



UNIVERSITÀ DEGLI STUDI DI TORINO

AperTO - Archivio Istituzionale Open Access dell'Università di Torino

# Combining the highest degradation efficiency with the lowest environmental impact in zinc oxide based photocatalytic systems

 This is a pre print version of the following article:

 Original Citation:

 Availability:

 This version is available http://hdl.handle.net/2318/1795346

 since 2021-07-29T18:07:22Z

 Published version:

 DOI:10.1016/j.jclepro.2019.119762

 Terms of use:

 Open Access

 Anyone can freely access the full text of works made available as "Open Access". Works made available under a Creative Commons license can be used according to the terms and conditions of said license. Use of all other works requires consent of the right holder (author or publisher) if not exempted from copyright protection by the applicable law.

(Article begins on next page)

## Combining the highest degradation efficiency with the lowest environmental impact in ZnO based catalytic systems

#### 3 M. Costamagna<sup>[a]</sup>, L. Ciacci <sup>[b]</sup>, M.C. Paganini, P. Calza<sup>[a]</sup>, F. Passarini<sup>[b]</sup>

4 [a] Department of Chemistry, University of Turin, Via P. Giuria 7-10125 Torino-Italy

[b] Department of Industrial Chemistry "Toso Montanari", University of Bologna, viale del Risorgimento 4, 40126
 Bologna, Italy

- 7
- 8
- 9

#### 10 Abstract

#### 11

12 In the present study we perform a comprehensive study of the photocatalytic abatement of a probe pollutant

13 (phenol) in water in the presence of different photocatalysts by assessing the environmental impact

14 associated to theis specific process. We tested the performance of several ZnO-based materials doped with

15 rare earth element (Ce, Er and Yb). An additional study parameter was the choice of the precursor of the

16 dopant. Both rare-earth salts derived from chloride and nitrate were tested. - The experimental design allowed

to define the two variables that greatly affect the process efficiency:<del>, recognized as</del> the percentage of dopant

18 and the concentration of photocatalyst. The life cycle assessment (LCA) methodology permits to evaluate the 19 sustainability of the process and to identify some photocatalysts able to combine a high degradation

20 efficiency with the minimization of the environmental impact.

Overall, the results identified cerium-doped ZnO as the most promising photocatalytic system, but univocal preference combining the fastest photodegradation with the lowest energy requirements and greenhouse gas

23 (GHG) emission could not be assigned. The kinetic of degradation and environmental impacts resulted in

24 two best operating ranges. When time to complete phenol degradation is priority, working with ZnO

25 photocatalyst at a concentration of  $1700 \text{ mgL}^{-1}$  and doped with Ce (1%) from nitrate precursor should be

26 preferred. Instead, ZnO doped with Ce from chloride precursor (1%) enables to reduce energy requirements

and material inputs as the optimal environmental profile occurs at a photocatalyst concentration between 800
 and 1500 mgL<sup>-1</sup>.

29 Keywords: zinc oxide, rare earth elements, LCA, experimental design

30

#### 32 1. Introduction

The resources consumption, combined with the impact of human activities on the environment, has recently assumed a great relevance and, nowadays, sustainability is becoming a key aspect to be considered when evaluating a new process. Research is underway to find ways of augmenting water resources and water treatment and reuse is at the forefront of these technologies, so focusing on one side on the recycle and reuse of natural resources and materials aimed to prevent waste, and, on the other side, on the improvement of the remediation techniques. The incorporation of environmentally friendly techniques within the water treatment process is also, potentially, very lucrative.

Within this framework, heterogeneous photocatalysis has the great potential to be a cost-effective water purification technology for the removal of low concentration recalcitrant organic pollutants. Among the semiconductor oxides, titanium dioxide and zinc oxide seem to be the most promising candidates 1. In previous papers, pure and Cerium (Ce) doped ZnO have been prepared *via* hydrothermal process, a low temperature, green and simple process to obtain controlled nanostructures, starting from different precursors. The synthesized materials permitted to achieve a fast degradation of phenol and several refractory

compounds in ultrapure water at natural pH and under UV-Vis light conditions 2,3. In a subsequent studies
 the investigation was extended to other dopants from rare earth elements (REEs), namely lanthanum (La),

48 praseodymium (Pr), erbium (Er) and ytterbium (Yb). 2,3,4,5

49 Thanks to their physical and chemical properties REEs are becoming increasingly important in several hi-

50 tech applications such as clean energy technologies, hybrid vehicles, pollution control, optics, and 51 refrigeration to name a few example. However, an interconnected mine extraction route and imbalan

refrigeration to name a few example<sup>6</sup>. However, an interconnected mine extraction route and imbalances
 between production and demand might cause future supply disruption for some REEs (e.g., neodymium and

dysprosium) or oversupply and consequent material stockpiling for other REEs (e.g., lanthanum and cerium)

54 with a cascade effect in their market price. Moreover, the production of REEs is far from being

environmentally sustainable as it requires considerable inputs of materials and energy, and it generates large

56 quantities of emissions and solid waste as well<sup>7</sup>. Therefore, analytical tools and strategies aiming at

57 optimizing the use of REEs in common applications would result in the combined effect of increasing

58 functionality while minimizing the associated environmental implications.

