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# 1 Simulating wildfires with lab-heating experiments: drivers and

# 2 mechanisms of water repellency in Alpine soils.

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## 9 Abstract

10 Wildfires induce deep modifications in soils. Water Repellency (WR) is one of the prime edaphic properties 11 experiencing alterations upon heating. Despite occurrence, extent and persistence of burning-induced soil 12 WR has been extensively discussed, the dynamics at the basis of its formation (and loss) are still widely 13 unclear. The vast majority of research on this topic has been conducted in the Mediterranean area, even if alpine environments are far from being untouched by fires. Alpine soils are less developed than 14 15 Mediterranean ones, and differ in mineralogy and composition of organic matter (and thereby present 16 different interactions). We thus wanted to clarify some key mechanisms regulating WR thermal alterations 17 in an understudied environment.

Our sampling design aimed at collecting soils representative of the Western Alps. Sample heating was performed in the lab at fixed temperatures (up to 300° C), on a set of A horizons developed under pine and beech forest covers. Water Drop Penetration Time (WDPT) and Sessile Drop Contact Angle (CA) were used to test WR. Soils were analyzed in terms of organic matter (OM), pH, serpentine relative abundance, texture and DCB-extractable iron (Fe).

23 WR was found to be extremely variable. Soils rich in OM, especially if containing abundant aromatic 24 compounds, were found to be the most hydrophobic at room temperature. In samples exhibiting an 25 increase in WR upon burning, repellency was maximized in correspondence of 200° C. WR was drastically 26 lost when samples were heated at temperatures greater than 200° C. Above this threshold, pH 27 systematically increased and the percentage of organic carbon (OC) sharply decreased. The change in pH is 28 likely to have triggered an increase in the negative charge of mineral surfaces, resulting in a significant OM 29 desorption and OC oxidation, eventually leading to a super-hydrophilic behavior in soil. The present 30 investigation evidenced the susceptibility of Alpine soils towards thermal alteration, addressing the factors 31 (organic matter composition and mineralogy) that mostly influence the hydrophobic behavior of these 32 soils.

## 33 **1. Introduction**

34 Wildfires are ecosystem shapers (Pickett and White, 1985) that deeply alter canopy and litter cover, causing 35 the redistribution of light and nutrients (Mataix-Solera and Cerdà, 2009). Fires affect a wide variety of 36 chemical, physical and biological soil properties (Certini, 2005). High severity wildfires can heat soils up to 37 675° C, while temperatures below 450° and 250° C are experienced, respectively, during moderate and low 38 fire intensities (Janzen and Tobin-Janzen, 2008). Usually, only the topsoil is actually heated by a fire due to low soil thermal conductivity (DeBano et al., 1998). Fuel type and duration of the event determine the 39 degree of soil alterations (Robichaud, 2000), with changes in soil pH (Glaser et al., 2002), organic matter 40 41 (OM) abundance and composition (Knicker, 2011; Merino et al., 2018), mineralogy (Araya et al., 2016; 42 Reynard-Callanan et al., 2010), aggregate size and stability (Andreu et al., 2001; Mataix-Solera et al., 2011). 43 The variations in soil properties concur in altering soil water repellency (WR) (Varela et al., 2010), one of 44 the most important soil parameters generally evaluated after a wildfire (Plaza-Álvarez et al., 2018).

45 Water repellency causes a reduction in the water infiltration capacity of a soil and may be present even in 46 unheated soils, at their natural state (Bisdom et al., 1993). Wildfires can trigger an increase in soil 47 hydrophobicity (Malkinson and Wittenberg, 2011), as a greater WR can be found in soils experiencing high48 severity wildfires (Ferreira et al., 2005). A relevant role regarding soil WR has been attributed to OM 49 contents, composition and its interactions with the soil mineral phase (Atanassova and Doerr, 2010). 50 Aromaticity of OM increases with heat severity (Baldock and Smernik, 2002): during charring, aliphatic 51 hydrocarbons transform into polycyclic aromatic hydrocarbons (PAH) (Knicker, 2011). PAH migrate 52 downwards into the soil medium following a temperature gradient and condense as coatings on mineral 53 particles (DeBano, 2000). Resins, waxes and aromatic compounds are, among the charred organic residues, 54 responsible for an enhancement in hydrophobicity (Arcenegui et al., 2008). In addition, amphiphilic 55 compounds are able to cluster in micelles that, by exposing their hydrophobic moieties to the exterior, 56 shield the inner region from direct contact with water molecules (Wandruszka et al., 1999). The hydrophilic 57 head of amphiphilic compounds is usually bound to mineral hydroxylated surfaces by means of ligand-58 exchange reactions (Tiberg et al., 1999),. As intense heating triggers OM volatilization (Knicker et al., 2006), 59 water repellent organic coatings are generally destroyed above 250° C (DeBano, 2000). Considering the 60 importance of OM composition in WR formation, a tight link exists between the type of vegetation (and 61 thus of litter) that undergoes burning and the degree of WR exhibited by the underlying soil. Evergreen 62 species have been appointed as able to trigger extreme WR, as shown by studies conducted on soils under 63 Pinus pinaster Aiton and Pinus halepensis Mill. burnt stands (Arcenegui et al., 2008).

64 The role played by the soil mineral phase should not be disregarded. It is widely accepted that coarse-65 textured soils display a far greater WR because of a low surface area, consequently experiencing a more 66 efficient OM coating effect (Scott, 2000). Nevertheless, even clay-rich soils can give rise to extreme WR 67 upon burning (Doerr et al., 2000), either because heat leads to the cementation of small aggregates into 68 bigger ones (Bisdom et al., 1993) or because OM loadings are sufficient to cover the greater surface of 69 smaller-sized particles (Doerr et al., 1996). However, little is known about the effect of specific clay 70 minerals. WR was found to be inhibited in presence of kaolinite (Mataix-Solera et al., 2008), likely because of the exposure of the hydrophilic octahedral sheet (Šolc et al., 2011). A similar WR inhibition was however 71 also observed in presence of Ca-montmorillonite (Dlapa et al., 2004). When dealing with factors affecting 72 73 soil WR, the abundance of soil iron (Fe) oxides and hydroxides should also be taken into consideration. Fe minerals of great surface area, such as ferrihydrite (Cornell and Schwertmann, 2003), are commonly found
in topsoils and could directly trigger either a higher or lower wettability depending on the balance between
the larger surface area and the high affinity for OM sorption (Newcomb et al., 2017).

