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## The application protocol impacts the effectiveness of biocides against lichens

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1 **The application protocol impacts the effectiveness of biocides against lichens**

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36

37 **Abstract**

38 This work analyzed the influence of different application protocols on the efficacy of two  
39 biocides against the foliose lichen *Xanthoparmelia tinctoria* on the sandstones of the Roman  
40 Archaeological site of Luni (Italy). The hypotheses that (a) biocide application tools (brush  
41 vs. poultice), (b) pre-treatment hydration, and (c) post-treatment washing may affect  
42 devitalization success were verified by monitoring chlorophyll *a* fluorescence of thalli, both  
43 *in situ* and in laboratory conditions. The hypothesis that (d) stone substrate may act as  
44 reservoir for later biocide release under repeated cycles of wetting and drying was also  
45 assayed. Analyses confirmed the importance of the application tool, with cellulose poultice  
46 being more effective than brush. Hydration influenced the biocide absorption by thalli.  
47 Moreover it modulated the metabolic activity and susceptibility to the available toxic  
48 compound, hindering lichens from entering a dormant state to tolerate stress. Depending on  
49 the preparation solvent (water vs. white spirit), the biocide application benefited from pre-  
50 treatment hydration and/or a post-treatment washing. Lastly, we showed that different  
51 sandstones variously adsorb the biocides and potentially contribute as a reservoir for their  
52 long-term release at low concentrations during successive hydration events.

53

54 **Keywords**

55 benzalkonium chloride, chlorophyll *a* fluorescence, lichen, thallus hydration, stone  
56 conservation

57

58 **Highlights**

59 The protocol to apply biocides on lichens affects the devitalization effects  
60 Cellulose poultice application of biocides is more effective than that by brush  
61 Pre-hydration and/or post-washing of thalli regulate biocide effectiveness  
62 The stone substrate acts as a reservoir for long-term release of biocide  
63 Effective application protocols can limit useless chemical release to the environment  
64

## 65 1. Introduction

66 The growth of lithobiotic (micro-)organisms widely affects the aesthetic and threatens the  
67 durability of heritage surfaces (Caneva et al. 2008; Negi and Sarethy 2019). In particular,  
68 lichens are primary agents of stone biodeterioration. Their metabolites induce mineral  
69 leaching and biomineralization, and their hyphal penetration promotes disaggregation  
70 processes (Adamo and Violante 2000; Favero-Longo et al. 2005; Seaward 2015). Despite  
71 some bioprotective effects are recognized for certain species on certain lithologies (Salvadori  
72 and Casanova-Municchia 2016), the removal of lichens is generally considered pivotal to  
73 preserve heritage surfaces and is standard practice in conservation and restoration plans  
74 (Pinna 2017).

75 Physical methods for the control of lithobionts (e.g., electromagnetic wavelengths, laser and  
76 temperature shifts) have attracted recent research interests and showed promising results (e.g.  
77 Tretiach et al. 2012; Mascalchi et al. 2015; Sanz et al. 2015; Rivas et al. 2018). Nevertheless,  
78 their optimization and practical applicability at the scale of monumental surfaces is still  
79 pending (Pozo-Antonio et al. 2019; Sanmartín et al. 2019). Accordingly, interventions  
80 including devitalization of thalli by biocide application, followed by their removal by  
81 mechanical methods, are still commonly used by restorers (Kakakhel et al. 2019). Killing  
82 lichens prior to their brushing or scraping from the stone surfaces is recognized as a crucial  
83 need to prevent the persistence of viable thalline fragments within rock fissures and the  
84 dispersal of propagules, which may promote rapid recolonization processes (Pinna 2017).  
85 However, the effectiveness of biocidal treatments against lichens is not generalizable, and  
86 unsuccessful applications are widely documented in terms of poor devitalization results as  
87 well as of an undesired boosting of more resistant and aggressive species (Seaward 2015). It  
88 has been demonstrated that the effectiveness of biocidal products is species- and site-specific  
89 and it is strongly influenced by the application tools adopted (Favero-Longo et al. 2017). *In*  
90 *situ* preliminary assays are thus necessary to evaluate the site- and species-specific  
91 devitalization power of biocidal products and application tools, before their wide scale use in  
92 restoration interventions (Ascaso et al. 2002; de los Ríos et al. 2012; Favero-Longo et al.  
93 2017; Pinna 2017). Certain practical steps of biocide application, which may affect their  
94 effectiveness, are similarly worthy of investigation to validate protocols ensuring the  
95 devitalization success.

96 Different substrate lithology and (micro)climatic conditions are site-related factors which may  
97 alter the effects of biocide applications (Caneva et al. 2008; Salvadori and Charola 2011). In  
98 strict relation to microenvironmental variation, the susceptibility of lichens to stress factors  
99 depends on their hydration state. They are stress-tolerant when dry, while highly sensitive  
100 when hydrated (even partially) and thus metabolically active (Tretiach et al. 2012). However,  
101 the choice of applying biocides on previously hydrated or dry thalli is still a controversial  
102 issue. Two contrasting hypotheses have been formulated, postulating that the pre-hydration of  
103 lichen thalli may assist the biocide absorption or, oppositely, that it may favour a quicker  
104 washing off and reduce absorption (Nugari and Salvadori 2003; Pinna 2017). Nevertheless, to  
105 the best of our knowledge, this issue has not yet approached experimentally. Similarly, it was  
106 hypothesized that the post-hydration may accelerate the action of the biocide (Tretiach et al.

107 2007). However, the practice of washing the treated surfaces some hours after biocide  
108 application to limit potential interferences with the stone substrate (Nugari and Salvadori  
109 2003) was never evaluated in terms of treatment effectiveness.

110 In this work, we aimed to verify the primary hypothesis that (a) biocide application tools, (b)  
111 pre-treatment hydration step, and (c) post-treatment washing may, either singularly or in  
112 combination, affect the effectiveness against lichens of biocides having different active  
113 principles and dilution solvents. In particular, the effectiveness of different biocide treatments  
114 against a foliose lichen, performed both in an archaeological site and in laboratory conditions,  
115 was tested in terms of chlorophyll *a* fluorescence of the thalli with respect to a vitality  
116 threshold ( $F_V/F_M = 0.15$ ; Favero-Longo et al. 2017). We also verified the additional  
117 hypothesis that (d) stone substrate may act as reservoir for later biocide release under repeated  
118 cycles of wetting and drying.

