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Foreseen Effects of Climate-Impacted Scenarios on the Photochemical Fate of Selected Cyanotoxins in Surface Waters

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Abstract

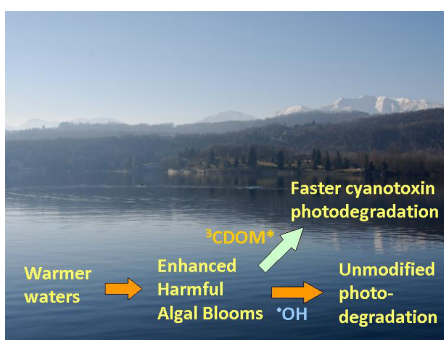
Cyanobacteria populate most water environments, and their ability to effectively exploit light and nutrients provide them with a competitive advantage over other life forms. In particular conditions, cyanobacteria may experience considerable growth and give rise to the so-called harmful algal blooms (HABs). HABs are often characterized by the production of cyanotoxins, which cause adverse effects to both aquatic organisms and humans and even threaten drinking water supplies. The concentration of cyanotoxins in surface waters results from the budget between production by cyanobacteria and transformation, including photodegradation under sunlight exposure. Climate change will likely provide favorable conditions for HABs, which are expected to increase in frequency over both space and time. Moreover, climate change could modify the ability of some surface waters to induce phototransformation reactions. Photochemical modeling is here carried out for two cyanotoxins of known photoreaction kinetics, which follow different phototransformation pathways, and for particular freshwater scenarios (summertime stratification in lakes, water browning and evaporative water concentration). On this basis, it is possible to quantitatively predict that the expected changes in water-

column conditions under a changing climate would enhance photodegradation of those cyanotoxins that are significantly transformed by reaction with the triplet states of chromophoric dissolved organic matter ($^3\text{CDOM}^*$). This is known to be the case of microcystin-LR, for which faster photodegradation in some environments would at least partially offset enhanced occurrence. Unfortunately, very few data are currently available into the role of $^3\text{CDOM}^*$ in the degradation of other cyanotoxins, which is a major knowledge gap in understanding the link between cyanotoxin photodegradation and changing climate.

Keywords: Microcystin-LR; Cylindrospermopsin; Sensitized phototransformation; Summer stratification; Water browning; Evaporative concentration; Extended drought periods.

Synopsis. Climate change is expected to enhance both the production of cyanotoxins in surface waters and, at least in some cases, their photodegradation.

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1. Introduction

Cyanobacteria are organisms that trace their origins to billions of years into the past, and they are ubiquitous in all aquatic environments. Cyanobacteria can undergo rapid growth under favorable conditions, which may result in what is called harmful algal blooms (HABs) events. These events, with frequencies that are impacted by both anthropogenic activities (e.g., enhanced nutrient inputs into reservoirs) and climatic factors,¹ result in numerous concerns, from damage to aquatic organisms to potential impacts to human health and animals, via exposure through recreation or potentially through potable water.²

The growth of cyanobacteria in water bodies is affected by water temperature, light availability, mixing vs. stratification conditions, and the presence of nutrients.³ Different species of cyanobacteria are able to exploit to their advantage the variable conditions that can be found in surface waters. In fact, some cyanobacteria grow at the water surface where radiation is particularly intense, and they tend to bloom during summer stratification of lake water,⁴⁻⁶ while others prefer conditions of low radiation intensity that can be found in turbid waters, e.g., during lake overturn.⁷ Cyanobacteria of different species also take advantage of variable levels of nutrients. Elevated values of phosphorus are usually favorable to cyanobacteria blooms; some species can also fix atmospheric nitrogen (e.g., *Anabaena flos-aquae*)⁸ and grow well in hypertrophic water bodies that are rich in phosphorus but where dissolved nitrogen is usually the limiting element for most living organisms.⁹ At the same time, the ability of cyanobacteria to quickly assimilate phosphorus allows them to grow even in oligotrophic and mesotrophic environments, where the supply of this element is low and often irregular in time.^{10,11}

