterium has also been detected in salted and 474 fermented seafoods as jeotgal (Guan et al., 2011), in saeu-jeot, a traditional Korean salted seafood (Jung et al., 2013), as 475 well as in hákarl samples (Osimani et al., 2019) and marine environments (Jang et al., 2017), thus confirming the high 476 adaptation of such a bacterial genus to alkaline and saline conditions. To the authors' knowledge, no other report of 477 Alkalibacterium gilvum is available in the scientific literature for further data comparison. 478 Carnobacterium was widely distributed in all the samples. This lactic acid bacteria genus comprises rods that were 479 isolated for the first time from poultry meat stored at a low temperature (Stiles and Holzapfel, 1997). Indeed, 480 carnobacteria have been massively detected in vacuum-packaged meats stored at a refrigerated temperature, with the 481 ability to decarboxylate one or more amino acids (Casaburi et al., 2011; Kołożyn-Krajewska and Dolatowski, 2012). 482 Species of Carnobacterium have also been isolated from lightly preserved seafood products, such as salted lumfish, 483 cold-smoked salmon, gravad rainbow trout, shrimp brine (Françoise, 2010), and alkaline-fermented skate (Jang et al.,

2017). Interestingly, Zhang et al. (2019) recently demonstrated that both bacteriocins and organic acids (mainly formate
and acetate) produced by Carnobacterium species are key antimicrobial activity factors exerted in foodstuffs, thus
representing a potential biopreservative. Regarding this latter feature of carnobacteria, Tulini et al. (2014) demonstrated
that a Carnobacterium maltaromaticum strain, isolated from minimally processed smoked vacuum-packed fish, was
able to produce carnobacteriocins B1, BM1, and an antimicrobial peptide encoded by the gene cbnX, with potent
antilisterial activity (Alves et al., 2005; Reis et al., 2011). Similarly, Sahnouni et al. (2016) isolated carnobacteria strains
from the gut of Atlantic horse mackerel, European pilchard and Atlantic bonito with antibacterial activity towards
Staphylococcus aureus, Escherichia coli, Pseudomonas aeruginosa, Listeria innocua, Salmonella spp. and Vibrio sp.
(Marti-Quijal et al., 2019). Hence, the presence of Carnobacterium species in surströmming (deriving from either the
gut or skin of herrings) could be pivotal for inhibition of the survival or prevention of the growth of potential foodborne
pathogens in such foods.
Tetragenococcus represents one of the major lactic acid bacteria genera involved in the fermentation of fishery products
(Ly et al., 2018) and one the main species (Tetragenococcus halophila) detected in surströmming by Kobayashi et al.
(2000). The presence of this moderately halophilic and homofermentative microorganism, which is able to grow in 18%
NaCl (e.g., T. halophilus and Tetragenococcus muriaticus), has been reported in traditional Japanese squid liver sauce
(Satomi et al., 1997; Kimura et al., 2001), prahok and kapi, produced in Cambodia with mudfish and shrimps,
respectively (Chuon et al., 2014), in pa-daek and pla-ra produced in Laos and Thailand, respectively, with freshwater
fish (Marui et al., 2015), in anchovy sauce (Kim et al., 2019), and in moromi, a fish-sauce mush produced with
mackerel (Fukami et al., 2004). Different authors have reported that Tetragenococcus aminopeptidases play a pivotal
role in amino acid production and flavor definition in salted fermented fish (Fukami et al., 2004; Udomsil et al., 2010).
Interestingly, Kobayashi et al. (2000) isolated T. halophilus from puffer fish ovaries, thus suggesting the natural
occurrence of this bacterial species in the fish body and, hence, likely explaining its presence in surströmming. It is
noteworthy that species of Tetragenococcus can exert some beneficial effects on health, such as immunomodulatory
activity and amelioration of atopic diseases (Chun et al., 2019). Notwithstanding, the metabolic activities of T.
halophilus during the fermentation of salted foods can lead to the production of biogenic amines (e.g., histamine,
cadaverine, putrescine, and tyramine), thus representing a potential health threat for consumers (Chun et al., 2019).
Very recently, Kim et al. (2019) isolated a T. halophilus strain (MJ4) that is able to repress the formation of cadaverine
during the fermentation of saeu-jeot (salted shrimp), thus representing a potential starter culture for the reduction of
biogenic amines in salted fermented fish.
As previously reported by Kobayashi et al. (2000), Halanaerobium prevalens was one of the main species detected in
surströmming. Such species of strictly anaerobic halophiles produce acetic, propionic and lactic acids, thus likely