119

## 120 **2. Materials and methods**

### 121 *2.1. Study site and lichen species*

122 Biocide applications were performed, *in situ*, on the walls of the Amphitheatre of the Roman  
123 Archaeological site of Luni [Luni, La Spezia, Italy: UTM ED50, N 4879338, E 581882; 3 m].  
124 Sandstones blocks of the Macigno Formation from Lunigiana were the main rock substrate.  
125 The Macigno Formation consists of fine to coarse sandstones with a variable degree of sorting  
126 that are mainly composed of quartz, feldspar and lithic grains (Franzini et al. 2007). Ripple  
127 cross-lamination locally occurs in fine grained samples. Carbonate cement is scarce and some  
128 clay may be present among grains.

129 Treatments were performed on the foliose lichen *Xanthoparmelia tinctoria* (Maheu & A.  
130 Gillet) Hale, a species common from the submediterranean to the montane belt of Italy on  
131 siliceous rock surfaces, including the stone cultural heritage (Nimis et al. 1992). A total of 96  
132 thalli were selected and treated *in situ* in April 2018 and May 2019. Lichen identification was  
133 performed in the field and checked in the laboratory following Giordani et al. (2002).

134

### 135 *2.2. Biocide application in situ*

136 Benzalkonium chloride (BAC) as 3% water solution of Preventol RI80 (alkyl dimethyl benzyl  
137 ammonium chloride, approx 80%, and isopropyl alcohol, 2%, in water; Lanxess, Köln,  
138 Germany), and N-octyl-isothiazolinone and 3-iodo-2-propynyl-N-butylcarbamate (OIT-IPBC)  
139 as 3% solution of BiotinR (OIT, 3-5%, and IPBC, 10-25%, in diethylene glycol butyl ether;  
140 CTS, Altavilla Vicentina, Italy) in white spirit (Kelix, Thormax Italia, Roma) were selected as  
141 biocides. They were applied either (i) using a paint-brush or (ii) with a cellulose poultice  
142 (Arbocel BC 1000, JR Pharma, Rosenberg, Germany), (i') after having moistened the thalli  
143 with sprayed water or (ii') avoiding this pre-hydration step. Per each surface unit of thallus,  
144 brush applications required approx.  $0.3 \text{ mL cm}^{-2}$  of diluted biocides; the applied poultice

145 layer, approx. 1 cm thick, contained approx. 12 mL cm<sup>-3</sup>. The cellulose poultice was covered  
146 with a cotton fabric for 4 h and later gently removed with a small spatula, thereafter (i'')  
147 washing the thalli or (ii'') avoiding this washing step. Thalli treated with water only in place  
148 of biocides were assayed as negative controls. Three thallus replicates per biocide per  
149 application method were examined [i.e. 3 replicates × (2 biocides + 1 control) × 2 application  
150 tools × 2 pre-treatment approaches × 2 post treatment approaches]. Treatments including the  
151 pre-hydration step were performed in April 2018, and the others in May 2019. Bottled water  
152 with low mineral content (Fonti di Vinadio, Vinadio, Italy) was used as control, and for the  
153 biocide dilution and the pre-hydration and washing steps.

154 Daily meteorological data (air temperature, relative humidity, rainfall) for the week prior and  
155 after the biocide applications in April 2018 and May 2019 were obtained from the nearby  
156 monitoring station of Luni (ARPA Liguria, 2018 and 2019; Fig. S1).

157

### 158 2.3. Biocide application in laboratory conditions

159 The application of BAC with the cellulose poultice was also tested in laboratory conditions.  
160 Treatment was performed on 14 thalli of *Xanthoparmelia* collected from a natural outcrop at  
161 Borgata Croux [Saint Cristophe, Aosta, Italy: UTM ED50, N 5068915, E 370323] together  
162 with their silicate (gneiss) substrate, avoiding any damage to Luni heritage surfaces. Biocide  
163 application was performed on thalli with and without pre-hydration step (moistening with  
164 sprayed water). Seven replicates were performed for each condition.

165

### 166 2.4. Lichen vitality measurements

167 Chlorophyll *a* fluorescence measurements (Chl<sub>a</sub>F) - recognized as a tool for checking the  
168 vitality of photosynthetic organisms (Tretiach et al. 2008) - were carried out on *X. tinctoria in*  
169 *situ* one day before (T0) and one day after (T1) biocide treatments, using a Handy-PEA  
170 fluorimeter (Plant Efficiency Analyser, Hansatech instruments Ltd., Norfolk, England), .  
171 Analyses were performed on dark-adapted thalli, covered overnight with a black cotton fabric,  
172 which were moistened by sprayed water just before the measurements, to avoid that the  
173 additional hydration may further affect the biocide action. Measurements on the thalli treated  
174 in the laboratory were carried out one day before the biocide application (T0), immediately  
175 after the removal of cellulose poultice (T4h) and one day after (T1). Analyses were performed  
176 following the protocol adopted *in situ*, with the exception that the moistening at T0 was  
177 avoided for thalli foreseen without the pre-hydration step, and that measurements at T1 were  
178 performed for all thalli both before and after their moistening.

179 Five measurements were taken on each thallus, positioning the sensor head at 90° over its  
180 surface, inducing Chl<sub>a</sub>F by a red light (peak at 650 nm), and recording the data after a  
181 saturating light pulse of 1s (Malaspina et al. 2014). Chl<sub>a</sub>F increases from F<sub>0</sub>, when all the  
182 reaction centres of PSII are open, to F<sub>M</sub>, when all the reaction centres of PSII are closed. The  
183 maximum quantum efficiency of PSII, that is F<sub>V</sub>/F<sub>M</sub> (where F<sub>V</sub>=F<sub>M</sub>-F<sub>0</sub>), a temperature-

184 independent parameter of  $Chl_aF$  emission, and variations in  $F_0$ , related to chlorophyll contents  
185 of the light harvesting complex (Baruffo and Tretiach 2007), were used to check the vitality  
186 of the thalli, in agreement with previous researches on the effectiveness of biocidal treatments  
187 against lichens (e.g. Tretiach et al. 2012; Favero-Longo et al. 2017).