Climate change is causing several modifications to the environmental conditions, many of which are or can become favorable to the growth of cyanobacteria. Warmer water during summer and a longer period of thermal stratification in lakes can favor the blooms of some organisms (e.g., *Microcystis*), as well as their likelihood to induce HABs.^{4,5,12,13} Climate change is also increasingly

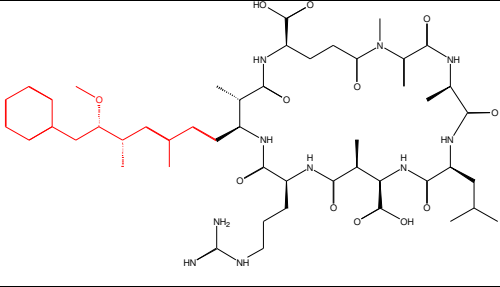
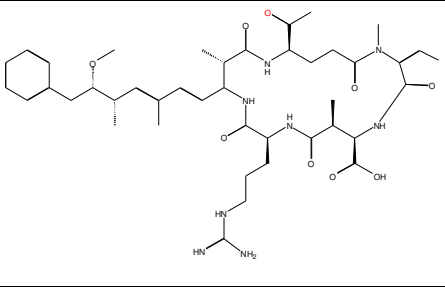
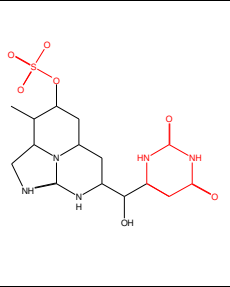
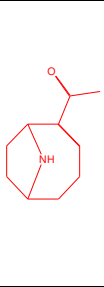
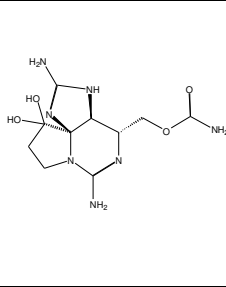
characterized by alternations of drought periods and floods that deeply alter the hydrology of water basins, and enhance the mobilization of nutrients.¹⁴⁻¹⁷ As mentioned above, availability of nitrogen and phosphorus, and intermittent supply for the latter, are all conditions that either prove favorable to the growth of cyanobacteria, or provide these species with a competitive advantage over other living organisms.

For the above reasons, surface freshwaters in the future might experience an increase in both the overall cyanobacteria blooms and the toxin-producing blooms, with a predicted higher occurrence of cyanotoxins in water environments.¹⁸ Both the environmental and human health impacts of cyanotoxins will have to be considered,¹⁹ as well as their transformation and fate in surface waters.^{20,21} A summary of the main cyanotoxins and the genera producing them is provided in **Table 1**.^{22,23} The fate of cyanotoxins in surface waters depends on both biotic and abiotic processes, with half lives in the order of hours to weeks,^{20,24} with photochemical processes potentially having faster kinetics compared to what would be observed for a biological process.

The possible impact of climate change on photochemical processes might thus play an important role in the future evolution of cyanotoxin concentration in surface waters. Some environments are expected not to undergo important modifications in photochemistry,²⁵ which could thus not offset higher HAB occurrence. In other cases, the impacts of climate change on the chemistry and hydrology of some surface freshwaters are expected to significantly affect photochemical transformations.²⁶ Here we consider some scenarios where the photochemical effects of climate change can be quantitatively predicted, at least as a first approximation, as well as two cyanotoxins (microcystin LR, cylindrospermopsin) for which photoreaction parameters are known well enough to allow for quantitative assessments.²⁰ Model predictions enable the identification of possible future trends in photodegradation kinetics and of key knowledge gaps, which prevent a clear understanding of how the fate of other cyanotoxins may be impacted by a warming future.

1 **Table 1.** A summary of the main families of cyanobacteria and of the most relevant cyanotoxins that can be produced in surface waters during
 2 HABs. The symbol “X” means that the given toxin is produced by the cyanobacteria under consideration. The main target organs in human body
 3 and the main photodegradation pathways (when known) of each family of toxins are also provided.^{20,22,23} Note that •OH = hydroxyl radical,
 4 ³CDOM* = excited triplet states of chromophoric dissolved organic matter, and d.p. = direct photolysis.