515 contributing to the specific aroma of surströmming (Kobayashi et al., 2000). Moreover, Halanaerobium prevalens is 516 able to produce hydrogen and carbon dioxide, leading to the swelling of surströmming cans. Halanaerobium prevalens 517 is a non-spore-forming rod that is able to grow at pH values between 6 and 9 with an optimum at 7, thus explaining its 518 presence in the analyzed surströmming samples with pH values between 6.67 and 6.98 (Zeikus et al., 1983). Moreover, 519 Halanaerobium prevalens has demonstrated the ability to grow at NaCl concentrations up to 20%, with an optimum at 520 13%, but with no significant growth at NaCl values <2% or ≥30% (Zeikus et al., 1983). Species of the genus 521 Halanaerobium emerged as those dominating saeu-jeot (Jung et al., 2013) and myeolchi-aekjeot, a traditional fermented 522 fish sauce prepared by fermenting anchovies in high concentrations of salt (Singh et al., 2017). In this latter preparation, 523 Halanaerobium, together with Tetragenococcus and Staphylococcus, causes the salt-fermentative hydrolysis of protein 524 components in anchovies, with the consequent release of amino acid through exogenous and endogenous proteases 525 (Singh et al., 2017). 526 Regarding Clostridiisalibacter, species belonging to this genus have already been detected in deep-sea hydrothermal 527 environments (Jiang et al., 2015); moreover, Clostridiisalibacter paucivorans has been isolated from olive mill 528 wastewater (Liebgott et al., 2008). This moderately halophilic spore-forming bacterium was observed to grow at NaCl 529 concentrations between 10 and 100 g/L, with an optimum of 50 g/L. The pH range for growth was between 5.5 and 8.5, 530 with an optimum of 6.8. Clostridiisalibacter was also detected on the surface of semi-hard Danish Danbo (Ryssel et al., 531 2015) and Raclette type cheeses (Roth et al., 2010), together with Marinilactibacillus psychrotolerans, where the latter 532 species, found as minority in surströmming, exerted potential antilisterial activity in the analyzed cheeses (Roth et al., 533 2010). 534 The Porphyromonadaceae family includes the following bacterial genera, namely, Falsiporphyromonas, 535 Fermentimonas, Gabonia, Gabonibacter, Lascolabacillus, Macellibacteroides, Massilibacteroides, Microbacter, 536 Petrimonas, Porphyromonas, and Sanguibacteroides. Members of this bacterial family, found as part of the core 537 microbiota of all surströmming samples, have already been detected in the gut of Nile tilapia (Yu et al., 2019; Zheng et 538 al., 2019). As reported by different authors, some Porphyromonadaceae genera may represent a threat to both humans 539 (e.g., periodontal diseases caused by Porphyromonas gingivalis) and aquatic organisms (Kontani et al., 1999; 540 Summanen et al., 2009; Lawson et al., 2010; Russel et al., 2015). It is noteworthy that many of the genera belonging to 541 Porphyromonadaceae have been previously detected during the anaerobic digestion processes of sludge from 542 wastewater treatment plants (Kong et al., 2019; Jin et al., 2019; Sánchez-Andrea et al., 2014). Other 543 Porphyromonadaceae genera have also been detected in fecal samples or tissues of animal or human origin (Wagener et 544 al., 2014; Mourembou et al., 2015, 2016). Further investigation is needed to better clarify the roles of this bacterial 545 family in the analyzed surströmming samples.

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Although a minority OTU, Arcobacter was found in all the samples, highlighting potential safety issues for the consumers. This emerging foodborne and waterborne pathogen can serve as the causative agent of different gastrointestinal diseases (e.g., abdominal cramps and watery diarrhea) (Hsu and Lee, 2015). Arcobacter has been previously detected in various foodstuffs, including seafood (e.g., shellfish), with an average prevalence of 32.3 %, and the water environment, including seawater (Rivas et al., 2004; Collado et al., 2009; Ghane, 2014; Levican et al., 2014; Hsu and Lee, 2015; Leoni et al., 2017). As reported by Zhang et al. (2019), information concerning the prevalence of Arcobacter in retail seafood products is still scarce; hence, data obtained in the present study for surströmming can contribute to improving knowledge related to the occurrence of such pathogens in ready-to-eat foods. Interestingly, Zhang et al. (2019) found that among a total of 318 samples that included bivalves, shrimps and cephalopods collected from local retail seafood markets in Germany, 17.6% of the analyzed samples were positive for the presence Arcobacter. Among the detected species, Arcobacter butzleri was predominant, followed by Arcobacter venerupis, Arcobacter cryaerophilus, Arcobacter aguimarinus. Arcobacter skirrowii and Arcobacter thereius (Zhang et al., 2019). Regarding volatile compounds detected in *surströmming*, it is well known that aroma components of fermented fish mainly originate from microbial proteolytic and lipolytic activities; further oxidation and metabolism of fatty acids and amino acid catabolism lead to the final products. Although the pathways of aroma component generation have been studied in some fermented foods, such as wine, beer and dairy products (Smit et al., 2005), research on the flavor of fermented fish is still limited to identification of the volatiles produced by different microorganisms (Zang et al., 2019). In such a context, the use of mass spectrometry is essential for analyzing complex mixtures of natural substances, in order to provide detailed and reproducible information about the molecular structure of unknown components that can be compared with mass spectrum libraries (Mozzon et al., 2015). In fact, due to the complexity of food aromas, the chromatographic behavior (retention indexes) is far from enough for unambiguous identification of chromatographic peaks. For this reason, chemical ionization experiments were also carried out since the lower energy impact allows the analytes to be less fragmented and therefore the molecular weight of an unknown analyte can be more easily determined. Regarding the analyzed surströmming samples, high levels of TMA were detected. TMA (rotten fish and ammoniacal smells) is produced by the reduction or/and demethylation of trimethylamine N-oxide (TMAO), which is an osmolyte in saltwater fish (Jung et al., 2013). TMA was the only nitrogen-containing compound detected, despite previous findings by other authors who evidenced the presence of a variety of pyrazines (Giri et al., 2010; Udomsil et al., 2010). As reported by Ndaw et al. (2008), the TMA content represents one of the most widely used parameters for the evaluation of spoilage in seafood. The flesh of fresh fish naturally contains trimethylamine oxide (TMAO), a tasteless nonprotein nitrogen compound with varying contents depending on the fish species, size and age (Huss, 1995; Ozogul et al., 2006).