188

### 189 2.5. Biocide absorption by lichen thalli

190 At the end of the fluorescence measurements at T1, the *X. tinctoria* thalli treated *in situ* with  
191 BAC without performing the pre-hydration step, and the overall set of thalli treated in the  
192 laboratory, were gently detached from the rock substrate with a scalpel and processed to  
193 analyse the absorbed BAC. In particular, they were carefully cleaned under a  
194 stereomicroscope and then left overnight in a climatic chamber at 16°C and 55% of relative  
195 humidity (residual water content <10%). Samples of 50 mg were homogenized with 1 mL of  
196 deionized water and centrifuged at 20,000 rfc for 10 min. The supernatant was filtered at 0.45  
197  $\mu\text{m}$  using a syringe filter and 30  $\mu\text{L}$  of the solution were directly analyzed by HPLC (Water  
198 LC I Plus). BAC was separated using a Phenomenex C18 (250 x 4.6 mm, particle size 5  $\mu\text{m}$ )  
199 using a mixture of acetonitrile-sodium acetate buffer (pH 5.0; 0.2 M) (70:30, v/v) as mobile  
200 phase with flow rate 1 mL/min (Rojstithisak et al. 2005). Runs were monitored at 210nm.  
201 Quantification was performed with a calibration curve (5 – 50  $\mu\text{g/mL}$ ) of BAC from Sigma-  
202 Aldrich ( $\geq 95.0\%$ ). The limit of quantification of the analysis was 0.04  $\mu\text{g mg}^{-1}$ .

203

### 204 2.6. Adsorption and desorption of benzalkonium chloride by sandstone lithologies

205 The property of different sandstone lithologies to adsorb and desorb BAC upon its application  
206 on the rock surface and a subsequent washing with deionized water was assessed in the  
207 laboratory. In particular, four sandstone blocks of the Macigno Formation, similar to those  
208 used in the Amphitheatre of Luni, were collected on the banks of the Parmignola, a stream  
209 located at few hundreds of meters from the Roman site, and cross sectioned with a diamond  
210 saw (section thickness >5 cm). In the central parts of the cut surfaces, parcels (2x3 cm) were  
211 established and treated with 250  $\mu\text{L}$  of 3% BAC (Sigma-Aldrich, St.Louis, MO, USA),  
212 applied with a Transferpipette 100-1000  $\mu\text{L}$  (Brand, Wertheim, Germany). The parcels were  
213 let to dry overnight at room temperature. Thereafter, 250  $\mu\text{L}$  of deionized water were applied  
214 on each parcel and (after 30 seconds) a double layer of absorbent paper (9  $\text{mg cm}^{-2}$ ) was  
215 applied -to simulate the potential absorption of a lichen thallus- and let dry on the rock  
216 surface. The absorbent paper was then suspended in 2 mL of deionized water to extract BAC,  
217 which was quantified as described above. Blocks of other sandstone lithologies employed in  
218 the Italian stone cultural heritage were also cross-sectioned and similarly processed for  
219 comparison, including the Pietra Serena, widely used in Tuscany (Fratini et al. 2014), the  
220 Cortemilia sandstone, from Southern Piedmont (Gelati et al. 2010), and the sandstone of the  
221 Verrucano Lombardo Formation, well known for rock-art in the Valle Camonica (Brack et al.  
222 2008). At least three parcels per treatment (BAC, water) were considered per each block.  
223 Moreover, the same process was repeated on glass slides, as negative control.

224 Thin cross sections prepared from the rock blocks were observed by plane polarized light  
225 microscopy to characterize their mineral composition and texture. Scanning electron  
226 microscopy in back scattered electron mode (SEM-BSE), undertaken with a JEOL JSM  
227 IT300LV (High Vacuum - Low Vacuum 10/650 Pa - 0.3–30 kV) and coupled with image  
228 analysis by the software WinCAM (Regent's Instrument, Canada), was used to estimate total  
229 porosity (Favero-Longo et al. 2009).

230

### 231 2.7. Statistics

232 Generalized Linear Models (GLMs) were applied to describe the effects of the different  
233 devitalization protocols on photobiont vitality *in situ* at T1, with the applied products (BAC,  
234 OIT-IPBC, and water as control), the application tools (brush and cellulose poultice), the pre-  
235 hydration and washing steps being considered as independent predictors. In particular, a  
236 factorial ANOVA analysis was performed to detect significant differences in  $F_V/F_M$  and  $F_0$   
237 according to the different predictors (product, application tool, prehydration, washing). GLM  
238 analyses were carried out with SYSTAT 10.2 (Systat Software Inc., San Jose, CA).

239 For all the analyses *in situ* and in the laboratory, significant differences in  $F_V/F_M$  at T1  
240 between the different study cases and, for each study case, with respect to a viability threshold  
241 (set at  $F_V/F_M = 0.15$ , see Favero-Longo et al. 2017, with refs. therein) were analyzed by  
242 means of ANOVA with post-hoc Tukey's and t-test, respectively, using SYSTAT 10.2  
243 ( $P < 0.05$  as significant). For each study case, significant differences of  $F_0$  in the thalli treated  
244 with biocides with respect to the control ones were assessed at T1. Significant differences in  
245 the absorption of BAC by lichen thalli, and in the BAC desorption patterns by different  
246 sandstone lithologies, were also examined by means of ANOVA with Tukey's post-hoc test.

247

## 248 3. Results

### 249 3.1. Efficacy of devitalization treatments *in situ*

250 GLM analyses (Table 1) showed that all the considered factors (product, application tool, pre-  
251 hydration and washing) contribute to determine the efficacy of devitalization treatments,  
252 evaluated in terms of  $F_V/F_M$  and  $F_0$  of the targeted *Xanthoparmelia* thalli.

253  $F_V/F_M$  values of thalli treated with biocides, independently of the application tool and the  
254 hydration protocol, were significantly lower than controls (Fig. 1). However, only in some  
255 cases values decreased below the viability threshold ( $F_V/F_M = 0.15$ ; Favero-Longo et al.,  
256 2017, with refs. therein). In particular, biocide application by brush was effective for OIT-  
257 IPBC, but only when coupled with thallus pre-hydration and/or post-treatment washing.  
258 Application with cellulose poultice was generally effective for BAC, while the effectiveness  
259 of OIT-IPBC was lower when thalli were not washed.

260  $F_0$  values (Figs. S2-S3) strongly decreased with respect to controls only for OIT-IPBC  
261 application on pre-hydrated thalli (mean $\pm$ SE:  $-62\pm 8\%$ ), in particular when thalli were not

262 washed (-71±9%). A relative increase of  $F_0$  (144±13%) followed all the applications of OIT-  
263 IPBC on non pre-hydrated thalli. BAC induced only slight decreases of  $F_0$  with respect to  
264 controls (-16±3%).

