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Evolutionary Mechanisms and Neural Adaptation: Selective Versus Constructive Strategies in the Development and Plasticity of the Nervous System

Ferdinando Rossi

Abstract The correct function of the nervous system requires complex neural networks bearing precise connections. In principle, the high structural specificity of neural circuits could be achieved by genetically-determined processes, selected and refined during evolution. Highly conserved gene networks regulate some crucial steps of neural development, such as the regionalization of the neural tube and the initial phases of neurogenesis and synaptogenesis. A totally hardwired nervous system may meet the requirements of adaptation and natural selection at the population level. Nevertheless, it would be inadequate to allow individual organisms to cope with rapid changes of environmental conditions. Neural adaptation to external constraints can be partly achieved by introducing selective mechanisms in neural development. Accordingly, neurons are generated in excess and then partially eliminated to match the actual extension of innervation territories. Such mechanisms, however, are restricted to a set of potentialities, which must be predetermined in the ontogenetic program. On the other hand, constructive mechanisms, in which external stimuli directly influence structural modifications of neural circuits to produce adaptive responses, may allow individual organisms to cope with a wide variety of unprecedented situations. Thus, in the last ontogenetic period as well as in the adult, when the organism actively interacts with the external milieu, experience exerts a strong growth-promoting effect on neural circuits and connections inducing the emergence of specific functional properties. By this mechanism, which requires strict inhibitory control to prevent aberrant growth and dysfunction, the nervous system exploits external stimuli to create adaptive responses to unexpected situations.

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29 **1 Introduction**

30 Over the last decades, substantial advancements have been obtained in the elucidation of the cellular and molecular interactions that regulate the development of the nervous system, govern its function and determine its plastic capabilities in adulthood. These discoveries have led to the proposition of concepts and principles that relate, in a very peculiar manner, developmental neurobiology and neurophysiology to evolution. In addition to the obvious influence exerted by evolutionary processes on neural ontogenesis and on neurobiological mechanisms [57], this novel relationship stems from the understanding that both the construction of the nervous system and its operation are continuously scrutinized for their efficacy in enabling the organism to cope with environmental demands. Hence, the notion that neural development and plasticity represent the biological substrates of adaptation has led to propose that these processes are regulated by fundamental mechanisms that are shared with Darwinian evolution and, notably, the mechanisms of natural selection [8, 13].

44 This concept originated from the discovery that some fundamental ontogenetic phenomena, such as the formation of appropriate numbers of neurons or synapses in the brain, are subjected to environmental constraints, in a way that is reminiscent of the regulation of population size in living organisms. For instance, there is now general agreement that most neuron populations are initially generated in excess and attain their final numbers by a process of cell elimination, in which death or survival depend on the extension of innervation territories, the availability of target-derived trophic substances or the level of neuronal activity [27, 47]. Similar considerations are usually applied to synaptogenesis, where initially exuberant contacts are progressively withdrawn according to a set of restrictive parameters, including levels of activity, spatio-temporal patterns of synaptic activation or activity-dependent uptake of neurotrophic factors [27, 62].

56 This large body of evidence highlights the role of selective mechanisms in aspects of neural development and plasticity that are strictly related to adaptation. Nevertheless, a purely selective mechanism implies a range of pre-existing potentialities, which is restricted following confrontation with intervening demands. In other words, all the available options should be hardwired *ex ante* in the ontogenetic program responsible for constructing the organism. Now, is such a mechanism really compatible with adaptation? How can the variety of pre-existing potentialities be expanded at an adequate pace to match the speed of environmental change? Are the discarded options permanently lost or can they be rescued if they become again advantageous in the future?

66 A selective strategy is primarily designed to control adaptation at the population level. Hence, it is most efficient in regulating species evolution or, as we will discuss later, in defining the number of neurons belonging to a certain category. On the other hand, the main goal of neural adaptation is to allow individual organisms to cope with changing environmental conditions. A closer examination of neural development and plasticity in this perspective actually suggests that the nervous

system must be endowed with an intrinsic capability to construct neural circuits so to create novel functional properties, beyond the original set of potentialities. As a consequence, both selective and constructive mechanisms participate to determine neural ontogenesis and plasticity. Constructive strategies, however, prevail over selective ones when the individual nervous system has to face contextual environmental demands.

