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*Original Citation:*

Long-term in-vitro wear performance of an innovative thermo-compressed cross-linked polyethylene / S Affatato; M Zavalloni; M Spinelli; L Costa; P Bracco; M Viceconti. - In: TRIBOLOGY INTERNATIONAL. - ISSN 0301-679X. - STAMPA. - 43(2010), pp. 22-28.

*Availability:*

This version is available <http://hdl.handle.net/2318/74673> since

*Published version:*

DOI:10.1016/j.triboint.2009.04.049

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**LONG-TERM *IN-VITRO* WEAR PERFORMANCE OF AN INNOVATIVE THERMO-  
COMPRESSED CROSS-LINKED POLYETHYLENE**

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## NOMENCLATURE

PE-A	conventional PE GUR-1020 (EtO-sterilized)
PE-B	conventional PE GUR-1020 ( $\gamma$ -sterilized)
PE-C	conventional PE GUR-1050 ( $\gamma$ -sterilized)
XLPE	cross-linked PE GUR-1020 (EtO-sterilized)
XLPE-RT	cross-linked-Thermo-Compression PE GUR-1050 (EtO-sterilized)
Mc	Million cycles
<b>KW</b>	Kruskal-Wallis non-parametric statistical tests
<b>KS</b>	Kolmogorov-Smirnov non-parametric statistical tests

1        **1 ABSTRACT**

2    New cross-linked polyethylene acetabular cups, obtained by thermo-compression process,  
3    were compared with conventional and traditional commercially available cross-linked  
4    polyethylene in terms of wear behavior in a hip simulator for 10 millions cycles using bovine  
5    calf serum as lubricant.

6    Gravimetric measurements revealed significant differences between the wear behaviors of the  
7    five sets of acetabular cups. In particular, this new type of thermo-compression crosslinked  
8    wore more than the traditional cross-linked polyethylene but exhibited a wear rate about four  
9    times lower than conventional UHMWPE.

10    The FTIR analyses indicate that oxidation to various extents appears as a consequence of  $\gamma$ -  
11    irradiation in presence of oxygen.

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17    **Keywords:** PE GUR-1020; PE GUR-1050; Wear, Long-term wear behavior; Conventional  
18        UHMWPE; FTIR analysis; Oxidation.

## 19        2    INTRODUCTION

20    The research to improve the life of a patient that had a hip implant remains a major concern of  
21    scientists in the world. It is well known that ultra-high-molecular-weight-polyethylene  
22    (UHMWPE) is the most commonly used material in orthopaedics implants even if wear due  
23    to this material represents a serious clinical problem [1]. Excessive wear may lead to gross  
24    mechanical failure such as fracture and disassociation [2] and the release of particulate wear  
25    debris may induce biological responses that cause implant loosening [3, 4]. Medical grade  
26    resins are described as GUR-1020 e GUR-1050 depending on their molecular weights. The  
27    requirements for medical grade UHMWPE powder are specified in ASTM standard F648 and  
28    ISO standard 5834-1. These standards characterize powders on molecular weight, trace  
29    impurities of titanium, aluminium, and chlorine (residuals from catalysts), and ash content.  
30    No analysis is required on the final form that can be achieved by different processes [5-7];  
31    these may not produce uniform conditions in the manufactured shape and there is still no  
32    consensus on which resin and conversion method would be universally proposed as the best  
33    choice for all the orthopaedic applications.

34    At the moment, the focus of research in total joint arthroplasty has shifted to improve the  
35    wear performance of conventional UHMWPE and increase its mechanical properties, such as  
36    yield stress and Young's modulus. To this end, increasing the cross-linked density is well  
37    established as a promising solution to improve the wear resistance and durability of  
38    polyethylene [3, 8]. The molecular chain structure at the articulating surface of polyethylene  
39    is believed to undergo a reorganization process due to strain accumulation caused by surface  
40    traction [9]. This altered polyethylene responds to stresses favourably if loaded along the fiber  
41    axis but unfavourably if loaded off axis [10]. Several different manufacturing processes have  
42    been developed to increase the wear characteristic of conventional polyethylene in order to  
43    achieve the so-called cross-link polyethylene (XLPE). The industrial process consists to treat

44 the UHMWPE with high-energy radiation, peroxide, or silane treatments [3, 8, 11]. The  
45 common factor of these aforementioned processes, is the treatment with  $\gamma$ -irradiation or e-  
46 beam at dose between 40 to 100 kGy [1, 3, 8, 9, 11-14]) before the machining of PE bar into  
47 the final form; this increase the crosslinking density and improve wear resistance of the  
48 conventional polyethylene. Radiation-induced cross-linking of UHMWPE has been shown to  
49 improve wear resistance by up to 5 fold if compared to conventional polyethylene [15-17].  
50 This decrease in wear has been observed in clinical studies [18, 19], in laboratory tests using  
51 hip joint simulators [8, 20, 21], and under both ideal and severe wear conditions [14, 22].