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	Genera	MIC ^a	NOD ^a	CYN ^a	ANA ^a	STX ^a
						
Nostocales	<i>Nostoc</i> , <i>Anabaena</i> , <i>Aphanizomenon</i> , <i>Cylindrospermopsis</i> , <i>Nodularia</i>	X	X	X	X	X
Chlorococcales	<i>Microcystis</i>	X				
Oscillatoriales	<i>Planktothrix</i> , <i>Oscillatoria</i> ,	X ^b			X	X
Main toxin target in human body ⇒		Liver (kidneys, lungs)	Liver	Kidneys (lungs, liver)	Nervous system	Nervous system
Main known photodegradation pathways ²⁰ ⇒		•OH, ³ CDOM*	Uncertain	•OH	d.p., •OH	Unknown

6 ^a MIC: microcystins; NOD: nodularins; CYN: cylindrospermopsin; ANA: anatoxins; STX: saxitoxins. The reported structures refer to microcystin-
 7 LR, nodularin, cylindrospermopsin, anatoxin-a and saxitoxin.

8 ^b MIC are produced by Oscillatoriales in small amount compared to ANA and STX.

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2. Summertime thermal stratification in lake water

Summers that become warmer because of climate change will favor the thermal stratification in lakes.²⁷ During stratification, surface and warm waters remain cut off from circulating and mixing with the bottom waters, allowing for changes in chemical and biological processes. Longer stratification of lake water means, on the one side, more time available for several species of cyanobacteria to grow and eventually produce toxins.^{12,13} On the other hand, it also provides more time for sunlight to induce toxin photodegradation^{20,21} by both direct and sensitized processes,^{26,28} the latter including the formation of different reactive intermediates from optically active species (most importantly, dissolved organic matter and inorganic nitrogen). The photochemical degradation processes usually follow pseudo-first order kinetics, with a degradation rate proportional to the concentration of the compound being degraded and with a fixed half-life time, which is the time needed to halve the compound's concentration.^{29,30}

It is hypothesized here that a HAB develops in the surface water layer (epilimnion) of a stratified lake; then, most cells die and release the toxin into the lake water. We assume that one has an initial concentration of toxin in the epilimnion, while the hypolimnion (the deep layer of the stratified lake) is toxin-free. Compared to a mixing lake, a stratified lake experiences enhanced photodegradation in the epilimnion which is better illuminated by sunlight than the whole water column.³¹ Therefore, the longer the stratification, the more efficient is cyanotoxin photodegradation in the epilimnion. Eventual lake overturn distributes the cyanotoxin in the whole lake volume, quickly decreasing concentration in the epilimnion, increasing that in the hypolimnion, and slowing down cyanotoxin photodegradation (**Figure 1**). Additional assumptions were here made to simplify calculations: (i) The lake is a stationary system where water residence time is much longer than the time scale of photochemical reactions, which well applies to large lakes with limited water inflow or outflow; (ii) Virtually all of the incident radiation is absorbed in the epilimnion, so that the hypolimnion is in the dark. This is reasonable in many systems, considering that photochemically

active radiation (300-500 nm) has shorter wavelength and thus penetrates even less than photosynthetically active radiation in the water column. Furthermore, the presence of abundant solid material in suspension (i.e., dead cells) causes scattering phenomena that increase the optical path length of radiation in water,³² thereby enhancing absorption in an even shallower surface layer; (iii) At overturn, the epilimnion is diluted with an equal volume of toxin-free hypolimnion water. The combination of assumptions (ii,iii) ensures that kinetics of toxin photodegradation is halved in the mixing lake compared to the epilimnion during stratification (at overturn, the same radiation is absorbed in double volume).