2 Adaptive Mechanisms Can Be Either Predictive or Reactive 78

Biological modifications set up to cope with environmental changes occur according to two main modes. On one side, the organism is able to predict the incoming variation and builds up an anticipated response. On the other, the organism is unable to foresee the external change and it can only react to novel conditions once they have been established. Thus, predictive adaptation implies that the organism is ready to face the novel environmental demand at the time when it materializes, whereas reactive adaptation will be only unfolded in a subsequent time.

At a first glance, predictive adaptation may appear more efficient in favouring survival of the organism. Nonetheless, it can be only used in a restricted set of situations. Actually, predictive mechanisms are only suitable to face extrinsic changes that happen at a *constant* pace through a long period of time (essentially forever). Organisms that spontaneously acquire predictive abilities are favoured over their counterparts and, hence, these abilities become selected by evolutionary mechanisms. Accordingly, predictive adaptation is usually sustained by highly conserved gene networks, whose spatio-temporal patterns of activation correspond to the time course or space distribution of the related environmental conditions. The best example of this kind is the regulation of circadian and circannual functions [12, 19]. These functions are operated by molecular cascades endowed with intrinsic rhythms that match the duration of relevant environmental periods, to which they become entrained by sensory information. As we will discuss here, predictive mechanisms operate in some major ontogenetic processes, which are also governed by highly conserved gene programs. For instance, the gene networks that direct the building of the body (and neural) plan have clearly evolved to cope with consistent environmental constraints, such as gravity, the sources of energy or relevant sensory information (e.g. sunlight) or the mechanics of movement.

Albeit successful, predictive strategies take very long times to become established and diffused. In addition, it is clear that the vast majority of environmental changes happen according to completely unpredictable frequencies and locations. Such situations can be adequately faced only by means of reactive processes, which allow individual organisms or populations to design and set up novel responses. In these cases, evolutionary processes favour the emergence and maintenance of certain abilities, but leave ample degrees of freedom in their actual expression. Most homeostatic mechanisms work in this way. For instance, body temperature is maintained by a series of evolutionary-selected interdependent

113 devices, from thyroid hormones to horripilation, whose function is triggered and
114 modulated by feedback loops that tune every response to the concomitant situation.

115 The vast majority of external conditions that may influence the function of the
116 nervous system belong to the latter category. More, I would say that the main
117 emerging property of the nervous system is to design novel strategies to solve
118 unprecedented problems. Accordingly, neural cells and circuits must be endowed
119 with the ability of reshaping connectivity so to generate new functional capabilities
120 that are not part of the constitutive repertoire of the species. Acquiring new
121 information or learning new skills are examples of this sort of morpho-functional
122 modification that underlies neural adaptation. Hereafter, I will argue that these
123 processes, that are crucial to regulate neural development and plasticity, cannot
124 be solely explained in terms of selective mechanisms, but require constructive
125 properties that allow the creative design of new adaptive strategies.

126 **3 Neural Development and Evolutionary Mechanisms**

127 In the perspective of this essay, neural development can be schematically
128 subdivided in three main phases (Fig. 1): (1) neurulation refers to the formation
129 of the neural tube and its segmentation into discrete morphogenic regions; (2)
130 neurogenesis is the process by which neurons (and glia) are generated; (3)
131 synaptogenesis is the process by which neurons become connected to each other
132 into functional circuits. These phases comprise both addition (e.g. generation of
133 new neurons, formation of new synapses) and loss of elements (e.g. physiological
134 cell death, synaptic pruning). Therefore, the growth of the nervous system actually
135 results from the balance of concurrent expansive and regressive phenomena.

136 Neurulation is triggered by inductive signals issued by the notochord,
137 a mesodermal structure lining the rostral-caudal axis of the embryo, which triggers
138 profound morphogenic rearrangement of the overlying ectoderm leading to the
139 formation of the neural tube [3, 27]. The latter is a highly polarised structure, which
140 soon becomes subdivided in discrete domains that acquire distinctive morpho-
141 functional specification along the main spatial axes (Fig. 1) [3, 27]. The most
142 important partition occurs along the rostral-caudal axis, where morphologically
143 distinct segments appear, corresponding to the major subdivisions of the adult
144 Central Nervous System (CNS). Within each of such segments, the dorso-ventral
145 axis defines sensory or motor structures, whereas the medio-lateral axis defines the
146 relationship linking neural circuits to axial structures (the trunk) and distal
147 appendages (the limbs).