52 However, as the level of polyethylene cross-linking increases, the mechanical properties, such  
53 as the ductility, yield strength, and elongation to failure decreases [1, 11, 23-25]. Moreover,  
54 this result in a decrease in the toughness [1, 26] and acetabular cups fabricated from these  
55 materials might present a risk for wear increase and catastrophic failure [24]. At this concern,  
56 improvements in the forming process of XLPE acetabular cups were implemented. In  
57 particular, a thermo-compression process, at a temperature above the melting point, follows  
58 the irradiation phase completing the manufacturing of the articulating surface of the  
59 acetabular cup. As a consequence, mechanical machining of the articulating surface is  
60 avoided and higher material homogeneity and superficial finish achieved. Absence of  
61 machining marks on the surface of the polyethylene should reduce the abrasion and this could  
62 end in improved wear resistance of the XLPE cups.

63 With this in mind, in this work we asked whether new cross-linked PE acetabular cups,  
64 obtained by thermo-compression process (XLPE-RT), would result in less wear than XLPE  
65 and conventional UHMWPE.

66 In particular, two outcomes were used as the basis for comparing wear behavior:

- 67   ▪ Wear rate (determined from sequential gravimetric measurements during the course of the  
68   test) may be influenced by material composition (different GUR) and sterilization;  
69   ▪ Degree of oxidation of the acetabular cups (determined from FTIR measurements).

70   On the basis of an initial analysis [27] it was decided to continue testing up to 10 million  
71   cycles (Mc) in order to evaluate the long-term wear effects. This study provides additional  
72   important information to that already described [27], since it clarifies the characteristics of the  
73   long-term wear resistance of the materials.

## 74        **3 MATERIALS AND METHODS**

### 75                *3.1 Specimens tested*

76    The wear behaviour of 20 different polyethylene acetabular cups (28-mm inner x 44-mm  
77    outer; 4 specimens for each batch) coupled with 28-mm CoCrMo femoral heads were  
78    investigated using a hip joint simulator. The conventional PE and the XLPE resins studied  
79    were surgical grade consolidated by compression moulding according to the ISO 5834/2.  
80    More details are shown in Table 1.

81    Following a standardized procedure [22, 28-30], another 10 acetabular cups (two for each  
82    type of material used) were stored (non-loaded) in bovine calf serum to compensate for  
83    weight changes due to fluid absorption. All specimens were tested three months after their  
84    sterilization. All polyethylene acetabular cups were pre-soaked for four weeks prior the wear  
85    tests.

### 86                *3.2 Experimental wear details*

87    Wear tests were performed using a 12-station hip joint simulator (Shore Western, USA). The  
88    simulator set-up followed is described in details elsewhere [27, 31, 32]. Each articulating  
89    station was subjected to a sinusoidal loading (max 2 kN) with a frequency of 1.1 Hz,  
90    according to the rotation test frequency under room temperature ( $23 \pm 1$  °C) conditions.

91    The weight loss of the cups was determined every 0.5 Mc until five Mc and every one Mc  
92    from 5 to 10 Mc. A SARTORIUS microbalance (AG, Germany) with a precision of  $\pm 0.1$  mg  
93    was used to measure the weight loss during the experiments.

94    The effects of the different polyethylenes on wear were evaluated using *KW* and *KS* statistical  
95    tests. Statistical significance was set at  $P < 0.05$ .

### 3.3 *Roughness measurements*

97 The surface roughness of all the femoral heads was measured using a contact profilometer  
98 Hommel Tester T8000 (Hommel Werke, Germany). Scanning operations were performed  
99 identifying three planes according to previous standardized protocol [33]. Sampling lengths  
100 were taken using a cut-off of 0.08 mm. Two parameters ( $R_a$  and  $R_t$ ), used to qualify the  
101 surface roughness, were taken into consideration as previously reported [33]. In particular,  $R_a$   
102 is the arithmetic mean of the sum of roughness profile values (DIN EN ISO 4287 - 1997) and  
103 does not indicate if valleys or peaks cause the roughness.  $R_t$  is the vertical distance from the  
104 deepest valley to the highest peak of the roughness profile over the sampling length. The  
105 measurements were acquired at 0, 5, and 10 Mc.

106 A statistical analysis (factorial ANOVA, analysis of variance, statistical significance was set  
107 at  $p < 0.05$ )[34] was performed to find out whether the various surface profile parameters ( $R_a$   
108 and  $R_t$ ) of the femoral heads could predict the variance observed in the weight loss of the  
109 acetabular cups measurements. A linear regression analysis was performed between each  
110 roughness parameter and the weight loss measurements; the linear regression intercept  
111 coefficient and squared linear regression coefficient  $R^2$  are reported.