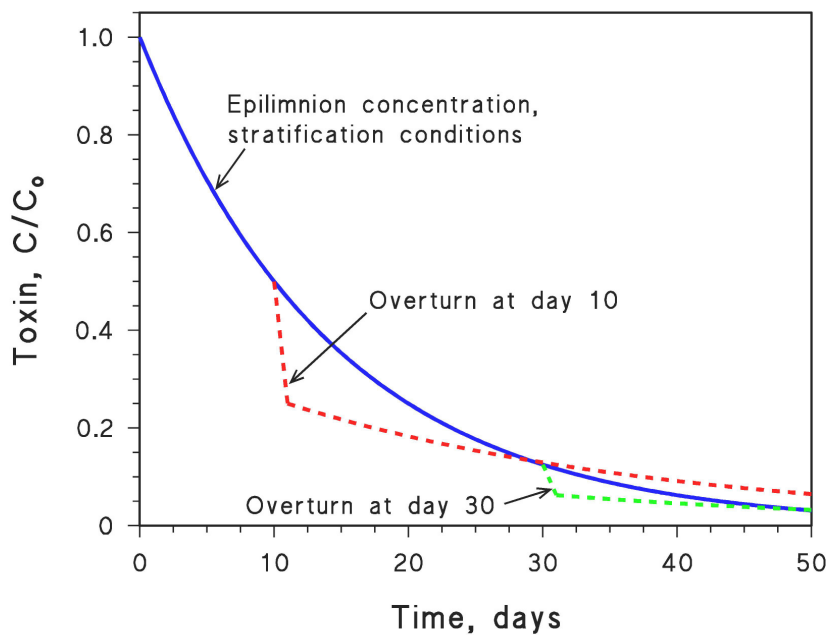


Figure 1. Simplified time trends of the concentration of a cyanobacterial toxin in the epilimnion of a stratified lake, due to photodegradation with a half-life time ($t_{1/2}$) of 10 days (first-order degradation rate constant $k_d = 0.069 \text{ day}^{-1}$), as per microcystin-LR under reasonably favorable conditions (mid-latitude summertime, taking the day-night cycle into account, *vide infra*). The dashed curves were obtained under the assumption that the lake underwent overturn at day 10 or at day 30. As a consequence of overturn, it was further assumed that the epilimnion was diluted with an equal volume of toxin-free water, and that the subsequent photodegradation kinetics in the whole lake volume was halved compared to that observed in the epilimnion before overturn.

It is shown in **Figure 1** that early overturn (dashed curves) would slow down cyanotoxin photodegradation but also cause a quick decrease of the concentration of cyanotoxin at the water surface, due to dilution by toxin-free deep water. The other side of the coin is, of course, an equally fast increase of toxin concentration in deep water. In contrast, longer stratification as could be caused by climate change (solid curve) would keep the toxin in the epilimnion, where it undergoes effective photodegradation and maintains the hypolimnion toxin-free till water overturn. The figure shows that it would take 20 days (i.e., $t = 2 t_{1/2}$) for faster photodegradation to compensate for concentration decrease at overturn.

Longer stratification/late overturn clearly modify the overall lake conditions, with important effects on toxin concentration and photodegradation kinetics. Actual ecological consequences may be variable depending on the impact of different toxin concentrations in different environments (epilimnion vs. hypolimnion), the use of lake water by human activities (e.g., recreation or drinking water), the depth at which water is taken up from the lake, if applicable, and whether or not the hypolimnion becomes anoxic during water stratification. If the hypolimnion maintains sufficient oxygen to host fish and other aerobic life forms, these could escape from toxic water at the surface in the stratification scenario.

3. Summer stratification and water browning

In some environments, climate change might affect summer stratification in an additional way. Increased precipitation, or an increased frequency of extreme rain events can enhance the export of organic matter from soil to surface waters, thereby increasing both the content of dissolved organic carbon (DOC) and that of the chromophoric dissolved organic matter (CDOM). Lake water will thus become darker and more carbon-rich, the first effect being more immediately evident and lending its name to the phenomenon (*water browning*, or *brownification*).^{33,34} Strong

precipitation events also enhance export of nutrients from the basin to the water bodies,^{16,17} which can favor algal growth.