577	Spoilage of fish is influenced by the presence of TMAO, particularly under anaerobic conditions. A number of spoilage
578	bacteria are able to utilize TMAO as the terminal electron acceptor in anaerobic respiration, resulting in off-odors and
579	flavors due to the formation of TMA (Dalgaard et al., 1993). As reported by Broekaert et al. (2013), Psychrobacter
580	shows the ability to produce slight amounts of TMA in brown shrimps.
581	Polysulfides have been previously reported in different fermented fish products (Giri et al., 2010a, 2010b; Udomsil et
582	al., 2010) to be responsible for undesirable, offensive, fecal notes; furthermore, 1,2,4-trithiolane, detected in samples
583	from producer C, has already been associated with a shiitake mushroom flavor (Politowicz et al., 2018). Ketones,
584	detected in samples from producer C, have been associated with a cheesy note (acetone, 2-pentanone, 2-heptanone, 2,3-
585	octanedione). According to Udomsil et al. (2010), 3-methyl butanal has already been described as a compound that is
586	responsible for a meaty note, whereas aliphatic aldehydes (2-hexenal, heptanal), derived from fatty acid autoxidation
587	and enzymatic oxidation, are usually responsible for herbaceous and grassy aromas.
588	Branched chain alcohols, which were mainly detected in samples from producer C, could originate from carbohydrates
589	by the Embden-Meyerhof-Parnas pathway and from amino acids via the Ehrlich pathway, whereas 1-octen-3-ol
590	(mushroom odor) is considered to be an oxidation product of unsaturated fatty acids (Xu et al., 2018).
591	Esters (ethyl acetate, ethyl butyrate, ethyl 2-methylbutyrate, propyl butyrate, butyl butyrate) contribute to fruity and
592	buttery notes (Udomsil et al., 2010), and they were abundantly detected in samples from producer B, although neither
593	volatile fatty acids (from C1 to C5) nor volatile alcohols (ethanol, propanol, 2-propanol) were detected.
594	Regarding long chain aliphatic hydrocarbons, heptadecane has been previously detected in fermented fish products by
595	Giri et al (2010); the same authors suggested that n-alkanes could result from the decarboxylation of higher nonvolatile
596	fatty acids. However, due to their low volatility and high perception threshold, their contribution to sample aromas
597	could be negligible. As recently reported by Lu et al. (2019), TMA, ketones, aldehydes, and alcohols are also the main
598	odor components in Asian and American carp meat, confirming the presence of such compounds in fish flesh.
599	The present study provides a first glimpse into the volatilome of <i>surströmming</i> , which requires further in-depth analyses
600	to better clarify the extent of the contribution of either the microbiota or autolytic enzymes of the fish flesh in the aroma
601	definition.
602	

5. Conclusions

Data obtained from a combination of culture-dependent and -independent techniques allowed major and minor microbial species harbored in ready-to-eat surströmming samples to be discovered for the first time. In further detail, pro-technological bacteria, which are well-adapted to saline environments, characterized the microbial population of all