77 Deciphering the mechanisms involved in soil WR formation is of prime relevance. Most of the studies 78 dealing with WR have been conducted in Mediterranean environments (e.g. Arcenegui et al., 2008; Mataix-79 Solera et al., 2008; Varela et al., 2010), even though Alpine ecosystems are far from being untouched by 80 fires and are extremely prone to runoff and erosion triggered by WR (Certini, 2005). The soils of the Alps 81 are different from the Mediterranean ones in terms of both OM and mineralogy. Kaolinite, for example, is 82 relatively common in areas with Mediterranean climates while it is mostly lacking in the Alps because of a 83 lower degree of soil development. Another T-O phyllosilicate, similar to kaolinite, is anyway often present in alpine soils in relation to the occurrence of serpentinite rocks (Legros, 1992). Serpentinites are 84 85 metamorphic rocks mostly composed of serpentine, a group of 1:1 Mg layer silicates, with accessory 86 chlorites, talc, olivine, and pyroxenes, often in combination with magnetite and chromite (Moody, 1976). 87 Alpine soils developing on these rocks are poor in plant nutrients but contain high levels of Fe, Mg and 88 heavy metals like Ni and Cr (D'Amico et al., 2014). Serpentine, as kaolinite, possesses a low surface area 89 alongside with hydrophilic surfaces (Dixon et al., 1989). However, due to the presence of Mg in the 90 octahedral sheet, its hydrophilicity should be higher than that of kaolinite.

Laboratory-controlled heating experiments are widely adopted to mimic the behavior of different fire typologies/intensities. Nevertheless, only a small portion of the existing studies aimed at targeting transformations in superficial wettability in connection to heating-induced changes in OM and soil mineralogical composition. Plus, none investigated the typical features of Alpine soils as, for example, the role of serpentine in relation to soil wettability It yet has to be clarified whether this phyllosylicate acts as a WR inhibitor (wettable tendency) or booster (low surface area)

97 Thus the aims of this paper weare: i) to investigate the occurrence and evolution of heating-induced WR in
98 Alpine soils , and ii) to unravel the role of soil OM and mineral phases on natural and heating-induced WR.

# 99 2. Materials and methods

#### 100 2.1. Study area, soil sampling and heating treatment

101 The study was conducted on a set of soils collected in two neighboring valleys located in Piedmont, North-102 Western Italy (see Supp. Info, Figure S.1) at elevations ranging from 1004 to 2037 m a.s.l. The whole area is 103 characterized by a pre-alpine climate with average mean temperatures of about 6° C and annual 104 precipitation that used to be 800 mm (IPLA, 2001; IPLA, 2000). A shift towards drier conditions was 105 however observed by averaging the data of the last twenty years, with 630 mm of annual precipitation 106 (http://webgis.arpa.piemonte.it/geoportale/). Both valleys belong to the geological Complex of Schists and 107 Green stones, with the presence of different lithological formations like ophiolitic outcrops (amphibolites 108 and serpentinites), calcschists and acid metamorphic rocks (micaceous schists and gneiss) (Servizio 109 Geologico d'Italia, 2009). The samples were collected below two dominant forest types: European beech 110 (BE series, 10 samples) and Scots pine (PI series, 8 samples) stands (Table 1). Soils of this area are mostly 111 little developed and can thus be classified as Regosols and Cambisols, according to the WRB (IUSS Working 112 Group WRB, 2014). The sampling sites were chosen to specifically fall in areas that did not experience burning in the last 10 years. 113

114 Soil sampling was performed by removing litter and organic horizons until the mineral soil surface was 115 exposed, then the upper A horizon was collected. Soil samples were air-dried, sieved (2 mm) and stored at 116 room temperature until laboratory analysis. Each sample was divided into six homogeneous subsamples. Ten g of each subsample were placed in aluminum cups with a base of 20 cm<sup>2</sup>, resulting in samples with a 117 118 thicknesss of less than 0.5 cm. One cup was kept at room temperature, while each of the others was oven 119 heated for 30 minutes in a furnace equipped with a thermocouple at a specific temperature (T): 100°, 150°, 120 200°, 250° and 300° C. A heating time of 30 minutes was selected in accordance to with recent studies 121 (Araya et al., 2017, 2016; Varela et al., 2010). Existing literature reported that loss of WR is generally 122 experienced close to 250° C, therefore we selected such T values in order to mimic low-moderate fire 123 intensities (Janzen and Tobin-Janzen, 2008) and be able to observe changes in WR.

#### 124 **2.2.Soil analyses**

#### 125 2.2.1. Soil Water Repellency estimation

Soil WR was evaluated by using both the Water Drop Penetration Time (WDPT) and the Sessile drop Contact Angle (CA) determination methods. The former was adopted for unheated and heated samples (at all T) to monitor the persistence of WR, while the latter was employed only in the case of unheated samples to estimate their initial and maximum hydrophobicity.

130 XXXXX. To ensure comparability of results, WDPT was evaluated after keeping the samples in a vacuum
 131 chamber for 30 minutes. Room relative humidity was verified to be always around 30-50 % during WDPT
 132 testing (Beatty and Smith, 2010; Diehl et al., 2010; Papierowska et al., 2018). We employed a standard 0.1
 133 mHL dropper to place 10 drops of distilled water on the soil flattened surface.

134 When water infiltration occurs, CA between the liquid and the gaseous phase (internal angle of the water 135 drop) is ≤90° (Letey, 1969). CA was determined on 2 mm sieved soil samples (Bachmann et al., 2000; 136 Papierowska et al., 2018). The soil was spread over a glass slide, pressed with a 100 g weight for about 5 s, 137 and a drop of water (20 µL) was placed on the soil flattened surface. Measurements were performed in 138 triplicate (Diehl et al., 2010). The placing of the water drops onto the soil surface was recorded with a 25x 139 digital camera and the frame taken at the exact moment of water-soil contact was used for CA 140 measurement. As CA tends to reduce with time (from contact, at time zero, to water penetration into the 141 soil), we considered the maximum and most significant observable value (Beatty and Smith, 2010). A semi-142 automated procedure was implemented for CA determination. Each image was processed with MATLAB 143 2020a to highlight the boundaries of the drop. The Processing Toolbox was used for edge detection after 144 gray scaling. The images were then imported in ImageJ and the Contact Angle Tool was employed. CA was 145 calculated on the base of the ellipse-derived drop fitting (Diehl and Schaumann, 2007). Figure S.2 (see 146 Supp. Info) displays two images of drops set on two different samples, with edge enhancement and ellipse-147 derived CA.