Compared to the original lake water, brownified water is darker and less conducive to the penetration of sunlight, which is thus able to heat up only a smaller fraction of the lake volume. This phenomenon affects thermal stratification, because water browning causes the epilimnion to become shallower.³⁵ Browning is expected to mostly affect relatively large lakes with long water residence times, where the DOC concentration is currently lower (on average) compared to smaller lakes.³⁴

In a Lambert-Beer approximation, the spectral photon flux density of sunlight at the depth d ($p(\lambda, d)$) can be expressed as follows:³⁶

$$p(\lambda, d) = p^\circ(\lambda)[1 - 10^{-A_1(\lambda)d}] \quad (1)$$

where $p^\circ(\lambda)$ is the spectral photon flux density at the water surface and $A_1(\lambda)$ is water absorbance at unit depth. As a first approximation one has $A_1(\lambda) = A_0 \text{ DOC } e^{-S\lambda}$,³⁶ where A_0 is a proportionality factor and S is the spectral slope. By considering this in **Eq. (1)**, one has that light penetration in water depends on the product $\text{DOC} \times d$. Water browning increases the DOC value (as well as the CDOM content), thereby decreasing at the same time the depth of the epilimnion, d_{epi} .^{35,37} Again as first approximation, one might assume that the gradual increase (year after year) of the DOC value would affect d_{epi} , so that the product $\text{DOC} \times d_{\text{epi}}$ remains constant.

To see how this phenomenon might affect cyanotoxin photodegradation, we will consider two different compounds with rather well known degradation kinetics and pathways. Also note that we are only considering CDOM, nitrate and nitrite as sensitizers, given the limited work on the potential for other algae components to sensitize photochemical degradation. Microcystin-LR (MC-LR) has known second-order reaction rate constant with $\bullet\text{OH}$, $k_{\text{MC-LR} + \bullet\text{OH}} = 1.13 \times 10^{10} \text{ L mol}^{-1} \text{ s}^{-1}$.³⁸ However, it is known from laboratory irradiation experiments (cm-range water depth) that reaction with $\bullet\text{OH}$ accounts for only ~15% of the overall photodegradation of MC-LR, while the

rest is accounted for by reactions with triplet state CDOM (${}^3\text{CDOM}^*$).^{20,39} Given the experimental conditions,³⁹ this datum is consistent with a second-order reaction rate constant with ${}^3\text{CDOM}^*$ around $k_{\text{MC-LR}+{}^3\text{CDOM}^*} = 1.5 \times 10^9 \text{ L mol}^{-1} \text{ s}^{-1}$. Note that this rate constant is expressed in relationship to the reaction rate constant between ${}^3\text{CDOM}^*$ and 2,4,6-trimethylphenol.^{40,41} In contrast, cylindrospermopsin (CYN) undergoes degradation mainly by reaction with $\bullet\text{OH}$, with second-order reaction rate constant $k_{\text{CYN}+\bullet\text{OH}} = 5 \times 10^9 \text{ L mol}^{-1} \text{ s}^{-1}$.^{42,43} There is evidence that the reaction of CYN with ${}^3\text{CDOM}^*$ is not important²¹ (for most other cyanotoxins the importance of this reaction is simply not known), while neither CYN nor MC-LR undergo significant direct photolysis.²⁰ The photodegradation kinetics of the two compounds in an epilimnion with increasing DOC and decreasing depth, as expected in the case of browning waters (assuming constant $\text{DOC} \times d_{\text{epi}}$), is reported in **Figure 2**. It should be remarked that CYN is also known to react significantly with $\text{CO}_3^{\bullet-}$,⁴⁴ which is mainly formed upon oxidation of HCO_3^- and CO_3^{2-} by $\bullet\text{OH}$.⁴⁵ The degradation of CYN by $\text{CO}_3^{\bullet-}$ is expected to slow down considerably with increasing DOC in the conditions of **Figure 2**, because the process is very efficiently inhibited by dissolved organic matter.^{37,44}

The increasing photodegradation kinetics of MC-LR in the epilimnion with increasing DOC and decreasing d_{epi} , as shown in the figure, would be accounted for by enhanced reaction with ${}^3\text{CDOM}^*$, because the steady-state [${}^3\text{CDOM}^*$] in such conditions increases with increasing DOC.³⁷ In contrast, [$\bullet\text{OH}$] would remain almost constant in the epilimnion, because enhanced $\bullet\text{OH}$ scavenging by increasing DOC would be offset by decreasing d_{epi} (that is, $\bullet\text{OH}$ concentrations in the epilimnion in this case are practically independent of the DOC).³⁷ This consideration accounts for the absence of important modifications in the predicted photodegradation kinetics of CYN. The latter is also quite slow, coherently with model predictions about the behavior of compounds that mostly react with $\bullet\text{OH}$ in these environments.³⁷ In contrast, browning could lead to an important

enhancement of phototransformation, in the epilimnion, of compounds that like MC-LR react with $^3\text{CDOM}^*$ to a significant extent.