608	the samples. On the one hand, the presence of Halanaerobium praevalens as one of the dominant species of halophilica
609	bacteria was confirmed; on the other hand, the occurrence of Alkalibacterium gilvum, Carnobacterium,
610	Tetragenococcus halophilus, Clostridiisalibacter, and Porphyromonadaceae revealed a core microbiota characterized
611	by complex microbial associations that require further investigation. In addition to potential safety issues arising from
612	the presence of Acrobacter, the absence of L. monocytogenes, Salmonella and C. botulinum is noteworthy in all
613	samples.
614	Moreover, volatile compounds were detected in fermented fish, thus representing the first attempt to characterize the
615	strong and peculiar aroma of surströmming. In more detail, high levels of TMA and sulfur compounds were detected in
616	all samples, followed by phenol, ketones, aldehydes, alcohols, esters and long-chain aliphatic hydrocarbons.
617	Further in-depth research is needed to establish the roles of the microbial species that are present during herring
618	fermentation, as well as their influence on the chemical-physical and volatile features of <i>surströmming</i> .
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621	
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623	
624	Conflict of interests
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626	The authors declare no conflict of interests
627	
628	References
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877	FIGURE CAPTIONS
878	
879	Figure 1. Ready-to-eat surströmming
880	
881	Figure 2. Boxplots showing the α-diversity measures of the <i>surströmming</i> microbiota of Producer A, Producer B, and
882	Producer C samples. Individual points and brackets represent the richness estimate and the theoretical standard error
883	range, respectively.
884	
885	Producer A, samples S1-S5; Producer B, samples S6-S10; Producer C, samples S11-S15
886	
887	Figure 3. Relative abundance of the microbiota in surströmming. Only OTUs that showed an incidence greater than
888	0.2% in at least 2 samples are shown.
889	
890	Producer A, samples S1-S5; Producer B, samples S6-S10; Producer C, samples S11-S15
891	
892	Figure 4. Boxplots showing the relative abundance of differentially abundant OTUs based on the Kruskal–Wallis test
893	(FDR < 0.05) in the <i>surströmming</i> microbiota of Producer A, Producer B, and Producer C samples.
894	
895	Producer A, samples S1-S5; Producer B, samples S6-S10; Producer C, samples S11-S15
896	
897	Figure 5. Correlation plot showing Spearman's correlation between microbial OTUs and the volatilome profile of
898	surströmming.
899	
900	Only significant associations between OTUs and VOCs are shown (FDR< 0.05).
901	The intensity of the colors represents the degree of correlation, where blue represents a positive degree of correlation
902	and red represents a negative correlation.
903	
904	Figure 6. Coinertia analysis (CIA) of the microbial community (OTUs) and volatilome (VOCs) in surströmming
905	samples.
906	

- Samples projected onto the first two axes and grouped according to producers A (samples S1-S5), B (samples S6-S10),
- 908 and C (samples S11-S15).

Table 1. Physico-chemical parameters of *Surströmming*.

Sample	pН	\mathbf{a}_{w}	NaCl	TTA	Acetic acid	Lactic acid
			(g/100g)	(mL of 0.1N NaOH)	(g/100g)	(g/100g)
S1	6.93 ± 0.01	0.929 ± 0.003	6.92 ± 0.57	5.2 ± 0.07	0.289 ± 0.009	< 0.00002
S2	6.80 ± 0.01	0.940 ± 0.001	8.28 ± 0.00	4.7 ± 0.21	0.346 ± 0.004	< 0.00002
S3	6.83 ± 0.01	0.937 ± 0.001	8.59 ± 0.37	4.7 ± 0.21	0.322 ± 0.002	< 0.00002
S4	6.95 ± 0.01	0.935 ± 0.001	7.43 ± 0.16	4.3 ± 0.21	0.324 ± 0.030	< 0.00002
S5	6.79 ± 0.01	0.928 ± 0.001	6.49 ± 0.16	4.5 ± 0.49	0.297 ± 0.005	< 0.00002
S6	6.67 ± 0.01	0.917 ± 0.000	6.79 ± 0.16	5.0 ± 0.21	0.491 ± 0.048	< 0.00002
S7	6.83 ± 0.01	0.914 ± 0.002	7.38 ± 0.08	5.7 ± 0.78	0.474 ± 0.030	< 0.00002
S 8	6.98 ± 0.01	0.922 ± 0.001	7.36 ± 0.24	4.5 ± 0.14	0.474 ± 0.025	< 0.00002
S 9	6.72 ± 0.01	0.911 ± 0.001	7.91 ± 0.14	7.4 ± 0.42	0.438 ± 0.008	< 0.00002
S10	6.76 ± 0.00	0.911 ± 0.001	7.69 ± 0.01	6.7 ± 0.28	0.422 ± 0.021	< 0.00002
S11	6.81 ± 0.01	0.916 ± 0.003	7.57 ± 0.13	4.3 ± 0.28	0.296 ± 0.026	< 0.00002
S12	6.77 ± 0.01	0.921 ± 0.001	8.88 ± 0.21	4.6±0.49	0.314 ± 0.003	< 0.00002
S13	6.84 ± 0.01	0.922 ± 0.002	8.46 ± 0.17	4.0±0.14	0.349 ± 0.008	0.001 ± 0.001
S14	6.84 ± 0.01	0.917 ± 0.001	8.72 ± 0.07	4.0 ± 0.00	0.328 ± 0.006	0.004 ± 0.002
S15	6.91 ± 0.01	0.916 ± 0.001	8.62 ± 0.06	4.4 ± 0.14	0.556 ± 0.036	0.041 ± 0.006
Mean values				0.		
Producer A	6.86 ± 0.07^{a}	0.933 ± 0.001^{b}	7.54 ± 0.88^{a}	4.6 ± 0.33^{ab}	0.316 ± 0.023^{a}	$< 0.00002^{a}$
Producer B	6.79 ± 0.12^{a}	0.915 ± 0.001^{a}	7.42 ± 0.42^{a}	5.8 ± 1.19^{b}	0.459 ± 0.284^{b}	$< 0.00002^{a}$
Producer C	6.83 ± 0.05^{a}	0.918 ± 0.001^a	8.45 ± 0.51^{a}	4.2 ± 0.26^{a}	0.368 ± 0.100^{ab}	0.009 ± 0.018^{b}

Values are expressed as means \pm standard deviation.