59 Following preliminary results, in the present paper we have evaluated and compared the process of the

abatement of phenol in water by using different photocatalysts based on ZnO and doped with Ce, Er and Yb.
 Design of experiments (DoE) enables to explore and set the optimal experimental conditions with a relatively

61 Design of experiments (DoE) enables to explore and set the optimal experimental conditions with a relatively 62 small number of experiments. Compared to traditional one-variable-at-time (OVAT) approach, DoE can

extend the investigated domain to the interactions among experimental variables, which would be otherwise

64 out of the reach with the conventional OVAT approach. The kinetic results of phenol photodegradation

65 determined by DoE were then combined to life cycle assessment (LCA) methodology to quantify energy

66 requirements and greenhouse gas (GHG) emissions associated with a photodegradation reaction of phenol in

67 presence of ZnO-based photocatalysts to the ultimate goal of finding the catalytic system(s) that combines

- 68 the highest degradation efficiency at the lowest environmental cost.
- 69

### 70 2. Materials and methods

### 71 2.1 Experimental design

72 A DoE approach was followed to investigate the photocatalytic degradation of phenol in water solution from

- a set of ZnO photocatalysts doped with Ce, Er, and Yb. Overall, the photocatalytic reaction may depend on
- real operating parameters such as phenol concentration, concentration of the photocatalyst, type and

75 concentration of dopant elements, pH of water solution, size, structure, and surface area of the photocatalyst,

76 reaction temperature, presence of inorganic ions, presence of oxygen, wavelength and irradiation time 8,9,10.

77 However, as dictated by the results obtained in previous studies 2,3,4,5 and by experience of the 78

practitioners, the type and concentration of dopant in the photocatalyst  $(X_1)$  and the concentration of the

79 catalytic system in solution (X<sub>2</sub>) were set as the only factors of interest in DoE.

80 A faced centered design (FCD) model was utilised to determine linear and quadratic effect of  $X_1$  and  $X_2$  as 81 well as interactions between X1 and X2. In FCD, a full factorial, a star design, and N replicates of the center 82 pointes are modeled. Three levels (-1, 0, +1) were set for each variable (Table 1) based on concentration 83 ranges derived from previous experiments. The three REEs (i.e., Ce, Er, and Yb) used as dopants of ZnO are 84 treated as qualitative variables in FCD. Thus, the total number of experiments (N) for each doping element is 85 computed as follows:

86 
$$N = 2^{f} + 2f + N_0$$

87 Where f is the number of variables and  $N_0$  is the number of replicates in the centre points. Setting f = 2 and N

88 = 2 respectively, the total number of experiments is 20 or 10 for each precursor (i.e., chloride and nitrate).

89 However, the subset of experiments for  $X_1$  at level -1, which correspond to bare ZnO photocatalyst, is

90 common to both precursors. Thus, for each REEs investigated, the resulting total number of independent

91 experiments reduced to 17 with two replicates of experiments in the center.

92 The mathematical model resulting from the FCD is in the form:

93 
$$Y = B_0 + B_1 X_1 + B_2 X_2 + B_{11} X_{12} + B_{22} X_{22} + B_{12} X_1 X_2$$
(2)

94 Where Y is the calculated response,  $X_1$  is the dopant concentration in the photocatalyst,  $X_2$  is the

95 concentration of the catalytic system employed in the photocatalytic degradation process. B<sub>0</sub> is the constant,

96  $B_1$  is the coefficient of the linear effect of  $X_1$ ,  $B_2$  is the coefficient of the linear effect of  $X_2$ ,  $B_{11}$  is the 97

coefficient of the quadratic effect of  $X_1$ ,  $B_{22}$  is the coefficient of the quadratic effect of  $X_2$ ,  $B_{12}$  is the

98 coefficient of the interaction effect between X1 and X2.

	Variable			Level	
#	Description	Unit	-1	0	+1
X <sub>1</sub>	Rare earth element concentration	% w/w	0	0.5	1
$\mathbf{X}_2$	Photocatalyst concentration	mgL <sup>-1</sup>	100	800	1500

99 Table 1. Variables, investigated levels and their actual values utilised in the design of experiments.

100 According to the main goal of the study, the reaction rate constant k was the calculated response in all the

101 experiments. The experiments were randomized to avoid external influence on the results and elaborated

102 with a chemometric software developed by the Group of Chemometrics of the Italian Chemical Society in R 103 (insert citation). The full experimental matrix for the FCD model created is reported in the Supporting

104 Information (Table S1).

105

#### 106 2.2 Experimental section

107 H<sub>3</sub>PO<sub>4</sub> (85%) was purchased from Carlo Erba. All the other reactants were purchased from Sigma-Aldrich 108 with purity higher than 99.9% and were used without any further purification. HPLC grade water was 109

obtained from MilliQ System Academic (Waters, Millipore).

(1)

110 Bare ZnO sample was synthesized starting from a 1 M water solution of Zn(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O. Then, a 4 M NaOH 111 solution was added dropwise until the pH was 10-11. The solution was transferred into a 100 mL PTFE lined 112 stainless steel autoclave (filling 70%) and then treated at 175°C overnight. The product was centrifuged, washed with deionized water, and dried at 70°C. The ZnO samples doped with rare earth elements (REEs) 113 114 1% molar were prepared by adding stoichiometric amounts of REEs in the starting solution. After that, the 115 same procedure described above was followed; the path of synthesis has been taken from [2]. The precursor 116 salts employed were respectively CeCl<sub>3</sub>·7H<sub>2</sub>O and Ce(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O for cerium, ErCl<sub>3</sub>·6H<sub>2</sub>O and 117 Er(NO<sub>3</sub>)<sub>3</sub>·5H<sub>2</sub>O for erbium, YbCl<sub>3</sub>·6H<sub>2</sub>O and Yb(NO<sub>3</sub>)<sub>3</sub>·5H<sub>2</sub>O for ytterbium. The samples were labelled as 118 YZp-S where Y = dopant element (i.e., REE); Z = Zinc oxide; p = percentage of dopant in the photocatalysts119 (i.e, 0, 0.5, 1); S = type of salt used for as dopant precursor (i.e., Cl or NO<sub>3</sub>).