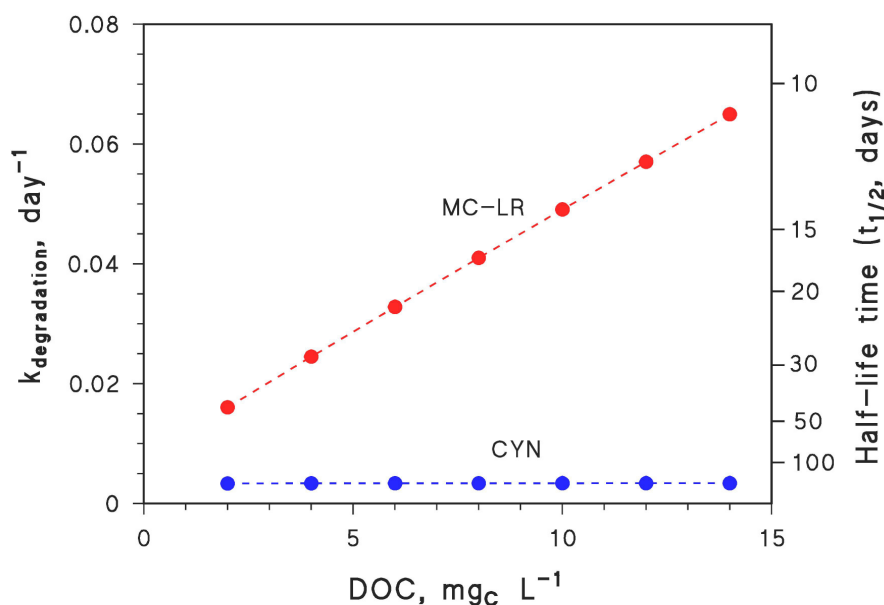


Figure 2. Modeled photodegradation kinetics (left Y-axis: first-order rate constants; right Y-axis: half-life times) of MC-LR and CYN, as a function of the DOC value of water, assuming constant $\text{DOC} \times d_{\text{epi}} = 30 \text{ mg}_C \text{ L}^{-1}$. Other water conditions: $10^{-4} \text{ mol L}^{-1} \text{ NO}_3^-$, $10^{-6} \text{ mol L}^{-1} \text{ NO}_2^-$, $10^{-3} \text{ mol L}^{-1} \text{ HCO}_3^-$, $10^{-5} \text{ mol L}^{-1} \text{ CO}_3^{2-}$. Photochemical modeling was carried out with the APEX software (Aqueous Photochemistry of Environmentally-occurring Xenobiotics).³¹ Note that $t_{1/2} = 0.693 k^{-1}$.

4. Evaporative water concentration

The irregular precipitation regime that might be experienced in many regions of the world, as a consequence of climate change, might easily produce conditions where extended periods of drought are abruptly ended by heavy rainfall.^{14,15} During drought periods, water scarcity combined with intense heat might produce the phenomenon of evaporative water concentration. According to this phenomenon, which has for instance been observed in the Australian Lower Lakes during the so-called *Millennium drought*,^{46,47} water is lost but non-volatile solutes are not, which causes an increase in both salinity and the concentration values of most solutes. These changes in water

chemistry and depth have interesting implications for photochemical transformation processes,^{26,48} which are amenable to photochemical modeling. By taking again the behavior of MC-LR and CYN into account, the implications of evaporative water concentration for cyanotoxin photodegradation are reported in **Figure 3**. The photodegradation kinetics of MC-LR is shown to be considerably enhanced by water evaporation, because of the acceleration of degradation by ³CDOM*. In contrast, the reaction kinetics with •OH is not modified significantly by evaporative concentration.