For each producer (A, B, C) means followed by different letters are significantly different (P < 0.05).

Producer A, samples S1-S5

Producer B, samples S6-S10

Producer C, samples S11-S15

Table 2. Results of viable counting of bacteria and eumycetes in *Surströmming*.

Sample*	Total mesophilic aerobes	Mesophilic presumptive lactobacilli	Mesophilic presumptive lactococci	Enterobacteriaceae	Pseudomonadaceae	Coagulase- negative staphylococci	Sulfite-reducing anaerobes	Total eumycetes
S1	4.66±0.05	4.19±0.02	4.80±0.11	1.04±1.47	< 1.00	4.57±0.13	< 2.00	< 1.00
S2	5.67 ± 0.04	3.77 ± 0.16	4.20 ± 0.08	< 1.00	< 1.00	4.18 ± 0.26	< 2.00	< 1.00
S3	4.96 ± 0.02	4.57 ± 0.18	4.80 ± 0.20	< 1.00	< 1.00	4.31±0.44	< 2.00	< 1.00
S4	4.74 ± 0.12	4.18 ± 0.00	4.52 ± 0.04	< 1.00	< 1.00	5.02 ± 0.56	< 2.00	1.92±1.30
S5	5.09 ± 0.07	4.50±0.55	4.67 ± 0.41	< 1.00	1.00 ± 0.00	5.77±0.07	< 2.00	< 1.00
S6	5.40 ± 0.02	< 1.00	< 1.00	< 1.00	< 1.00	3.04 ± 0.19	< 2.00	< 1.00
S7	5.56 ± 0.01	< 1.00	< 1.00	< 1.00	< 1.00	2.96±0.26	< 2.00	< 1.00
S8	5.41±0.11	< 1.00	< 1.00	< 1.00	< 1.00	2.60 ± 0.43	< 2.00	< 1.00
S9	5.35 ± 0.06	< 1.00	< 1.00	< 1.00	< 1.00	3.52 ± 0.25	< 2.00	< 1.00
S10	5.41 ± 0.10	< 1.00	< 1.00	< 1.00	1.00 ± 0.00	3.00 ± 0.00	< 2.00	< 1.00
S11	4.71 ± 0.06	< 1.00	2.68 ± 0.14	< 1.00	1.00 ± 0.00	3.33±0.18	< 2.00	< 1.00
S12	4.70 ± 0.00	< 1.00	2.50 ± 0.20	< 1.00	1.13±1.59	3.22±0.06	< 2.00	< 1.00
S13	4.18 ± 0.04	< 1.00	2.39±0.04	< 1.00	< 1.00	2.65 ± 0.07	< 2.00	< 1.00
S14	4.08 ± 0.89	< 1.00	2.37±0.23	< 1.00	< 1.00	2.69 ± 0.09	< 2.00	< 1.00
S15	5.35±0.63	1.65 ± 0.07	2.67±0.23	1.30±0.00	2.05±0.21	3.94 ± 0.16	< 2.00	< 1.00
Mean values			10					
Producer A	5.02 ± 0.40^{a}	4.24 ± 0.32^{c}	4.60 ± 0.25^{c}	0.21 ± 0.46^{a}	0.20 ± 0.45^{a}	4.77 ± 0.64^{b}	0.00 ± 0.00^{a}	0.38 ± 0.86^{a}
Producer B	5.43 ± 0.08^{b}	0.00 ± 0.00^{a}	0.00 ± 0.00^{a}	0.00 ± 0.00^{a}	0.20 ± 0.45^{a}	3.02 ± 0.33^{a}	0.00 ± 0.00^{a}	0.00 ± 0.00^{a}
Producer C	4.60 ± 0.50^{a}	0.33 ± 0.74^{b}	2.52 ± 0.15^{b}	0.26 ± 0.58^{a}	0.84 ± 0.86^{a}	3.17 ± 0.53^{a}	0.00 ± 0.00^{a}	0.00 ± 0.00^{a}

Values are expressed as log cfu/g \pm standard deviation.

For each producer (A, B, C) means followed by different letters are significantly different (P < 0.05).

^{*}Producer A, samples S1-S5; Producer B, samples S6-S10; Producer C, samples S11-S15

Table 3. Results of viable counting of halophilic bacteria and eumycetes in *Surströmming*.