265

### 266 3.2. Efficacy of devitalization treatments in the laboratory

267 In the laboratory, the application of BAC with cellulose poultice was effective against thalli  
268 moistened before the treatment, while  $F_V/F_M$  of non pre-hydrated thalli did not significantly  
269 decrease beneath the vitality threshold of 0.15 (Fig. 2). In particular, fluorimetric  
270 measurements before the biocide application (T0) confirmed the well-known difference  
271 between the  $F_V/F_M$  of moistened thalli (ca. 0.7) and dry thalli (ca. 0.07). At the removal of the  
272 cellulose poultice (T4h), without any additional moistening,  $F_V/F_M$  of thalli treated in the wet  
273 state was significantly lower than the vitality threshold and with respect to thalli treated in the  
274 dry state. At T1, all thalli were dehydrated and  $F_V/F_M$  was significantly below 0.15, but after  
275 their moistening, those which had received the poultice application in the dry state recovered  
276  $F_V/F_M$  values significantly higher than the threshold.

277

### 278 3.3. Biocide content in lichen thalli

279 The absorption of BAC was detected and quantified in all lichen thalli treated *in situ* without  
280 performing pre-hydration, and in those treated in the laboratory, but concentrations strongly  
281 differed depending on the application method (Fig. 3).

282 *In situ*, the thalli treated with cellulose poultice and not exposed to the final washing step  
283 displayed one order magnitude higher content of BAC (mean 1.4  $\mu\text{g mg}^{-1}$ ) with respect to  
284 those washed after the poultice removal and those treated with brush (0.1  $\mu\text{g mg}^{-1}$ ). In these  
285 latter, the BAC content was similarly low, irrespective whether the final washing was  
286 performed or not.

287 In the laboratory, the content of BAC absorbed by thalli which were moistened before the  
288 application with cellulose poultice and not washed (mean 1.8  $\mu\text{g mg}^{-1}$ ) was similar to that  
289 detected *in situ* with the same application tool, but without pre-hydration. By contrast, the  
290 biocide content of thalli treated with cellulose poultice in the dehydrated state was  
291 significantly lower (0.2  $\mu\text{g mg}^{-1}$ ).

### 292 3.4. Adsorption and desorption of benzalkonium chloride applied on sandstones

293 The amount of BAC desorbed from the rocks upon a re-wetting cycle, and thus absorbable by  
294 the absorbent paper used as to simulate the lichen thallus, was extremely low (always <0.5%;  
295 Fig. 4). The sandstone of the Verrucano Lombardo Formation showed a significantly higher  
296 desorption (0.34%), but remarkable differences were also detectable between the blocks of the  
297 Macigno sandstone, with values ranging from 0.15% (L2) to the detection limit (<0.03%; L1,

298 L3, L4). The recovery of BAC from a glass slide (non-adsorbing substrate) was two order of  
299 magnitude higher, above 30%.

300 On the basis of SEM-BSE observations (Fig. S4), the Verrucano Lombardo showed an  
301 intrinsic porosity remarkably lower than that of Macigno sandstone. Accordingly, BAC barely  
302 entered the rock volume and, upon the drying step, recrystallized directly on the surface, from  
303 which it was mobilized during the subsequent re-wetting. Oppositely, in the case of the other  
304 sandstones, the applied biocide clearly entered the rock volume. In the case of the Macigno  
305 sandstones, microscopic observations of petrographic thin cross sections showed that a clay  
306 fraction occurred in L1, L3 and L4, while it was absent in L2 (Fig. S5). Pietra Serena showed  
307 a fitted fabric due to pressure dissolution, with juxtaposed grains and absence of cement or  
308 matrix, while the Cortemilia sandstone showed traces of carbonate cement and a clay fraction.  
309 A fine-grained sericitic matrix possibly characterized the block of Verrucano Lombardo.

310

#### 311 **4. Discussion**

312 Our findings support the hypothesis that the protocol adopted to apply biocides significantly  
313 affects the devitalization of lichen thalli (Fig. 5). Besides confirming the importance of the  
314 application tool, with cellulose poultice being more effective than brush (Favero-Longo et al.  
315 2017; Matteucci et al. 2019), this experimental work clarified the remarkable influence of the  
316 state of hydration of lichen thalli on their susceptibility to biocides. Hydration modulates the  
317 biocide absorption by thalli. Moreover, it controls their maintaining an active metabolism or  
318 entering a dormancy state, thus succumbing to or tolerating, respectively, the available toxic  
319 compounds. In relationship with the water or organic solvent preparation of the assayed  
320 biocides, we highlighted the biocide-specific advantage of pre-treatment hydration and/or  
321 post-treatment washing of thalli to improve the application protocol effectiveness. In  
322 particular, the poultice application was necessary to make effective against *X. tinctina* the  
323 assayed water-solution of benzalkonium chloride (BAC), independently of the pre- or post-  
324 treatment hydration of thalli. Differently, the washing of thalli after the biocide application  
325 was necessary to make effective the assayed organic-solvent solution of N-octyl-  
326 isothiazolinone and 3-iodo-2-propynyl-N-butylcarbamate (OIT-IPBC), either applied by  
327 brush or with cellulose poultice. In this regard, until innovative strategies to control  
328 biodeteriogens will be routinely available, the conventional use of traditional biocides by  
329 restorers cannot overlook this necessity of adopting effective application protocols and hence  
330 limit the useless release of biocides in the environment. In addition, this work showed that the  
331 stone substrate, depending on the lithology, may variously absorb the applied biocide,  
332 potentially contributing as a reservoir for its long-term release at low concentrations during  
333 successive hydration events.

##### 334 *4.1. Biocide efficacy and thallus hydration*

335 Lichen tolerance of extreme stress conditions is well documented and has been related to their  
336 ability to cyclically enter and leave a dormancy state by thallus dehydration and rehydration,  
337 respectively (Beckett et al. 2008). Such adaptation is supported by enzymatic and non-

338 enzymatic mechanisms to protect the integrity of cellular components and limit pro-oxidative  
339 processes (Kranner et al. 2008), an effective machinery to maintain proteostasis (Armaleo et  
340 al. 2019) and the interplay of the whole lichen microbiota (Cernava et al. 2019). A notable  
341 example is the tolerance to high temperatures, which for dry thalli ranges from 70°C to more  
342 than 100°C depending on species (Lange 1953), while it is generally lower than 45-50°C  
343 when thalli are forcedly maintained in the hydrated state (McFerlane and Kershaw 1978;  
344 Tretiach et al. 2012). In agreement, lichen resistance to gaseous pollutants, as SO<sub>2</sub> and O<sub>3</sub>, is  
345 higher during the dry state; by contrast, the pollutants can dissolve in the hydrated thallus, in  
346 which the symbionts are metabolically active and sensitive to their toxic effects (Vannini et  
347 al. 2020).