112

### 113 3.4 *FTIR spectroscopy*

114 The most worn polyethylene acetabular cups (one for each batch) were cut perpendicular to  
115 the articulating surface; from the cross section a series of ca. 180  $\mu\text{m}$  thick slices was obtained  
116 using a PolyCuts Microtome (Reichert-Jung) at 10 mm/s in air at room temperature. A FTIR  
117 Microscope (Spectrum Spotlight 300, Perkin-Elmer, Shelton, Connecticut, USA) was used to  
118 map the oxidation. For collecting the line-scan spectra, the area of analysis was set at 100 x  
119 100  $\mu\text{m}^2$  and the spectra were recorded every 100  $\mu\text{m}$  along the mapping direction, starting

120 from the articulating surface towards the bulk. Each line scan was collected starting from the  
121 worn area of the bearing surface. All spectra were run in the transmission mode with a  $4\text{ cm}^{-1}$   
122 resolution and 16 scans per spectrum. All the spectra were normalised at  $2020\text{ cm}^{-1}$  at  
123 absorption of 0.05, corresponding to a film thickness of  $100\text{ }\mu\text{m}$ . The peak at  $2020\text{ cm}^{-1}$ , a  
124 combination band associated with the twisting of  $\text{CH}_2$ , was used as an internal standard, since  
125 it can be regarded as unaffected by minor changes in the polymer structure [35]. The degree  
126 of oxidation of samples was determined by the ketones absorption at  $1718\text{ cm}^{-1}$ .  
127 Hydroperoxides were detected after reaction with nitrogen monoxide (NO) for 15 hours in a  
128 dark reaction vessel. Under these conditions, hydroperoxides in polyethylene are converted  
129 into more easily detectable nitrates at  $1630\text{ cm}^{-1}$  as reported by Lacoste et al. [36, 37].

## 130        4    RESULTS

131    All the acetabular cups and the femoral heads completed the planned 10 Mc.

132    Compared to the different cup configurations, the XLPE-RT combination wore more than the  
133    XLPE but maintained a lower weight loss than the other conventional PE during the whole  
134    test (Fig. 1).

135    Significant statistical differences ( $p < 0.0001$ ) were observed between all the polyethylene  
136    cups using the KS statistical test. The same significance (KW,  $p < 0.05$ ) was also observed  
137    between the two cross-linked PE materials and between the three conventional PE acetabular  
138    cups. Significant statistical differences ( $p < 0.05$ ) were also found considering the type of  
139    resin (PE-A vs. PE-B) and between the groups with the same sterilization (PE-B vs. PE-C).

140    The mean roughness measurements ( $\pm$  Standard Deviation) of the parameters ( $R_a$ ,  $R_t$ ) for the  
141    femoral heads under investigation at zero Mc, 5 Mc, and 10 Mc are reported in Table 2.

142    The coefficient of correlation between the weight loss and the two roughness parameters ( $R_a$   
143    and  $R_t$ ) for the femoral heads coupled with the various different polyethylenes are plotted in  
144    Figs. 2 & 3.

145    The average roughness  $R_a$  for the heads coupled with the cross-linked PE showed the lower  
146    coefficient of linear regression ( $R^2 = 0.45$ ) in comparison with the conventional PE ( $R^2 =$   
147     $0.81$ ). The same correlation was observed with the  $R_t$  parameter ( $R^2 = 0.27$  vs.  $R^2 = 0.84$ ).

148    The FTIR line-scan spectra measured on the aforementioned polyethylene acetabular cups are  
149    shown in Fig. 4. All samples show diffusion of apolar products from the bovine serum, as  
150    indicated by the ester absorption at  $1740 \text{ cm}^{-1}$  found in all spectra. However, this phenomenon  
151    was found to be more superficial than in samples retrieved after in vivo implantation [38, 39].

152    The FTIR spectrum of PE-A shows only a slight oxidation, evidenced by the hydroperoxides

153 absorption at  $1630\text{ cm}^{-1}$ . Samples B and C show the same oxidation profile, but the oxidation  
154 level of sample B is much higher. The presence of oxidation is also confirmed by the  
155 absorption of ketones at  $1718\text{ cm}^{-1}$ . The oxidation found in these samples can be attributed to  
156 a poor packaging storage, in agreement with other study that observed this phenomenon [40].

157 The two-crosslinked samples show different oxidation level: a minimal absorption of both  
158 ketones and hydroperoxides indicates quite a low oxidation for XLPE. On the contrary, a high  
159 concentration of ketones and hydroperoxides indicates a high oxidation level of XLPE-RT,  
160 comparable to that of PE-B.

161 Evidently, not all hydroperoxides were eliminated due to a too short thermal treatment. In  
162 addition, the higher oxidation level found in XLPE-RT can be explained with the lack of  
163 mechanical machining after irradiation. Thus, if from one hand the absence of machining  
164 marks on the articulating surface could reduce the abrasion, on the other end, eliminating the  
165 mechanical machining also means failing in removing the superficial layer of polyethylene,  
166 which have been oxidized during irradiation.