#### 148 2.2.2. Soil physical, chemical and mineralogical analyses

149 Soil particle size distribution (PSD) was determined using the pipette method after dispersion with Na-150 hexametaphosphate (Gee and Bauder, 1986). Size classes were classified as: coarse sand (2000-200 μm), 151 fine sand (200-50  $\mu$ m), coarse silt (50-20  $\mu$ m), fine silt (20-2  $\mu$ m) and clay (<2  $\mu$ m). Soil pH was evaluated in 152 a 1:2.5 soil:deionized water suspension after 2 h shaking (Procedures for Soil Analysis, 2002). Total C and N 153 were analyzed by dry combustion using a Unicube CHNS Analyzer (Elementar, Langenselbold, Hesse, 154 Germany). Carbonate content was determined volumetrically after HCl treatment (Nelson, 1982) and inorganic C content was subtracted from total C to obtain organic carbon (OC) content. Pseudo-total Ni was 155 extracted with concentrated hot HNO<sub>3</sub>-HCl digestion (Soil Survey Staff, 2014), followed by element 156 157 concentration determination by atomic absorption spectrometry, AAS (PerkinElmer AAnalyst 400, Norwalk, 158 CT, USA). Total Fe oxides were extracted using a sodium dithionite-citrate-bicarbonate (DCB) solution (Mehra and Jackson, 1960) and Fe content was determined by AAS. Specific Surface Area (SSA, m<sup>2</sup> g<sup>-1</sup>) was 159 160 quantified by performing the methylene blue stain test (Chiappone et al., 2004).

161 Clay was separated by sedimentation after dispersion with Na-hexametaphosphate, flocculated with MgCl<sub>2</sub>, 162 washed until free of chloride and freeze-dried. The abundance of serpentine was assessed on air-dried 163 oriented mounts by X-ray diffraction (XRD), using a Philips PW 1710 diffractometer (40 kV, 20 mA, CoKa 164 radiation and graphite monochromator). Scans were made from 3 to 35° 20 at a speed of 1° 20 min<sup>-1</sup>, the 165 background was subtracted and the peak intensities and positions were obtained using PowderX software. 166 An index of abundance of serpentine (SRPH, from 0 to 1) was obtained as the ratio of the height of the 001 167 serpentine peak at 0.73 nm to the sum of the heights of all identified layer silicates, as fully described 168 elsewhere (Bonifacio et al., 2010).

169 The determination of pH, OC, N were performed not only at room temperature, but also on lab heated170 samples at all temperatures.

Soil OM composition of samples BE4, BE10, PI5 and PI7 was investigated by means of Fourier Transform-Infrared (FT-IR) spectroscopy. These samples were selected to represent the variability of the dataset in terms of canopy cover (BE and PI), lithology (BE4 and PI7 on schist, BE 10 and PI5 on serpentinite) and WR

behavior. Potassium bromide (KBr) pellets were prepared by adding 4 mg of soil sample to 400 mg of KBr, thoroughly ground and mixed. KBr was oven-dried prior to use so as to avoid water absorption. FT-IR spectra were acquired (PerkinElmer Spectrum 100, Norwalk, CT, USA) in the 4000–450 cm<sup>-1</sup> region, with a resolution of 4 cm<sup>-1</sup>. Sixteen scans per sample were obtained, with KBr pellet as background. PerkinElmer IR WinLab Spectrum software was used to process the spectra, performing baseline correction and normalization. The same software was also employed to perform semi-quantitative analysis, calculating the intensity and area corresponding to some selected bands.

#### 181 **2.3.Statistical analyses**

182 RStudio (R version 4.0.2) was used for all the statistical analyses. Normality and homoscedasticity of the 183 data were checked before comparisons between groups by, respectively, Shapiro-Wilk's and Levene's tests. 184 Non-normal data were log-scaled. One-way ANOVA was used to detect differences in mean values between 185 groups, setting the threshold for statistical significance at the level of 0.05. Tukey-HSD test was applied for 186 post hoc pairwise comparisons. Linear mixed models (LMM) were implemented when investigating trends, 187 so as to verify the role played by selected covariates along a T-controlled kinetic (25°-300° C). 188 Autocorrelation, when present, was considered within the model structure and the best fitting model was 189 chosen on the basis of Akaike's information criterion (AIC). Correlation matrices were computed with the 190 use of the non-parametric rank-based Spearman method as part of the data was non-linear. Clustering was 191 operated by building an Euclidean distance matrix, selecting Ward's agglomeration method and then 192 performing dendrogram pruning. The validity of the parameters related to height (y-axis) and number of 193 desired clusters was verified by the application of PERMANOVA test to the obtained clusters. When a p-194 value lower than 0.05 was obtained, the clustering was assumed as optimal. Principal Component Analysis 195 (PCA) was applied to investigate the relationship between relevant variables. Matrices adopted for PCA 196 were scaled and centered prior to performing the analysis and generating biplots.