120 The photocatalytic degradation performance of all synthesized photocatalysts was assessed using 60 mgL<sup>-1</sup> 121 of phenol as a probe molecule. Samples irradiation was carried out using cylindrical Pyrex cells (4.0 cm 122 diameter and 2.5 cm height, cut-off at 295 nm) filled with 5 mL of an aqueous suspension containing the 123 phenol and the photocatalyst powder at the selected concentration. Samples were subjected to different 124 irradiation times (ranging from 5 min to 3 h), using a set of six Actinic BL TL-D 15W (Phillips, Eindhoven, 125 Nederland), the spectral region extends from 340 to 410 nm, with a maximum centred at 370 nm and a 126 narrow band centred at 435 nm. The UV integrated irradiance on the cells in the 290-400 nm range 127 wavelengths was  $35 \pm 1$  Wm<sup>-2</sup> (lamps emission spectra and incident irradiance were recorded with a 128 calibrated spectrum radiometer (Ocean Optics SD2000 CCD spectrophotometer, equipped with an optic fiber 129 and a cosine corrector CC-3-UV-T)). During irradiation the suspensions were magnetically stirred.

After irradiation the suspensions were filtered through a 0.45 μm hydrophilic PTFE membrane (Millipore
 Millex-LCR). All samples were analysed by using a Merck-Hitachi liquid chromatographer equipped with a

132 Rheodyne injector L-6200 and L-6200A pumps for high-pressure gradients, a L-4200 UV-Vis detector (the

detection wavelength was set at 220 nm) and a column LiChrocart RP-C18 (Merck, 12,5 cm x 0,4 cm).

Elution was carried out at 1 mL min<sup>-1</sup> with 4.2 mM aqueous H<sub>3</sub>PO<sub>4</sub>:CH<sub>3</sub>CN 70:30 in isocratic mode. The
 injection volume was 50 μL.

136

### 137 2.3 Assessment of environmental impacts

LCA enables the assessment of the environmental impacts potentially caused by a product, a process or a service along its entire life cycle. According to ISO 14040 and 14044 the LCA methodology consists of four conceptual phases: goal and scope definition, life cycle inventory (LCI), life cycle impact assessment

141 (LCIA), and results interpretation 11.

142 The goal and scope definition is the first step of a LCA analysis, and defines the object of the study, the 143 system boundaries and the functional unit for all the flows. As earlier mentioned, the aim of this study is to 144 evaluate and compare the efficiency of different photocatalysts that can be used for the degradation of 145 organic pollutants in water. The term efficiency incorporates the sum of effectiveness, relative to the 146 degradation behaviour, and low environmental impact of the materials. All the steps for the preparation of 147 the photocatalysts and the phases of the degradation process have been included in the system boundary. 148 Infrastructure and equipment used during the experimental analysis were instead excluded from calculation 149 as well as the transport of input materials because their impact is expected to be marginal. The functional 150 unit was set as the speed to complete degradation of  $60 \text{ mgL}^{-1}$  of phenol in MilliQ water and measured as the 151 reaction rate constant k expressed in min<sup>-1</sup>.

An inventory of inputs and outputs was compiled with the intent to create a representative model of the system under scrutiny. Data acquisition of material and energy flows for rare earth oxide (REO) production, 154 synthesis of the precursors, synthesis of the photocatalysts and reaction of photodegradation was carried out 155 from direct measurements in the laboratory and the existing literature. More in detail, REEs extraction is 156 mainly carried out in China12, via either open-pit mining of bastnäsite and monazite minerals or leaching of

157 ion-adsorption clays. The Ecoinvent process "*Rare earth concentrate, 70% REO, from bastnäsite, at* 

158 *beneficiation*" provided the initial dataset to typical bastnäsite mining and refining in Chinal3. Nuss and

159 Eckelman<sup>14</sup> updated the Ecoinvent data by re-allocating energy/materials inputs and emissions based on

Bayan Obo bastnäsite composition provided in Chinese Rare-Earth Year book 2010 and 2006–2010 REO
 prices. It is worth noting that the bastnäsite mineral usually contains about 50% of Cerium and only traces of

the other two elements used as dopant in this study (Er and Yb). These two elements are part of the so-called

163 group of heavy rare earth elements (HREEs). The production of HREEs (Tb, Dy, Ho, Er, Tm, Yb, and Lu) is

164 mainly obtained from ion absorption deposits 15 and this pathway of recovery has not been investigated by

165 Nuss and Eckelmann. More integrative research in this sense is needed.

166 As a process that models the energy production, used both during the synthesis phase and during the

167 degradation phase, the Italian electric mix "Electricity, low voltage {IT}" was used.