167        **5   DISCUSSION**

168    Interest in an improved polyethylene that present wear resistance for orthopaedic implants is  
169    an open challenge. The wear performance of five different polyethylenes coupled with  
170    CoCrMo femoral heads was evaluated for 10 million cycles. In particular, we asked whether  
171    new XLPE-RT acetabular cups, after long-term wear tests, would result in less wear than  
172    long-term wear tests would result in less wear than XLPE and conventional UHMWPE after  
173    UHMWPE and to determine the degree of oxidation of these specimens.

174    We found a reduced wear rate for the XLPE configurations in comparison to the conventional PE. In  
175    particular, the XLPE and XLPE-RT cups showed a significant 80% to 90% wear reduction compared  
176    with the conventional PE (Fig. 1). XLPE-RT acetabular cups showed higher weight losses than XLPE  
177    (50%). It was stressed that our initial hypothesis related to the absence of machining marks on the  
178    surface of the polyethylene should be reduce the abrasion and this could ends in improved  
179    wear resistance of the cups doesn't verified but the high oxidation level observed on these  
180    new XLPE-RT cups could altered the results observed.

181    Significant differences ( $p < 0.05$ ) were observed between all the polyethylene cups. In  
182    particular, the results of this investigation clearly showed a reduced wear for the two types of  
183    XLPE with respect to the conventional UHMWPE components.

184    Hip simulator studies have indicated that cross-linking can reduce the type of wear (>95%)  
185    that occurs in acetabular components in *in-vitro* tests [11, 41-45]. These results are also  
186    confirmed from *in-vivo* follow-up studies: a significant decrease in the femoral head  
187    penetration into the highly cross-linked polyethylene acetabular liners compared with the  
188    conventional UHMWPE liners was observed by Martell and D'Antonio [15, 46]. Regarding  
189    the sterilisation treatments, we found that our  $\gamma$ -irradiated PE-B specimens continued to wear  
190    about four times faster than the EtO sterilised of the same composition (Fig. 1). The oxidation

191 level of PE-B, partially crosslinked by radiation sterilization, is much higher than that of the  
192 EtO sterilized sample (PE-A). Thus, the oxidation induced by irradiation in air led to a  
193 decrease in the molecular mass.

194 A concern about the same sterilisation method but different material show the PE-B cups ( $\gamma$ -  
195 irradiated) wore about 3 times than the PE-C cups sterilised under the same conditions. This  
196 result can be explained by the different oxidation levels found in the two samples, according  
197 to the mechanism proposed by Costa et al. [4]. In particular, a decrease of molecular weight  
198 may involve abrasion.

199 Concerning the roughness measurements,  $R_a$  and  $R_t$  were taken into account because, as better  
200 explained in a previous work [33], the femoral heads implanted in patients could be scratched  
201 at various stages and variations of the roughness during the life of the prosthesis may not  
202 change in a systematic manner [47]. Profiles of different surfaces could have the same  
203 roughness average ( $R_a$ ) and wavelength but have different shapes. In this sense,  $R_t$  is the other  
204 parameter that can better correlate with the wear rate, when femoral heads with substantially  
205 different surface conditioning are compared. A slight increase in  $R_a$  may be observed from 5  
206 to 10 Mc for all the combinations (Table 2). Conversely, an appreciable increase in  $R_t$  is  
207 observed for all the configurations. It was stressed that the PE-B group presents higher  $R_a$  and  
208 higher  $R_t$  with respect to the other polyethylenes. Probably that isolated scratches on the PE-B  
209 acetabular cups increased the wear rate significantly more than generalized roughness of the  
210 metallic counter-face and could also change the wear performance ranking of various  
211 polyethylene formulations. A correlation between wear that occurs at 10 Mc and weight loss  
212 is observable only for high weight loss (conventional polyethylenes with  $R^2 > 0.8$ ). Regarding  
213 the cross-linked PE the model is not able to describe a correlation between the roughness and  
214 the weight loss because the variations are smaller than measurements accuracy.

215        **6 CONCLUSIONS**

216 All the acetabular cups (cross-linked and conventional PE) showed significant differences  
217 between them in respect to the wear behavior. Weight loss was found to decrease for the  
218 XLPE than the other configurations. However, this study is limited in predicting clinical wear  
219 rates. It is stressed that these wear tests were conducted in a laboratory under controlled  
220 conditions on a hip simulator. The FTIR analyses indicate that oxidation to various extents  
221 appears as a consequence of  $\gamma$ -irradiation in presence of oxygen and therefore the XLPE cups  
222 in our study showed the most variability in wear rates, whereas the conventional PE cups  
223 showed much more uniform wear behavior.