348 A similar pattern is here confirmed for the foliose lichen *X. tinctoria* treated with the water  
349 soluble BAC and OIT-IPCB prepared in white spirit. The quaternary ammonium salt BAC  
350 perturbs the phospholipid bilayer of the biological membranes, causing their damage and the  
351 cell lysis (Wessels and Ingmer 2013). OIT oxidizes thiol-containing cytoplasmic and  
352 membrane-bound compounds, yielding metabolic inhibition (Denyer and Stewart 1998), and  
353 IPBC disrupts the formation of fungal cell walls by interfering with synthesis of  
354 phospholipids and fatty acids (Biehl 2019). Despite their different active principles, target  
355 molecules and solubility, thallus hydration influences the effectiveness of both products.

356 The poultice application of BAC *in situ*, which always decreased  $F_V/F_M$  below the viability  
357 threshold set at 0.15, carried the water-dissolved biocide as well as contributed to maintain  
358 wet the pre-hydrated thalli and to hydrate the thalli in the dry state (Favero-Longo et al.  
359 2017). Such latter effect was clearly recognizable in the laboratory assays, in which the very  
360 low  $F_V/F_M$  of the initially dry thalli remarkably increased at the time of the poultice removal  
361 (T4h), indicating its metabolic activation by water rather than its devitalization by the biocide.  
362 The dry state at the time of the application also implied in the laboratory a significantly lower  
363 BAC absorption with respect to that detected in the pre-hydrated thalli. Such findings agree  
364 with the linear positive correlation between the hydration of thalli and their efficiency to  
365 accumulate persistent organic pollutants (Kylin and Bouwman 2012; Augusto et al. 2012) and  
366 reject the hypothesis that pre-hydration may reduce the absorption of biocides (Tretiach et al.  
367 2007).

368 *In situ*, BAC absorption in non pre-hydrated thalli was instead more similar to that of the pre-  
369 hydrated thalli in the laboratory. With this regard, it is worth noting that  $F_V/F_M$  of lichen  
370 thalli, and thus their metabolic activity, is also highly related to weather conditions during the  
371 two days prior to the measurements (Vivas et al. 2017). Differing from the thalli kept in the  
372 laboratory in the dry state, those in the study site were regularly exposed to high humidity  
373 levels during the night (RH above 80-90% in the days before the treatment; Fig. S1).  
374 Although they were dried at the time of biocide application (around noon), they likely had a  
375 higher attitude, in terms of physiological state, to recover their metabolic activity by  
376 effectively absorbing the water solution of BAC, even without the pre-treatment hydration  
377 step.

378 The application of BAC by brush contributed a lower quantity of biocide and did not maintain  
379 the hydration of the thalli, justifying a lower absorption and the poor devitalization  
380 effectiveness. The fact that post-treatment washing did not lead to a further lowering of  $F_V/F_M$   
381 suggests that the effect was likely more limited by the biocide quantity than by a scarce  
382 metabolic activation. This also agrees with the fact that the absorbed BAC did not decrease  
383 with the post-washing step, suggesting that the available biocide had been effectively  
384 absorbed and retained by the cell structures. In this sense, neither brush nor poultice  
385 application determined at T1 a remarkable decrease of  $F_0$ , detectable upon the loss of  
386 chlorophyll following membrane integrity impairment (Vannini et al. 2018), suggesting that  
387 BAC-driven cell lysis had still not deeply proceeded and that some absorbed BAC could not  
388 be washed away.

389 In the case of OIT-IPBC, no difference was detected in the effectiveness of the assayed  
390 application tools, indicating that the lower quantity of active principles carried by the brush  
391 was sufficient to kill the lichens. However, for this biocide prepared in white spirit, the  
392 wetting of thalli by the pre-treatment hydration and/or the post-treatment washing was a  
393 necessary requirement to make the treatment effective. Accordingly, the removal of crustose  
394 and foliose lichens following the application of BiotinR with a protocol which does not  
395 mention hydration steps determined the persistence of thallus remains with some (few) viable  
396 photobiont cells (de los Ríos et al. 2012). As hypothesized for other biocides, but not  
397 experimentally verified (Tretiach et al. 2007), the post-treatment washing of thalli treated  
398 when dry showed the highest effectiveness in the  $F_V/F_M$  decreasing, suggesting that the lichen  
399 recovery of the metabolic activity in the presence of the toxic molecules was the most suitable  
400 method to favour its susceptibility and face its defence strategies. This agrees with the report  
401 that IPBC is highly soluble in organic solvents and poorly soluble in water ( $156 \text{ mg L}^{-1}$  at  
402  $20^\circ\text{C}$ ; Juergensen et al. 2000), but its efficacy depends on the water dissolved fraction and its  
403 general wide use is related to strategies to allow its dissolution, including the predissolution in  
404 organic solvents (Steinberg 2002). However, the highest decrease of  $F_0$  ( $>60\%$ ) was observed  
405 in thalli pre-hydrated, either washed or unwashed, suggesting that they mostly faced a strong  
406 damage of cell structures and the damage and loss of chlorophyll. In thalli treated when dry,  
407 instead,  $F_0$  showed a relative increase, which may reflect the initial presence of some free  
408 chlorophyll due to membrane damage (Strasser 1997), or a resistance attempt towards a  
409 treatment with incomplete killing efficacy (Favero-Longo et al. 2017). Further investigations  
410 will be necessary to clarify such response patterns of thalli as well as to unveil if and how  
411 different application protocols may also variously impact the hyphal penetration component  
412 of lichens (*sensu* Favero-Longo et al. 2005) and the associated microbial communities, which  
413 already revealed different sensitivity to different biocidal products (de los Ríos et al. 2012)  
414 and play a crucial role in biodeterioration processes (Speranza et al. 2012).

415

#### 416 4.2. Does substrate porosity influence the biocide efficacy?

417 The different effectiveness of biocides against the growth of algae inoculated on sandstone  
418 lithologies was related to their different porosity and clay contents (Young et al. 1995).