168 Other assumptions made to conduct this LCA study are related to the synthesis of the precursors.

169 The data for zinc nitrate hexahydrate preparation was obtain from a patent 16. For the cerium precursor the

170 modelling was based for the chloride salts on "Synthetic Inorganic Chemistry" 17, and for the nitrate salt on

"Preparation of rare earth nitrates"
 Since not all information has been found in literature, for some
 reactions the amount of water, energy and yield has been estimated, based on similar reactions; this is the

case of the preparation of erbium and ytterbium nitrates, for which it was decided to model the synthesis in a

similar way to the reaction of zinc nitrate; the only difference is the concentration of nitric acid that was

175 obtained from the "Handbook of Chemistry and Physics" 19. The inventory for the preparation of erbium

176 chloride has been modelled based on the work of Gupta and Krishnamurthy 20 and the data for ytterbium

177 chloride has been obtained from the paper of Sebastian and Seifert21.

All the primary data, collected for the synthesis reactions of the photocatalysts and for the photodegradationprocess, refer to the laboratory scale.

180 The life cycle impact assessment was conducted with software SimaPro 8 and for selected indicators,

181 namely: a) Cumulative Energy Demand (CED), which accounts for gross energy requirements 22, and b)

182 Global Warming Potential IPCC 2013 GWP 100y, which is a metric for estimating the relative global

183 warming contribution due to atmospheric emission of a kg of a particular greenhouse gas (GHG) compared

to the emission of a kg of carbon dioxide<sup>23</sup> over a time horizon of 100 years.

Lastly, the outcomes of the inventory and of the impact assessment were discussed (i.e., interpretation of the results phase) to identify flows and substances with the most significant impacts both from the synthesis

187 process and the degradation step.

188

#### 189 3. Results and discussion

#### 190 3.1 Photocatalytic degradation of phenol

The photocatalytic activity of bare and REEs-doped ZnO photocatalysts was tested using phenol as a probe
 molecule and the degradation rate (DR) as a calculated response; direct photolysis and adsorption in the dark
 scarcely contributed to phenol attenuation 5.

194 **Table 2** shows the DoE results for the photocatalytic degradation reaction obtained from ZnO–based

- 195 materials doped with Ce, Er, and Yb and synthesized using either chloride or nitrate precursors. Overall, the
- 196 presence of the REE–based dopant results in faster degradation rates than those achievable by bare ZnO

197 photocatalyst. These findings are aligned with preliminary results, but the FCD outcomes enabled here to198 investigate the entire domain of responses.

While for Ce- and Er-doped photocatalysts the choice of precursor seems not to influence remarkably the
degradation rate of phenol, for Yb-doped photocatalyst a preference is given to the nitrate salt precursor. In
terms of the calculated response, the three REEs used as dopants in ZnO photocatalyst rank as follows: Ce >
Yb > Er. For Ce-doped ZnO catalytic systems from nitrate precursor the relationship between DR and
investigated variables at coded scores is described by the following model (eq. 3). Similar results were
obtained for Er- and Yb-doped photocatalysts, the related models of which are reported in the Supporting
Information.

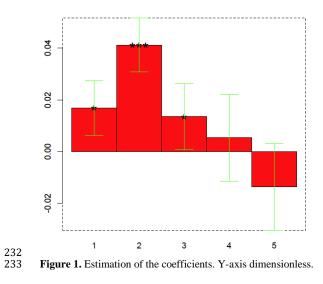
206  $DR = 0.0696 + 0.0168X_1 + 0.0412X_2 + 0.0135X_1^2 + 0.00536X_2^2 - 0.0136X_1X_2$  (eq.3)

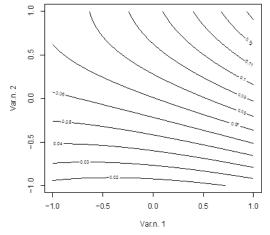
The adjusted  $r^2$  and the standard deviation of the residuals resulted in 0.94 and 0.0092 respectively. Figure 1 shows the significance of coefficients (i.e., \*: p < 0.05; \*\*: p < 0.01; \*\*\*: p < 0.001) for Ce-doped ZnO photocatalyst. Both X<sub>1</sub> and X<sub>2</sub> have an effect on the photochemical degradation, but the model seems to attribute the greatest significance (marked with \*\*\*) to the concentration of the photocatalyst (X<sub>2</sub>). The concentration of the doping REE (X<sub>1</sub>) in ZnO has a smaller linear effect but, notably, a more significant quadratic effect (at 95% confidence) than X<sub>2</sub>. This evidence is clearly visible from the curve response surfaces but it was not detectable from the kinetic.

As mentioned, the higher k values were observed at the maximum value of  $X_2$  and  $X_1$  (Table 2), with the degradation rate increasing along the diagonal of Figure X1 and meaning that the effect of the photocatalyst increases at relatively high dopant concentrations. Because the best calculated responses were observed at the maximum values of  $X_2$  and  $X_1$ , we decided to extend the investigated domain by carrying out, respectively, one experiment at bare ZnO concentration of 2000 mgL<sup>-1</sup>, and two experiments with CeZn1-Cl at 1700 mgL<sup>-1</sup> and 2000 mgL<sup>-1</sup>.