224 Differences in the wear behaviour could be correlated to different oxidation levels. In fact,  
225 while these levels increase for the cups, the roughness values keep constant as to indicate that  
226 improvement in manufacturing process influences the quality of substrate rather than the  
227 surface. Further studies are in progress to better investigate the reasons of different oxidation  
228 levels and to correlate the wear behaviour to the spatial distribution of the oxidation.

229

230

231        **Acknowledgements**

232 The authors wish to thank Elena Orru for the FTIR analysis, Melinda Harman for her  
233 linguistic support and Sara Cremonini for her statistical help.

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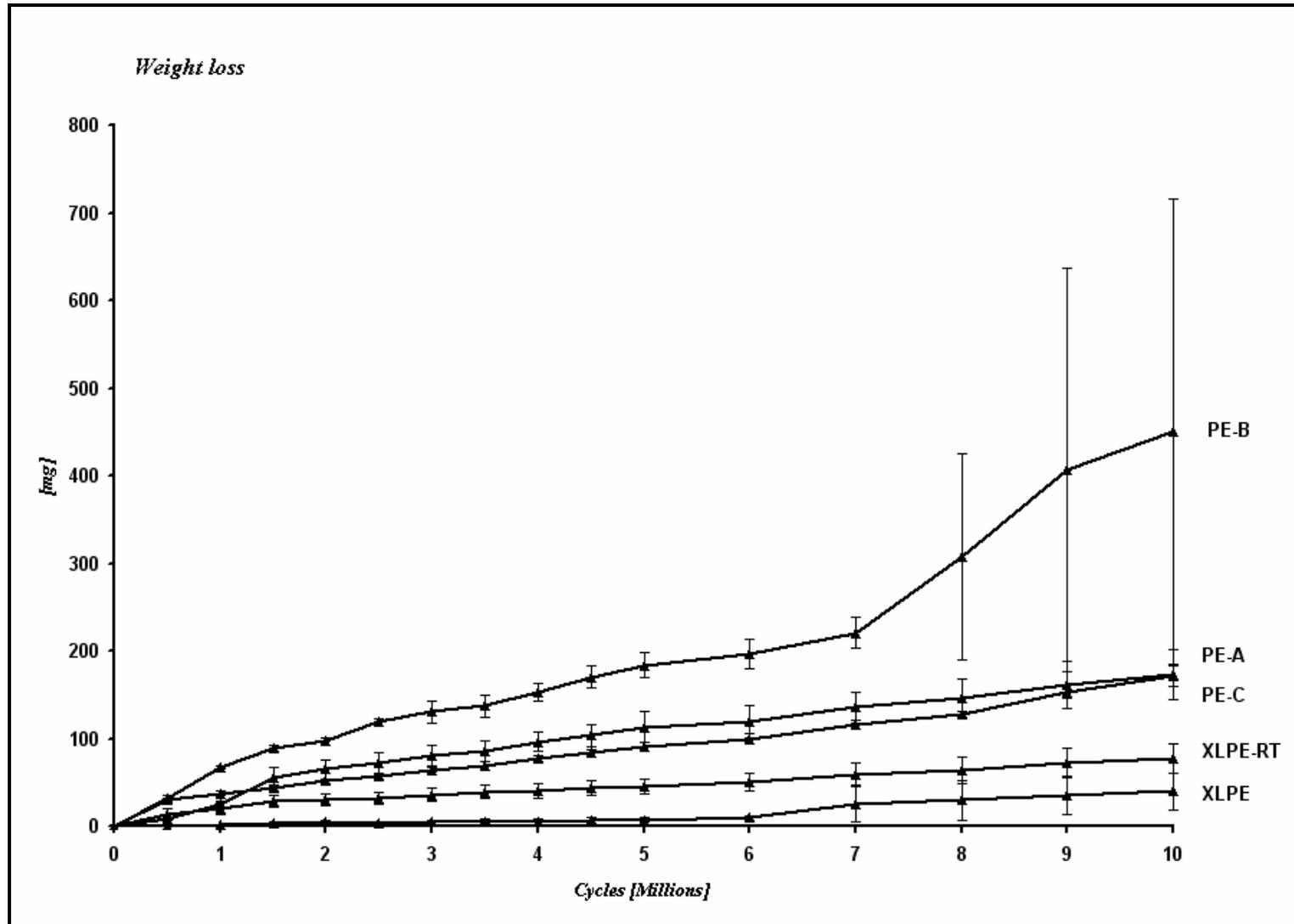
**Table 1** – Description of the five groups of polyethylene tested in this study.