The reason is that, in the case of •OH, water concentration enhances both the •OH sources (NO₃⁻, NO₂⁻, CDOM) and the •OH sinks (mostly dissolved organic matter, DOM). By proportionally increasing the rates of both •OH formation and scavenging, the steady-state [•OH] remains unaltered.⁴⁸ In contrast, while CDOM is the ³CDOM* source, the only important ³CDOM* sink is represented by dissolved O₂. Because CDOM undergoes evaporative concentration but volatile O₂ does not, water evaporation increases the concentration of ³CDOM* sources but not that of the scavengers. Therefore, the overall result is an enhancement of [³CDOM*] and of the related processes.⁴⁸ Coherently with the reported scenario, the degradation kinetics of CYN that mostly reacts with •OH would not change significantly as water evaporates (a similar behavior is also expected for the reaction between CYN and CO₃^{•-}),⁴⁸ while MC-LR photodegradation would accelerate.

Reaction kinetics are known to be affected by temperature, as an increase in temperature accelerates reaction rates.^{49,50} However, in the case of MC-LR and CYN the reaction rate constants $k_{MC-LR+•OH}$, k_{MC-LR+^3CDOM*} and $k_{CYN+•OH}$ are quite high, which means that these reactions have low activation energies. Therefore, the effect of temperature on the indirect photochemical degradation of MC-LR and CYN is expected to be small, especially in the case of MC-LR where other environmental parameters would play a more important role.