Sample*	Total halophilic aerobes	Total halophilic anaerobes	Presumptive halophilic lactobacilli	Presumptive halophilic lactococci	Halophilic eumycetes
S1	4.99±0.06	5.69±0.11	5.99±0.09	< 1.00	< 1.00
S2	6.08 ± 0.04	6.09 ± 0.07	5.88 ± 0.05	< 1.00	< 1.00
S 3	5.58 ± 0.10	5.91±0.05	5.59 ± 0.09	< 1.00	< 1.00
S4	5.43 ± 0.20	5.83 ± 0.03	5.93±0.05	< 1.00	< 1.00
S5	5.90±0.36	6.01±0.25	6.15±0.21	< 1.00	< 1.00
S6	6.45 ± 0.00	6.52±0.06	6.52±0.03	< 1.00	< 1.00
S7	6.74 ± 0.05	6.91±0.03	6.72 ± 0.02	< 1.00	< 1.00
S8	6.74 ± 0.18	6.64 ± 0.14	6.68 ± 0.04	< 1.00	< 1.00
S9	6.53 ± 0.08	6.88 ± 0.09	6.75±0.03	< 1.00	< 1.00
S10	6.60 ± 0.03	6.98 ± 0.05	6.95±0.03	< 1.00	< 1.00
S11	6.07 ± 0.13	6.00 ± 0.07	5.94±0.00	5.09 ± 0.20	< 1.00
S12	5.92 ± 0.13	5.87 ± 0.09	5.88±0.16	4.16 ± 0.06	< 1.00
S13	5.32 ± 0.29	5.89 ± 0.27	5.85±0.19	4.00 ± 0.06	< 1.00
S14	5.61 ± 0.53	5.61±0.13	5.86 ± 0.28	4.21±0.13	< 1.00
S15	6.52±0.01	6.92±0.04	7.06±0.10	5.59 ± 0.06	< 1.00
Mean values					
Producer A	5.59 ± 0.42^{a}	5.90±0.16 ^a	5.91±0.20 ^a	0.00 ± 0.00^{a}	0.00 ± 0.00^{a}
Producer B	6.61 ± 0.13^{b}	6.79 ± 0.20^{b}	6.72 ± 0.15^{b}	0.00 ± 0.00^{a}	0.00 ± 0.00^{a}
Producer C	5.89 ± 0.46^{a}	6.06 ± 0.50^{a}	6.12 ± 0.53^{a}	4.61 ± 0.69^{b}	0.00 ± 0.00^{a}

Values are expressed as log cfu/g \pm standard deviation. For each producer (A, B, C) means followed by different letters are significantly different (P < 0.05).

^{*}Producer A, samples S1-S5; Producer B, samples S6-S10; Producer C, samples S11-S15