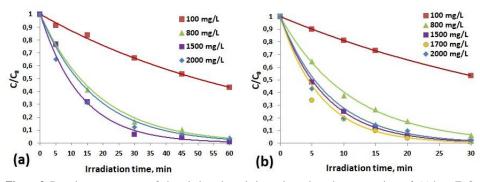
220 The degradation curves obtained for phenol as a function of the irradiation time in ultrapure water when 221 using bare and Ce-doped ZnO are plotted in Figure 3, while those obtained with the other photocatalysts are 222 shown in Figures S4-S5-S6 in the Supplementary Information. Figure 3 (a) reports the degradation 223 performed using bare ZnO at different concentrations, while panel (b) shows the degradation curves obtained 224 using ZnO doped with 1% of Ce, synthetized from chloride salt. These supplemental tests showed an 225 increase in the photochemical degradation rate at photocatalyst concentrations between 1500 mgL<sup>-1</sup> to 2000 226 mgL<sup>-1</sup>, with a relative "optimum" result achieved at 1700 mgL<sup>-1</sup>. At higher concentrations, the degradation 227 rate decreased. Possible reasons for such a slowdown of phenol photodegradation rate are a detrimental 228 effect of the photocatalyst due to back reactions 24 as well as adsorption of phenol on the catalytic material 229 and/or saturation phenomena. Similar results occurred also with Er- and Yb-doped photocatalysts.

230





234 Var.n. 1
235 Figure 2. Response surface contour plot. Y-axis dimensionless.



**Figure 3.** Reaction rate constant of phenol photodegradation at investigated concentrations of: (a) bare ZnO; and (b) ZnO doped with 1% of Cerium, synthesized from chloride salts CeZn1-Cl. C/C<sub>0</sub> indicates the ratio between the phenol concentration measured at a specific degradation time (C) and the initial concentration (C<sub>0</sub>).

k, min <sup>-1</sup>	Photocatalyst concentration, mgL <sup>-1</sup>				
Photocatalyst	100	800	1500	1700	2000
ZnO	0.014	0.055	0.078		0.059
CeZn0.5-Cl	0.02	0.077	0.085		
CeZn1-Cl	0.021	0.088	0.139	0.152	0.128
CeZn0.5-NO <sub>3</sub>	0.012	0.074	0.109		
CeZn1-NO <sub>3</sub>	0.014	0.074	0.114	0.167	
ErZn0.5-Cl	0.011	0.061			0.091
ErZn1-Cl	0.013	0.062		0.127	0.1
ErZn0.5-NO <sub>3</sub>	0.012	0.048			0.081
ErZn1-NO <sub>3</sub>	0.014	0.062		0.124	0.111
YbZn0.5-Cl	0.013	0.061			0.086
YbZn1-Cl	0.012	0.06		0.107	0.084
YbZn0.5-NO <sub>3</sub>	0.011	0.062			0.109
YbZn1-NO <sub>3</sub>	0.012	0.066		0.114	0.109

**Table 2.** Rate constants (k, min<sup>-1</sup>) of phenol degradation obtained in the presence of different photocatalysts

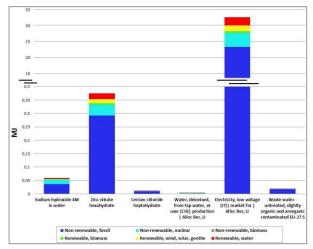
as a function of photocatalyst concentration. The samples were labelled as YZp-S where Y = dopant element

## 247 3.3 LCA for the photocatalytic process

248The investigation (evaluation) -about the consumed energy throughout the life cycle was assessed through the249CED method and, as an example, Figure 4 shows LCIA results accounting for the production of 1.6939 g of250CeZn1-Cl. The greatest energy requirement (i.e., about 98% of total CED) is associated to the electricity251consumed during the sample treatment at 175°C overnight, followed by the energy required for the synthesis

of ZnO from zinc nitrate and sodium hydroxide; conversely, the supply of the dopant is marginal. Similar

## process contributions were also achieved for the other photocatalytic systems, with relatively small difference due to the production route of dopant precursors.



**Figure 4**. Cumulative Energy Demand (CED) of the synthesized photocatalyst: Ce-doped (1%) ZnO

257 (CeZn1-Cl). Output of the synthesis is 1.6939 g of photocatalysts.

255

The effect of photocatalyst concentration on the energy requirement is explored in Figure 5, where CED results are computed as a function of CeZn1-Cl concentrations in the range 100-2000 mgL<sup>-1</sup>. The complete

degradation of 60 mgL<sup>-1</sup> of phenol in 5 ml of solution was selected as a functional unit for comparing the

261 different results. When employing the photocatalyst at 100 mgL<sup>-1</sup>, the complete photodegradation of phenol

262 requires a protracted irradiation time and it results in the largest amount of energy inputs in the investigated

263 domain. The system requires the lowest energy inputs when employing a concentration of 800 mgL<sup>-1</sup>. Then,

264 CED progressively increases at higher concentrations, but still remains lower than the energy inputs required

at 100 mgL<sup>-1</sup>. Absolute CED associated to electricity consumption remains almost constant from 1500 to

266 2000 mgL<sup>-1</sup>, so suggesting that the lowest energy requirement ensues for photocatalyst concentration  $\geq$  1500

267 mgL<sup>-1</sup>. On the other hand, the synthesis of the photocatalyst at intermediate  $(800 \text{ mgL}^{-1})$  to high (2000 mgL<sup>-1</sup>) to high (

268 <sup>1</sup>) concentrations requires higher CED, both in absolute and relative (i.e., percent contribution) terms,

contributing up to about 75% of total required energy.