Type of resin	Manufacturing process	Irradiation dose	Final sterilization
PE-A**	Mechanical machining from bar extrusion to obtain the final form.	---	Eto
PE-B**	Mechanical machining from bar extrusion to obtain the final form.	---	$\gamma$ 3 ± 0.5 Mrad (Dose in vacuum packed)
PE-C**	Mechanical machining from bar extrusion to obtain the final form.	---	$\gamma$ 3 ± 0.5 Mrad (Dose in vacuum packed)
XLPE**	Machining into the final form. Washing and packaging under controlled environment (standard procedure for biomedical components).	$\gamma$ -irradiation dose in the range 67.5 ÷ 82.5 kGy (nominal dose 100kGy)  Annealing at 150°C under nitrogen for 6 hours.	Eto
XLPE-RT**	Thermo-compression according to ISO 5834/2 into a cylindrical pre-form, which allows obtaining the concavity of the cup with no need of further machining.	$\gamma$ -radiation dose in the range 82.9 ÷ 97.5 kGy (nominal dose 100kGy)  Annealing at 150°C under nitrogen for 6 hours.	Eto

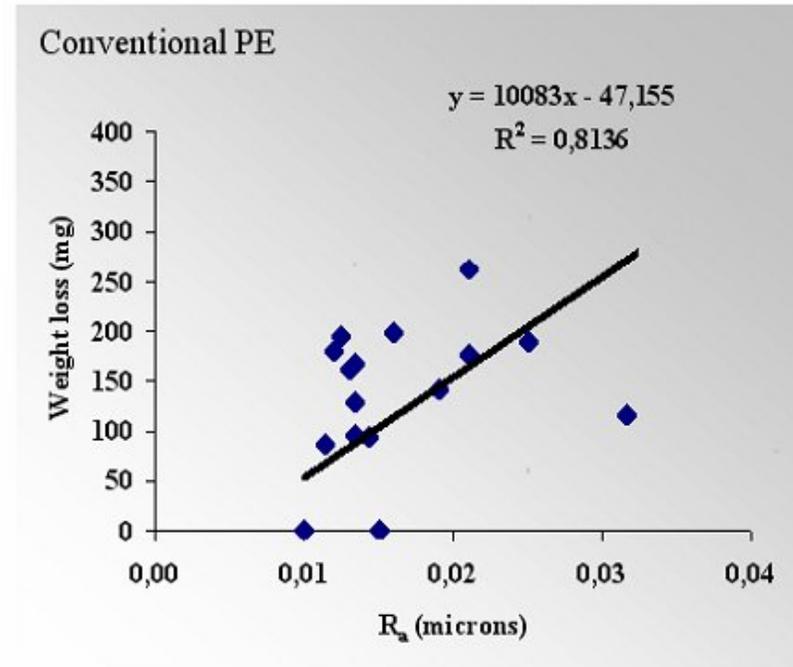
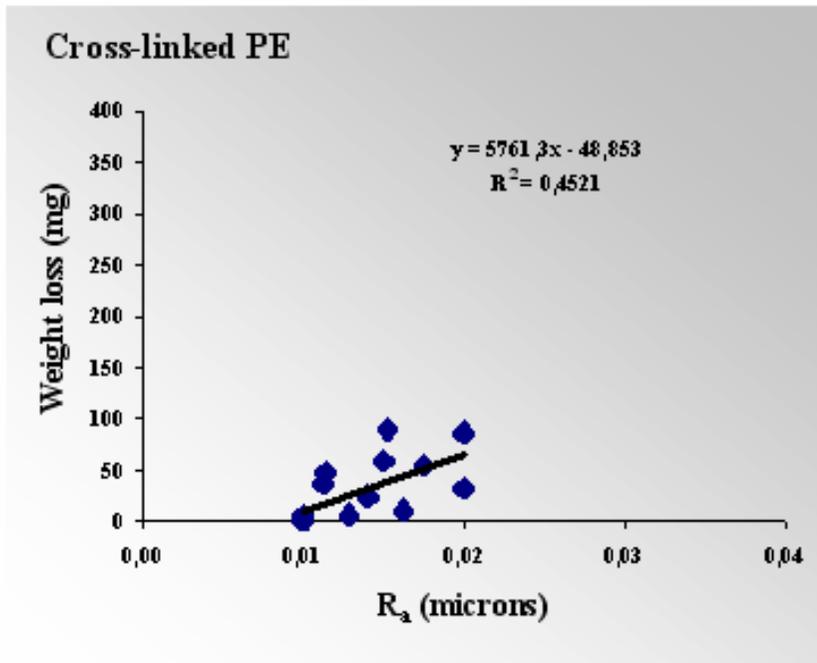
\*\* More details about the type of resin is given in the Nomenclature section.

**Table 2** - Mean roughness ( $\pm$  Standard Deviation) for  $R_a$  and  $R_t$  parameters at zero, 5, and 10 million cycles.