# 197 **3. Results**

## 198 **3.1.Soil properties at natural state and WR**

199 All samples fell in the textural classes of loamy sand and sandy loam soils, with a mean total sand content 200 of 71 % (st. dev=6 %) (Table 2). Coarse sand (mean=45 %, st. dev=10 %) always prevailed over fine sand 201 (mean=26 %, st. dev=9 %). The abundance of coarse fractions was reflected by relatively low SSA values (mean=11.7 m<sup>2</sup> g<sup>-1</sup>, st. dev=5.8 m<sup>2</sup> g<sup>-1</sup>). Only one sample (PI7) displayed SSA values over 20 m<sup>2</sup> g<sup>-1</sup>, probably 202 203 due to its high clay content. SSA resulted slightly but significantly correlated with clay (R=0.501, p<0.05), OC 204 (R=0.540, p<0.05) and N (R=0.640, p<0.01) contents. The horizons of the BE series<del>collected under beech</del> 205 canopy cover were generally characterized by a greater acidity (p<0.001) than the soils of the PI pine 206 subset. Nickel content and SRPH were consistent and in agreement (R=0.659, p<0.05) in highlighting those 207 horizons with opposite bedrock-derived nature, despite Ni contents were not homogeneously distributed 208 among the samples. The PI pine subset, in fact, presented overall lower Ni values with respect to the beech 209 subset-BE series (p<0.05), probably due to a lithological inheritance. Nevertheless, samples BE2, BE6, BE7, 210 BE9, BE10, PI5 and PI6 presented extremely high SRPH values and considerable Ni contents and could be unequivocally addressed as serpentine soils. OC and N values were lower in BE samples with respect to PI 211 212 samples (p<0.01 and p<0.05, respectively). The lowest OC contents were found in samples BE5 and BE6 (less than 20 g kg<sup>-1</sup> OC). C/N ratio was slightly, but not significantly, higher in the PI series samples with 213 respect to the BE series samples. DCB-extractable Fe (mean=15.2 g kg<sup>-1</sup>, st. dev=4.9 g kg<sup>-1</sup>) was overall but 214 215 not significantly (p>0.05) higher in the BE subset under beech canopy cover.

Figure 1 displays the FT-IR spectra at 25° C of the selected soil samples. The spectra of both BE and PI samples displayed a large band in the 3700-3000 cm<sup>-1</sup> region mainly due to O-H/N-H stretching of OM compounds, although also O-H from hydration water and octahedral layer of serpentine can contribute to the intensity of that band, especially close to 3700-3600 cm<sup>-1</sup> (Russell, 1987). C-H stretching of aliphatic compounds (2940 cm<sup>-1</sup>) was little visible and did not vary much among the samples. In the 1650-1500 cm<sup>-1</sup> region, the most pronounced bands due to C-C stretching of aromatic structures were observed in soils of the PI series samples. This mirrors the difference in OM composition that distinguishes the two forest cover
 types (tree species). The large band observed in the 1000 cm<sup>-1</sup> region (lowest in BE10), generally attributed
 to C-O stretching of alcoholic groups, is here masked by Si-O and Me-O groups.

225 Water repellency measured by WDPT and CA on unheated samples is visible in Figure 2. The complete 226 infiltration time ranged from <1 to 9 s in the BE subset and from 4 to over 120 s in the PI soil series. The 227 analyzed soil samples thus displayed a WR behavior ascribable to the categories of wettable (0-5 s), slightly 228 hydrophobic (5-60 s) and moderately hydrophobic (60-600 s) (Bisdom et al., 1993). CA values did not 229 exceed 120° in both sets, the maximum CA value obtainable for a sphere placed on a planar surface. In 230 comparison, the BE subset displayed less variations in WDPT than in CA. Above 90°, which is the CA 231 threshold value assumed for the occurrence of water infiltration, soil samples clearly displayed two different behaviors. A linear trend could be observed when measuring wettability (WDPT vs CA) in the 232 233 beech BE subset (R=0.922 p<0.001), while an exponential trend emerged for the PI subset pine (R=0.894, 234 p<0.001) (Figure 2).

Infiltration time (WDPT) was found to be directly related to OC (R=0.839, p<0.0001) and N (R=0.706,</li>
p<0.001) contents. Similar relationships were obtained considering CA as WR estimator (R=0.789, p<0.0001)</li>
and R=0.767, p<0.001, respectively). No other strong and straightforward relationship emerged between</li>
WR measurements and soil properties.

#### **3.2.Heating-induced changes in soil properties and WR**

After heating, the soils displayed a large variability in WDPT, both in the BE and in the PI subsets (Figure 3). Regardless of the species of belonging, not all samples showed an increase in WR upon burning. In the horizons showing greater infiltration times with increasing heat intensities, WDPT peaked in correspondence of 200° C. The highest WDPT values of the BE subset were lower than those of the PI series, as here extremely high infiltration times were recorded (e.g. over 3500 s in PI1). A drastic drop in infiltration times occurred with temperatures higher than 200° C (Figure 3), regardless of the behavior displayed by the samples at lower temperatures. 247 Samples were clustered according to their T-dependent WR behavior (WDPT at all T) within each subset 248 (Figure 4). In the beech BE subset (Figure 4a) the clustering originated three significantly different groups 249 (p<0.001) while the pine PI subset (Figure 4b) resulted evidently divided into two groups (p<0.05). In the BE 250 subset (Figure 4a), the clustering procedure well isolated the most wettable samples (BE4, BE5, BE6; cluster 251 BE III), which displayed significantly lower infiltration times already at room-temperature (p<0.001). The 252 clustering further divided the samples displaying an increase in burning induced WR (BE1, BE7 and BE9; 253 cluster BE I), from those with stationary WR values (cluster BE II). In the pine PI subset (Figure 4b), this 254 tendency was even more expressed. Cluster PI I included only the soils with extreme WR at 200° C. Already 255 at room-temperature, WDPT values of these samples were significantly higher than those of cluster PI II 256 (p<0.01).

257 The pH (Figure 5a) was, within each species-specific series (BE and PI), significantly lower in more repellent 258 soil clusters (p<0.001) while OC and N contents (Figure 5b & 5c) were, at the same time, significantly higher 259 (p<0.001 for both). In all the samples, pH remained stationary until 200° C (Figure 5a) then it systematically 260 increased. A similar stationary trend was visible also in organic C contents, which drastically dropped above 261 200° C (Figure 5b). Total N contents displayed only small variations, occurring mostly in the pine PI subset 262 (Figure 5c). Differences in C and pH trends were found to be statistically significant in terms of T (p<0.001 263 for both). At 300° C, OC content was significantly lower than at less intense Ts, while pH was significantly 264 higher. The combined effect of T and cluster of belonging (T:cluster interaction) was not significant (p>0.05 265 for both). The percentage of OC loss at 300° C, with respect to the initial OC value, was not significantly greater in different species or clusters (p>0.05). Below 250° C, WR dependency upon OC and N contents 266 267 was found to be altered by temperature. A strong positive correlation remained between WDPT and OC 268 contents, but the strength of the relationship systematically decreased upon heating (R=0.913, p<0.001 at 269 100°, R=0.891, p<0.001 at 150°, R=0.713, p<0.001 at 200° C). The same happened in the case of WDPT and 270 N, with a less pronounced magnitude (R=0.691, p<0.01 at 100°, R=0.624, p<0.01 at 150°, R=0.463, p<0.05 at 271 200° C). No direct link between the C/N ratio and WDPT could be evinced, as the cluster with less 272 hydrophobic soils had a lower C/N value (Figure S.3b), but without any statistical significance (p>0.05).