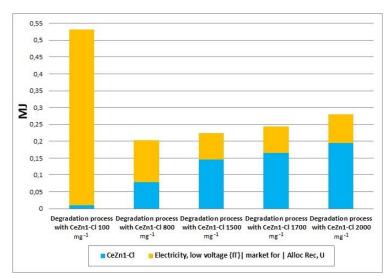
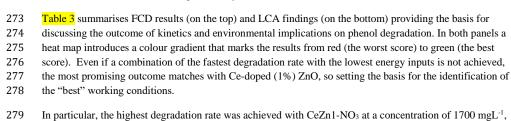


Figure 5. Comparison of the LCIA results for the degradation process using the Cumulative Energy Demand
 (MJ) method as a function of the photocatalyst concentration.



i.e. when employing the highest quantity of photocatalyst and dopant. The (relative) optimal working
conditions could be established by reduction 12% of photocatalyst concentration (i.e. from 1700 mgL<sup>-1</sup> to
1500 mgL<sup>-1</sup>) that results in 9% reduction on the degradation rate. Conversely, CED values assess that the
lowest energy requirement occurs for CeZn1-Cl at 800 mgL<sup>-1</sup>, while working at 1500 mgL<sup>-1</sup> and 1700 mgL<sup>-1</sup>

concentrations produce an increase of 11% and 18%, respectively. Therefore, the optimal conditions for the degradation of phenol in water are achieved in presence of CeZn1-Cl in the range 800-1500 mgL<sup>-1</sup>.

286

K, min <sup>-1</sup>					
photocatalyst	100 mgL <sup>-1</sup>	800 mgL <sup>-1</sup>	1500 mgL <sup>-1</sup>	1700 mgL <sup>-1</sup>	2000 mgL <sup>-1</sup>
ZnO	0.014	0.055	0.078		0.059
CeZn0.5- Cl	0.02	0.077	0.085		
CeZn1-Cl	0.021	0.088	0.139	0.152	0.128
CeZn0.5-NO <sub>3</sub>	0.012	0.074	0.109		
CeZn1-NO <sub>3</sub>	0.014	0.074	0.114	0.167	
ErZn0.5-Cl	0.011	0.061			0.091
ErZn1-Cl	0.013	0.062		0.127	0.1
ErZn0.5-NO <sub>3</sub>	0.012	0.048			0.081
ErZn1-NO <sub>3</sub>	0.014	0.062		0.124	0.111
YbZn0.5-Cl	0.013	0.061			0.086
YbZn1-Cl	0.012	0.06		0.107	0.084
YbZn0.5-NO <sub>3</sub>	0.011	0.062			0.109
YbZn1-NO <sub>3</sub>	0.012	0.066		0.114	0.109

			CED (MJ)		
photocatalyst	100 mgL <sup>-1</sup>	800 mgL <sup>-1</sup>	1500 mgL <sup>-1</sup>	1700 mgL <sup>-1</sup>	2000 mgL <sup>-1</sup>
ZnO	792	280	292		388
CeZn0.5- Cl	557	222	278		
CeZn1- Cl	531	202	225	238	280
CeZn0.5-NO <sub>3</sub>	922	227	249		
CeZn1-NO <sub>3</sub>	792	226	242	231	
ErZn0.5-Cl	1010	259			318
ErZn1-Cl	852	254		251	303
EZ0.5-H NO <sub>3</sub>	922	307			333
ErZn1-NO <sub>3</sub>	792	254		253	292
YbZn0.5-Cl	852	259			326
YbZn1-Cl	922	260		267	324
YbZn0.5-NO <sub>3</sub>	1010	256			299
YbZn1-NO <sub>3</sub>	922	243		261	294

Table 3. Kinetic results from Faced Centered Design experiments (top) and associated life cycle impact
 assessment results for Cumulative Energy Demand (CED, bottom). The heat map introduces a colour

290 gradient that differentiates the results from red (the worst score) to green (the best score).

291 The impact assessment was also performed using the Global Warming Potential IPCC 2013 (GWP) 100y, an

292 index useful for estimating the relative global warming contribution associated with the release of

greenhouse gases (GHG) and results are summarized in Table 4. LCIA analysis performed with the GWP

method reflects the same trend already observed with the CED method. The synthesis process involves the

release of  $1.8856 \text{ kg CO}_2$  eq to produce 1.6939 g of CeZn1-Cl (Figure S4); the degradation processes

performed with CeZn1-Cl as photocatalyst, at different concentrations, show the same emission trend of CO<sub>2</sub>
 equivalents with respect to the energy consumption obtained previously (Figure S5). When wW orking at the
 "best" operational conditions the reduction in electrical energy requirement to promote photodegradation of

299 phenol would determine a minimum of GHG emissions to 11.5-13.2 gCO<sub>2</sub> eq.

300

Consequently, lower concentrations of Ce-doped ZnO produced (from chloride precursor) may be preferable
 when the cost of electrical energy or of the catalytic materials is are prevailing, while the fastest degradation
 rates are instead achievable at higher concentrations and in particular from nitrate precursor.

304

Commentato [MCP1]: Scusa l'ignoranza ma LCIA è volute o un refuse di LCA???