148 The regionalization and spatial specification of the neuraxis are determined by
149 the interplay between diffusible or contact signalling cues and the combinatorial
150 expression of specific sets of transcription factors [3]. The whole process is
151 regulated by gene networks, which direct the morphogenesis of the entire body
152 plan. This gene program has been particularly successful during evolution: it has
153 been inherited from invertebrates and it is highly conserved through the phyla of

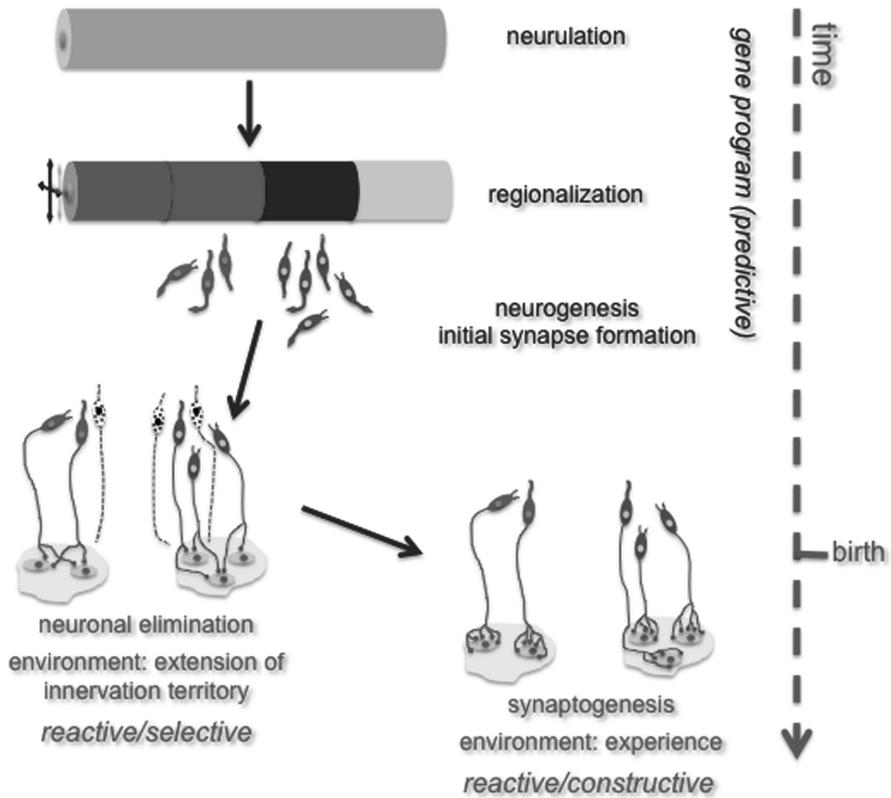


Fig. 1 Regulatory mechanisms of neural ontogenesis. The early phases of nervous system development are determined by the execution of a gene program that directs neurulation, the regionalization of the neural tube, the generation of nerve cells and the initial formation of synapses. While these processes are regulated in a predictive manner, later phases are accomplished according to reactive strategies, required to adapt ontogenetic processes to contextual environmental conditions. Surplus neurons are eliminated before birth by a selective mechanism depending on the extension of available innervation territories. On the other hand, synaptogenesis is carried out after birth, when the organism is interacting with the external world. Hence, synapse formation and reshaping are governed by experience-dependent constructive mechanisms

vertebrates [51]. The program assembles a structural scaffold, in which fundamental 154
 morphogenic interactions are precisely regulated in space and time, securing the 155
 coordinate development of intrinsic neural networks and their appropriate integration 156
 within the nascent organism. On this basic canvas, evolution creates diversity 157
 by introducing domain-specific variations in the rate of growth and in the connec- 158
 tion patterns. In this way, birds have a relatively large mesencephalon, whereas 159
 mammals are characterized by a prominent telencephalon. Thus, neural morpho- 160
 genesis is accomplished, in a predictive manner, by the intrinsic activity of specific 161
 gene networks, whose success is determined *a posteriori* by natural selection. 162