273 Upon increasing temperature, the FT-IR spectra became more and more featureless (Figure 6a). The 274 greatest changes in intensity were appreciated in the regions corresponding to O-H/N-H and C-H groups (3500 to 2900 cm<sup>-1</sup>) and C-C, C-O (1650-1500 cm<sup>-1</sup>) of OM. No heat-mediated variation occurred in 275 correspondence of 3700-3600 cm<sup>-1</sup>, which suggests that O-H groups visible in that region mostly belong to 276 277 soil minerals and became more isolated with growing T (especially in BE4, PI7 and PI5). Figure 6b shows the ratio between the peak area at 3500-2900 cm<sup>-1</sup> to the peak area at 3700-3600 cm<sup>-1</sup> (that did not change 278 279 upon heating). No evident modifications occurred in the 3500-2900 cm<sup>-1</sup> band up to 200° C, if not a slight reduction in samples BE4 and PI5. A sharp reduction took place at 300° C in all the samples, indicating that 280 most of O-H and C-H groups of OM were affected at this temperature. Figure 6c displays the ratio between 281 the peak area at 1650-1500 cm<sup>-1</sup> to the peak area at 3700-3600 cm<sup>-1</sup>. Also in this case heating up to 200° C 282 did not cause significant changes, if not a slight increase in the 1650-1500 cm<sup>-1</sup> region in BE10 and PI7, likely 283 284 due to an enrichment of aromatic moieties. When reaching 300° C, all the soils lost the greatest part of 285 functional groups in this region (C-C, C-O of aromatic compounds). The greatest loss can be observed in the 286 BE subset, especially in the case of the least hydrophobic and OM poorest of the selected samples (BE4). PI 287 soil samples were slightly less affected, especially the most WR and OM richest (PI5).

Soil clusters containing samples with a greater WR tendency, within each species-specific subset (namely cl.
BE I and cl. PI I), were enriched in serpentine (Figure S.3c, Supp. Info) and DCB-extractable Fe (Figure S.3d),
but the differences were not statistically significant (p>0.05 for both).

The main soil parameters involved in WR formation at 200° C, T at which hydrophobic behavior was maximum, were employed to depict two PCA objects, one for each soil series (BE and PI, Figure 7a & 7b). The explained variance accounted for more than 60 % in both cases (71 % BE series, 68 % PI series). In Figure 7a, BE subset, PC1 was intimately tied to the abundance of either sand or silt and DCB-extractable Fe. PC2 was instead related on one extreme to higher contents of clay and on the other extreme to greater contributions of OC and SRPH. In Figure 7b, PI soil series, PC1 was mostly ruled by PSD (sand on one side, silt and clay on the other), while PC2 was tied to DCB-extractable Fe and OC contents. The difference

existing between clusters PI II and PI I seems to be related to the abundance of fine fractions, whereas, for
BE samples, cluster BE III (most wettable behavior) was oriented towards lower C and greater clay contents.

## 300 **4. Discussion**

Heating-induced WR was found to be significantly tied to room-temperature WR in A horizons of Alpine soils. Regardless of the vegetation cover type (pine or beech forests), T-mediated hydrophobicity build-up occurred in soils that displayed the least wettable behavior already at 25° C, in agreement with the literature (Atanassova and Doerr, 2011). These samples maximized WR at 200° C. Conversely, other samples did not exhibit any increase in WR with growing temperatures, with low and stationary infiltration times. Regardless of the WR behavior displayed until 200° C, all WR was lost above that temperature.

#### 307 **4.1. What regulates room-temperature soil WR?**

308 Unheated samples of the PI subset resulted moderately hydrophobic, while the majority of soils in the BE 309 subset samples were wettable, according to the classification by Bisdom et al. (1993). The two methods 310 employed for WR estimation (WDPT and CA) were in agreement with each other, as found by Papierowska 311 et al. (2018), but the relationship existing between them differed in the two soil series (BE vs PI, Figure 2). 312 WDPT and CA were linearly related in the BE subset, while the relationship was exponential in the PI soil 313 series. CA addresses the initial repellency of a soil, while WDPT does not give an estimate of the actual 314 degree of hydrophobicity but rather deals with the persistence of WR (Diehl, 2013). Thus, samples of the 315 pine PI subset, despite falling in the same CA range of beech specimens, exhibited a far greater persistence 316 of repellency.

Organic carbon content (significantly higher in the PI series) was found to be the dominant factor regulating room-temperature WR in the analyzed poorly developed alpine soils. The relationship between the degree of soil WR and OM abundance was sometimes found to be positive (Mao et al., 2014), sometimes negative (Teramura, 1980) and sometimes nonexistent (de Blas et al., 2010). Some authors mention the existence of an OC saturation threshold in correspondence of which the addition of extra OM does not induce a greater

322 hydrophobicity in soil (Doerr et al., 2000). In our case, no evidence suggesting OM surface saturation was 323 observable, despite the high OC contents (up to 97 g kg<sup>-1</sup>). Not only WR was found to be strongly related to 324 the amounts of organic C, but its dependency upon OM composition stood out as well. Considering the two 325 species separately, in fact, the relationship between WR and OC resulted stronger in the case of the BE 326 subset soil samples (R=0.867, p<0.001 for CA, R=0.927, p<0.001 for WDPT) with with respect to the PI 327 subset soil samples (R=0.643, p<0.05 for CA, R=0.548, p<0.05 for WDPT). This implies that in presence of 328 more hydrophobic OM, testified by the greater amount of aromatic compounds in the PI samples (Figure 329 6c), a weaker correlation between WR and OC contents exists. Conversely, when OM is characterized by a 330 lower degree of intrinsic hydrophobicity (BE series soil samples, with less predominance of aromatic 331 compounds), a greater dependency upon OC loadings occurs. Aromatic compounds might easily interact 332 with uncharged mineral surfaces (like those of quartz and other primary minerals) via hydrophobic forces (Oudou and Hansen, 2002). The coarsest soil fractions, mainly composed of inherited minerals in alpine 333 334 soils, could therefore not only trigger a greater WR because of a low surface area but they could also 335 potentially offer surfaces with a high affinity for hydrophobic organic molecules. The relationship between 336 WR and soil properties may therefore result as highly complex and multifaceted, especially in the case of 337 pine.