			K, min <sup>-1</sup>		
photocatalyst	100 mgL <sup>-1</sup>	800 mgL <sup>-1</sup>	1500 mgL <sup>-1</sup>	1700 mgL <sup>-1</sup>	2000 mgL <sup>-1</sup>
ZnO	0.014	0.055	0.078		0.059
CeZn0.5- Cl	0.02	0.077	0.085		
CeZn1-Cl	0.021	0.088	0.139	0.152	0.128
CeZn0.5-NO <sub>3</sub>	0.012	0.074	0.109		
CeZn1-NO <sub>3</sub>	0.014	0.074	0.114	0.167	
ErZn0.5-Cl	0.011	0.061			0.091
ErZn1-Cl	0.013	0.062		0.127	0.1
ErZn0.5-NO <sub>3</sub>	0.012	0.048			0.081
ErZn1-NO <sub>3</sub>	0.014	0.062		0.124	0.111
YbZn0.5-Cl	0.013	0.061			0.086
YbZn1-Cl	0.012	0.06		0.107	0.084
YbZn0.5-NO <sub>3</sub>	0.011	0.062			0.109
YbZn1-NO <sub>3</sub>	0.012	0.066		0.114	0.109
	GWP (g CO <sub>2</sub> eq)				
Photocatalyst	100 mgL <sup>-1</sup>	800 mgL <sup>-1</sup>	1500 mgL <sup>-1</sup>	1700 mgL <sup>-1</sup>	2000 mgL <sup>-1</sup>
ZnO	45.0	15.9	16.7		22.1
CeZn0.5- Cl	31.7	12.6	15.8		
CeZn1-Cl	30.2	11.5	12.8	13.9	16.0
CeZn0.5-NO <sub>3</sub>	52.4	12.9	14.2		
CeZn1-NO <sub>3</sub>	45.0	12.9	13.8	13.2	
ErZn0.5-Cl	57.1	14.7			18.2
ErZn1-Cl	48.4	14.5		14.3	17.3
ErZn0.5-NO <sub>3</sub>	52.4	17.5			19.0
ErZn1-NO <sub>3</sub>	45.0	14.5		14.4	16.7
YbZn0.5-Cl	48.4	14.7			18.6
YbZn1-Cl	52.4	14.8		15.2	18.5
YbZn0.5-NO <sub>3</sub>	57.1	14.6			17.0
YbZn1-NO <sub>3</sub>	52.4	13.8		14.9	16.8

Table 4. Kinetic results from Faced Centered Design experiments (table at the top) associated to life cycle
 impact assessment results for Global Warming Potential (GWP). The heat map introduces a colour gradient
 that differentiates the results from red (the worst score) to green (the best score).

#### 308 Conclusive remarks

309 The photocatalytic process represents an attractive route for the degradation of hazardous pollutants in water

- 310 treatment and, for such, together with, it is also important not only the evaluation of the photodegradation
- 311 performance of these processes/materials, but also to investigate the possible environmental burdens
- 312 associated with them. In this work, we proved that an integrated application of DoE and LCA can enlighten
- the setting of operating conditions in water treatments for pollutants removal and environmental protection.
- DoE enabled to model the photodegradation of phenol in water solution as a function of type, concentration
- and precursor route of REE used as a dopant in ZnO photocatalysts, and concentration of the catalytic system
- 316 itself in water solution. LCA informed about the environmental impact outcomes for Cumulative Energy
- 317 Demand and Global Warming Potential associated to the catalytic systems under scrutiny, setting the basis
- 318 for achieving the greatest efficiency at the lowest environmental cost.
- 319 Results pointed out that even if highest degradation efficiency is obtained with 1700 mgL<sup>-1</sup> of ZnO doped
- 320 with 1% of rare earth element, it is desirable to perform the degradation process using a lower photocatalyst
- 321 concentration (around 800 mgL<sup>-1</sup>) so containing the environmental impact.

322 Perspectives of future work could consider to perform the photodegradation in a real water matrix, aimed to 323 assess whether the kinetic results and impacts associated with the degradation process reflect the results obtained in this study. A further improvement of the synthesized photocatalysts could be obtained if they 324 325 could be easily recovered from the aqueous solution. A way to extend their lifetime and therefore reduce the 326 impact associated with the production phase, could be to support these materials on fibres or membranes, so 327 that they can be easily removed from the treated matrix.

#### 328

#### 329 Acknowledgments

330 We acknowledge support from a Marie Curie International Research Staff Exchange Scheme Fellowship

- 331 (MAT4TREAT, proposal no. 645551) within the Horizon 2020 European Community Framework Programme.
- 332
- 333

334 Supporting Information. In the supporting information file, were reported both the significance of 335 coefficients for all the REEs-doped ZnO from chloride and nitrate precursors both the response surface 336 contour plot for all the photocatalysts. Also the degradation curves obtained for the degradation of the 337 phenol were reported; the curves were grouped per type of element used as dopant.

338

The LCIA results, using the Global Warming Potential IPCC 2013 GWP 100y method, of the synthesis of 339 CeZn1-Cl were also reported. As well as the comparison of the LCIA results for the degradation process

340 using CeZn1-Cl at different concentration, results obtained using the IPCC 2013 GWP 100y method.