163 Neurogenesis, which is obviously interrelated with morphogenesis, comprises
164 all the phenomena leading to the generation of neurons and glia from neural stem
165 and progenitor cells (Fig. 1) [27]. These cells proliferate in germinal structures
166 located at different levels along the neuraxis, become specified towards different
167 identities and migrate to specific locations, where they acquire mature phenotypes.
168 Then, the final size of each neuronal population can be refined through physiologi-
169 cal cell death. The generation of phenotypic diversity is largely determined by
170 diffusible molecular cues or cell-to-cell interactions that regulate the expression of
171 particular combinations of transcription factors [14, 22, 37, 39]. Once cell fate
172 choices have been taken, however, the differentiation into mature phenotypes is
173 achieved by the unfolding of type-specific gene programs, in an essentially cell-
174 autonomous manner. Hence, neuronal differentiation as well as the establishment of
175 the basic framework of connectivity are also governed by predictive mechanisms
176 that determine *a priori* the capability of a given neuron to migrate into a certain
177 position, orientate the navigation of its axon or recognize appropriate targets.

178 The situation is different when the regulation of neuron numbers is considered
179 (Fig. 1). The number of neurons generated for each category is determined by the
180 interplay between intrinsic properties of neural progenitors and local regulatory
181 interactions that modulate the rhythm of proliferation, the relative proportion of
182 cells that initiate differentiation or continue to divide, and the duration of neuro-
183 genic periods [7, 33]. All these mechanisms operate to regulate neuron numbers by
184 adjusting their production and, hence, work according to a predictive strategy.
185 Nevertheless, since the pioneering work of Rita Levi-Montalcini and Giuseppe
186 Levi [30], it is well known that most neuron populations are actually generated in
187 excess and the final amount of nerve cells that populate the mature nervous system
188 is achieved through the elimination of supernumerary elements [42]. Cell death or
189 survival depend on a set of parameters, including both intrinsic features of the
190 neurons (e.g. their level of activity) and environmental constraints (e.g. the exten-
191 sion of the target field or the availability of neurotrophic substances). This process
192 is suitable to match the size of each neuronal population to the amount of potential
193 synaptic partners or to the extension of innervation territories in the periphery. It
194 operates according to a selective mechanism that is most reminiscent of natural
195 selection: the juvenile neurons compete for limited quantities of available resources
196 and their fate depends on their intrinsic ability to overcome their rivals [8, 47]. In
197 this case, however, the mechanism works following a reactive strategy, required to
198 adjust neural development to individual fluctuations in the dimension of different
199 parts of the body. Accordingly, the size of most neuron populations can be signifi-
200 cantly modified by experimental manipulations that increase or reduce the exten-
201 sion of the available innervation territory [27, 42, 44]. Therefore, the final number
202 of neurons belonging to each population derives from a dual mechanism, which
203 combines a predictive component, that determines the initial production of surplus
204 neurons, and a reactive component, that eliminates supernumerary elements in
205 response to contextual environmental conditions.

206 At a first glance, similar mechanisms may apply during synaptogenesis (Fig. 1).
207 A well-established notion in developmental neurobiology is that synapses are

initially formed in excess and then partially withdrawn to shape the mature connectivity [27, 47]. Since effective function of neural circuits depends both on the number and on the specificity of synapses, the pruning process would be required both to reduce the exuberant, supernumerary contacts and to remove aberrant, *wrong* connections.

The initial formation of synapses is guided by recognition cues exposed on the neuronal membrane, whose nature is determined by the intrinsic neurochemical profile of the partner neurons [5, 61, 66]. Synaptic pruning is driven by activity-dependent mechanisms that are directly influenced by the functional efficacy of the developing circuitry [8, 47]. Thus, synaptogenesis also appears to depend on a dual mechanism. Synapse formation is guided by molecular interactions determined by the unfolding of neuronal-intrinsic gene programs that work in a predictive manner. On the other hand, synaptic pruning is driven by an essentially reactive mechanism that selects *good* connections on the basis of their functional meaningfulness. Again, the latter phenomenon appears to follow some fundamental principles of natural selection.

The analogy is partial at best. It is well established that a number of synapses are withdrawn to shape appropriate spatial connection patterns on specific target domains. Nonetheless, it is definitely clear that, when the number of contacts and/or their functional weight is considered, the final balance of the synaptogenic process is a positive one: newly-formed synapses greatly outnumber the lost ones [46, 49, 62]. This has been clearly demonstrated in a variety of experimental models, including the autonomic nervous system [31, 48], the visual system [60], or the cerebellar climbing fibres [23], just to cite a few ones. Even in the case of the neuromuscular junction where mono-innervation of muscle fibres appears to be solely achieved through the elimination of supernumerary axons, the *winner* endplate undergoes a remarkable outgrowth to cover the entire postsynaptic surface with additional junctional complexes and releasing sites [46, 55]. Therefore, the reactive component of synaptogenesis is not a selective process, but rather operates in a constructive manner.