419 Biocides can penetrate below the surface and either be bio-available while bound to the  
420 minerals or be slowly desorbed and become available to (micro-)organism absorption under  
421 repeated cycles of wetting and drying (Cameron et al. 1997). For some biocides, the  
422 adsorption to clay minerals may determine their inactivation, but quaternary ammonium salts  
423 should maintain their biocidal activity when bound (Walters et al. 1973; Cameron et al. 1997).  
424 These processes, however, have been infrequently investigated (Koestler and Salvadori 1996)  
425 and their consequences for practical issues of restoration protocols -such as their effect on  
426 recolonization dynamics- are scarcely taken into account. In particular, they should be  
427 carefully considered with respect to the widespread application of biocides as a preventive  
428 tool to protect heritage surfaces from recolonization process, which is to maintain rock  
429 cleaning after the removal of lichens and biofilms (Pinna 2017).

430 In agreement with previous works (Young et al. 1995; Cameron et al. 1997), our laboratory  
431 experiment showed that the amount of BAC desorbed by a wetting event, following the  
432 biocide application and consequent rock adsorption, depends on physical and mineralogical  
433 properties of the different sandstone lithologies. In particular, the higher the rock porosity and  
434 the presence of clay minerals, the lower the biocide desorption at new rain events or watering.  
435 In the case of lithologies with very low porosity, such as Verrucano Lombardo, the biocide  
436 visibly crystallized at the rock surface and could likely be washed off by flowing water rather  
437 than persist as long-term protection (Cameron et al. 1997). Even within the same lithology,  
438 clay contents can vary from a block to another, as in the case of the Macigno sandstone in  
439 Luni, and thus differently affect biocide adsorption and desorption. For all the examined  
440 lithologies, the amount of desorbed biocide potentially available to microbial absorption is 2-  
441 3 orders of magnitude lower than that provided during the application, which turned effective  
442 only in the case of the copious poultice treatment. Accordingly, the biocides applied after the  
443 cleaning interventions may possibly exert their preventive protection insofar they remain  
444 abundantly bound within the rock porosity (Cameron et al. 1997), although the bio-activity  
445 should be demonstrated for each considered quaternary ammonium compound. On the other  
446 hand, such application strategy produces a reservoir for their gradual release at low and likely  
447 ineffective concentrations. With this regard, the phenomenon may be likely related to the  
448 reported cases of surface eutrophication following the application of quaternary ammonium  
449 salts, their degradation and consequent nitrogen supply, favouring recolonization processes by  
450 nitrophilous, fast growing species (Scheerer et al. 2009). Moreover, the release of low and  
451 ineffective concentrations of BAC can promote bacterial adaptation and antibiotic resistance  
452 (Kampf 2018; Kim et al. 2018; Poursat et al. 2019).

453

## 454 **5. Conclusions**

455 This work confirmed the hypothesis of a biocide-specific importance of the application tools,  
456 the pre-treatment hydration and/or the post-treatment washing to make the devitalization  
457 treatments effective against lichens ( $F_V/F_M$  of lichen thalli after the treatment  $<0.15$ ).

458

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468

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633

634 **Figure captions**

635 Fig. 1. Maximum quantum efficiency of Photosystem II photochemistry ( $F_v/F_M$ ) in thalli of  
636 *Xanthoparmelia tinctoria* measured one day (T1) after the application, with brush (left box-  
637 plots) and cellulose poultice (right box-plots), of water (white box-plots; negative control),  
638 BAC (light grey) and OIT-IPBC (dark grey), coupled or not with pre-hydration (non pre-  
639 hydrated, D; pre-hydrated, H) and/or washing (non washed, NW; washed, W) of thalli. Box-  
640 plots which do not share at least one letter are statistically different (ANOVA, Tukey's test,  
641  $p < 0.05$ ).  $F_v/F_M$  values significantly lower than a viability threshold fixed at 0.15 (horizontal  
642 dotted line) are marked (\*; ANOVA,  $t$ -test;  $p < 0.05$ ).

643 Fig. 2. Maximum quantum efficiency of Photosystem II photochemistry ( $F_v/F_M$ ) in thalli of  
644 *Xanthoparmelia tinctoria* measured one day before the application of BAC with cellulose  
645 poultice (T0), immediately after the poultice removal (T4h) and one day after (T1), coupled or  
646 not with pre-hydration and/or post-treatment washing of thalli (codes as in Fig. 1). At each  
647 time point, box-plots related to thalli pre-hydrated (H) or not pre-hydrated (D) before the  
648 biocide application which do not share at least one letter are statistically different (ANOVA,  
649  $t$ -test,  $p < 0.05$ ).  $F_v/F_M$  values which are significantly lower than a viability threshold fixed at  
650 0.15 (horizontal dotted line) are marked (\*; ANOVA,  $t$ -test;  $p < 0.05$ ). Thalli on which the  
651 fluorimetric measurements were performed avoiding the usual moistening step are indicated  
652 (#).

653 Fig. 3. BAC in thalli of *Xanthoparmelia tinctoria* after the application with brush and cellulose  
654 poultice *in situ* (four left columns) and with cellulose poultice in the laboratory (two right  
655 columns). Measures (mean  $\pm$ SE) deal with non pre-hydrated thalli (D) and pre-hydrated thalli  
656 (H), which were washed (W) or not (NW) four hours after the biocide application. Separately  
657 considering *in situ* and laboratory assays, bars which do not share letters are significantly  
658 different (ANOVA, Tukey's test,  $p < 0.05$ ).

659 Fig. 4. BAC absorbed by absorbent paper -used to simulate a lichen thallus- after its  
660 desorption from the Macigno sandstone, used in the Amphitheatre of Luni, and from other  
661 sandstones for comparison (Pietra Serena, PS; sandstone of Cortemilia, CS; sandstone of the  
662 Verrucano Lombardo Formation, VL). Data are expressed as percentage of the amount of  
663 benzalkonium chloride (7.5 mg) initially applied on the examined parcels (mean $\pm$ SE). Bars  
664 which do not share letters are significantly different (ANOVA, Tukey's test,  $p < 0.05$ ).

665 Fig. 5. Synoptic comparison of the influence of different application protocols on the efficacy  
666 of biocide treatments against the foliose lichen *Xanthoparmelia tinctoria* ( $F_v/F_M$  at T1 was,  $\vee$ ,  
667 or was not,  $\times$ , significantly lower than the vitality threshold set at 0.15).