<b>Cycles (Mc)</b>	<b><math>R_a</math> (<math>\mu\text{m}</math>)</b>			<b><math>R_t</math> (<math>\mu\text{m}</math>)</b>		
	<b>0 Mc</b>	<b>5 Mc</b>	<b>10 Mc</b>	<b>0 Mc</b>	<b>5 Mc</b>	<b>10 Mc</b>
<b>PE-A</b>	0.01 $\pm$ 0.01	0.02 $\pm$ 0.01	0.02 $\pm$ 0.01	0.05 $\pm$ 0.01	0.17 $\pm$ 0.09	0.16 $\pm$ 0.05
<b>PE-B</b>	0.01 $\pm$ 0.01	0.02 $\pm$ 0.01	0.05 $\pm$ 0.03	0.07 $\pm$ 0.01	0.15 $\pm$ 0.04	0.36 $\pm$ 0.21
<b>PE-C</b>	0.01 $\pm$ 0.01	0.01 $\pm$ 0.01	0.01 $\pm$ 0.01	0.05 $\pm$ 0.01	0.11 $\pm$ 0.03	0.10 $\pm$ 0.01
<b>XLPE</b>	0.01 $\pm$ 0.01	0.01 $\pm$ 0.01	0.02 $\pm$ 0.01	0.05 $\pm$ 0.02	0.16 $\pm$ 0.07	0.21 $\pm$ 0.03
<b>XLPE-RT</b>	0.01 $\pm$ 0.01	0.01 $\pm$ 0.01	0.02 $\pm$ 0.01	0.05 $\pm$ 0.01	0.11 $\pm$ 0.04	0.17 $\pm$ 0.05



**Figure 1** – The picture shows the weight loss ( $\pm$  standard deviation) for five different polyethylenes. The cross-linked PE wore less than the other ones. Between 5 and 10 million cycles, wear reduced with respect the initial trend. The PE-B continued to show the worst wear behavior.



**Figure 2** – Weight loss of the different polyethylenes vs.  $R_a$  from zero to 10 Mc. The solid line represents a simple linear regression fit, whereas the points represent each data value.