This conclusion has profound implications in terms of structure-to-function relationship during neural development. Indeed, while the initial formation of synaptic contacts is essentially aimed at establishing a basic framework of neural networks capable of initiating the interaction with the external world, the refinement phase is aimed at modifying the structure of such networks to improve their operational abilities. Thus, a fundamental circuit scaffold, assembled by executing intrinsic gene programs, is confronted with experience and modified to achieve adaptive function. The latter process involves the elimination of some unspecific contacts, but it is primarily characterized by the strengthening of meaningful connections with the addition of numerous new synapses.

This process of structural remodelling, which involves the simultaneous outgrowth of both presynaptic axons and postsynaptic dendrites [44, 48], leads to the emergence of novel functional properties, whose nature is influenced by the specific features of the contextual environmental conditions. In other words, the final structure of neural circuits is congruent with the actual experience: a particular

253 interaction with the external world will always lead to an appropriate pattern of
254 connectivity [53]. The essentially constructive nature of this process can be best
255 appreciated in extreme experimental conditions. For instance, severe manipulations
256 such as monocular deprivation or experimental squid during the critical periods of
257 visual system development induce extensive changes in the connectivity of the
258 subcortical and cortical visual system [60]. This peculiar structure, albeit strongly
259 divergent from that of the *normal* population, is clearly adaptive when the visual
260 experience of the relevant individuals is considered. Indeed, there is no reason to
261 leave half of the cortical territory to an eye that is not conveying any significant
262 sensory information. Similarly, there is no use to form binocular connections if the
263 two eyes are seeing different scenes. Yet, it is difficult to believe that such unusual
264 projection patterns result from the selection of pre-existing connections, rather than
265 being actively constructed by adapting the morpho-functional properties of the
266 circuit to real life experience. Similar considerations apply to other systems, such
267 as the peculiar tonotopic representation that can be induced in the auditory cortex
268 by exposure to auditory stimuli of specific frequencies [10].

269 On the whole, the initial phases of nervous system development, which include
270 neural morphogenesis, neuronal production and the establishment of basic connec-
271 tion patterns, are directed by the activity of species-specific gene networks that
272 operate according to an essentially predictive strategy. These processes lead to
273 assemble the fundamental framework of the nervous system, which then undergoes
274 individual-specific morpho-functional adaptation according to reactive strategies.
275 Neuron numbers are refined through a primarily selective process, whereas synaptic
276 patterns are reshaped according to constructive mechanisms. The latter mechanisms
277 have been likely evolved to exploit influences derived from contextual experience
278 to favour the development of adaptive function.

279 **4 Experience-Dependent Mechanisms, Neural Development** 280 **and the Emergence of Function**

281 A major feature of the last phases of neural development is the appearance of
282 reactive processes that essentially shift adaptation from species to individuals. Such
283 processes, however, are accomplished during distinct ontogenetic phases,
284 characterized by strongly different conditions [27, 46]. Neurogenesis and physio-
285 logical cell death primarily occur before birth and are influenced by somatic
286 changes taking place within the same developing organism. On the other hand,
287 the bulk of synaptogenesis is carried out after birth, while the newborn organism is
288 actively interacting with the external world. The latter condition exerts a most
289 dramatic influence on the course and on the outcome of this process.

290 Higher vertebrates, notably mammals, are born with immature neural circuits,
291 and this feature is most prominent in primates and humans [45, 57]. This implies
292 that crucial phases of neural development occur while the organism is exposed to