668

669 **Tables**

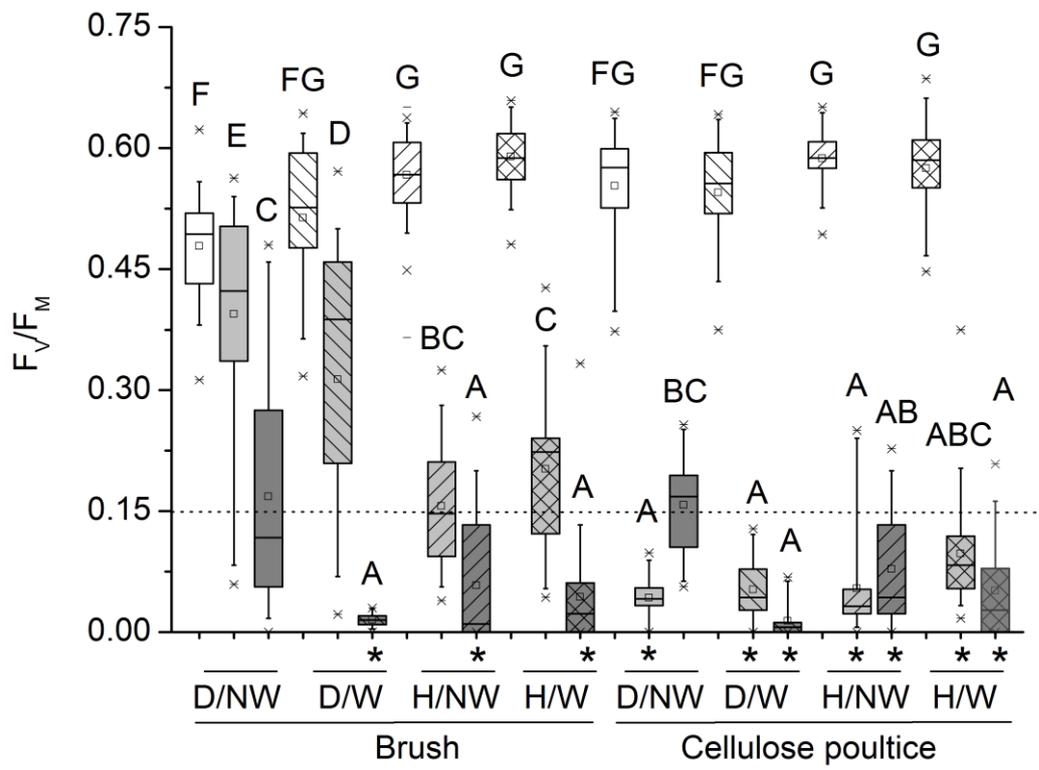
670 Table 1. Summary of the Generalized Linear Model

<b>Parameter</b>	<b>Source</b>	<b>Sum-of-Squares</b>	<b>df</b>	<b>Mean-Square</b>	<b>F-ratio</b>	<b>P</b>
A) $F_V/F_M$	Product	32.029	2	16.015	196.125	<b>0.000</b>
	Appl. Tool	2.912	1	2.912	35.659	<b>0.000</b>
	Pre-Hydration	0.281	1	0.281	3.438	0.064
	Washing	1.659	1	1.659	20.317	<b>0.000</b>
	Error	55.852	684	0.082	-	-
B) $F_0$	Product	149018.955	2	74509.478	4.629	<b>0.010</b>
	Appl. Tool	248020.760	1	248020.760	15.410	<b>0.000</b>
	Pre-Hydration	99353.046	1	99353.046	6.173	<b>0.013</b>
	Washing	126628.182	1	126628.182	7.868	<b>0.005</b>
	Error	1.10090E+07	684	16095.073	-	-

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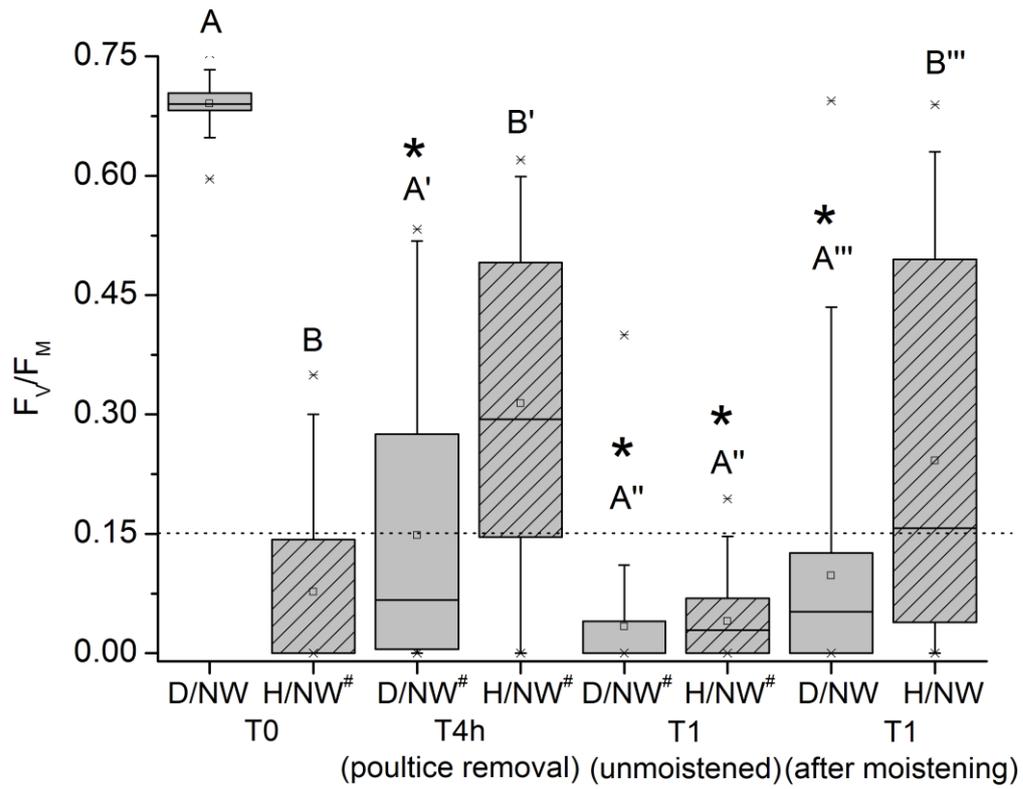
673 Fig. 1