Table 4. Volatile compounds detected in ready-to-eat *surströmming* samples

RI*	CI base peak**	Standard***	Name	Category	Mean \pm SD (TIC arbitrary units $\times 10^4$) (n = 5)		
					Producer A	Producer B	Producer C
	60 [M+1] ⁺		Trimethylamine	Nitrogen compounds	1067 ± 66^{b}	1307 ± 142^{c}	899 ± 49^{a}
	59 [M+1] ⁺	Yes	Acetone	Ketones	74 ± 13	195 ± 30	217 ± 198
617	89 [M+1]	Yes	Ethyl acetate	Esters	8 ± 4^{b}	66 ± 33^{a}	25 ± 18^{b}
639			2-Methyl-2-Butanol	Alcohols	0 ± 0^{b}	$0 \pm 0^{\mathrm{b}}$	13 ± 7^{a}
654	$69 [M+1-H_2O]^+$		Butanal, 3-methyl	Aldehydes	12 ± 7	11 ± 2	19 ± 5
681	$69 [M+1-H_2O]^+$		1-Penten-3-ol	Alcohols	44 ± 11	26 ± 23	67 ± 35
688	87 [M+1]		2-pentanone	Ketones	58 ± 22	70 ± 20	480 ± 942
738	$71 [M+1-H_2O]^+$		3-Methyl-1-butanol	Alcohols	144 ± 34^{a}	25 ± 6^{b}	99 ± 34^{a}
751	95 [M+1] ⁺		Disulphide, dimethyl-	Sulphur compounds	2756 ± 1123^{a}	1647 ± 576^{a}	810 ± 453^{b}
774	$71 [M+1-H_2O]^+$	Yes	1-Pentanol	Alcohols	46 ± 11^{ab}	32 ± 15^{b}	82 ± 39^{a}
777	$69 [M+1-H_2O]^+$		2-Penten-1-ol	Alcohols	26 ± 7	16 ± 6	28 ± 25
806	117 [M+1] ⁺	Yes	Ethyl butyrate	Esters	213 ± 59^{ab}	786 ± 154^{a}	277 ± 144^{b}
844	$109 [M+1]^+$		Methyl ethyl disulphide	Sulphur compounds	164 ± 56	106 ± 26	85 ± 66
857			Ethyl 2-methylbutyrate	Esters	8 ± 3^{a}	3 ± 2^{b}	$4 \pm 1^{\rm b}$
860	99 [M+1] ⁺	Yes	(E)-2-hexenal	Aldehydes	18 ± 7^{a}	6 ± 4^{b}	$7 \pm 4^{\mathrm{b}}$
869	$107 [M+1]^{+}$		Ethylbenzene	Aromatic compounds	1 ± 1	4 ± 3	2 ± 3
876	$107 [M+1]^+$	Yes	p/o-Xylene	Aromatic compounds	12 ± 6	10 ± 4	13 ± 8
893	119 [M+1] ⁺		S-Methyl thiobutyrate	Sulphur compounds	13 ± 5	15 ± 6	11 ± 13
894	. ,		2-Heptanone	Ketones	29 ±7 ^a	3 ± 2^{b}	13 ± 8^{b}
895	$105 [M+1]^+$		Styrene	Aromatic compounds	1 ± 0^{b}	1 ± 0^{b}	10 ± 6^{a}
896	$123 [M+1]^{+}$		Disulphide, methyl-isopropyl-	Sulphur compounds	0 ± 0^{b}	8 ± 2^a	0 ± 0^{b}
901	130 [M] ⁺		Propyl butyrate	Esters	$14 \pm 5^{\rm b}$	33 ± 7^{a}	$16 \pm 6^{\rm b}$
903	$97 [M+1-H_2O]^+$	Yes	Heptanal	Aldehydes	52 ± 6^{a}	23 ± 4^{b}	29 ± 11^{b}
977	127 [M+1] ⁺		Trisulfide, dimethyl-	Sulfur compounds	766 ± 1178	105 ± 75	45 ± 33
983	$111 [M+1-H_2O]^+$	Yes	1-Octen-3-ol	Alcohols	11 ± 6	11 ± 4	12 ± 5
986	143 [M+1] ⁺		2,3-Octanedione	Ketones	7 ± 3	10 ± 5	7 ± 6
991	95 [M+1] ⁺	Yes	Phenol	Aromatic compounds	440 ± 13^{b}	169 ± 84^{b}	2233 ± 1335
997	144 [M] ⁺		Butyl butyrate	Esters	$0 \pm 0^{\mathrm{b}}$	164 ± 44^{a}	$0 \pm 0^{\mathrm{b}}$
1034	. ,	Yes	Limonene	Monoterpene hydrocarbons	5 ± 2	7 ± 7	5 ± 10
1096			1,2,4-Trithiolane	Sulphur compounds	0 ± 0	0 ± 0	18 ± 25
1176	$107 [M/2]^{+}$		Disulphide, bis[1-(methylthio)ethyl]	Sulphur compounds	132 ± 37	84 ± 24	175 ± 180
1200	. ,	Yes	Dodecane	Alkanes	1 ± 0	0 ± 0	5 ± 6
1259			1,3-Ditert-butylbenzene	Aromatic compounds	$5 \pm 1^{\text{b}}$	3 ± 1^{b}	45 ± 31^{a}
1300		Yes	Tridecane	Alkanes	0 ± 0	1 ± 0	1 ± 1
1702	$60 [M+1]^+$	Yes	Heptadecane	Alkanes	$2 \pm 1^{\text{b}}$	4 ± 3^{ab}	9 ± 6^{a}

^{*} Kovats Retention indices (RI) calculated for DB-5 type column using *n*-alkanes (C6-C20); **Chemical Ionization (CI) experiments using methanol as reagent gas; ***Pure standard matching RI and mass spectrum. TIC, Total ion current.