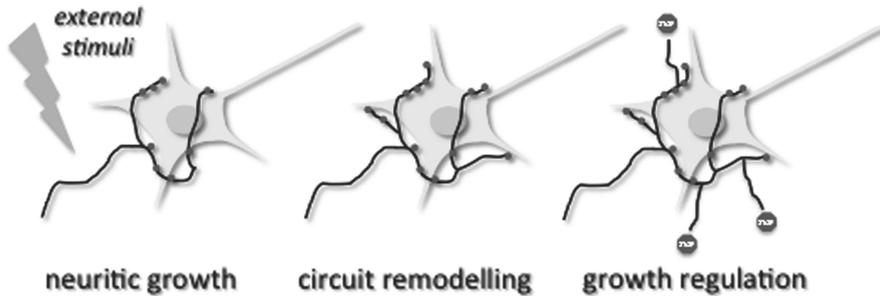


Fig. 2 External stimuli direct developmental synaptogenesis and adult circuit plasticity. External stimuli drive plastic modification of neural circuits by inducing neuritic remodelling and directing the formation of functionally meaningful contacts. The process is regulated by inhibitory cues present in the CNS microenvironment (represented by the STOP signals), required to prevent aberrant growth and dysfunction

the external environment rather than sheltered in an egg or in the uterus. The newborn CNS, and particularly those structures that are more immature at birth such as the neocortex, is subjected to a wide range of powerful stimuli, which induce specific patterns of neuronal activation, stimulate neuritic extension and influence the number and the distribution of newly-formed synapses [63]. This ability of experience to stimulate neural growth is the crucial event that shifts the nature of synaptogenesis from a selective process aimed at achieving synaptic specificity to a constructive one capable of building new functionally meaningful connections (Fig. 2).

Sensory deprivation experiments, such as dark rearing or exposure to unmodulated acoustic stimulation [11, 60, 65], show how administration of meaningful stimuli immediately activates neuronal growth mechanisms, associated with rapid acquisition of new functional properties. All these examples of experience-dependent structural remodelling are characterized by a clear prevalence of expansive phenomena, with the formation and strengthening of new synapses, over regressive events and loss of contacts. Hence, experience drives neuronal growth to create adaptive function. The evolutionary advantage of this strategy is obvious: each individual organism capable of exploiting contextual experience to generate appropriate novel responses will be able to successfully cope with a wide range of unprecedented situations.

Once function is acquired, synaptogenic processes are greatly reduced if not completely arrested [24]. This decline of neuronal growth properties, that marks the end of developmental critical periods for the acquisition of experience-dependent capabilities, has been attributed to a set of concurrent mechanisms. The remodelling of neural circuits often leads to a substantial segregation of afferent axons, which impinge upon private target domains, being individual dendrites, single neurons or discrete anatomical modules. This process of input segregation would progressively reduce the need and the opportunity for activity-dependent competitive interactions that sustain synaptogenesis [62]. Hence, growth would be arrested when a stable connection pattern is achieved and all partners had their share.

322 In spite of the attractive simplicity of this mechanism, the end of synaptogenic
323 processes is actually coincident with profound modifications that occur in the
324 neurons themselves and in the surrounding microenvironment [53]. Within the
325 nerve cells, growth-associated gene programs are actively suppressed to favour
326 information processing and signalling function. Coincidentally, the maturation of
327 glia, namely myelination, and the deposition of the extracellular matrix are
328 accompanied by the appearance of a variety of growth-inhibitory molecules that
329 stabilize contacts and hamper further elongation of neuronal processes (Fig. 2).
330 These phenomena are precisely aimed at restricting growth properties of neural
331 circuits. As we will see in the next section, synaptogenic properties typical of
332 juvenile organisms can be restored in the mature CNS by specific manipulations
333 that boost intrinsic neuronal growth properties or remove environmental inhibition.
334 The presence of such strict growth control mechanisms, which have been
335 progressively implemented during the evolution of vertebrates [17, 56], represents
336 an additional argument favouring the constructive nature of developmental
337 synaptogenesis. Indeed, a purely selective mechanism is self-limiting and does
338 not require additional regulatory devices to be terminated. On the contrary, a
339 constructive mechanism must be actively arrested, either by removing the sustain-
340 ing stimuli or by dampening growth processes. Experience cannot be prevented or
341 abolished: the whole ontogenetic process is precisely aimed at making the nervous
342 system able to cope with external constraints. Therefore, when the development of
343 neural circuits adopted the constructive strategy driven by experience-dependent
344 stimulation, a set of growth-inhibitory mechanisms evolved to stabilize meaningful
345 connections and to restrain neuronal growth once function is achieved. Not surpris-
346 ingly, the induction of such regulatory molecules is also triggered by experience
347 [26, 59].