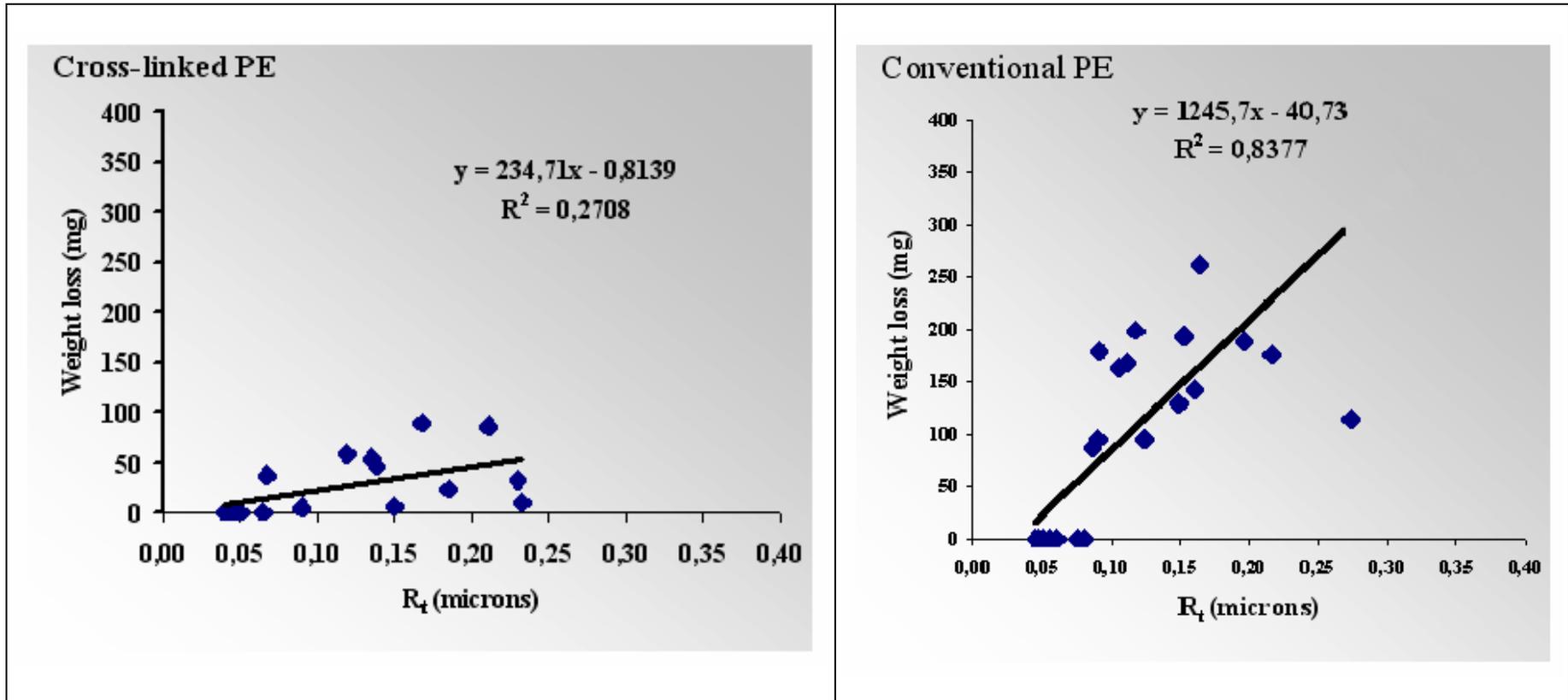


Figure 3 – Weight loss of the different polyethylenes vs.  $R_t$  from zero to 10 Mc. The solid line represents a simple linear regression fit, whereas the points represent each data value.

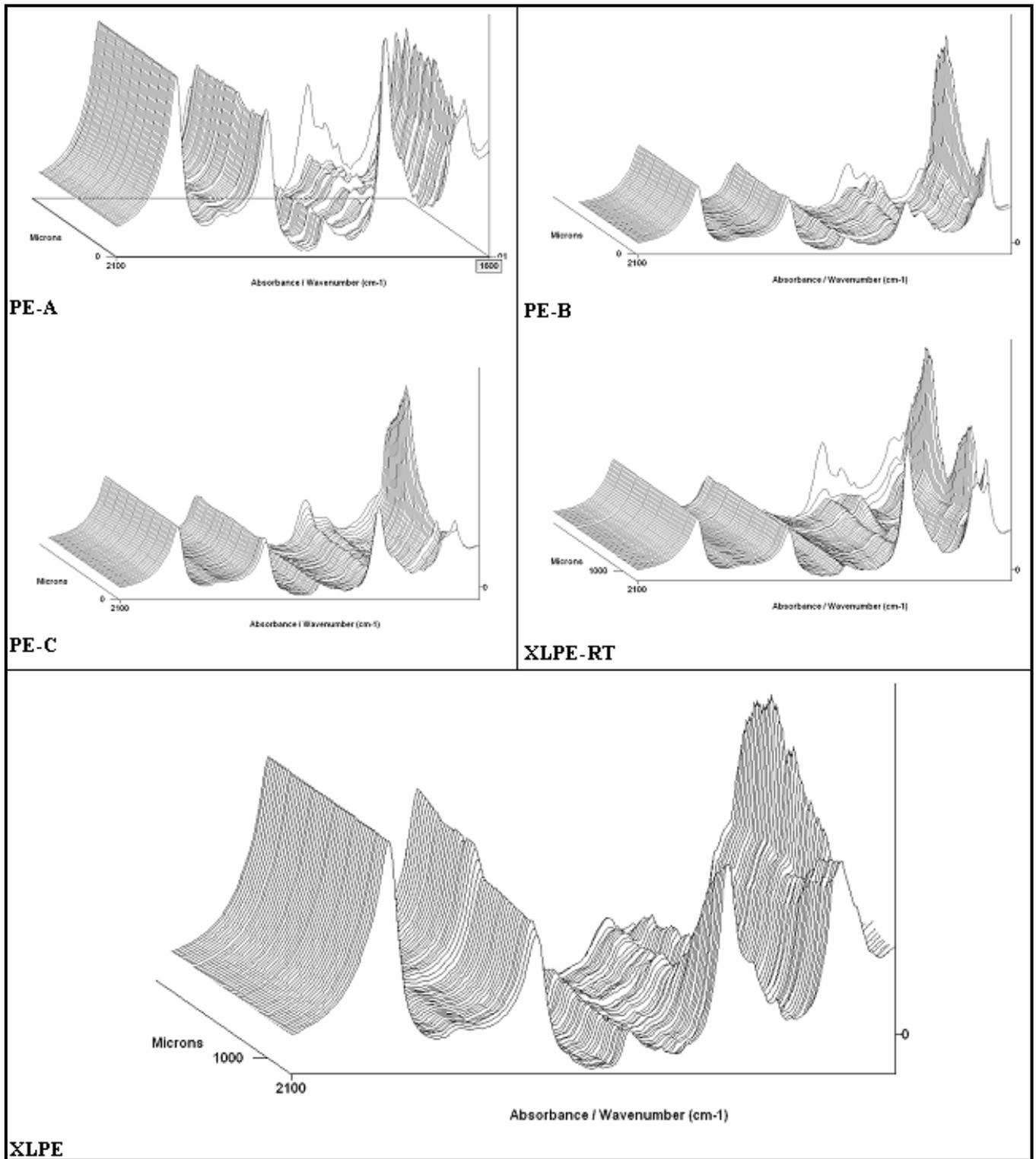


Figure 4 – FTIR spectra of the most worn polyethylene acetabular cups.