#### **4.2.What regulates heating-induced WR evolution?**

339 The heat-induced increase in WR in the analyzed soil samples was not linear and not homogeneous 340 alongside the T kinetic, and the highest WDPT values were recorded at 200° C (Figure 3). The soil clusters 341 (three in the BE subset, two in the PI subset) differed in several soil characteristics that may actually explain 342 their wettability tendencies. Soils with the most repellent behavior (those that experienced heat-induced 343 WR build-up) presented higher OC contents (Figure 7, Figure S.3a) and, within their species-specific subset, 344 they were also enriched in DCB-extractable Fe and serpentine in the clay fraction (Figure 7a & 7b, Figure 345 S.3d & S.3c). OM tends to bind weakly with serpentine surfaces (Falsone et al., 2016) but their coating 346 could nonetheless enhance WR, as this phyllosilicate has a low surface area. Furthermore, even hydrophilic 347 mineral surfaces can develop hydrophobic properties when covered with low O/C humic acids (Murphy et

348 al., 1990). As we analyzed A horizons, the soil mineral surfaces were certainly already partially coated by 349 organic compounds at different degrees of transformation. On the other hand, DCB-extractable Fe abundance in more repellent samples might imply a larger extent of high-affinity surfaces that, once 350 351 experiencing OM coating, could induce a greater WR. A preferential adsorption of reactive aromatic 352 compounds was reported on Fe oxy-hydroxides (Chassé et al., 2015; Newcomb et al., 2017). It seems 353 therefore plausible to hypothesize that an extremely, if not the most, hydrophobic fraction of OM tends to 354 adhere on the surface of oxides. As framed by the PCAs at 200° C, nevertheless, factors regulating WR did 355 not act in the same way in the two analyzed soil series. The BE subset (Figure 7a) presented the least 356 repellent soil cluster in correspondence of greater amounts of clay together with low OC and SRPH 357 contents. In the PI soil subset (Figure 7b) DCB-extractable Fe and OC were oriented in the same direction, 358 but the two WR clusters mainly differed in terms of PSD (sand vs silt and clay abundance). The relatively 359 hydrophilic PI7, for example, was not actually poor in OM (OC=69 g kg<sup>-1</sup>) but presented the highest amount of clay in the whole dataset (Table 1). As expected, a greater WR is generally experienced by coarse-360 361 textured soils (DeBano, 1991; Doerr et al., 2000; Scott, 2000), with low clay contents (Harper et al., 2000). 362 In this context, anyway, not all factors controlling WR remained unaltered in the investigated T range. The change in the relationship between WR and OC from 25° to 200° C (decreasing strength of the correlations 363 364 WDPT vs OC and CA vs OC) could imply that, with growing temperatures, not only OM quantity but also T-365 induced changes in OM quality determine the degree of WR experienced by a soil. Burning has different 366 effects on OM, primarily depending on the nature of the source material, together with charring intensity 367 and oxygen availability (Knicker, 2007). The frequently reported increase in OM aromaticity (Baldock and 368 Smernik, 2002; Knicker, 2011) seems to be the key factor inducing a more efficient coating of mineral 369 particles, thus hindering a greater WR. The observation of functional groups by FT-IR spectroscopy revealed 370 that a small reduction in surface hydroxyl groups and aliphatic compounds (3500-2900 cm<sup>-1</sup>) occurred 371 already at 200° C (Figure 6b). Conversely, aromatic compounds (C-C, C-O stretching at 1650-1500 cm<sup>-1</sup>, 372 Figure 6c) were well represented and even slightly more present. The wide variety of WR behaviors

displayed by these soils could ultimately be linked also to OM patchy distribution on mineral surfaces(Kaiser and Guggenberger, 2003).

Up to 200° C, the pH remained mostly unchanged (Figure 5a). WR is reported to be maximum when soils remain in the range of their natural pH (Graber et al., 2009). In addition, a greater repellency is usually observed for soils with low pH values. As the pKa of carboxylic acids falls in the pH range of 3-5 (Diehl et al., 2010), a reduction in repulsion forces between these groups may cause micelle-like aggregation with outward-oriented hydrophobic moieties (Duval et al., 2005; Terashima et al., 2004). Figure 5a in fact displays that, within each species-specific subset, the most repellent group had lower pH values.

### 381 **4.3.What causes WR loss above 200° C?**

382 Literature reports a temperature threshold of more than 250° C above which WR is lost by the destruction 383 of organic compounds responsible for the coating of mineral surfaces (DeBano, 2000). In some studies (e.g. 384 Mataix-Solera et al., 2008), Mediterranean soils heated up to 350° C for 20 minutes still displayed a 385 distinctive WR behavior (more than 2000 s of infiltration time). In our case, for alpine soils, WR disappeared already just above 200° C, when a sharp reduction in OC was visible (Figure 5b). A decrease in OC is 386 generally observed starting from 220° C (Giovannini et al., 1988), but the greatest OC losses are usually 387 388 experienced between 250° and 450° C (Araya et al., 2017, 2016) for a greater volatilization triggered by 389 intense heating (Knicker, 2011). A larger sensitivity towards thermal alteration of OM in high elevation 390 forest soils was observed also in other environments, even in case of moderate heating temperatures 391 (Santos et al., 2016). Burning soils above 200° C caused here a neat flattening of the FT-IR spectra (Figure 392 6). However, not all the organic compounds were affected to the same extent. The areas corresponding to 393 stretching of O-H/N-H and C-H (Figure 6b) and C-C,C-O (Figure 6c) groups decreased in all the analyzed samples from 200° to 300° C. Other studies observed a reduction in C-H stretching (2950-2850 cm<sup>-1</sup>), 394 395 corresponding to aliphatic groups (Šimkovic et al., 2008) and a significant decrease in O-H stretching peaks 396 (3700-3000 cm<sup>-1</sup>) occurring around 250° C (Araya et al., 2017). The persisting peak in correspondence of 3700-3600 cm<sup>-1</sup> (Figure 6) was attributed to O-H of soil 1:1 clay minerals like serpentine (Russell, 1987). 397

398 Nitrogen, on the contrary, did not show any systematically decreasing trend (Figure 3c), but indeed N 399 greatest loss, for combustion and volatilization, generally occurs only above 350° C (Araya et al., 2017).