348 5 Constructive Mechanisms and Plasticity in the Adult

349 In spite of the clear decline of intrinsic neuronal growth potentialities, after the end of
350 canonical ontogenesis the nervous system retains a certain degree of ability to modify
351 his structure and function in response to external stimuli or changes in the environ-
352 ment. Adaptation in the mature nervous system, which is generally known as *plastic-*
353 *ity*, shares some fundamental features and mechanisms with developmental processes.
354 The notion of plasticity in the adult CNS was established several decades ago with
355 the discovery of reactive synaptogenesis and synaptic turnover [9, 50]. Accordingly,
356 for a long time the adaptive abilities of neural circuits were thought to be exclusively
357 sustained by changes of connectivity. Recently, however, the demonstration that
358 neurogenesis persists at least in some regions of the adult mammalian brain has
359 revealed that functional adaptation can be also carried out by integrating new
360 neurons in pre-existing circuits.

361 Compared to neural development, synaptogenic phenomena occurring in the
362 adult nervous system are considerably restricted in space and time. They involve

both formation and withdrawal of synaptic contacts and, although they usually lead to moderate changes of synaptic numbers, they obey to reactive mechanisms and have a clear constructive character. One important difference with juvenile synaptogenesis is the requirement of active participation [29, 64]. Synaptic remodelling in immature organisms is usually triggered by the mere exposure to external stimuli. In contrast, in adulthood plastic changes also require motivation and active participation of the involved organism. Hence, in mature individuals adaptation is no more an automatic response to environmental conditions, but requires an individual volition that determines the nature of the response and influences its outcome.

Plasticity in the adult is strongly hampered by the presence of the above-mentioned inhibitory mechanisms that terminate developmental synaptogenesis. These mechanisms are partially counteracted by the growth promoting effect exerted by external stimuli [18, 20, 54]. Accordingly, structural plasticity and functional adaptation in the adult can be conspicuously enhanced by experimental procedures that activate neuronal growth genes or neutralize inhibitory molecules of the CNS microenvironment [53]. Nevertheless, whatever effective the simple manipulation of the molecular devices that control neuritic growth is not sufficient to induce adaptation. Endurable structural changes associated with significant functional modifications can only be established if these procedures are combined with specific environmental stimuli [43]. Hence, growth regulatory mechanisms exert a purely permissive role by setting the degree of plasticity of neural circuits, whereas environmental stimulation has a primarily instructive function in determining the shape of the connectivity that will be formed.

These features are consistent with a reactive mechanism that induces structural remodelling of neural circuits to generate adaptive responses. As for developmental synaptogenesis, the presence of multiple inhibitory mechanisms is required to maintain constructive modifications within the limits of adaptive function. Indeed, there are several examples showing that altered regulatory mechanisms and/or unusual experience may induce unspecific growth associated with frank pathological phenomena, such as seizures or dystonia [1, 6, 40]. A selective mechanism may fail to generate an adaptive response if the required option is not available, but it should be intrinsically unable to produce abnormal structures and aberrant function. Thus, plasticity in the adult also follows a constructive strategy and, for this reason, it must be subjected to inhibitory control.

Adult neurogenesis shares its major functional significance with adult plasticity. In some CNS structures adaptation is not exclusively sustained by changes of connectivity, but also involves the integration of newly generated neurons into pre-existing circuits. As discussed above, developmental neurogenesis comprises a predictive mechanism that generates excessive amounts of neurons, whose final number is defined by a reactive mechanism that operates through selection. The scenario of adult neurogenesis is very different. In both regions of mammalian brain where new neurons are generated throughout life, the hippocampal dentate gyrus and the olfactory system, the rate of neuronal generation is clearly influenced by external stimuli and/or activity-dependent mechanisms [15, 35]. Thus, while the

408 adult system retains the capacity for generating neurons, the course and outcome of
409 the process are no more determined by an intrinsically-coded predictive mecha-
410 nism, but regulated by extrinsic cues according to a reactive strategy.

411 Many of the newly generated neurons survive only for a short time, suggesting
412 that survival may depend on selective mechanisms, as for developmental
413 neurogenesis. However, the number and the specific features of the neurons that
414 eventually become stably integrated in adult circuits depend on the activity of the
415 involved network and on specific functional demands [2, 28, 32, 41]. In other
416 words, integration of the newborn neuron is directly related to the function that is
417 being established and not to the intrinsic receptive capacity of the system. There-
418 fore, similar to synaptic remodelling, adult neurogenesis appears to work as a
419 reactive device obeying to a primarily constructive strategy.