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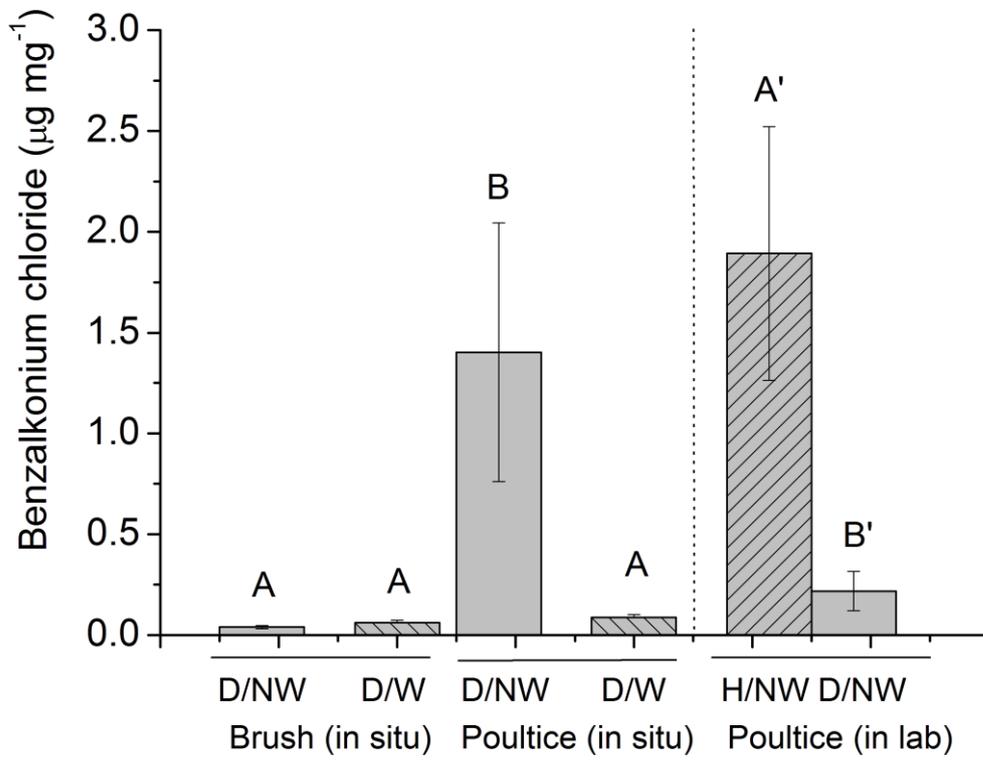
676 Fig. 2



677

678

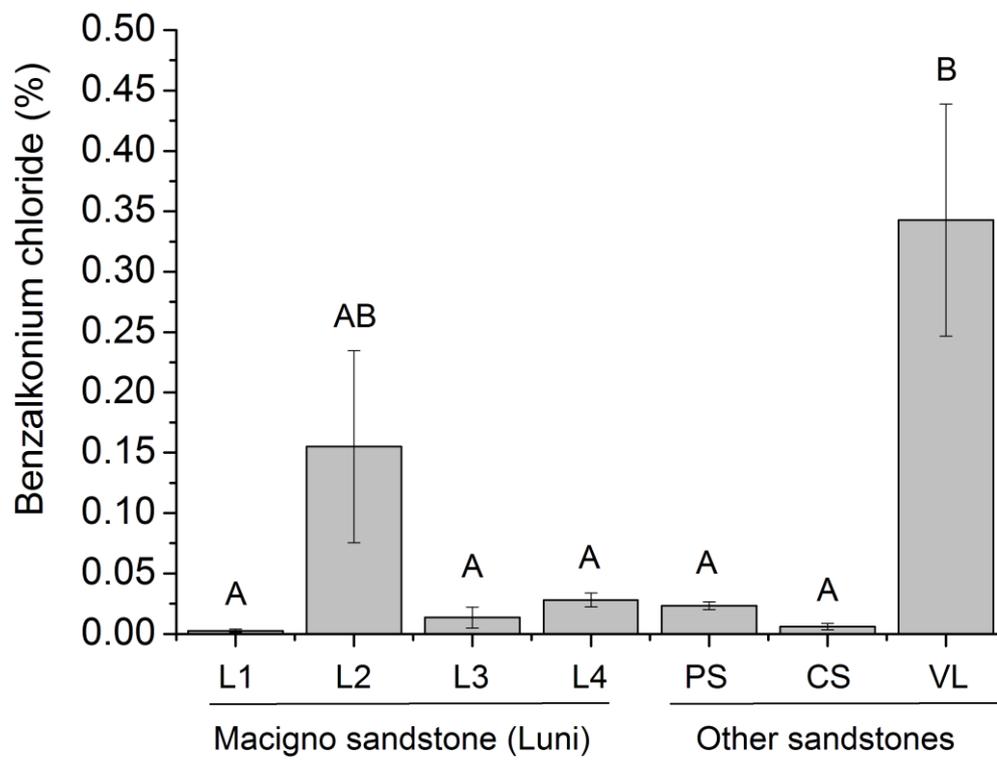
679 Fig. 3



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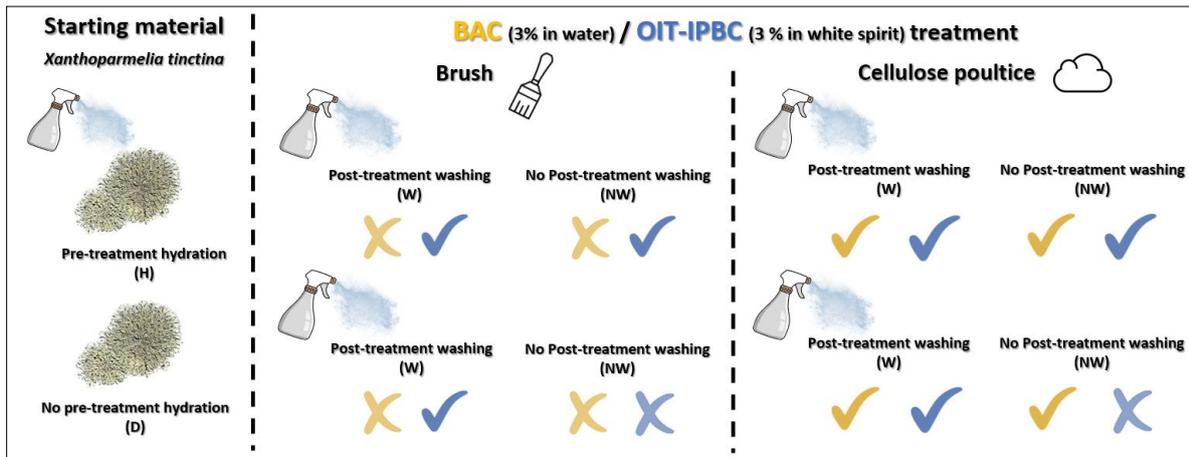
682 Fig. 4



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685 Fig. 5



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