Fig. 1





Fig. 2

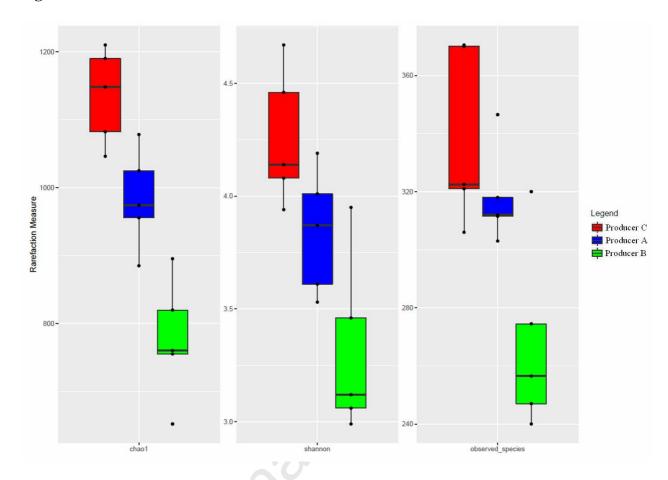


Fig. 3

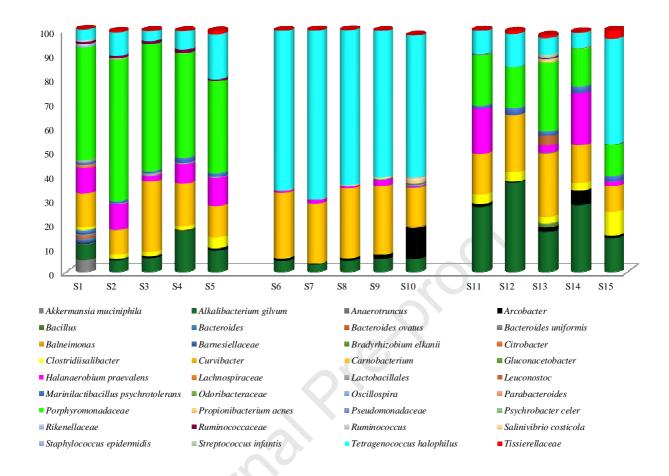


Fig. 4

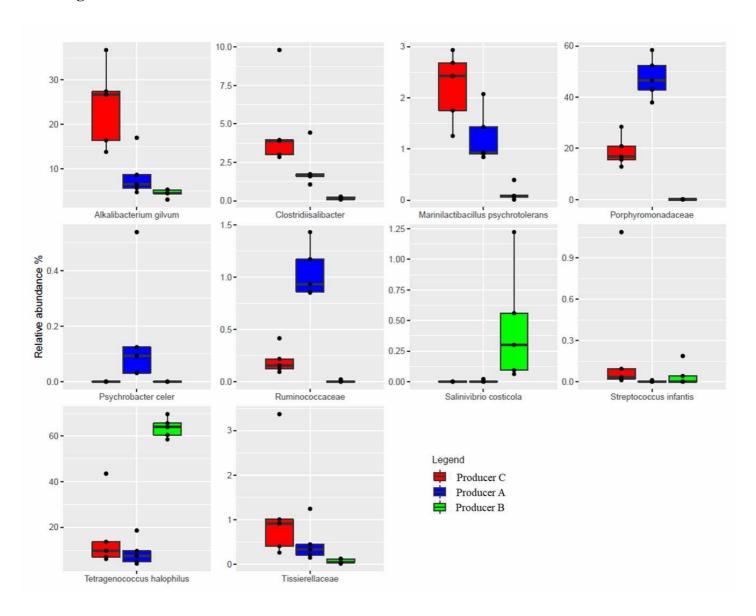


Fig. 5

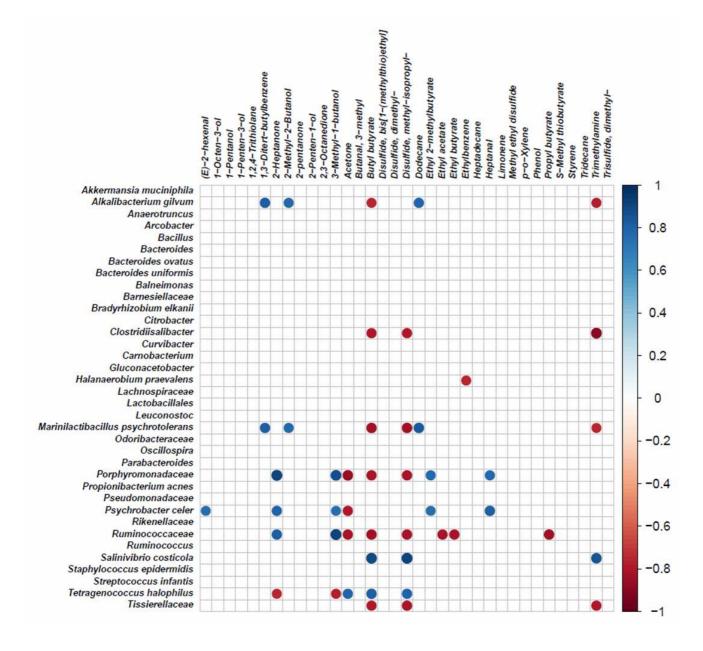
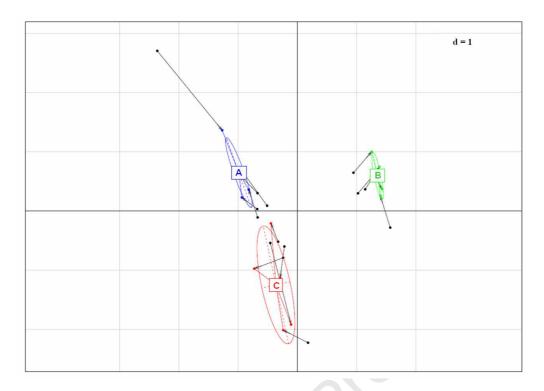


Fig. 6



Highlights

- The microbiota of surströmming samples from three Swedish producers was studied
- Alkalibacterium, Carnobacterium and Tetragenococcus were detected in all the samples
- Halanaerobium, Clostridiisalibacter and Porphyromonadaceae were also found
- Listeria monocytogenes, Salmonella and botulinic toxins genes were never detected
- The massive presence of trimethylamine and sulphur compounds was detected

To the Editor of Food Microbiology

Prof. Andrea Osimani, Ph.D. Dipartimento di Scienze Agrarie, Alimentari ed Ambientali Università Politecnica delle Marche Via Brecce Bianche 60131 Ancona, Italy

Dear Editor,

regarding the manuscript titled "Discovering microbiota and volatile compounds of surströmming, the traditional Swedish sour herring" submitted for publication in Food Microbiology, the authors declare that NO conflict of interest exists.

Kind regards

On behalf of all the authors The corresponding author Andrea Osimani