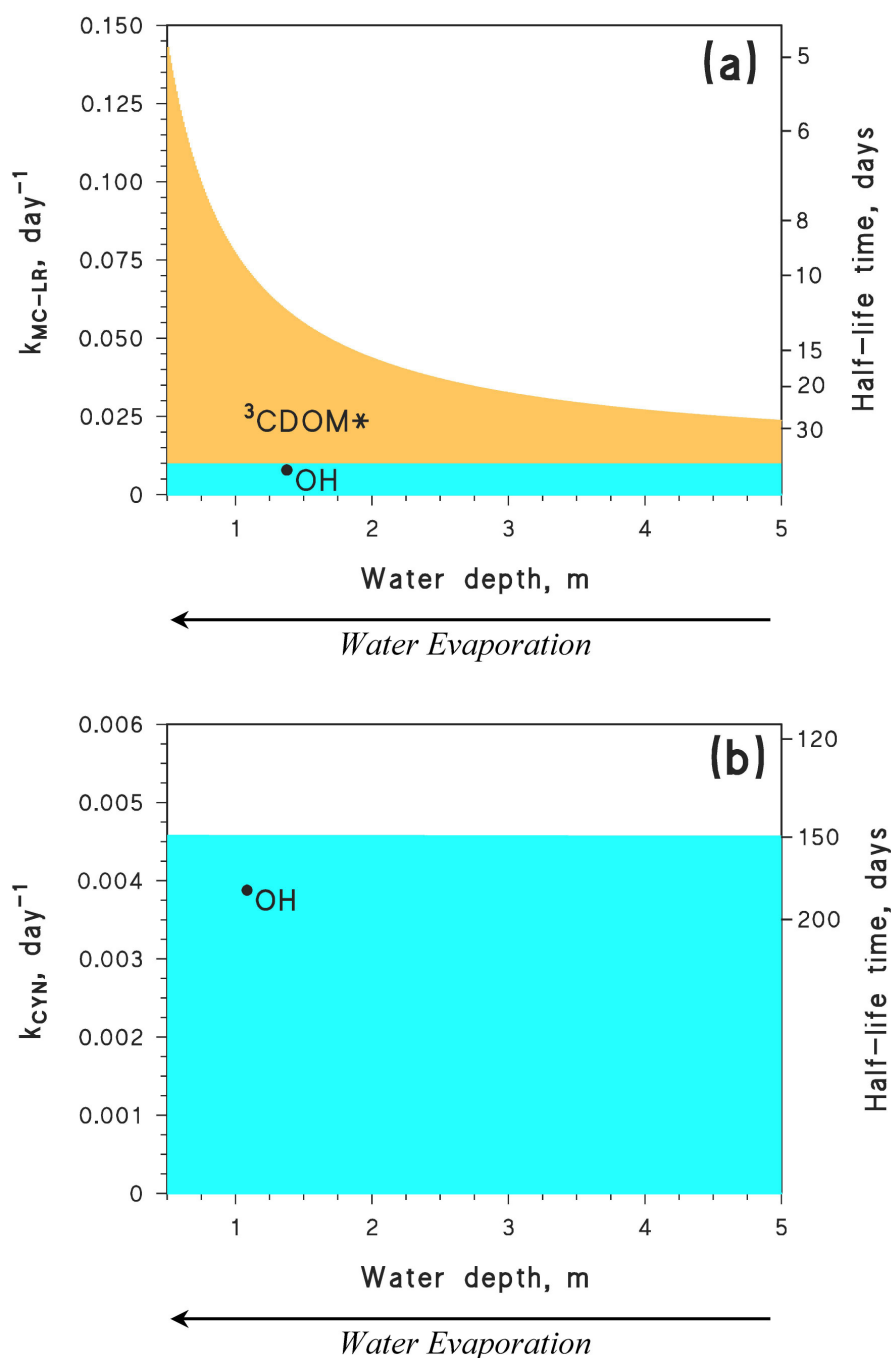


Figure 3. Modeled photodegradation kinetics (rate constants and half-life times, with $t_{1/2} = 0.693 k^{-1}$) of MC-LR (a) and CYN (b) in lake water undergoing the phenomenon of evaporative concentration. The color code highlights the different photochemical reaction pathways. Initial water conditions ($d = 5$ m): $4 \text{ mg}_C \text{ L}^{-1}$ DOC, $2 \cdot 10^{-4} \text{ mol L}^{-1} \text{ NO}_3^-$, $2 \cdot 10^{-6} \text{ mol L}^{-1} \text{ NO}_2^-$, $2 \cdot 10^{-3} \text{ mol L}^{-1} \text{ HCO}_3^-$, $2 \cdot 10^{-5} \text{ mol L}^{-1} \text{ CO}_3^{2-}$. Simulations were carried out with the APEX software.³¹ By comparison, note that during the Millennium Drought the average water depth in the Australian Lower Lakes decreased from 2.4 to 1.2 m (Lake Alexandrina) and from 1.5 to 0.5 m (Lake Albert).⁴⁸

5. Environmental implications

As the planet continues to experience climate change, the frequency of HABs will continue to increase, and also the geographical distribution of these events will expand as the temperature increases. Although significant work has been dedicated to understanding different aspects of cyanotoxin formation and occurrence, more emphasis is needed on understanding the natural degradation pathways for these compounds.

Here we discuss how different scenarios that are impacted by climate change may affect photodegradation of the only two cyanotoxins for which photochemical kinetics is known in sufficient detail, namely, MC-LR and CYN. Faster photodegradation of MC-LR in the presence of water browning or evaporation will at least partially offset its more widespread occurrence. Enhanced photodegradation is predicted for MC-LR because it reacts significantly with $^3\text{CDOM}^*$, while no important changes are expected for CYN that mostly reacts with $\cdot\text{OH}$ (and, additionally, with $\text{CO}_3^{\cdot-}$). Very little is currently known about the reactivity of other cyanotoxins with $^3\text{CDOM}^*$, which is a major knowledge gap when trying to figure out the possible future evolution of cyanotoxin photodegradation in freshwater.

This contribution only considers freshwater scenarios. In the case of seawater, the role of $\cdot\text{OH}$ in photodegradation is decreased by its efficient scavenging by Br^- . The scavenging process yield halogen radicals like $\text{Br}_2^{\cdot-}$ and $\text{BrCl}^{\cdot-}$, which are also known to cause cyanotoxin degradation.⁵¹ However, the large water mass of the ocean responds very slowly to climate change, differently from freshwater lakes that have much smaller thermal capacity.⁵² Therefore, important climate-related changes are probably not foreseen for the photodegradation kinetics of cyanotoxins in seawater.

Conflict of interest. The authors declare no competing interests.

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