400 However, OC loss is not likely the sole responsible for the dramatic decrease of WR observed in the 401 transition from 200° to 250° C, as the samples of the most hydrophobic cluster, cl. PI I, were still rich in OM at 250° C (more than 50 g kg<sup>-1</sup> OC, Figure 5b). Thus, in order to understand what is inducing a hydrophilic 402 403 behavior in soil, we need to consider the alterations occurring in the mineral phase and how these 404 modifications influence bonds with OM. The layer silicate structure is generally not affected by 405 temperatures around 250°-300° C, while haematite formation from goethite is reported to occur close to 406 250° C (Brown, 1980). Also, thermal transformations of ferrihydrite typically require temperatures of at 407 least 300° C, depending on the amounts of incorporated Si or metals (Jambor and Dutrizac, 1998). The surface area of haematite is generally much lower than that of ferrihydrite (32 vs 245 m<sup>2</sup> g<sup>-1</sup>) (Kaiser and 408 409 Guggenberger, 2003; Celi et al., 2020), therefore these thermal modifications should induce a more 410 efficient OM coating of mineral surfaces, giving rise to a greater WR. Nevertheless, the sorption capacity of 411 mineral surfaces and the interactions with the organic compounds may experience changes by the increase 412 in pH visible above 200° C (Figure 5a). This phenomenon could be attributed to proton consuming 413 decarboxylation reactions and release of base cations from organic matter (Badia and Marti, 2003). As 414 aforementioned, out-ward orienting of hydrophobic moieties in amphiphilic micelles occurs only at 415 relatively low pH values (Duval et al., 2005; Terashima et al., 2004). As pH increases, a change in OM 416 conformation may lead to more charged and elonged structures. A systematic increase in pH can in parallel 417 trigger a more negative surface charge of soil mineral surfaces, especially where 1:1 phyllosilicates 418 dominate (Diehl et al., 2010). OM sorption to mineral phases would thus be heavily affected because of the 419 increased electrostatic repulsion between highly negatively charged surfaces (Mayer and Xing, 2001). It is 420 indeed likely that, above 200° C, the remaining OM could be partially desorbed due to the increased 421 negative charges. This would increase the fraction of mineral surfaces that become OM-free and available 422 for interaction with water molecules, leading to the complete loss of WR.

# 423 **5. Conclusions**

424 We investigated heating-induced changes in WR in soil horizons representative of the degree of alteration and parent material characterizing the Western Alps, under two forest covers that are widespread on 425 426 alpine reliefs. The results evidenced that Soil OM quantity and quality were the main drivers of 427 hydrophobicity at room-temperature in soil horizons representative of the degree of alteration and parent 428 material characterizing the Western Alps. Upon growing T, the soil samples displayed extremely different 429 wettable behaviors, with or without T-induced WR build-up. This happened mainly in relation to content 430 and composition of OM, particle size distribution and abundance of serpentine and DCB-extractable Fe-431 oxides. WR was maximized at 200° C and dramatically lost above this T, regardless of the hydrophobicity 432 displayed by samples at lower temperatures. The loss of WR was mainly attributed to heat-induced 433 increase in the negative surface charge of mineral surfaces, linked to a systematic pH increase above 200° 434 C. The drastic change in the surface charge of minerals might be able to inhibit, to some extent, OM 435 sorption to mineral particles. By exposing a greater portion of OM-free surfaces, soil particles would thus 436 be available for the interaction with water molecules, eventually leading to a super-hydrophilic behavior in 437 soil. What clearly emerged, nonetheless, is that alpine soils experience the disruption of repellent organic coatings at lower T respect to other environments, possibly because of a different mechanism of OM 438 439 retention on mineral phases, caused by specific litter composition and soil mineralogy.

The alpine environment is not unfamiliar to wildfire occurrence, thus a good comprehension of the mechanisms triggered by heating in soils is needed, especially considering that major changes in soil WR behavior were detected already at low-moderate fire intensities. Identifying the dynamics regulating wildfire-related WR is a crucial step to tackle the issue of ecosystem recovery. Addressing this matter is fundamental, considering that climate-change-related alterations in wildfires regimes are already causing the occurrence of more frequent and disruptive fires.

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#### 638 Figure captions

- 639 Figure 1: FT-IR spectra of bulk soil samples BE4, BE10, PI7 and PI5.
- Figure 2: Correlation between WDPT (s) and CA (°) in the two datasets. Shaded area indicates standard deviation.
- Figure 3: Average WDPT (s) measurements and standard deviation in a) beech BE subset and b) pine PI subset. Y
- 642 axis in log scale.
- Figure 4: Normalized WDPT values with deriving dendrograms, pruning lines and clusters for a) beech BE, subset
   and b) pine PI subset.
- Figure 5: Heating-induced changes in a) pH, b) OC and c) N. Samples are colored by cluster (mean and st. dev.)
- Figure 6: a) FT-IR spectra of the selected samples (BE4, BE10, PI7 and PI5) with increasing T (25°-200°-300° C).
- 647 Absorbance at 1200-1000 cm<sup>-1</sup> was cut to better focus on the bands at 3500-2900 cm<sup>-1</sup> and 1650-1500 cm<sup>-1</sup>; b) Ratio
- of band areas (3500-2900) / (3700-3600) cm<sup>-1</sup> for all the selected samples at all T; c) Ratio of band areas (1650-1500)
- 649 / (3700-3600) cm<sup>-1</sup> for all the selected samples at all T.
- Figure 7: PCA with selected soil properties at 200° C with samples colored according to WR cluster in a) beech BE
   subset and b) pine PI subset.