341

#### 343 References

344	1)	Lee, K. M.; Lai, C. W.; Ngai, K. S.; Juan, J. C.; Recent developments of zinc oxide based
345		photocatalyst in water treatment technology: A review. Water Research. 2015.
346		http://dx.doi.org/10.1016/j.watres.2015.09.045.
347	2)	Calza, P.; Gionco, C.; Giletta, M.; Kalaboka, M.; Sakkas, V. A.; Albanis, T.; Paganini, M. C.
348		Assessment of the Abatement of Acelsulfame K Using Cerium Doped ZnO as Photocatalyst. J.
349		Hazard. Mater. 2017. https://doi.org/10.1016/j.jhazmat.2016.03.093.
350	3)	Paganini, M. C.; Dalmasso, D.; Gionco, C.; Polliotto, V.; Mantilleri, L.; Calza, P. Beyond TiO2:
351		Cerium-Doped Zinc Oxide as a New Photocatalyst for the Photodegradation of Persistent Pollutants.
352		ChemistrySelect 2016, 1 (12), 3377-3383. https://doi.org/10.1002/slct.201600645.
353	4)	Cerrato, E.; Gionco, C.; Berruti, I.; Sordello, F.; Calza, P.; Paganini, M. C. Rare Earth Ions Doped
354		ZnO: Synthesis, Characterization and Preliminary Photoactivity Assessment. J. Solid State Chem.
355		2018, 264 (April), 42-47. https://doi.org/10.1016/j.jssc.2018.05.001.
356	5)	Sordello, F.; Berruti, I.; Gionco, C.; Paganini, M. C.; Calza, P.; Minero, C. Photocatalytic
357		Performances of Rare Earth Element-Doped Zinc Oxide toward Pollutant Abatement in Water and
358		Wastewater. Appl. Catal. B Environ. 2019, 245 (September 2018), 159–166.
359		https://doi.org/S0926337318312062.
360	6)	Koltun, P.; Tharumarajah, A. Life Cycle Impact of Rare Earth Elements. ISRN Metall. 2014.
361		https://doi.org/10.1155/2014/907536.
362	7)	Navarro, J.; Zhao, F. Life-Cycle Assessment of the Production of Rare-Earth Elements for Energy
363		Applications: A Review. Front. Energy Res. 2014. https://doi.org/10.3389/fenrg.2014.00045.
364	8)	Kumar, A. A Review on the Factors Affecting the Photocatalytic Degradation of Hazardous
365		Materials. Mater. Sci. Eng. Int. J. 2017, 1 (3), 1–10. <u>https://doi.org/10.15406/mseij.2017.01.00018</u> .
366	9)	Márquez, J. A. R.; Herrera, C. M.; Fuentes, M. L.; Rosas, L. M. Effect of Three Operating Variables
367		on Degradation of Methylene Blue by ZnO Electrodeposited: Response Surface Methodology. Int. J.
368		Electrochem. Sci. 2012, 7 (11), 11043–11051.
369	10)	Reza, K. M.; Kurny, A.; Gulshan, F. Parameters Affecting the Photocatalytic Degradation of Dyes
370		Using TiO2: A Review. Appl. Water Sci. 2017, 7 (4), 1569–1578. https://doi.org/10.1007/s13201-
371		015-0367-у.
372	11)	) https://www.iso.org/standard/37456.html
373	12)	) Hellman, P. L.; Duncan, R. K. Evaluation of Rare Earth Element Deposits. Appl. Earth Sci. 2014.
374		https://doi.org/10.1179/1743275814Y.0000000054.
375	13)	) HJ., A.; Chudacoff, M.; Hischier, R.; Osses, M., A.; Primas, A. Life Cycle Inventories of
376		Chemicals. Ecoinvent Report No.8, v2.0. Final Rep. ecoinvent data 2007, No. 8, 1-957.
377	14	) Nuss, P.; Eckelman, M. J. Life Cycle Assessment of Metals: A Scientific Synthesis. PLoS One 2014.
378		https://doi.org/10.1371/journal.pone.0101298.
379	15	Talens Peiró, L.; Villalba Méndez, G. Material and Energy Requirement for Rare Earth Production.
380		JOM 2013. https://doi.org/10.1007/s11837-013-0719-8.
381	16	) August, M.; Process for preparing pulverulent hydrates of zinc nitrate, patent US3206281A.
382		) Blanchard, Arthur A.; Phelan, Joseph W.; Davis, Arthur R.; Synthetic Inorganic Chemistry. Fifth
383		edition. 286-287, 1936.
384	18	) Pitts, F.; Preparation of rare earth nitrates, patent US4231997A.
385		) John R. Rumble ; CRC Handbook of Chemistry and Physics, 99th Edition.
386		) Gupta, C.K.; Krishnamurthy, N.; Extractive metallurgy of rare earth; pag 214; 2005
387		) Sebastian, J.; Seifert, HJ.; Ternary chlorides in the systems ACl/YbCl <sub>3</sub> (A=Cs,Rb,K);

388 Thermochimica Acta 318(1998)29±37 https://doi.org/10.1016/S0040-6031(98)00326-8.

389	22) Frischknecht, R.; Editors, N. J.; Althaus, H.; Bauer, C.; Doka, G.; Dones, R.; Hischier, R.; Hellweg,
390	S.; Köllner, T.; Loerincik, Y.; et al. Implementation of Life Cycle Impact Assessment Methods;
391	2007. https://doi.org/citeulike-article-id:4863951.

- 392 23) Houghton JT; Y, D.; DJ, G.; M, N.; PJ, van der L.; X, D.; K, M.; C, J. Climate Change 2001: The
   393 Scientific Basis; 2001. <u>https://doi.org/10.1256/004316502320517344</u>.
- 394 24) Minero, C.; Vione, D. A Quantitative Evalution of the Photocatalytic Performance of TiO<sub>2</sub> slurries.
   395 Appl. Catal. B Environ. 2006. <u>https://doi.org/10.1016/j.apcatb.2006.05.011</u>.