420 This conclusion is further supported by the observation that neurogenesis, or at
421 least neurogenic attempts, may be induced in other regions of the CNS by strong
422 stimulation or pathological conditions [4, 34, 52, 58]. In these instances, non-
423 neurogenic structures react to extreme environmental constraints by redirecting
424 the specification of local progenitors towards neuronal lineages. These phenomena
425 of intraparenchymal neurogenesis are often abortive, because non-neurogenic
426 regions fail to provide adequate conditions to support the differentiation and
427 integration of new neurons. Hence, latent neurogenic potentialities may be diffused
428 in many CNS regions, but actively repressed by local constraints. In any case, adult
429 neurogenesis appears to be driven by environmental stimuli influencing the mature
430 tissue, rather than local regulatory cues acting in a primary germinal structure.

431 Another feature that adult neurogenesis shares with adult plasticity is the pres-
432 ence of strict inhibitory control. Intrinsic inhibitory control prevents adult neurons
433 from de-differentiating or re-entering the cell cycle [25]. In addition, environmental
434 cues regulate the proliferation of progenitors as well as the migration, differentia-
435 tion and integration of newborn neurons [38]. Thus, successful incorporation of
436 new neurons in adult networks is restricted to precise phenotypes in defined circuits.
437 Furthermore, transplantation experiments show that the endogenous ability of the
438 adult CNS to accommodate donor neurons in functional circuits is limited to a few
439 types and locations [21, 36]. These inhibitory constraints also appear to be primarily
440 aimed at preventing aberrant phenomena that may lead to maladaptive function or
441 behaviour. However, these considerations indicate that adult neurogenesis also has
442 the main characters of a reactive/constructive process, in which experience-dependent
443 growth is exploited to modify neural structures so to achieve adaption.

444 **6 Conclusions**

445 The initial phases of neural development are primarily regulated by predictive
446 mechanisms that have been established by evolution. These processes, which are
447 highly conserved throughout vertebrate phylogenesis, are designed to develop a
448 nervous system that is suitable to control the main bodily functions of the organism

and is capable of interacting with the external world. The sensitivity of neural circuits to external stimuli, however, profoundly influenced the strategy of neural development. When coping with rather constant phenomena, such as the physiological expansion or retraction of different body parts, suitable adaptation can be obtained by merely selective mechanisms, which share some features with natural selection. Hence, neurogenesis starts with the production of surplus neurons and their final number is adjusted to match actual requirements, which may fluctuate among individuals, but always remain within predictable ranges. A similar mechanism may also apply to synaptogenesis if the nervous system was designed to be completely hardwired by intrinsic genetically-determined mechanisms.

Quite surprisingly, however, the exposure of the immature nervous system to the external environment dramatically changed the ontogenetic strategy. Now, the ability of coping with a great variety of unpredictable environmental constraints could not be adequately fulfilled by a selective process. Rather, the expanding variety of situations favoured the emergence of an alternative mechanism, able to create unprecedented structure and function to face unprecedented situations. Thus, evolutionary pressure pushed developmental synaptogenesis, adult plasticity and even adult neurogenesis to become reactive processes obeying to the rules of constructive mechanisms. This *constructive revolution* of neural ontogenesis induced the appearance of specific regulatory mechanisms, which evolved to restrain the unchained growth driven by external stimuli within the limits of adaptive function. These inhibitory cues first appeared in fish and amphibians [56], but their importance consistently increased during later vertebrate evolution, in parallel with the increasing complexity of CNS structure and function. Now, they clearly fulfil the fundamental task of controlling potentially dangerous growth properties that enable the nervous system of powerful plastic and adaptive capabilities. However, they also bring with themselves some relevant side effects, such as the loss of neural regeneration capabilities [16, 17]. In any case, constructive mechanisms, such as those directing adult plasticity and neurogenesis, represent a most successful phylogenetic invention that greatly increased the individual ability to cope with increasingly wide ranges of environmental conditions.

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Author Queries

Chapter No.: 10

Query Refs.	Details Required	Author's response
AU1	Please update the reference Gomez-Pinilla et al. (2011).	 <i>Brain Res</i> 1388:39-47

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