## 653 Tables

ID	х	Y	Elevation (m a.s.l.)	Forest type	Forest structure and cover	Dominant lithology	
BE1	355856	5000989	1310	Fagus sylvatica L.	Coppice, presence of <i>Pinus</i> sylvestris L.	Gneiss	
BE2	356071	5001254	1300	Fagus sylvatica L.	High forest, presence of <i>Pinus sylvestris</i> L. and <i>Betula</i> <i>pendula</i> Roth.	Serpentinite	
BE3	356552	5001406	1100	Fagus sylvatica L.	High forest	Micaschist	
BE4	361810	4998612	1350	Fagus sylvatica L.	Coppice, mixed with other broadleaves	Micaschist	
BE5	361898	4998600	1350	Fagus sylvatica L.	Coppice, mixed with other broadleaves	Micaschist	
BE6	361557	4999206	1180	Fagus sylvatica L.	Coppice	Serpentinite	
BE7	361481	4999762	1250	Fagus sylvatica L.	Coppice, mixed with other broadleaves	Serpentinite	
BE8	361671	4999535	1300	Fagus sylvatica L.	High Forest	Chloritoidschist	
BE9	370948	4997803	1300	Fagus sylvatica L.	Coppice, presence of <i>Pinus</i> sylvestris L.	Serpentinite	
3E10	370923	4997399	1300	Fagus sylvatica L.	High Forest	Serpentinite	
PI1	351268	4987287	1004	Pinus sylvestris L.	Mixed with Quercus spp. and Castanea sativa Mill.	Gneiss and metagranite	
PI2	343419	4989449	1336	Pinus sylvestris L.	Mixed with <i>Larix decidua</i> Mill.	Calcschist	
PI3	336948	4984292	1570	Pinus sylvestris L.	Mixed with <i>Larix decidua</i> Mill.	Micaschist	
PI4	333889	4980778	2037	Pinus sylvestris L.	Mixed with <i>Larix decidua</i> Mill.	Mica schist	
P15	329440	4977921	1560	Pinus sylvestris L.	Mixed with <i>Larix decidua</i> Mill.	Serpentinite	
PI6	326280	4978275	1360	Pinus sylvestris L.	Pure, sparse	Serpentinite	
PI7	324940	4979413	1510	Pinus sylvestris L.	Mixed with <i>Larix decidua</i> Mill.	Micaschist	
PI8	333257	4991623	1031	Pinus sylvestris L.	Pure, dense	Colluvium – mixed lithology	

Table 1: Main site characteristics of the selected soils. X and Y coordinates are in ED50/UTM Zone 32N format.

658 Table 2: Main soil properties of the analyzed soil samples. Letters for statistically significant mean values (group

659 series, BE vs PI) are reported below.

ID	Coarse Sand (%)	Fine Sand (%)	Coarse Silt (%)	Fine Silt (%)	Clay (%)	SSA (m <sup>2</sup> g <sup>-1</sup> )	SRPH	рН	OC (g kg <sup>-1</sup> )	N (g kg⁻¹)	C/N	Ni (mg kg <sup>-1</sup> )	Fe DCB (g kg <sup>-1</sup> )
BE1	42	26	12	16	4	5.9	0.12	4.4	40.5	2.8	14.5	560	16.5
BE2	43	34	8	12	3	11.8	0.52	4.8	34.7	2.3	15.1	1468	16.6
BE3	26	44	9	17	5	8.0	0.24	6.8	41.2	2.6	15.8	456	19.4
BE4	38	33	11	15	4	9.2	0.13	5.7	31.3	2.8	11.2	258	13.8
BE5	41	36	7	12	5	8.0	0.08	5.1	14.2	1.6	8.9	207	16.4
BE6	40	24	9	21	6	8.4	0.46	6.0	13.2	1.8	7.3	974	17.8
BE7	54	21	7	15	4	5.9	0.63	4.0	42.3	3.7	11.4	1718	21.0
BE8	33	38	14	13	3	19.3	0.17	4.9	42.0	3.4	12.4	358	18.3
BE9	35	29	13	19	4	16.8	0.60	5.5	67.5	4.8	14.1	1285	19.0
BE10	57	17	8	14	3	10.5	0.78	4.5	39.7	3.3	12.0	1704	9.7
PI1	54	23	9	9	5	13.4	0.28	6.6	64.3	4.0	16.1	279	25.6
PI2	50	23	6	16	6	5.0	0.19	7.9	30.9	2.3	13.5	116	9.7
PI3	54	19	9	13	5	15.1	0.14	6.2	97.1	7.0	13.9	96	12.9
PI4	41	22	11	22	5	16.4	0.27	5.9	53.8	4.5	12.0	120	18.2
PI5	59	19	7	11	4	15.5	0.89	6.5	76.2	6.4	11.9	571	10.0
PI6	63	10	5	16	7	14.3	0.57	7.1	54.7	3.4	16.1	583	9.6
PI7	41	18	9	22	10	24.8	0.24	7.1	69.3	5.0	13.9	337	9.1
PI8	41	36	15	7	1	2.1	0.34	7.7	54.2	2.3	23.6	37	9.5
BE mean	41 <sup>b</sup>	30 <sup>a</sup>	10	15	4	10.4	0.37	5.2 <i><sup>b</sup></i>	36.7 <i><sup>b</sup></i>	2.9 <i><sup>b</sup></i>	12.3	899 <sup>a</sup>	16.8
BE std	9	8	2	3	1	4.5	0.25	0.8	15.4	0.9	2.7	605	3.2
PI mean	50 <sup>a</sup>	21 <i><sup>b</sup></i>	9	14	5	13.3	0.37	6.9 <i>ª</i>	62.6 <i>ª</i>	4.4 <i>ª</i>	15.1	267 <sup>b</sup>	13.1
PI std 60	9	7	3	6	2	7.0	0.25	0.7	19.4	1.7	3.8	215	5.9

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662 Figures











